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A facile surface preservation strategy on the lithium anode for high performance Li-O₂ batteries

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11 KEYWORDS: lithium metal anode; SiO₂/GO coating; protective layer; cyclic stability; Li-O₂
12 battery

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ABSTRACT: Protecting anode from deterioration during charging/discharging has been seen as one of the key strategies in achieving high performance lithium (Li) -O₂ batteries and other Li metal batteries with high energy density. Here, we describe a facile approach to prevent the Li anode from dendritic growth and chemical corrosion by constructing a SiO₂/GO hybrid thin layer

18 on the surface. The uniform porous preserving layer can conduct Li ion in the stripping/plating 19 process, lead to an effective alleviation of the dendritic growth of Li by guiding the ion flux through 20 the microstructure. Such preservation technique significantly enhance the cell performance by enabling the Li-O₂ cell to cycle up to 348 times at 1 A \cdot g⁻¹ with a capacity of 1000 mAh \cdot g⁻¹, which 21 is several folds of the cycles of cells with the pristine Li (58 cycles), Li-GO (166 cycles) and Li-22 23 SiO₂ (187 cycles). Moreover, the rate performance is improved and the ultimate capacity of cell is dramatically increased from 5400 mAh·g⁻¹ to 25200 mAh·g⁻¹. This facile technology is robust and 24 25 conformal to the Li surface, which demonstrate the potential applications in developing future high 26 performance and long lifespan Li batteries in a cost-effective fashion.

27

28 INTRODUCTION

29 Aprotic Li-O₂ battery (LOB), a promising energy solution for automotive and aerospace engineering, has superior advantage in its high energy densities, when comparing with 30 conventional lithium/sodium ion batteries (LIB/SIB).¹⁻⁸ Currently, the technical development in 31 32 aprotic LOBs encounters substantial bottlenecks, including high charge overpotential, passivation 33 of cathode, poor stability of electrolyte and Li metal anode.⁷ Significant efforts have been devoted 34 to enhancing the cyclic stability and other electrochemical performance of LOBs, by promoting the sluggish kinetics of oxygen reduction and evolution with using proper catalysts,⁹ porous 35 cathode materials,^{10,11} redox mediators^{12,13} and stable electrolytes.^{14,15} 36

37 Despite its natural scarcity, problematic stability and safety issues,^{7,8} Li metal is regarded as 38 an ideal anode material for rechargeable batteries due to its super high specific energy (3860 39 mAh·g⁻¹), low redox potential (-3.04 V vs. SHE) and low mass density (0.534 g·cm⁻³). However, Li anodes encounter challenges such as the uneven stripping/plating of Li ions and the low Coulombic efficiency during charge/discharge cycles, which usually lead to the dendritic growth of Li to cause the short-circuiting and "dead Li" (i.e. broken Li branches) after repeated charging/discharging operation,¹⁶ and a rapid degradation in battery cell.¹⁷ The decaying in LOBs can be accelerated as the crossover oxidative species like soluble oxygen reduction intermediates (O_{2⁻</sup> and LiO_{2⁻} etc.), H₂O and other reaction by-products can accelerate Li loss, thus result in a declined cycle life.^{18,19}}

47 One of the recent research interests has been focused on the protection of Li anodes, since the 48 dendritic deposition of lithium metal and dendrites formation along solid-electrolyte interphase (SEI) are unveiled as key issues to determine the safety and performance for LOBs.^{20,21} The 49 50 exercised strategies for protecting Li anodes can be categorized in the following: (1) Using 51 poreless, air-impermeable and waterproof separators, to prevent O₂, H₂O and other soluble species in the electrolyte from corroding anode in LOBs,²²⁻²⁴ however, the increase of the overall cell 52 53 resistance remains under concerning. (2) Optimizing the structure of SEI layers, where the 54 lifespans of LOBs can be extended after treating the SEI layers on Li anodes chemically (including reaction with CO₂, N₂ and F, B containing electrolyte additives)²⁵⁻²⁹ or electrochemically.³⁰⁻³² (3) 55 56 Generating ionically conductive, mechanically robust and chemically stable protection layer(s) on 57 Li anodes, and the commonly used materials for such protection layer includes, polymers (e.g. poly(1,4-dioxacyclohexane)³³), inorganic compounds (e.g. germanium or indium compounds³⁴⁻³⁶) 58 and composites (e.g. Al₂O₃/PVDF-HFP or AlF₃/PEDOT -PEG^{37,38}) layers. 59

Graphene and graphene oxide (GO) have been reported previously to offer protection to Li
anode by preventing from dendrite growth, corrosion and strengthening the stability of SEI^[39-43].
Li and co-workers³⁹ employed reduced graphene oxide to prevent Li dendrite through directing its

growth. Zhao et. al.⁴¹ encapsulated Li alloy with graphene sheets to prevent the corrosion from 63 air. Gao and co-workers⁴³designed a molecular-level SEI by embedding polymeric Li salts with 64 65 graphene oxide, which provides the SEI to have excellent stability and good passivation properties, 66 that prevents the Li metal anode from dendrite growth and corrosion. Silica were also used for Li 67 metal protection. Tang and co-workers applied silica nanoparticles in cross-linked polymer solidstate electrolyte for increasing Li ionic conductivity by forming 3D ion transport paths.⁴⁴ Lin et. 68 69 al. employed silica aerogel as backbone for a polymer electrolyte for high Li ionic conductivity and modulus.⁴⁵ Herein, we propose an anode protection strategy by developing an artificial 70 71 composite layer consisting of silica nanoparticles (SiO₂ NPs) and GO nanosheets, on the Li metal 72 (Li-SiO₂/GO) anode. GO sheets serve as a barrier to hinder the growth of Li dendrites and the 73 deteriorative attack of oxygen species from electrolyte, the SiO₂ NPs embedded in GO sheets can 74 provide effective interlayer and pores for Li ions diffusion by preventing the aggregation of GO 75 sheets. With this designed protection, the LOBs with Li-SiO₂/GO anodes exhibit superior cyclic 76 stability, rate performance and ultimate capacity. We hope this anode protection technology will 77 find future applications in next generation sustainable energy solutions.

78 EXPERIMENTAL

Chemicals and materials. Potassium permanganate (KMnO₄, AR, Sinopharm Group), hydrogen peroxide (H₂O₂, 30%, Sinopharm Group), sodium nitrate (NaNO₃, AR, Sinopharm Group) and sulphuric acid (H₂SO₄, AR, Sinopharm Group) were used as received. Dimethyl ether (DME, anhydrous, 99.5%, Sigma-Aldrich), dimethyl sulfoxide (DMSO, 99.9%, Sigma-Aldrich) and propylene carbonate (PC, 99.7%, Sigma-Aldrich) were dehydrated with activated 4 Å molecular sieves. Lithium perchlorate (LiClO₄, 99.99%, Sigma-Aldrich) was dried at 160 °C in a vacuum oven for 12 h prior to use. Graphite (Sigma-Aldrich), silica NPs (SiO₂, d = 30 ± 5 nm, Shanghai Keyan Co. Ltd.), multi-walled carbon nanotubes (MWNTs, $d = 10 \pm 1$ nm, $L = 3 \sim 6$ µm, Sigma-Aldrich), carbon paper (TORAY, TGPH-060) and borosilicate glass fibre (GF, d = 18mm, Whatman) were used as received. Li plates (d = 14 mm, Shenzhen Poxon Machinery Technology Co. Ltd.) were saturated in a PC solution containing 0.1 M LiClO4 for at least 48 h before use.

91 Preparation of SiO₂/GO hybrids. GO was prepared using the modified Hummer's method.⁴⁶ Typically, 1.0 g graphite, 0.5 g NaNO₃ and 23 mL H₂SO₄ were mixed and stirred at 0 92 93 °C for 1 h. The mixture was heated to 35 °C, then 3.0 g KMnO4 was slowly added. After stirring 94 for 7 h, another 3.0 g KMnO₄ was added and the mixture was kept stirring for next 12 h. The 95 mixture was cooled down in air and then 400 mL of ice water were added under stirring. After 96 that, 30 wt% H₂O₂ was gradually added till the color of mixture turned from brown to yellow. The 97 solid content in mixture was separated by centrifugation at 8000 rpm, then repeatedly rinsed with 98 deionized water till the supernate became neutral. The precipitate was mixed with deionized water 99 under ultrasonic stirring for 3 h, and then allowed to stand for 12 h. The GO suspension in the 100 container was removed and used for further synthesis.

101 SiO₂ NPs were ultrasonically dispersed in the GO suspension with a mass ratio of 1:2 (SiO₂/ 102 GO) for 2 h, the precipitate was then removed and dried in a vacuum oven at 80 °C for 24 h. The 103 dried SiO₂/GO powder was carefully ground, then dispersed into DME (5 mg·mL⁻¹), where 0.5 mg 104 of the slurry were repeatedly pipetted until formed a full coverage on the surface of a Li plate 105 followed by smoothen process using a fine blade, after drying in glove box at ambient temperature 106 the Li anode with protective SiO₂/GO coating is obtained and marked as Li-SiO₂/GO. The Li 107 anodes coated with either mono-component SiO₂ or GO were prepared as reference samples, 108 denoted as Li-SiO₂ and Li-GO, respectively. Various GO/SiO₂ mass ratios (0.5:1, 1:1, 2:1, 3:1 and 4:1) and loading amounts (0.1 mg, 0.2 mg, 0.6 mg, 0.8 mg, 1.0 mg and 1.5 mg) were prepared andconstructed as preserving layer on the Li anodes.

Battery assembly and testing. The batteries were assembled and built into CR2032 coin cells in an Ar-filled glove box (MIKROUNA, Super, $H_2O < 0.1$ ppm, $O_2 < 0.1$ ppm), and tested by a standard battery testing system (CT-3008W-5V10mA, Neware Technology Limited).

114 LOBs were assembled using both Li anodes and MWNTs cathodes in the CR2032 cells. The 115 Li anodes employed in the experiment included the pristine Li plate and Li plates with different 116 surface coatings. The cathode was prepared by spraying the MWNTs in ethanol slurry onto carbon paper at a loading of 0.1 mg \cdot cm⁻². To assemble the coin cells, an anode cap was firstly placed, and 117 118 then a Li anode (either the pristine Li or one of the anodes with surface coatings), a glassy fiber 119 separator (wetted with 100 µL of 1 M LiClO4/DMSO electrolyte), a MWNTs cathode and a 120 cathode cap were placed in a sequence and encapsulated. The cells were mounted separately in the 121 holders of a home-made battery testing box filled with pure oxygen at 1.0 atm. The cyclic performance test was settled at a rate of 1 A·g⁻¹ with a fixed capacity of 1000 mAh·g⁻¹ within the 122 123 potential window from 2.0 V to 4.5 V. The rate performance was measured at the current densities of 2 A·g⁻¹, 3 A·g⁻¹ and 5 A·g⁻¹ and the capacity of 1000 mAh·g⁻¹ within the potential cut-offs from 124 125 2.0 V to 4.5 V.

To characterize the ionic conductivity of coating layers, Li|SS (stainless steel) cells were assembled and subjected to the analysis of electrochemical impedance spectroscopy (EIS) in O_2 free atmosphere. An anode cap, a Li plate, a glass fiber separator (wetted with 100 µL of 1 M LiClO₄/DMSO electrolyte), a SS plate and CR2032 cathode cap (without holes) were placed in sequence and encapsulated to construct a Li|SS cell. To investigate the stability of Li anodes with surface coatings in O₂ atmosphere, Li|SS foam cell were assembled, the approach is similar to Li|SS cell except using CR2032 cathode cap with holes and SS foam to replace SS plate. The Li|SS cells and Li|SS foam cells with Li-GO, Li-SiO₂ and Li-SiO₂/GO were also assembled with the same approaches. To characterize the resistance of LOBs (the assembly approach was the same to LOBs) after cycling for certain times, the EIS test was carried out after introducing N₂ to remove any O₂. All EIS plots were recorded on an electrochemical station (CHI 660, CH Instrument) at an open circuit potential with 5 mV of ac amplitude in the frequency range from 0.1 Hz to 1 MHz.

138 Symmetric Li|Li cells were assembled to investigate the protection of Li anodes with 139 different coatings in O_2 free atmosphere, where an anode cap, a Li plate, a glassy fiber separator 140 (wetted with 100 µL of 1 M LiClO₄/DMSO electrolyte), another Li plate and CR2032 cathode cap 141 (without holes) were placed in sequence and encapsulated. In the case with O₂, Li plate with a hole 142 (diameter of 2 mm) and CR2032 cathode cap with holes were used, the assembly approach was 143 similar to those without O₂. The same approach was also applied to the assembling of symmetric 144 Li|Li cells with Li-GO, Li-SiO₂ and Li-SiO₂/GO. The charge/discharge cycling was carried out with a time period fixed for 1 h at the current density of $0.1 \text{ mA} \cdot \text{cm}^{-2}$. 145

146 **Characterization.** A field-emission scanning electron microscope (SEM, S-4800, Hitach) 147 and a transmitting electron microscope (TEM, JEM-2100F) operating at 200 kV were employed 148 to observe the morphology of the anodes and cathodes. X-Ray diffractometer (XRD, X'Pert PRO) 149 equipped with Cu K α radiation ($\lambda = 1.54059$ Å) was used to characterize the composition and 150 structure of the coating and battery discharge products. X-ray photoelectron spectroscope (XPS, 151 ESCALAB 250Xi, Thermo Fisher Scientific) using Al ka radiation was applied to characterize the 152 component of coating layers and SEI. The electron conductivity of coating layers was measured by High Temperature Resistivity Measurement System (RMS-1000I, Partulab Techologies). The 153

specific surface area was measured by the N₂ adsorption/desorption isotherms by a surface area
analyser (NOVA1200e, Quantachrome).

156 **RESULTS AND DISCUSSION**

Structural identification of protective coating layers. Figure 1a reveals a laminated structure for the SiO₂/GO coating layer, i.e. the SiO₂ NPs are intercalated among GO sheets, which effectively inhibit the overlap aggregation of GO sheets and thereby produces nano/mesopores for Li ions transportation. Two more cells with SiO₂ and GO individually coated anode were also assembled and tested for comparison purpose, this is to reveal the combined functions from both SiO₂ and GO when they are laminated together. Morphology information of SiO₂ and GO are shown in TEM images in Figure. S1.

164 The N_2 adsorption/desorption isotherms in Figure 1b demonstrate that both the SiO₂ and 165 SiO₂/GO exhibit the type IV nitrogen sorption isotherms, while the GO presents a low porosity 166 likely due to the re-stack of the GO sheets. Based on the Brunauer-Emmett-Teller (BET) method, the SiO₂/GO coating delivers a specific surface area of up to $172.70 \text{ m}^2 \cdot \text{g}^{-1}$, and the SiO₂ presents 167 a specific surface area of 146.25 m²·g⁻¹, and the specific surface area of the GO is just 2.35 m²·g⁻¹. 168 The estimated average pore sizes are 5.42 nm with a pore volume of 1.9×10^{-3} cm³· g⁻¹ for the GO 169 layer, 6.27 nm with a pore volume of 176×10^{-3} cm³·g⁻¹ for the SiO₂ layer, 3.8 nm with the largest 170 pore volume of 312×10^{-3} cm³·g⁻¹ for the SiO₂/GO layer (Figure 1c). The largest specific surface 171 172 area of SiO₂/GO coating layer is caused by the intercalation of SiO₂ NPs among the GO sheets, 173 this is in good agreement with the TEM result in Figure 1a.

174 Next, we use XRD to assess the structure for coating layers (Figure 1d). For the GO coating,
175 an intense and sharp peak is observed at 8.8° corresponding to the interplanar spacing of ca. 1.0

nm of GO sheets,⁴⁷ and a bump at 25.2° resulted from the oxidized graphite. The XRD pattern of 176 177 SiO₂ presents a broad peak at 22.6°, assigned to the (101) facet of silica (JCPDF 82-1235). As for 178 the SiO₂/GO hybrid, a broad peak appears at about 22.6°, possibly attributed to the overlapped 179 oxidized graphite and SiO₂ peaks, and the diffraction of the GO at ca. 8.8° is vanished which 180 indicates no GO aggregation, in line with the TEM and BET analyses. We further applied XPS to 181 characterize the component of coating layers (Figure S2). C_{1s} spectrum of GO layer shows four 182 peaks at 284.8, 286.5, 287.6, 290.0 eV, which are assigned to C-C, C-OH, C=O and COOH groups, 183 respectively (Figure S2a). Si_{2p} of SiO₂ layer spectrum displays one peak at 103.5 eV attributed to 184 SiO₂ (Figure S2b). C_{1s} and Si_{2p} spectra of SiO₂/GO layer exhibit similar peaks, indicating that 185 SiO₂/GO composite contains the same component of GO and SiO₂ (Figures S2c, S2d).

186 SEM images of pure Li and Li-GO, Li-SiO₂, and Li-SiO₂/GO are showed in Figures 1e-1. For 187 the pristine Li (Figure 1e), a flat and smooth surface is observed with a uniform SEI layer on top 188 of Li plate (Figure 1i). However, the SEM image for Li-GO (Figures 1f, 1j) and Li-SiO₂ (Figures 1g, 1k) indicate rough/loosen surfaces.⁴⁸ For the Li surface coated with SiO₂/GO hybrid (Figures 189 190 1h, 1l), a smooth and compact surface layer was obtained, where the composite layer shows a good 191 adhesion to the Li surface. The protective layers are marked with orange color dash line, which 192 are 20.5, 24.5 and 22.0 µm for GO, SiO₂ and SiO₂/GO layer, respectively. The electron conductivity of GO, SiO₂ and SiO₂/GO composites is tested, which is 3.03×10⁻⁶, 5.59×10⁻⁸, and 193 1.09×10^{-6} S·cm⁻¹(Figure 2d), respectively. 194

195 Standard EIS analysis is used to compare the effect of GO, SiO₂ and SiO₂/GO coatings on 196 the Li ion conductivity in the Li|SS cells versus the pristine Li, which is listed in Figure 2c.³⁸ The 197 Nyquist plot (Figures 2a, 2b) shows the intercept of real axis represents the impedance of Li⁺ 198 diffusion (R_s) from the electrolyte solution to lithium metal.^{38,49} In the cell with pristine Li, the

199 impedance is 3.01 Ω . Comparing to pristine Li, the impedance of the GO layer is 34.39 Ω , representing with the Li ion conductivity of 1.56×10^{-4} S·cm⁻¹, this increase is due to the re-stack 200 of GO sheets that hinders the Li ion diffusion in line with previous reports.^{47,50} Li-SiO₂ delivers a 201 202 comparable impedance of 3.21 Ω to pristine Li, indicates the high Li ion conductance (2.01×10⁻³) 203 S·cm⁻¹) within SiO₂ NPs layer. The Li-SiO₂/GO exhibits a resistance of 7.76 Ω (conductivity of 204 7.46×10^{-4} S·cm⁻¹) for Li ion diffusion, which resulted from the high porosity of the hybrid layer 205 that enhances the Li ion conductivity. EIS analysis with Li|SS foam cells are conducted in O₂ 206 atmosphere to compare the stability of Li anodes with or without coating layers. As displayed in 207 Figure S3a, the Nyquist plot of pristine Li anode are varied after staying in O₂ atmosphere for 2 208 days, in contrast, with GO, SiO₂ and SiO₂/GO coatings (Figures S3b-d), the Nyquist plots for cells 209 after two days showed slight variation, implying the stability of Li anodes with coating layers have 210 been improved.

211 Li Symmetric Battery performance evaluation. We carried out the galvanostatic 212 charge/discharge measurement to investigate the impact of surface coatings on the long-term 213 cyclic stability of Li symmetric battery with GO, SiO₂ and SiO₂/GO coating layers. The Li|Li cells 214 with a pair of pristine Li electrodes can only cycle for 134 h (Figure 3a), whereas a longer lifetime 215 of 412 h is achieved after coating GO on the Li electrodes. The cycle life is further extended to 216 1200 h and 1000 h, respectively, by using the SiO2 and SiO2/GO coatings. The cycling 217 performance of Li, Li-GO, Li-SiO₂ and Li-SiO₂/GO electrodes are compared within the initial 4 h 218 (Figure 3b), where the Li, Li-SiO₂ and Li-SiO₂/GO exhibit similar voltage profiles during the Li 219 stripping/plating process at the magnitudes of about 26 mV. However, the Li-GO presents a larger 220 value of 48 mV, suggesting that a higher resistance was generated by the GO coating. From 132 h 221 to 136 h (Figure 3c), the voltage oscillation of Li-GO increased to ca. 70 mV, and the values of Li-SiO₂ and Li-SiO₂/GO electrodes remain unchanged. The pristine Li presents a sharp increase of overpotential after 135 h, suggesting a battery failure.⁵¹ In Figure 3d, the voltage hysteresis of Li-GO is noticeably broadened over 600 mV between 408 h to 412 h, indicating a battery failure; however, the amplitudes of the Li-SiO₂ and Li-SiO₂/GO maintain stable below 24 mV. After 614 h (Figure 3e), the potential oscillation of Li-SiO₂/GO (88 mV) becomes larger than the Li-SiO₂ (34 mV), and keeps increasing to 475 mV till the battery fails at 1000 h. The Li-SiO₂ manifests the longest cycling life and end up at 1200 h.

229 The morphology of Li metals during cycling were characterized and showed in Figures 3f-m. 230 After 200 h operation, Li metal of Li|Li cell exhibits coarse surface topped with mossy Li (Figure 231 3f, top view SEM image), and side view SEM image shows large cracks appeared on the top layer, 232 with several cracks showed clear tendency of development to deeper layers (Figure 3j). With GO 233 coating only, from top view image (Figure 3g), cracks caused by mossy Li are still observed on 234 the surface of Li metal, however, cracks are hardly seen on the side view image (Figure 3k). Both 235 Figure 3j and 3k show fluffy profile on top layer mossy Li which looks different to pure Li metal, 236 this is the evidence that surface of these two anodes has been corroded. Those cells with SiO₂ 237 (Figures 3h and 3l) and SiO₂/GO (Figures 3i and 3m) coating layers, have no obvious mossy Li 238 and cracks can be seen after cycling for 800 h, in addition the cross-sectional image shows 239 compacted SEI. These results demonstrate that SiO₂ and SiO₂/GO coating layers can facilitate 240 stable SEI formation and regulate Li deposition.

The long-term cyclic stability of Li symmetric battery with GO, SiO₂ and SiO₂/GO coating layers were also investigated in O₂ atmosphere. As shown in Figure S4a, the Li|Li cells with pristine Li electrode can only cycle for 80 h, in contrast, the cells with GO, SiO₂ and SiO₂/GO layer can cycle up to 250, 300 and 480 h, respectively. During the first 4h (Figure S4b), Li|Li cells

245 with pristine Li, Li-SiO₂ and Li-SiO₂/GO present discharge overpotential of 8 mV, but it is 34 mV 246 for Li-GO electrode. In charge process, Li|Li cells with pristine Li, Li-GO and Li-SiO₂ shows 247 overpotential of 36 mV, and 16 mV for Li-SiO₂/GO electrode. The Li|Li cell with Li-SiO₂/GO 248 electrode also exhibits the smallest overpotential during discharge and charge process. This could 249 because SiO₂/GO layer formed the most stable SEI on Li surface. From 80 h to 84 h (Figure S4c), 250 Li|Li cell with pristine Li shows potential oscillation severely, this is an indication of the cell 251 failure. However, Li|Li cells with Li-SiO₂ and Li-SiO₂/GO electrode showed overpotential of 44 252 mV, but Li-GO electrode showed 72 mV. After 250 h (Figure S4d), the overpotential of Li|Li cells 253 with Li-GO Li-SiO₂ electrodes increased 2 V and 700 mV, respectively, but the increase is only 254 about 100 mV for the cell with Li-SiO₂/GO electrode. After 300 h (Figure S4e), the increase in 255 overpotential of Li/Li cells with Li-SiO₂ electrode is more than 2 V, but it is only 140 mV for the 256 one with Li-SiO₂/GO electrode. Although the overpotential increases during the Li 257 stripping/plating process in O₂ atmosphere, the cell with Li-SiO₂/GO electrode still maintains the 258 lowest overpotential, implying the SiO₂/GO layer improves the stability of Li anode.

259 The morphology of Li electrodes from Li|Li cells cycling in O₂ atmosphere can be found in 260 Figures S4f-m. After cycling for 80 h, Li electrode generated lots of powders on the surface (Figure 261 S4f), large cracks and a thick layer of powders from the side view can be observed (Figure S4j), 262 this white powder is the corrosion products of LiOH. Morphology of Li-GO, Li-SiO2 and Li-263 SiO₂/GO electrode after operating for 200 h are also characterized. Compared to Li electrode, Li-264 GO and Li-SiO₂ anode produced less powder on the surface (Figures S4g, h) and no obvious cracks 265 from side view (Figures S4k, l). Li-SiO₂/GO electrode shows appearance with smoother surface 266 and better evenly distributed cross-section (Figures S4i, m).

267 Li-O₂ Battery performance evaluation. The cyclic stability and rate performance of the 268 LOBs with the pristine Li, Li-GO, Li-SiO₂ and Li-SiO₂/GO anodes are assessed and compared 269 (Figure 4). The cell with pristine Li can only operate for 58 cycles (Figure 4a). The discharge potential stays at about 2.72 V before the 15th cycle, and then gradually dropped to 2.22 V at the 270 58th cycle. The charge potential keeps increasing from 4.10 V from the 1st cycle and ends up with 271 ca. 4.24 V at the 58th cycle. The charge/discharge profiles of the cell with Li-GO anode in Figure 272 273 4b, suggest a better cyclic performance for 166 times. The discharge plateau maintains at 2.79 V 274 within the initial 58 cycles, which then reduced down to 2.63 V at the 166th cycle. The charge plateau starts from 3.90 V at the 1st cycle and gradually increases to 4.42 V at 166th cycle. The 275 276 cell with Li-SiO₂ anode can cycle for 187 times as displayed in Figure 4c, during which the discharge voltage maintains at 2.76 V within 120th cycles, and finally decreases to 2.64 V at the 277 187th cycle. The charge voltage locates at 3.83 V at the 1st cycle and approaches to 4.41 V at the 278 279 end.

280 Interestingly, the Li-SiO₂/GO anode (Figure 4d) presents the best battery performance with 281 significantly extended lifespan of LOB cell to 348 times. The discharge voltage remains above 2.70 V for 230 cycles, then declines to 2.43 V at the 348th cycle. The charge voltage begins with 282 just 3.03 V at the 1st cycle, which increases to 3.68 V at the 58th cycle, 3.98 V at the 230th cycle, 283 4.12 V at the 290th cycle, and finishes as 4.30 V at the 348th cycle. The LOB with the Li-SiO₂/GO 284 anode also renders better rate performance than the others (Figures 4e-h). At rate of 2 A·g⁻¹, the 285 286 cell with the Li-SiO₂/GO anode can operate for 194 times, higher than the cells with the pristine Li (46 times), Li-GO (116 times) and Li-SiO₂ anodes (118 times). At the rate of 3 A g⁻¹, the cells 287 with the pristine Li, Li-GO and Li-SiO₂ anodes can run for 34, 53 and 59 cycles, and the cell with 288 the Li-SiO₂/GO anode can run for 83 times. At 5 A·g⁻¹, the cells with the pristine Li, Li-GO and 289

Li-SiO₂ anodes can cycle for 26, 33 and 36 times, and the cell with the Li-SiO₂/GO anode can operate for 56 times. Moreover, an ultimate capacity of the LOB with Li-SiO₂/GO anode is achieved at 25200 mAh·g⁻¹ (Figure S5), while the cells with pristine Li, Li-GO and Li-SiO₂ anodes show values as 5400 mAh·g⁻¹, 19900 mAh·g⁻¹ and 23700 mAh·g⁻¹, respectively.

294 The LOB performance can be affected by the SiO₂/GO mass ratio and loading amount. As 295 shown in Figure S6a, the cycle numbers of the Li anodes with SiO₂/GO coatings are larger than 296 those with sole GO or SiO_2 coating, the best performance appears at the SiO_2/GO mass ratio of 297 1/2. In Figure S6b, we obtain the cycle numbers of 160, 180, 348, 215, 192, 176 and 159, for the 298 Li-SiO₂/GO anodes with a SiO₂/GO weight of 0.1 mg, 0.2 mg, 0.5 mg, 0.6 mg, 0.8 mg, 1.0 mg 299 and 1.5 mg, respectively, with the SiO₂/GO mass ratio of 1/2. An optimized loading is determined 300 to be 0.5 mg of the coating materials applied on Li anode. The TEM images of SiO₂/GO with 301 different mass ratio demonstrate that SiO₂ nanoparticles severely aggregate when GO/SiO₂ ratio 302 is 0.5/1 (Figure S7a) and 1/1 (Figure S7b), and no SiO₂ nanoparticles fills in some area of GO 303 layers, multi-layer covers of GO are also observed when the GO/SiO₂ ratio is 3/1(Figure S7c) and 304 4/1(Figure S7d). The side view SEM images of the Li-SiO₂/GO with different loading amounts 305 (Figure S8) reveal a smooth and compact morphology for the SiO₂/GO layers when the loading 306 amount is less than 0.6 mg, and a rough and cracked morphology when the loading mass exceeds 307 1.0 mg, which is in agreement with the above battery testing results.

Structural examination and failure analysis for variant Li anodes after cycling. SEI evolution of Li anodes is investigated by XPS (Figure 5, Table S1). For pristine Li anode (Figure 5a, top 3 spectra), C_{1s} spectrum shows three peaks at 284.8, 286.8 and 288.8 eV, Li_{1s} spectrum exhibits a peak at 55.4 eV, and O_{1s} spectrum displays two peaks at 531.7 eV and 533.1 eV, these indicate the existence of RCOCOOLi after soaking in PC electrolyte for 48 h. As for Li-GO (Figure 313 5b, top 3 spectra), Li-SiO₂ (Figure 5c, top 3 spectra), and Li-SiO₂/GO anodes (Figure 5d, top 3 314 spectra), C_{1s} spectrums exhibit three peaks at 284.8, 285.5 and 288.8 eV, Li_{1s} shows a peak at 55.4 315 eV and O1s shows a peak at 532.6 eV, attributing to the RCH₂COOLi. The discrepancies between 316 the Li anodes with or without coating layers possibly because that Li plates with coating layers 317 aren't pretreated with PC electrolyte, and DME used as dispersant for GO and SiO₂ composites 318 contributed to the formation of RCH₂COOLi. After cycling for 10 times, the C_{1s} spectra of Li 319 (Figure 5a, bottom 3 spectra), Li-GO (Figure 5b, bottom 3 spectra) and Li-SiO₂ (Figure 5c, bottom 320 3 spectra) anodes show three peaks at 284.8, 285.5 and 288.8 eV, Li_{1s} spectra display two peaks 321 at 54.6 and 55.4 eV, and O_{1s} spectra exhibit two peaks at 531.2 and 532.6, Li_{1s} of 54.6 eV and O_{1s} 322 of 531.2 eV belong to LiOH, indicating that the corrosion of Li anode occurs. In contrast, for Li-323 SiO₂/GO anode (Figure 5d, bottom), C_{1s} spectrum shows four peaks at 284.8, 285.4, 288.8 and 324 289.9 eV, Li_{1s} and O_{1s} exhibits one peaks at 55.4 and 532.6 eV, respectively. C_{1s} of 289.9 eV 325 assigns to Li₂CO₃, possibly because the oxygen-groups of GO participate to the formation of SEI. 326 These results imply that after 10 cycles RCOOLi and Li₂CO₃ are the main component of SEI, 327 corrosion of Li-SiO₂/GO anode by H₂O is inhibited by the SiO₂/GO coating layer.

328 Morphological evolution after charge/discharge cycling reveals the role of surface coating on 329 preserving the Li anode. Figure 6a show the pristine Li and the pulverized anode after 58 cycles, 330 which originally possesses a thickness of 348 µm (Figure 6e), suggesting that the battery failure is 331 associated with the fast consumption of Li. The Li-GO anode presents a rough surface at the 58th 332 cycle (Figure 6b), where Li metal still remains in a thickness of 172 µm (Figure 6f). The Li-SiO₂ 333 anode also shows coarsen and scraggy surface after cycling for 58 times (Figure 6c), leaving Li 334 metal in a thickness of about 186 µm (Figure 6g). As for the Li-SiO₂/GO anode, a smooth and 335 compact surface is retained after 58 cycles (Figure 6d) with the average thickness of metallic Li 336 for up to 264 μ m (Figure 6h), which offers a solid evidence to show the effective protection by the 337 SiO₂/GO hybrid coating. Figures 6i, 6j, 6k and 6l display the XRD patterns of powder from Li, Li-338 GO, Li-SiO₂ and Li-SiO₂/GO anodes' surface after 58 cycles. The powders from the pristine Li 339 anode manifest eleven peaks at 20.4°, 32.5°, 35.7°, 41.6°, 49.2°, 51.4°, 56.0°, 62.2°, 73.9°, 75.7° 340 and 79.4°, which can be assigned to the (001), (101), (110), (002), (102), (200), (112), (211), (212), 341 (220) and (221) facets of LiOH crystal (JPDF No. 85-0736). The intensity decreases in the order 342 of pristine Li, Li-GO, Li-SiO₂ and Li-SiO₂/GO anodes, indicating the decreased amount of LiOH, 343 in-line with the increase thickness of Li anode after 58 cycles (Figures 6f-h). This further proved 344 corrosion of Li anode has been alleviated by the protective coating. The SEM images and XRD 345 patterns of Li-GO anodes after 166 cycles (Figures S9a, S9d), Li-SiO₂ anodes after 187 cycles 346 (Figures S9b, S9e) and Li-SiO₂/GO anodes after 348 cycles (Figures S9c, S9f) exhibit the same 347 feature as the pristine Li anode, which indicate the identical products at battery failure.

It has been reported that highly active cross-over oxygen reduction intermediates (O₂⁻ and LiO₂⁻ etc.) attack Li anode and cause corrosion, they also react with electrolyte and produce water molecules which is harmful to Li metal.^{15,18,23,31} Sun et al., revealed the side reaction and volume evolution of Li anode also damage the cathode using Neutron Tomography.⁵² Our previous work indicates that the protection of Li anode by creating stable SEI can also alleviate the passivation of cathode.³¹ Here, Figure 7 shows that when Li anode is protected with artificial layer, such as GO, SiO₂ and SiO₂/GO, the accumulation of the solid products on cathode is reduced.

Accumulation of solid discharge products can result in the passivation of MWNTs cathode, thus lead to battery failure, which can be traced from the SEM image of the pristine MWNTs cathode in Figure 7a. For the cell with a pristine Li anode (Figure 7b), the MWNTs cathode is totally covered with large blocks of discharge products at the 58th cycle, represent a passivation of battery cathode. As for the cells with the Li-GO (Figure 7c), Li-SiO₂ (Figure 7d) and Li-SiO₂/GO
(Figure 7e) anodes, bare MWNTs can still be observed after 58 cycles, where the amount and
particle size of the deposit in the cell with the Li-SiO₂/GO anode are apparently less than the others.
The MWNTs cathodes are finally cladded by large deposit blocks for the cells with the Li-GO
(Figure 7f), Li-SiO₂ (Figure 7g) and Li-SiO₂/GO (Figure 7h) anodes after 166, 187 and 348 cycles,
respectively, illustrating the alleviation of discharge product accumulation with the application of
protective coatings on the Li anodes.

366 XRD analysis indicates that the MWNTs cathode in the cell with the pristine Li anode after 367 58 cycles manifests two peaks at 26.4° and 54.5°, corresponding to the (002) and (004) facets of 368 MWNTs (JPDF No.41-1487) as shown in Figure S10a, and the peak at 32.5° can be attributed to 369 the (101) facet of LiOH crystal (JPDF No.85-0736). In correspondence, the MWNTs cathode in 370 the cell with the Li-SiO₂/GO anode also presents one peak in at 32.5° attributed to the (101) facet of LiOH (JPDF No. 85-0736) at the 58th cycle (Figure S10b), and the intensity is much lower than 371 372 that of the cell with pure Li, indicating less solid products accumulated, this is confirmed in SEM image of cathode (Figure 7e). At the 348th cycle (Figure S10c), the intensity of the three identifying 373 peaks (at 20.4°, 35.7° and 51.4° attributed to the (001), (110), (200) facets of LiOH) increased, the 374 process is much slower than that on MWNTs cathode from cell with pristine Li. The presence of 375 376 LiOH on both cathode and anode indicates the irreversible consumption of Li. The application of 377 coating layer effectively reduces the Li loss that normally arose from the dendritic growth, volume 378 change and corrosion during stripping/plating process, which subsequently reduces the 379 polarization and alleviates the cathode passivation.

Further investigation using electrochemical impedance proves the protection effect of the artificial layer. After cycling for 58 times, N₂ was introduced into LOBs to remove O₂ for 30 min, 382 and then the resistance of LOBs was characterized using EIS. Figure S11 presents the Nyquist 383 plots of the LOBs with pristine Li, Li-GO, Li-SiO₂ and Li-SiO₂/GO as anodes, and the equivalent 384 circuit. Solution resistance (R_s) , constant phase element 1 (CPE₁) and charge transfer resistance 385 (R₁) at high frequency region are assigned to the impedance at the Li/electrolyte interface, constant 386 phase element (CPE₂) and charge transfer resistance (R₂) at medium frequency region are 387 contributed to the impedance at the solid products/cathode interface. Values of resistance are 388 showed in Table S2. The R_s, R₁, and R₂ of Li-O₂ battery with pure Li are 219.0, 248.5 and 329.0 389 Ω , respectively. Those values of cell anode coated with GO and SiO₂ layers are reduced to 48.4, 390 $83.4, 93.4 \Omega$, and $41.4, 69.3, 86.4 \Omega$, respectively. The values are further reduced to 34.2, 17.8 and 391 74.1 Ω with Li-SiO₂/GO coated anode. In agreement with morphology observation in Figure 6 and 392 Figure 7, EIS results confirmed that the protection coatings led to more stable Li anode and less 393 passivated cathode.

394 We considered that the nano/mesopores introduced by SiO_2 NPs in the coating layer not only 395 facilitate the diffusion of Li ions, but also serve to guide the uniform Li ion flux in the 396 stripping/plating process, which reduces the risk of localized Li dissolution and suppresses the dendritic growth of Li.⁵³⁻⁵⁸ In practical LOBs, the introduction of oxygen reduction reaction (ORR) 397 398 brings soluble intermediates (like O_2^- and LiO_2^- etc.) and the decomposed by-products of electrolyte.^{40,58} These may immigrate through the glass fiber separator and cause serious chemical 399 400 corrosion of Li anodes. Here, the incorporation of SiO2 and GO manifests a synergistic effect of 401 barrier effect, the ionic conductance and Li ion flux guidance. The SiO₂/GO layer in this research 402 provides the best protection for Li anode, leading to the most stable LOB that has never been 403 reported elsewhere.

404 CONCLUSIONS

405 In summary, we describe a facile strategy to preserve Li anode by coating a SiO₂/GO composite 406 layer, so that the dendritic growth and chemical corrosion of Li Anode during the electro-chemical 407 activities can be largely minimized or prevented. The structural composite layer with a large 408 amount of nanopores resulted from the intercalation of SiO₂ NPs among the GO sheets, yield an 409 enhanced transportation of Li ion. The resulted LOB with Li-SiO₂/GO anode can reach more than 348 cycles at 1 A \cdot g⁻¹ with a capacity of 1000 mAh \cdot g⁻¹, several folds of those cells with the pristine 410 411 Li (58 cycles), Li-GO (166 cycles) and Li-SiO₂ (187 cycles) anodes. The rate performance and 412 ultimate capacity of the LOB with Li-SiO₂/GO anode are also significantly improved. We hope 413 this low-cost coating strategy find applications in future Li-ion batteries technologies.

414

415 ASSOCIATED CONTENT

416 **Supporting Information**.

- 417 The Supporting Information is available free of charge at https://
- 418 TEM images, ultimate capacities curves of LOBs, cyclic performance comparison of LOBs,
- 419 SEM images, XRD patterns, and Nyquist plots and table of LOBs EIS analysis (PDF)

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- 444 The manuscript was written through contributions of all authors. All authors have given approval
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446 Notes

447 The authors declare no conflict of interest.

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Figure captions

Figure 1 (a) TEM image of the SiO₂/GO hybrid; (b) N₂ adsorption/desorption isotherms; (c) pore
distribution in the GO, SiO₂ and SiO₂/GO coatings; (d) XRD analysis of the GO, SiO₂ and
SiO₂/GO coatings. Surface (e, f, g, h) and cross-section (i, j, k, l) images: (e, i) the pristine Li, (f,
j) Li-GO, (e, k) Li-SiO₂ and (h, l) Li-SiO₂/GO anodes.

Figure 2 (a) Nyquist plots of the Li|SS cells with the pristine Li, Li-GO, Li-SiO₂ and Li-SiO₂/GO
anodes and (b) the enlarged view of the green dotted frame, (c) ionic conductivity and (d) electron
conductivity of GO, SiO₂ and SiO₂/GO coatings.

633 Figure 3 (a) Li stripping/plating curves in Li|Li symmetric cells with pristine Li, Li-GO, Li-SiO₂

and Li-SiO₂/GO at 0.1 mA•cm⁻². The selected voltage profiles in the ranges from (b) 0 h to 4 h,

635 (c) 132 h to136 h, (d) 408 h to 412 h, and (e) 614 h to 618 h. Surface morphology and cross-section

636 images of (f, j) Li, (g, k) Li-GO after 200 h, (h, l) Li-SiO₂ and (i, m) Li-SiO₂/GO after 800 h

Figure 4 Cyclic stability (a, b, c, d) and rate performance (e, f, g, h) of the LOBs with: (a, e) the
pristine Li, (b, f) Li-GO, (c, g) Li-SiO₂ and (d, h) Li-SiO₂/GO anodes.

Figure 5 C_{1s}, Li_{1s} and O_{1s} spectrum for SEI components of pristine Li anode (top) and after 10
cycles (bottom): (a) Li anode, (b) Li-GO anode, (c) Li-SiO₂ anode and (d) Li-SiO₂/GO anode.

641	Figure 6 Surface of Li anode at the 58th cycle: (a) pristine Li, (b) Li-GO anode, (c) Li-SiO ₂ anode
642	and (d) Li-SiO ₂ /GO anode; cross-section of (i) the pristine Li and Li anode at the 58th cycle: (f)
643	Li-GO anode, (g) Li-SiO ₂ anode, and (h) Li-SiO ₂ /GO anode, XRD patterns of Li anode at the 58th
644	cycle: (i) pristine Li anode, (j) Li-GO anode, (k) Li-SiO ₂ anode, and (l) Li-SiO ₂ /GO anode.
645	Figure 7 SEM images of (a) the pristine MWNTs cathode and (b) the MWNTs cathode in the
646	LOBs with the Li anode at the 58th cycle, (c and f) the Li-GO anode at the 58th and 166th cycles,
647	(d and g) the Li-SiO ₂ anode at the 58th and 187th cycles, (e and h) the Li-SiO ₂ /GO anode at the

- 648 58th and 348th cycles.

- **Figure. 1**

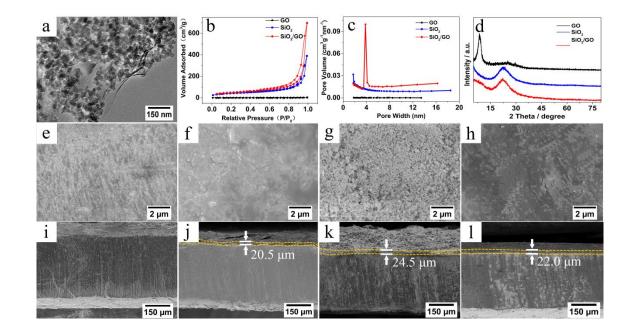


Figure. 2

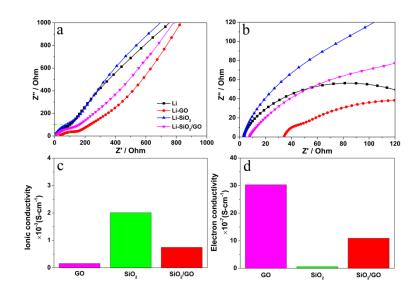


Figure. 3

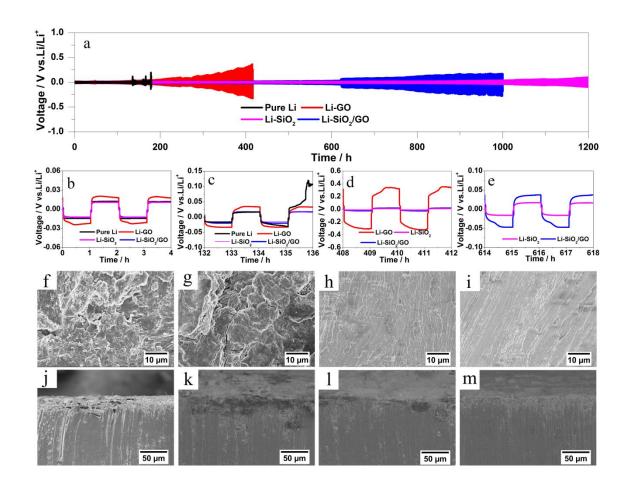


Figure. 4

