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Citation: Liao, Yun-Cheng, Liu, Bin, Liu, Juan, Wan, Sheng-Peng, He, Xing-Dao, Yuan, Jinhui, Fan, Xinyu and Wu, Qiang (2019) High temperature (up to 950 °C) sensor based on micro taper in-line fiber Mach-Zehnder interferometer. Applied Sciences, 9. p. 2394. ISSN 2076-3417

Published by: MDPI

URL: https://doi.org/10.3390/app9122394 <https://doi.org/10.3390/app9122394>

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- 1 Article
- <sup>2</sup> High temperature (up to 950 °C) sensor based on
- **micro taper in-line fiber Mach-Zehnder**

# 4 interferometer (Invited)

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# Featured Application: The sensor developed in the paper can be used for high sensitivity temperature detection of power system, biomedicine, aerospace, and so on.

22 Abstract: A high temperature (up to 950 °C) sensor was proposed and demonstrated based on a micro taper in-line fiber Mach-Zehnder interferometer (MZI) structure. The fiber MZI structure 23 24 comprises a single mode fiber (SMF) with two micro tapers along its longitudinal direction. 25 Annealing at 1000 °C was applied to the fiber sensor to stabilize the temperature measurement. The 26 experimental results showed that the sensitivity was 0.114 nm/°C and 0.116 nm/°C for heating and 27 cooling cycle, respectively, and, after two days the sensor still has sensitivity of 0.11 nm/°C, showing good stability of the sensor. An probe-type fiber MZI is designed by cutting the sandwiched SMF, 28 29 which has good linear temperature responses of 0.113 nm/°C over large temperature range from 89 30 °C to 950 °C. The probe-type fiber MZI temperature sensor is independent to the surrounding RI 31 and immunity to strain. The developed sensor has a wide application prospect in the fields of high 32 temperature hot gas flow and oil and gas field development.

- 33 Keywords: optical fiber; Mach-Zehnder interferometer; high-temperature sensor;
- 34

## 35 1. Introduction

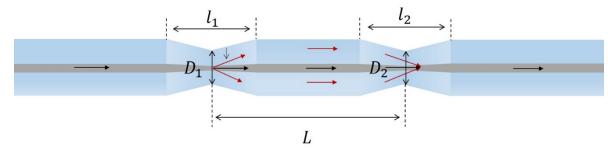
36 Optical fiber interferometer sensors have advantages of small size, compact and high sensitivity, which are widely used in petroleum, chemical industry physical and biomedicine sensing [1–5]. There 37 are various types of optical fiber interferometers, including fiber Fabry-perot interferometer, Mach-38 39 Zehnder interferometer (MZI), Michelson and Sagnac interferometer [6-15]. Among these sensor 40 structures, optical fiber MZI sensor has attracted considerable research interest and been applied for 41 various parameter measurements, such as temperature, strain, bending, liquid level, and refractive 42 index (RI) due to its flexibility in structure design [16-23]. Single mode-multimode-single mode (SMS) 43 fiber structure is a typical fiber inline MZI configuration, where the multimode fiber (MMF) section Appl. Sci.

could be multimode fiber [24], photonic crystal fiber [25], thin-core fiber [26-28], no-core fiber and
twin/multiple core fiber [29-33]. These structures are based on the fusion splicing between two single
mode fibers (SMFs) and a multimode fiber section. Once the MMF section is given, very limited
flexible design of the sensor can be provided.

48 In this paper, a novel in-line fiber MZI sensor based on micro taper structure was proposed and 49 investigated in both theoretical and experimental studies. The structure is based on a single SMF, where two micro tapers are created with a short SMF sandwiched between the two micro tapers. The first 50 51 micro taper is to excite multiple modes transmitting within both the core and cladding of the 52 sandwiched SMF and the second micro taper is to collect these multiple modes into the output SMF. 53 Since the micro taper can be created using common commercial fusion splicer, the structure of micro 54 taper is flexible simply by controlling the arc-discharge parameters, such as arc-discharged time, power, 55 and stepped length, resulting in different coupling coefficients from input SMF to sandwiched SMF, 56 and thus a flexible design of the sensor structure. To demonstrate the application of the micro taper 57 design, a high-temperature measurement (up to 950 °C) was studied experimentally. In addition, to 58 demonstrate the practical application of the sensor, a probe-typed fiber MZI by cutting the sandwiched 59 SMF was studied for high temperature measurement.

#### 60 2. Theoretical Analysis and Simulations

- 61 *2.1 Theoretical Analysis*
- 62 A schematic diagram of the micro taper in-line fiber MZI is shown in Fig.1.



63 64

65 In Fig. 1, L is the length of the sandwiched SMF between two taper waists of the sensor,  $l_1$  and  $l_2$ 66 are the length of micro taper, while  $D_1$  and  $D_2$  are the waist diameter of micro taper. Light is injected 67 from broadband source into the input SMF transmitted in the core of SMF. In the taper section, since 68 the taper is not adiabatic, the light in the core of SMF will excite cladding modes and these modes 69 together with core mode will transmit independently within both core and cladding of the sandwiched 70 SMF between the two tapers ( $D_1$  and  $D_2$ ). Since these modes have different propagation constant, when 71 they recouple into the output SMF at the second taper  $D_2$ , interference between these modes will take 72 place, resulting in power variations. The intensity of the output of the proposed MZI owing to the interference between the core mode and ith order cladding mode is: 73

74  $I_T = I_1 + I_2 + 2\sqrt{I_1 I_2} \cos(\Delta \varphi)$ (1)

75 where  $I_1$  and  $I_2$  are the light intensities of the core and i<sup>th</sup> cladding modes, and  $\Delta \varphi$  is the phase 76 difference between them. Assuming *L* is the length of the sandwiched SMF between two taper waists 77 and  $\lambda$  is the input wavelength, the phase  $\Delta \varphi$  can be expressed as below:

78 
$$\Delta \varphi = 2\pi \frac{(n_{co} - n_{cl})L}{\lambda}$$
(2)

Figure 1. Schematic diagram of the micro taper in-line Fiber MZI.

where  $n_{co}$  and  $n_d$  are the effective refractive indexes of the core and cladding, respectively. The free spectral range (FSR) of the fabricated MZI can be expressed as:

$$FSR = \frac{\lambda^2}{(n_{co} - n_{cl})L}$$
(3)

The FSR is inversely proportional to the interaction length, and the wavelength with minimum outputlight intensity are located at:

84 
$$\lambda_{dip} = \frac{2}{2m+1} (n_{co} - n_{cl})L$$
 (4)

Where m=0, 1, 2... When the surrounding temperature of the fiber changes, the sensitivity of the sensorcan be expressed as:

$$\frac{d\lambda}{dT} \approx \frac{\left[\frac{\lambda}{\Delta n_{eff}^{m}} \left(\frac{\partial \Delta n_{eff}^{m}}{\partial n_{co}} \frac{dn_{co}}{dT} + \frac{\partial \Delta n_{eff}^{m}}{\partial n_{cl}} \frac{dn_{cl}}{dT}\right) + \frac{\lambda dL}{LdT}\right]}{1 - \frac{\lambda}{\Delta n_{eff}^{m}} \frac{\partial \Delta n_{eff}^{m}}{\lambda}}$$
(5)

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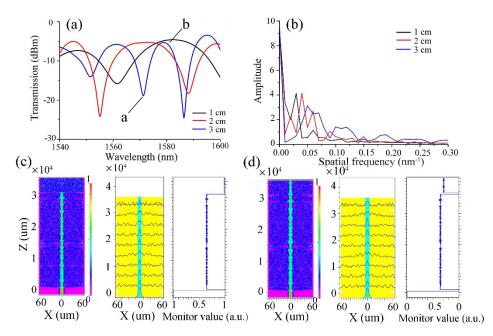
88 where  $\Delta n_{eff}^m$  is the effective refractive index (RI) difference between the core and m<sup>th</sup> cladding mode.

#### 89 2.2 Simulations

90 Based on the above theoretical analysis, the fiber-optic sensor was numerically simulated using 91 the beam propagation method (BPM). The simulate conditions are based on a 2D model with mesh size 92 in the X and Z directions of 0.1  $\mu$ m and 1  $\mu$ m respectively, and the boundary condition adopted a 93 perfectly matched layer (PML) condition in the model. SMF core and cladding diameters are 8.2 µm 94 and 125  $\mu$ m, and the corresponding RIs are 1.4682 and 1.4628 respectively. The length ( $l_1=l_2$ ) and taper 95 diameter  $(D_1=D_2)$  of micro taper fiber were set as 500 µm and 80 µm respectively. The simulated 96 transmission spectra have length L=1, 2, and 3 cm and a wavelength range of 1540 nm to 1600 nm as 97 shown in Fig. 2(a), and the corresponding spatial spectrogram calculated with Fast Fourier Transform 98 (FFT) were shown in Fig. 2(b). Figures 2(c) and (d) show distributions of the optical field propagating 99 along the MZI and the corresponding normalized optical intensity change at dip A and peak B, 100 respectively. The spatial spectrum  $\varepsilon$  relationship is [34]:

$$\mathcal{E} = \Delta m_{eff} L / \lambda^2, \qquad \Delta m_{eff} = \Delta n_{eff} - \lambda_0 \frac{\partial}{\partial \lambda} \Delta n_{eff}$$
(6)

102 Where  $\Delta n_{eff}$  is the effective RI difference due to the inter-mode dispersion, and  $\Delta m_{eff}$  indicate the 103 effective RI difference caused by inter-mode dispersion. When the center wavelength  $\lambda$  is a constant, 104 the spatial spectrum is proportional to the effective RI difference  $\Delta m_{eff}$  and the interference length. As 105 can be seen from Fig. 2(b), for all the three L, there are several peaks in the MZI spatial spectrum, 106 indicating that there are multiple modes participating and interfering each other. A main peak (exclude 107 the frequency 0) whose amplitude is much larger than other small peaks can be observed, and the 108 spatial frequency increases as L increases. Since the high-order cladding mode corresponds to a higher 109 spatial frequency, the low-order cladding mode dominates the inter-mode interference as shown in Fig. 110 2(b). Therefore, it is reasonable to assume that the MZI is mainly caused by interference between excited 111 low-order cladding mode and core mode. 112



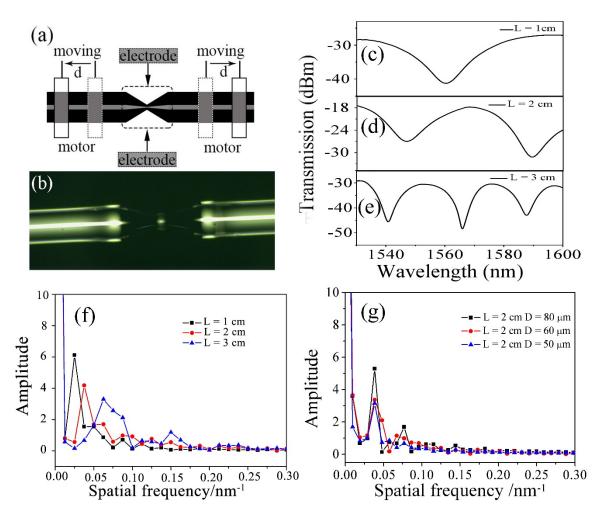
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114Figure 2. (a) The simulated spectral response of fiber MZI with arm length L of 1, 2, and 3 cm115respectively; (b) The corresponding spatial frequency; (c) and (d) The distributions of optical field116and normalized optical intensity propagating along MZI with arm length of 3 cm at dip *a* 1571.2 nm117and peak *b* 1578.8 nm.

#### **3. Experiments and Results**

#### 119 3.1 MZI sensor

120 Manually operation of arc-discharge of the fusion splicer (Fujikura 80c) has been adopted in this 121 study to fabricate two micro tapers in a single SMF (G652D) as show in Fig. 3(a). Firstly, the jacket at 122 the middle of the SMF was removed, and both ends of the optical fiber were fixed on the fusion splicer 123 by two fiber clamps, where multiple arc-discharges were applied to the bare fiber and the fiber was 124 pulled during the discharge. The size of micro taper fiber (taper transition length and taper waist 125 diameter) can be controlled with precise control of arc-discharged time, power and pulling step 126 length. In our experiments, the arc-discharged time and power are set to 200 ms and 50 bit 127 respectively, and the step length was set to 500 µm. Figure 3(b) shows a microphotograph of the micro 128 taper fiber made by the above method and parameters. An optical fiber MZI sensor can be fabricated 129 by cascade two micro taper fibers as shown in Fig. 1. Figure 3(c), (d) and (e) show the transmission 130 spectra of the fabricated fiber MZI with length L of 1, 2 and 3 cm respectively, at fixed temperature 131 of 30 °C. One can see that as the L increases, the intensity of interference dips increases. The 132 corresponding Fourier space spectrum was obtained by fast Fourier transform (FFT) of transmission 133 spectrum. The spatial spectrogram with length L of 1, 2, and 3 cm are shown in Fig. 3(e). There is also 134 only a main peak in Fourier spectrum. The spatial frequency of main peaks with L=1, 2, and 3 cm 135 agrees well with that of the simulation results. We also consider the influence of waist diameter D on 136 the extinction ratio of the structure. Figure 3(f) shows the measured Fourier space spectra with waist 137 diameter of D = 50, 60, and 80 µm respectively. According to the experimental results, with the same length L, the maximum amplitude of main peak is observed for the structure with  $D = 80 \mu m$ , where 138 139 the extinction ratio of over 10 dB with  $D = 80 \,\mu\text{m}$  were observed in Figs. 3(c-e).



140

Figure 3. (a) Schematic diagram of micro taper structure fabrication process; (b) the photo under
microscope; (c), (d) and (e) the spectral responses with sandwiched SMF length of 1, 2 and 3 cm
respectively; (f) the FFT spatial frequency spectra with arm length of 1, 2, and 3 cm respectively. (g)
The FFT spatial frequency spectra with waist diameter of 50, 60, and 80 µm, respectively.

Figure 4 shows the experimental setup for temperature, strain and RI measurements. The transmission spectra of the sensors were measured by usinging an SC-5-FC broadband light source (BBS) as an optical source, and an optical spectrum analyzer (OSA, AQ6370) as a demodulation equipment. In addition, the MZI sensor with sandwiched SMF length of about L=3 cm was selected for testing. Since the furnace used as heat source in our experimental setup has a heat channel with heating length up to 20 cm, it is believed that the furnace can provide a uniform temperature distribution for our sensor with sensor length of circa 3 cm.

152 The temperature response of the sensor was investigated without annealing firstly. As can be seen 153 from Fig. 5(a), as the temperature increased from 100 °C to 950 °C, the spectral dip wavelength shifted 154 to longer wavelength and vice versa. However, the wavelength shift during cooling does not match 155 with that of increasing temperature. This result indicates that the sensor isn't stable within the 156 temperature measurement range. Therefore, an annealing process on the sensor was applied to the 157 sensor at high temperature of 1000 °C for three hours, as shown in Figure 5(b). And then, we use the 158 annealed sensor to study the high-temperature sensing characteristics, from 80 °C to 950 °C, as shown 159 in Fig. 5(c). The results show that the wavelength shifts for both heating and cooling overlapped well 160 with sensitivity of 0.114 nm/°C and 0.116 nm/°C respectively, indicating that the sensor has stable 161 temperature measurements. After two days, we also performed a repetitive experiment and the result 162 overlapped well with the previous results with sensitivity of 0.111 nm/°C, which is consistent with 163 the previous one, as show in Fig. 5(c).

(b)

180

- 164 Since the most common cross-sensitivity is caused by surrounding RI and strain applied to the sensor, 165 the influence of RI and strain on the sensor's spectral response was investigated as shown in Fig. 6. Figure 6(a) show that the sensor has RI sensitivity of 5.128 nm/RIU and Fig. 6(b) show that the sensor 166 167 has strain sensitivity of 1.33 pm/µε. Since the surrounding air of the sensor has very low RI variation 168 to temperature, for example, at normal pressure, the refractive index of air are 1.00027 and 1.00029 at 169 0 °C and 20 °C respectively, which has only  $2 \times 10^{-5}$  RI variations, the RI induced wavelength shift is 170 negligible. Hence the air induced RI variations has limited influence on the measurement accuracy 171 for the temperature sensor. However the temperature is sensitive to strain, which is a disadvantage
- 172 of this type of sensor.

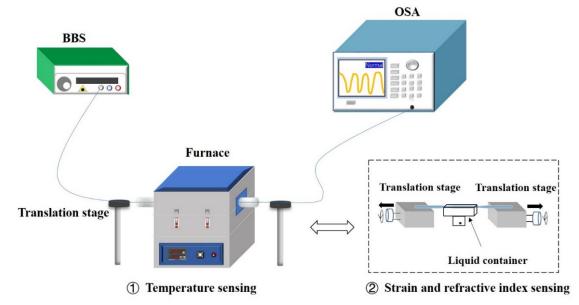
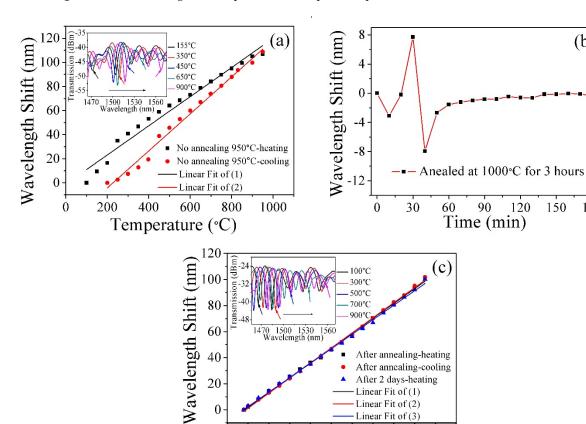




Figure 4. Schematic diagram of experimental setup for temperature, strain and RI measurements.



200

0

400

600

Temperature (°C)

800

1000

Figure 5. Wavelength shift versus temperature for MZI based sensor (L = 3 cm): (a) Wavelength shift
of a sensor with no -annealing process. (b) The corresponding stability test in 3 hours before and after
annealing process at 1000 °C. (c) The same dip was chosen for annealing processes and in the inset an
example of the temperature shifting of the sensor after annealing at 1000 °C for 3 hours.

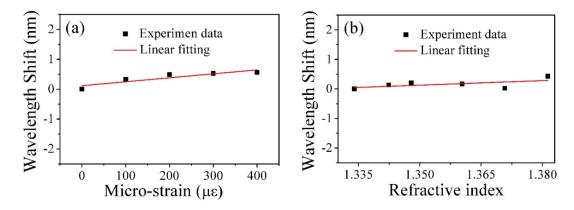
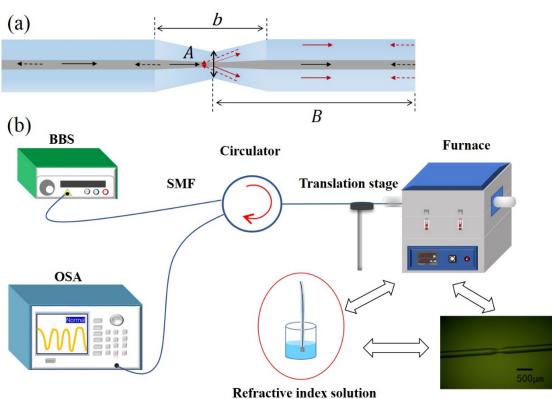


Figure 6. (a) Relationship between Micro-stain and wavelength. (b) Relationship between RI and wavelength

#### 184 *3.2 MZI Probe*

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185 In real application, it is more convenient to use a probe-type sensor structure. The sensor 186 fabrication method is similar as that illustrated in Section 3.1. The only difference is that only one micro 187 taper is fabricated and the sandwiched SMF was cut smoothly using a cleaver. A schematic diagram of 188 MZI probe based on the micro-fiber taper is shown in Fig. 7(a). The Experimental setup of 189 temperature measurement with the probe-type MZI is shown in Figure. 7(b). The incident light travels 190 through the circulator to the sensor probe. At the end of the fiber sensor probe, due to the Fresnel 191 reflection, the reflected light passes through the circulator again, and detected by an OSA. The 192 advantage of the probe structure is that it can be inserted directly into the object or solution to be tested 193 without being affected by strain. Four MZI probes were made and the effects of the interference arms 194 on the performance of the sensor probe were investigated using different arm lengths B = 1, 1.5, 2 and 195 3 cm respectively. The diameter and micro taper length of the sensor were  $A = 80 \ \mu m$ ,  $b = 500 \ \mu m$ 196 respectively. The corresponding transmission spectra are shown in Figs. 8(a) - (d). respectively. As the 197 length of *B* increases, the fringe-free spectral range (FSR) decreases, and the extinction ratio of all probes 198 also exceeds 10 dB.



Ken active much solution

**Figure 7.** (a) Schematic diagram of the probe-type MZI. (b) Experimental setup. Inset figure is the optical microscope image of the probe-type MZI. BBS: Broadband Source, OSA: Optical Spectrum Analyzer, SMF: Single Mode Fiber.

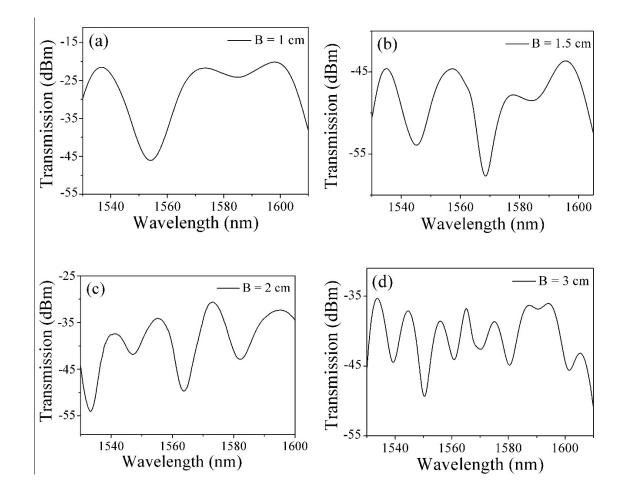
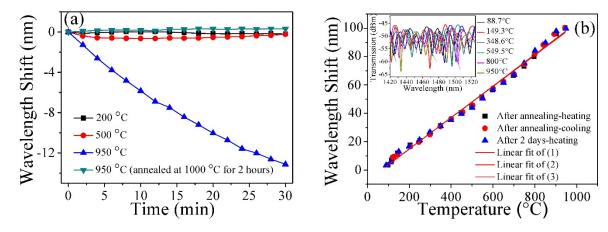


Figure 8. The transmission spectra of the proposed probe-type MZI with different *B* (interference
length), (a), (b), (c), and (d) are 1, 1.5, 2, and 3 cm, respectively.

206 A probe-type MZI with lengths B of 2 cm were selected to test temperature response. As can be 207 seen from Fig. 9(a), we measured the stability of the sensor at a constant temperature ( $200 \circ C$ ,  $500 \circ C$ 208 and 950 ° C), each for 30 mins. As shown in Fig. 9(a), the sensor has a wavelength shift of less than 0.3 209 nm at 200 ° C and 500 ° C, indicating that good stability of the sensor. However, when the temperature 210 increases to 950 ° C, the wavelength has a significant blue shift of 13.13 nm within 30 mins, indicating 211 that sensor isn't suitable for high temperature measurements. This problem can be addressed by an 212 annealing process [29]. In our experiments, an annealing process at 950 ° C for 2 hours has been 213 conducted. It can be clearly seen that the wavelength has very small shifts (< 0.3 nm) at 950 ° C after 214 annealing. The temperature response of the sensor after annealing is shown in Fig. 9(b) with 215 temperature varies from 89 °C to 950 °C. There are three measured curves in Fig. 9(b), namely the 216 heating and cooling circle, and the heating circle after 2 days. Linear fitting to the three measurement 217 results have been conducted and the results show that temperature sensitivity of the three linear fit are 218 the same of 0.11 nm / °C, which is ten times as that of traditional FBG and single-mode multimode single-mode fiber [35]. The correlation coefficient  $R^2$  of the linear fitting curves are 0.99433, 0.99388 219 220 and 0.99211 respectively, showing good linearity of the results. 221



222

Figure 9. (a) Probe-type MZI based sensor (B = 2 cm) stability test (b) wavelength shift of the sensor
 during heating and cooling of the after annealing and inset figure is the temperature response of
 interference spectra from 89 °C to 950 °C.

#### 226 4. Conclusions

227 In this work, a novel micro taper in-line fiber MZI structure for temperature measurement was 228 proposed and studied in both simulation and experiments. The sensor has a maximum temperature 229 sensitivity of 0.116 nm/°C with good stability at 950 °C after proper annealing process. The influence of 230 surrounding RI and strain applied to the sensor was investigated and the results demonstrated that the 231 temperature sensitivity is independent to surrounding RI but sensitive to strain. An improved probe-232 type fiber MZI was proposed and experimentally investigated to overcome the strain sensitivity. The 233 MZI probe after annealing process has temperature sensitivity as high as 0.11 nm/°C over a wide 234 temperature range from 89 °C ~950 °C with good stability. The proposed in-line fiber MZI temperature 235 sensor has the advantages of good reproducibility, simple manufacture, compact structure and wide 236 measurement range.

237

Funding: This research was funded by the National Natural Science Foundations of China (Grant
No.11864025 and 61665007), Jiangxi provincial department of education science and technology project
(Grant No. GJJ170609), and Nanchang Hangkong University graduate student innovation special fund
project (Grant No. YC2018-S378), State Key Laboratory of Advanced Optical Communication Systems
and Networks, Shanghai Jiao Tong University, China.

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