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Three-dimensional resonating metamaterials for low-frequency vibration attenuation

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Recent advances in additive manufacturing have enabled fabrication of phononic crystals and metamaterials which exhibit spectral gaps, or stopbands, in which the propagation of elastic waves is prohibited by Bragg scattering or local resonance effects. Due to the high level of design freedom available to additive manufacturing, the propagation properties of the elastic waves in metamaterials are tunable through design of the periodic cell. In this paper, we outline a new design approach for metamaterials incorporating internal resonators, and provide numerical and experimental evidence that the stopband exists over the irreducible Brillouin zone of the unit cell of the metamaterial (i.e. is a three-dimensional stopband). The targeted stopband covers a much lower frequency range than what can be realised through Bragg scattering alone. Metamaterials have the ability to provide (a) lower frequency stopbands than Bragg-type phononic crystals within the same design volume, and/or (b) comparable stopband frequencies with reduced unit cell dimensions. We also demonstrate that the stopband frequency range of the metamaterial can be tuned through modification of the metamaterial design. Applications for such metamaterials include aerospace and transport components, as well as precision engineering components such as vibration-suppressing platforms, supports for rotary components, machine tool mounts and metrology frames.

Phononic crystals (PCs) are engineered materials designed to control elastic wave propagation. PCs generally rely on high impedance mismatches within their structural periodicity to form Bragg-type stopbands that exist due to the destructive interference between transmitted and reflected waves. The presence of destructive interference prevents specific wave types from propagating. Kushwaha et al.¹ presented the first comprehensive calculation of acoustic bands in a structure of periodic solids embedded in an elastic background. James et al.² used a periodic array of polymer plates submerged in water and provided experimental realisation of one-dimensional (1D) and two-dimensional (2D) PCs. Montero de Espinosa et al.³ used aluminium alloy plates with cylindrical holes filled with mercury to generate 2D ultrasonic stopbands. Tanaka et al.⁴ studied the homogeneity of PCs in the perpendicular direction to the direction of propogation, and classified PCs into bulk PCs and slab PCs. Research on the design, manufacturing and testing of PCs has mainly focused on 1D and 2D PCs⁵⁻¹⁴, although recently, the research has been extended to include 3D PCs¹⁵⁻²². Lucklum et al.²³ discussed the manufacturing challenges of 3D PCs and showed that additive manufacturing (AM) has the fabrication capabilities required for the realisation of geometrically complex 3D PCs²⁴⁻²⁷. There are a wide variety of AM technologies that may be used to manufacture PC materials, such as laser powder bed fusion (LPBF), photo-polymerization, stereolithography and inkjet printing $^{28-31}$. Although differing in the manufacturing resolution (the thickness of the build layer), materials, design constraints and cost, these AM technologies create 3D parts from a CAD model. The creation of the 3D parts is usually carried out layer by layer, and the thickness of the deposited layers, as well as the effects of post-processing, determine the geometrical quality of the created 3D parts^{32,33}.

Despite the benefits of the recent ability to manufacture PCs with AM, their effectiveness at low-frequencies is limited due to the dependency of the resulting stopbands on Bragg scattering. Bragg scattering occurs due to destructive interference of the propagating waves with the in-phase reflected waves, which occurs when the wavelengths of the reflected and propagating waves are similar. The reflection occurs due to the difference in the impedance (e.g. local density) of the PC. For the in-phase reflection to occur, the Bragg law has to be satisfied³⁴, which is highly dependent on the cell size of the PC. Bragg scattering starts to occur 37 when the wavelength is approximately equal to twice the cell size of the PC^{34} ; around a normalised frequency (the quotient of 38 cell size and wavelength) of 0.5. Thus, there is a limiting dependency on the size of the unit cell of the PCs to form stopbands 39 by Bragg scattering. As a result of this dependency, unrealistic cell sizes need to be employed to satisfy the Bragg law at low-40 frequencies. For example, consider a PC with normalised stopband frequency of 0.5. When manufactured from Aluminium 41 (speed of longitudinal wave is $6320 \text{ m} \cdot \text{s}^{-1}$)³⁵ to obtain stopbands below 3 kHz, this PC has to have a unit cell at least 1 m in length. 42 Despite being challenging to manufacture, a PC with cells as large as 1 m has limited periodicity within a constrained design 43 volume and, therefore, less attenuation within the stopbands. 44

45 It is possible to form stopbands below the lowest Bragg limit by using metamaterials. Metamaterials employ periodically 46 arranged local resonators to form stopbands that are independent of the Bragg law. The stopbands in metamaterials are formed 47 by hindering the wave propagation around the resonance of the local resonators^{36–43}. The benefits of metamaterials include 48 increased design freedom and flexibility to obtain stopbands in structures of more realistic cell sizes and of higher periodicity

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49 within a design volume in comparison to PCs; resulting in better-defined stopbands, more homogenous structures, more 50 predictable mechanical properties and higher tolerance to the effects of defects. Research on locally resonant metamaterials 51 includes the work of Liu et al.43, who first developed a metamaterial using solid cores and silicone rubber coatings. The 52 periodically coated spheres of Liu et al. exhibited negative dynamic mass, as well as stopbands at low frequencies. Numerous 53 locally resonant metamaterials have been proposed. An example by Fang et al.44 showed arrays of Helmholtz resonators with 54 negative dynamic bulk modulus. Qureshi et al.45 numerically investigated the existence of stopbands in cantilever-in-mass 55 metamaterials. Lucklum et al.²⁰ and D'Alessandro et al.⁴⁶ independently verified the existence of stopbands in ball-rod 56 metamaterials. Zhang et al.⁴⁷ presented results of a beam metamaterial with local resonance stopbands. Bilal et al.⁴⁸ reported on 57 the concept of combining local resonance with Bragg scattering to form trampoline metamaterial with subwavelength stopbands. 58 Matlack et al.⁴⁹ developed a multimaterial structure that has wide stopbands using similar concept to that of Bilal et al⁴⁸. Most 59 of the above work, regarding both PCs and metamaterials, has employed analytical techniques to model and optimise the 60 suggested unit cells. Because analytical techniques can only model simple designs, the potential for exploring the elastic 61 capabilities of complex metamaterial designs has been limited. 62

63 We hereby report on 3D metamaterial comprising internal resonators, designed for targeting maximum elastic wave attenuation 64 below a normalised frequency of 0.1. This normalised frequency limit, chosen arbitrarily, is four times lower than the lowest 65 theoretical limit allowed for Bragg scattering stopbands. This low normalised stopband frequency allows for vibration attenuation 66 at low absolute frequencies using much lower cell sizes than PCs (i.e. without having to completely rely on increasing the cell 67 size to reduce the stopband frequency), thus, enabling more realistic unit cell sizes to be employed within a finite structure. A 68 novel approach for tuning and designing the unit cell of the metamaterial is presented. The computation scheme of the wave 69 dispersion curves uses finite element (FE) modelling. In comparison to finite difference time domain (FDTD) modelling which 70 suffers from stair-casing effects⁵⁰, and plain wave expansion (PWE) modelling which is limited to structures of low impedance 71 mismatch⁵¹, FE modelling guarantees an accurate description of the wave dynamics within the 3D metamaterial. LPBF is 72 employed for fabrication of the metamaterial, which is experimentally tested for verification of the numerical predictions. The 73 fundamental unit cell of the metamaterial is shown in Figure 1, and is periodically tessellated in 3D to allow a local resonance 74 effect. The 3D wave propagation and the complete stopbands of the metamaterial are presented in Figure 2. The experimental 75 response of the manufactured metamaterial is shown in Figure 3. Details of the computation, manufacturing and experimental 76 methods are provided in the subsequent sections. 77

Results and discussion

The unit cell of the metamaterial featured in this work is shown in Figure 1. The design is a cubic unit cell with face-centered struts (FCC), and reinforcement struts in the *x*-, *y*- and *z*-directions (FCC_{xyz}). FCC lattices generally have good compressive strength⁵², in comparison to body-centred cubic lattices (BCC). Thus, the FCC_{xyz} lattice is used as the host for the internal resonance mechanism of the metamaterial. The internal resonance mechanism consists of six struts; each connects one side of a cubic mass to the inner walls of the FCC_{xyz} unit cell. Increasing the strut diameter *S_d* would increase the stiffness of the resonance, while increasing the strut length *S_l* would alter its volume fraction, which will have an impact on the stopband frequencies and the total mass.



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Figure 1. The design of the resonating metamaterial: (a) Schema of the single unit cell of the metamaterial as modelled in CAD, the labels show the strut diameter (S_d) , strut length (S_l) , and cell size (C), and photograph of the $3 \times 3 \times 3$ metamaterial as (b) digitally rendered, and (c) manufactured with LPBF.

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 $\begin{array}{c} 109 \\ 110 \end{array}$ 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132

Figure 2. Wave propagation properties of the internally resonating metamaterial: (a) Dispersion curves for the metamaterial with S_d/C and S_l/C values of 0.033 and 0.1, respectively, with eigenmodes at selection of high symmetry points, and (b) start and end frequencies of the complete stopbands of metamaterials of different S_d/C values with the struts connected to resonators of large-size (green), mid-size (blue), and small-size (orange). All frequencies (f) are normalised to the longitudinal wave speed in the medium v and the unit cell size C.

The dispersion curves of multiple metamaterials of different values of S_d/C and S_l/C were predicted. The considered S_d/C values were 0.005, 0.01, 0.02, 0.025 and 0.033, and the considered S_l/C values were 0.05 (large-size resonator), 0.1 (mid-size resonator) and 0.2 (small-size resonator). Figure 2b presents the stopbands for each of the considered metamaterials to show the impact of the design of the internal resonators on forming complete 3D stopbands. The relative gap to mid-gap percentages of selection of the presented stopbands (width of the stopband over its central frequency) are highlighted. The cut-on frequency of the top acoustic branches (i.e. the stopband end frequency) increased with the increase in the diameter of the struts, and with the increase in the size of the resonator. The stopbands of all the considered unit cell designs were below a normalised frequency of 0.1, as can be seen in Figure 2b. The stopbands of the large-size resonator had wider stopbands than that of the mid-size resonator. The average stopband width in the large-size resonator was calculated to be wider by 63 %, and 236 % than that of mid-size and small-size resonators, respectively. The mean frequency of the stopband showed a change of 2.4 % with the change in the resonator size. The results shown in Figure 2b can be used as a means of tuning the stopbands of the metamaterial for a specific application.

For verification of the complete stopband in the proposed metamaterial, LPBF was used to manufacture a 3D structure of finite periodicity. Details about the LPBF process can be found in the Methods Section. The geometrical dimensions of the metamaterial were selected to be suitable for the LPBF process. The manufactured metamaterial, presented in Figure 1c, had a unit cell size of 30 mm and a 3D periodicity of three. The S_d/C and S_l/C values were selected to provide the lowest stopband start frequency, when referenced to the stopband start frequencies presented in Figure 2b while considering the lowest manufacturable feature size with LPBF⁵⁹ (see Methodology Section); this meant that the S_d/C and S_l/C values had to be 0.033 and 0.1, respectively. The 3D transmissibility of the metamaterial was obtained experimentally and is presented in Figure 3a.

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The longitudinal transmissibility had a value of 0 dB near the normalised frequency of zero, which indicates complete transmission of the excitation waves. At the vibration resonances, the longitudinal transmissibility was greater than 0 dB and reached 28 dB, which indicates high amplification of the excitation waves. Within the stopband, the longitudinal transmissibility reached -77 dB. Studying the effect of lattice periodicity on the transmissibility within the stopband is beyond the scope of this work. However, the results showed that this metamaterial has double the transmissibility reduction experimentally reported by Croënne et al.¹² for their 3D PC which had double the spatial periodicity used in this work. The transmissibility within the stopband is expected to get lower in finite metamaterials of higher periodicity than that used here as shown in recent literature^{12,57}.

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Figure 3. Experimental results acquired for the resonating metamaterial: (a) Transmissibility of the 3×3×3 metamaterial in the r-longitudinal direction (solid line), y- transverse direction (dotted line), and z- transverse direction (dashed line) vis-à-vis the corresponding stopband as illustrated by the dispersion curves of the infinite metamaterial shown in (b), and (c) representative photograph of the experimental setup. The shaded areas show the identified stopbands.

150 The 3D elastic wave propagation in the internally resonating metamaterials was modelled using a hybrid scheme. The scheme 151 uses the FE method combined with infinite periodicity assumptions. It was shown that the metamaterials exhibit complete 152 stopbands far below the lowest frequency limit of Bragg-type stopbands, which exist in traditional PCs. A metamaterial of finite 153 periodicity was manufactured using LPBF. An experimental setup was assembled, comprising a broadband vibration shaker, a 154 laser vibrometer, and dedicated signal generation and acquisition units. The experimental setup was used to test the 3D vibration 155 transmissibility of the manufactured metamaterial. It was shown that the metamaterial could attenuate the vibration waves within 156 the stopband range. The experimental results showed that, within the stopband, the longitudinal transmissibility of vibration 157 waves in the metamaterial reached -77 dB. Tuning of the stopband can be achieved by adjusting the size of the resonator and the 158 diameter of the struts to suit the requirements of various applications. For this particular metamaterial, the stopband was from 159 1.63 kHz to 2.8 kHz with a unit cell size of 30 mm. In light of the example of Aluminum PC presented in the Introduction Section, 160 stopbands below 3 kHz are obtainable with metamaterials of 30 mm unit cell size rather than 1 m for the Aluminum PC. Thus, 161 structures of more realistic cell sizes and higher periodicity within a certain design volume, in comparison to PCs, can be 162 employed to obtain low absolute frequency stopbands; resulting in higher attenuation within the stopbands, more homogenous 163 structures, more predictable mechanical properties and higher tolerance to defects. 164

165 Methods

167 Modelling of elastic wave propagation using a hybrid wave and finite element scheme

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166

- 169 The proposed scheme for computing the dispersion curves used a combination of FE modelling and periodic structure theory.
- 170 The metamaterials were modelled using FE modelling which allows for accurate representation of the geometrically complex
- 171 metamaterials. The complete mass and stiffness matrices of the designs, K and M respectively, were extracted. The Bloch
- 172 theorem⁶⁰, which governs the periodic displacement and forcing conditions was employed. The periodic structure theory assumed
- 173 an infinite 3D spatial periodicity of the unit cell^{61,62}. Figure 4 is a schema of the segmentation of the unit cell of the metamaterial
- 174 into sets of DOF, which were used for modelling the periodicity of the unit cell.



Figure 4. Selection of the segmentation of the unit cell of the metamaterial into DOF as used for modelling the periodicity of the unit cell. The magenta points represent the (a) front nodes, (b) left nodes, (c) top nodes, (d) top-left nodes, (e) top-front nodes, and (f) front-left nodes.

180 The nodal displacement matrices q were arranged in the following sequence to allow for the 3D spatial periodicity of the unit 181 cell 182

$$\boldsymbol{q} = [\boldsymbol{q}_{IN} \, \boldsymbol{q}_F \, \boldsymbol{q}_S \, \boldsymbol{q}_B \, \boldsymbol{q}_T \, \boldsymbol{q}_L \, \boldsymbol{q}_R \, \boldsymbol{q}_{FB} \, \boldsymbol{q}_{FT} \, \boldsymbol{q}_{SB} \, \boldsymbol{q}_{ST} \, \boldsymbol{q}_{FL} \, \boldsymbol{q}_{FR} \, \boldsymbol{q}_{SL} \, \boldsymbol{q}_{SR} \, \boldsymbol{q}_{BL} \, \boldsymbol{q}_{BR} \, \boldsymbol{q}_{TL} \, \boldsymbol{q}_{TR}]^{\mathrm{T}}, \tag{1}$$

184 where the subscripts IN, L, R, T, B, F, and S indicate the DOF of the nodes existing at the inside, left, right, top, bottom, front, 185 and back of the unit cell as illustrated in Figure 4. A transformation matrix R was considered to project the nodal displacement 186 matrices as follows

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$$q = R\widetilde{q},\tag{2}$$

189 where 190

$$R = \begin{bmatrix} I & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & Ie^{-ik_y} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & Ie^{-ik_z} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & I & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & Ie^{-ik_x} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_y} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_y} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_y} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_y} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & 0 & Ie^{-ik_x} & 0 \\ 0 & 0 & 0 & 0 & Ie^{-ik_x} & Ie^{-ik_x} & Ie^{-ik_x} &$$

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$$\overline{K} = R'KR$$
, and $\overline{M} = R'MR$. (4)

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196 Assuming no external excitation under Bloch-Floquet⁶⁰ boundary conditions, the following eigenvalue problem was derived in 197 the wave domain 198

$$(\bar{K} - \omega^2 \bar{M})\boldsymbol{\varphi} = \mathbf{0},\tag{5}$$

200 where ω is the angular frequency and φ is the eigenvector. Eq. 5 provided the wave propagation characteristics of the 201 metamaterials in 3D space. By substituting a set of presumed wavenumbers in a given direction, the derived eigenvectors ϕ 202 provided the deformation of the unit cell under the passage of each wave type at an angular frequency ω . To obtain normalised 203 frequencies, the frequency eigenvalues of Eq. 5 were normalised to the unit cell size C and the speed of longitudinal waves in 204 the lattice material v, which was calculated as the square root of the quotient of the elastic modulus and material density. A 205 complete description of each passing wave, including x-, y- and z-directional wavenumbers and wave shapes, at a certain 206 frequency range is acquired with modulo 2π . The metamaterial used in this work decompounds into a simple cubic lattice [eight 207 lattice nodes on each corner], a FCC lattice [one lattice node on each of the six faces] and a BCC lattice [one lattice node, lump 208 of mass, at the center]. When modelling the dispersion curves of this metamaterial, suitable 3D translation of all solid features 209 and voids within the unit cell is obtained when the design is approximated as a simple cube, thus, allowing for the use of the 210 simple IBZ of simple cubic lattice for modelling the dispersion curves. Such approximation can also be seen elsewhere⁶³⁻⁶⁵. Our 211 preparation analysis showed minimal/zero discrepancies between the stopband calculated with approximation of the design as 212 213 FCC and the stopband calculated with approximation of the design as simple cube (see Figure 5). The computation did not include damping, though it should be noted that structural damping can be directly introduced to Eq. 4 by including an imaginary 214 part of the $\bar{\mathbf{K}}$ matrix⁶⁶. Alternatively, if full viscous damping properties are to be considered, then dedicated eigenvalue problem 215 solvers can be employed⁶⁰. 216



217 218 219 220 Figure 5. Stopband of the metamaterial as calculated considering several paths in the IBZ of FCC lattice (left) and simple cubic *lattice (right). The inset shows the IBZ of each lattice type. The shaded grey area represents the stopband.*

Additive manufacturing technology employed 222 223 224 225 226 227

Internally resonating metamaterial samples were fabricated on a laser powder bed fusion (LPBF) system using PA12 polymer material. The material properties for PA12 can be found in Table 1. The LPBF system used a 21 W laser of scan speed and hatch spacing of 2500 mm·s⁻¹ and 0.25 mm, respectively. The nominal spot size of the laser was 0.3 mm and the layer thickness was 0.1 mm. PA12 powder was used to fill the powder bed of dimensions 1320 mm × 1067 mm × 2204 mm at a temperature of 173 °C. Geometrical features of sizes below 0.8 mm are usually manufactured with considerable losses in mechanical properties, due to the existence of unsolidified powder within the manufactured features⁵⁹. To ensure that all geometrical features were manufactured in agreement with the specified design, the size of the narrowest metamaterial feature was designed to be 1 mm⁵⁹.

Table 1. Material properties of PA1267

Material property	Value
Young's modulus	$1.5 \times 10^3 \mathrm{MPa}$
Density	950 kg₊m⁻³

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Experimental measurements on vibration transmissibility

235 236 The metamaterial sample was suspended using piano strings to approximate free-free boundary conditions. The approach taken to suspend the metamaterial, similar to the approach taken by Zhang et al.⁴⁷ and Chen et al.⁶⁸, supports the metamaterial uniformly. 237 238 An alternative approach, which can also be used for approximation of free-free boundary conditions, can be found in the work of D'Alessandro et al.46. The metamaterial was adhesively affixed from one side to a connector which was, in turn, bolted to an 239 acceleration sensor. The acceleration sensor was linked to the armature of the shaker (Model Shop Shaker 2060E)⁶⁹ through a 240 stinger. The stinger is a 1.5 mm rod which connects to the acceleration sensor, and decouples cross-axis force inputs, thus,

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241 minimising errors during measurements⁷⁰. As part of the experimental setup, the beam of a laser vibrometer was projected 242 perpendicularly to the opposite surface of the metamaterial to take longitudinal acceleration measurements. The transverse 243 244 acceleration measurements were taken by projecting the beam of the laser vibrometer perpendicularly to the side surfaces of the metamaterial. The laser vibrometer was set to measure the structural response in the longitudinal and transverse directions from 245 246 a normalised frequency of 0 to 0.15. The acceleration data within the tested frequency range were also obtained through the acceleration sensor. The combination of the measurements of both the laser vibrometer and the acceleration sensor provided the 247 transmissibility of the specimen. Figure 3c is a representative photograph of the experimental setup. All measurements were 248 taken with a normalised frequency resolution of less than 3.7×10^{-5} and were complexly averaged, considering both the phase 249 and the magnitude of the measurements, over 100 spectral sweeps.

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381 Contributions

WE wrote the main body of the manuscript, performed the experimental tests and the numerical analysis of the considered design. DC and WS contributed to the research idea and helped writing the introductory section of the manuscript and revisited the results section. IM prepared the samples to be experimentally tested. HM contributed to writing the introductory section. RL contributed to the research idea and supervised the work conducted by his team members. All authors analysed the results together and provided feedback on the manuscript.

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388 Competing interests statement

389 The authors declare no competing interest.

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