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Citation: Wang, Ke, Qu, Yuwei, Yuan, Jinhui, Qiu, Shi, Zhou, Xian, Yan, Binbin, Wu, Qiang, Liu, Bin, Wang, Kuiru, Sang, Xinzhu and Yu, Chongxiu (2021) Ultra-short polarization beam splitter based on dual-core photonic crystal fiber with surface plasmon resonance effect. *Optical Engineering*, 60 (07). 076104. ISSN 0091-3286

Published by: SPIE

URL: <https://doi.org/10.1117/1.oe.60.7.076104>
<<https://doi.org/10.1117/1.oe.60.7.076104>>

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An ultra-short polarization beam splitter based on dual-core photonic crystal fiber with surface plasmon resonance effect

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Abstract. In this paper, an ultra-short polarization beam splitter (PBS) based on dual-core photonic crystal fiber (DC-PCF) with surface plasmon resonance (SPR) effect is proposed. The finite element method is used to investigate the coupling characteristics between the core mode and surface plasmon polariton (SPP) mode. The influences of the PCF structure parameters on the coupling length (CL) and coupling length ratio (CLR) are also investigated. The normalized output powers of the x-polarization and y-polarization are calculated, and the optimized PBS achieves an ultra-short length of 62.5 μm . The splitting bandwidth of 110 nm (1.51~1.61 μm) is achieved when the extinction ratio (ER) is less than -20 dB. The minimum ER reaches -71 dB at the wavelength of 1.55 μm . The proposed PBS has an important application in the high-speed optical communication system.

Keywords: polarization beam splitter, photonic crystal fiber, surface plasmon resonance, extinction ratio.

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1. Introduction

With the rapid development of the communication system, the polarization characteristics of optics have attracted great attention. Because the polarization beam splitter (PBS) can split a beam of light into two polarized beams 1, it has widespread applications in optical communication and sensing systems. The larger refractive index difference between the two polarization beams is the key to achieve the PBS with shorter length and wider bandwidth. However, it is difficult to obtain the larger refractive index difference for the traditional dual-core optical fibers because of their structural symmetry and low birefringence 2. Compared with the traditional optical fibers, photonic crystal fiber (PCF) has unique optical characteristics, such as endlessly single-mode 5,6, high birefringence 7, large mode field area 10, controllable dispersion 11, and high nonlinearity 12. Thus, the PCF can be applied for different devices. For example, the PCF-based PBS with shorter length and wider bandwidth could be -achieved.

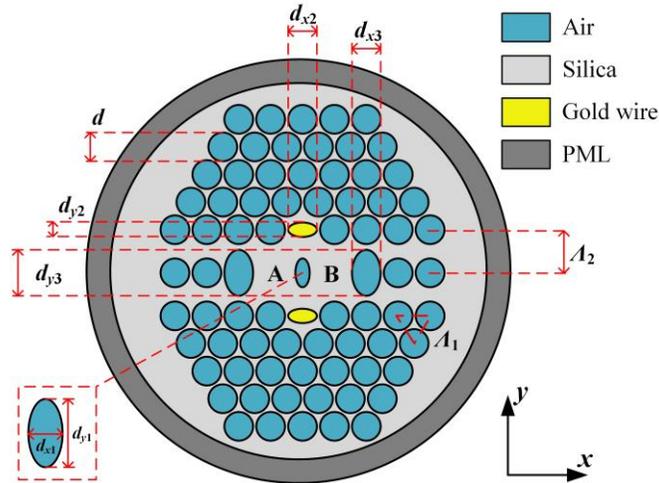
In recent years, some researchers designed different kinds of PBS based on the dual-core PCF (DC-PCF) through breaking the structural symmetry of the DC-PCF, which can be achieved by changing the arrangement of the air holes in the cladding region 19 or introducing the elliptical air holes 19. Moreover, other materials can also be used for replacing the silica substrate and the metal materials can be used for coating or filling the air holes. In 2015, Xu *et al.* reported a DC-PCF PBS with an elliptical hole, which is filled with a kind of low refractive index material. The length and bandwidth of the reported PBS are 401 μm and 140 nm 22. In

43 2016, Wang *et al.* designed a DC-PCF PBS filled with the liquid crystal in the air hole, achieving
 44 the PBS length of 890.5 μm and bandwidth of 150 nm 23. In 2017, Wang *et al.* proposed a DC-
 45 PCF PBS with the magnetic fluid added in the air hole, where the PBS length and bandwidth are
 46 5.1 mm and 189 nm 24. In 2018, Wang *et al.* reported a rectangular-hexagonal structure DC-PCF
 47 PBS with two elliptical holes in the core region, along with the PBS length of 93.3 μm and
 48 bandwidth of 40 nm 25. In 2019, Xu *et al.* demonstrated a DC-PCF PBS filled with titanium and
 49 low refractive index liquid, where the PBS length and bandwidth are 83.9 μm and 32.1 nm 26. In
 50 2020, Qu *et al.* investigated a gold-coated silicon DC-PCF PBS, where the PBS length and mid-
 51 infrared bandwidth are 192 μm and 830 nm 27.

52 In this paper, we propose an ultra-short PBS based on the gold-filled DC-PCF. The two cores
 53 of the DC-PCF are composed by the three elliptical air holes in the horizontal axis. Two elliptical
 54 gold wires are filled in longitudinal axis to induce the surface plasmon resonance (SPR) effect.
 55 The PBS can achieve an ultra-short length of 62.5 μm and a bandwidth of 110 nm. The proposed
 56 DC-PCF PBS has an important application in the high-speed optical communication system.

57 2. Design of the DC-PCF PBS and theory

58 The cross-section of the proposed DC-PCF PBS is shown in Fig. 1. The diameter of all circular
 59 air holes arranged in the triangular array is d , and the hole-to-hole pitch is A_1 . In addition, the
 60 three layers of air holes are arranged in a rectangular array in the horizontal direction, and the
 61 hole-to-hole pitch is A_2 . The air hole in the most center is replaced with an elliptical hole, whose
 62 minor and major axes are denoted by d_{x1} and d_{y1} , respectively. And the two air holes are missing
 63 on the left and right sides of the central elliptical air hole to form the cores A and B, respectively.
 64 The air holes on the upper and lower sides of the central elliptical hole are filled by the two
 65 elliptical gold wires, whose minor and major axes are denoted by d_{y2} and d_{x2} , respectively.
 66 Compared with other metal materials, the gold material has stable chemical property, good
 67 biomolecular compatibility, and strong corrosion resistance. The left side of the core A and right
 68 side of the core B are replaced by the two large elliptical air holes, whose minor and major axes
 69 are denoted by d_{x3} and d_{y3} , respectively.



70
71 **Fig. 1.** The cross-section of the proposed DC-PCF PBS.

72 The background material is the pure silica. The perfect matching layer (PML) of the
 73 proposed DC-PCF is set at the outermost layer to absorb the radiation energy 28. The inner
 74 diameter and thickness of the PML are 6 μm and 1.2 μm , respectively. The material of the PML

75 is highly doped silica, and the corresponding refractive index is $n_{\text{silica}}+0.03$. The material
 76 dispersions of the silica and doped silica can be described by the Sellmeier equation [29, 30].
 77 The dielectric constant of the gold material can be described by the Drude-Lorentz 31

$$\varepsilon_m = \varepsilon_\infty - \frac{\omega_D^2}{\omega(\omega + j\gamma_D)} - \frac{\Delta\varepsilon \cdot \Omega_L^2}{(\omega^2 - \Omega_L^2) - j\Gamma_L\omega}, \quad (1)$$

78 where ε_m represents the dielectric constant of gold, $\varepsilon_\infty = 5.9673$ is the high frequency dielectric
 79 constant, $\Delta\varepsilon = 1.09$ is the wave vector, ω is the angular frequency of the light, and ω_D and γ_D are
 80 the plasma frequency and Damping frequency, respectively. Here, $\omega_D/2\pi = 2113.6$ THz, and
 81 $\gamma_D/2\pi = 15.92$ THz. Ω_L and Γ_L are the frequency and spectral width of the Lorentz oscillation,
 82 respectively. $\Omega_L/2\pi = 650.07$ THz, and $\Gamma_L/2\pi = 104.86$ THz.

83 The coupling length (CL) of the DC-PCF, where the light power is completely transferred
 84 from one core to the other one, can be calculated by 32

$$CL_{x,y} = \frac{\lambda}{2(n_{x,y}^{\text{even}} - n_{x,y}^{\text{odd}})}, \quad (2)$$

85 where the $CL_{x,y}$ is the CL of the x -pol and y -pol, λ is the wavelength of the incident light, and n is
 86 the effective refractive indices of the even and odd modes. In order to achieve the complete beam
 87 splitting, the CL of the two polarization states needs to satisfy the condition that $mCL_x = nCL_y =$
 88 L (m and n are the positive integers and L is the length of the PBS), and the coupling length ratio
 89 (CLR) can be calculated by

$$CLR = \frac{CL_y}{CL_x}, \quad (3)$$

90 When the CLR reaches 2 or 0.5, the splitting length is the optimum value. The transmission
 91 loss of the ultra-short PBS is also negligible. Therefore, when the input power P_{in} is determined,
 92 the normalized output power P_{out} can be calculated by 33

$$P_{\text{out}}^{x,y} = P_{\text{in}}^{x,y} \cos^2\left(\frac{\pi L}{2CL_{x,y}}\right), \quad (4)$$

93 The performance of the PBS can be described by the extinction ratio (ER) as following 34

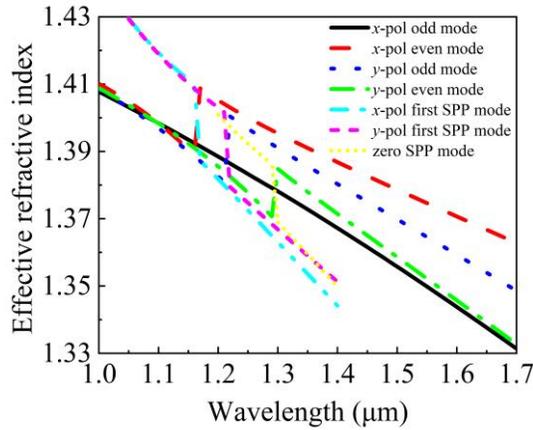
$$ER = 10 \cdot \lg\left(\frac{P_{\text{out}}^y}{P_{\text{out}}^x}\right), \quad (5)$$

94 when the ER is larger than 20 dB, the propagated light could be completely split, so the ER
 95 can determine the bandwidth of the PBS.

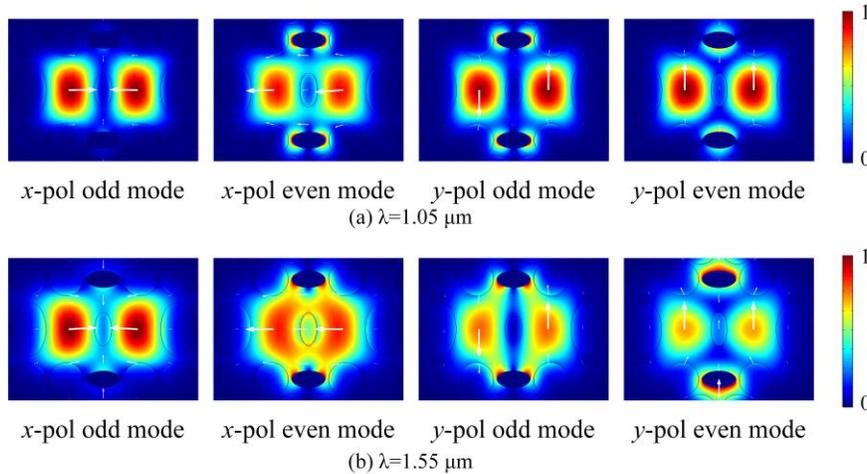
96 3. Simulation results and discussion

97 The finite element method (FEM) is adopted to simulate the proposed DC-PCF PBS. Fig. 2
 98 shows the calculated effective refractive indices of the x -pol odd and even modes, y -pol odd and
 99 even modes, x -pol and y -pol first SPP modes, and zero SPP mode. From Fig. 2, the x -pol even
 100 mode and x -pol first SPP mode have a resonance point at wavelength 1.17 μm , the y -pol odd
 101 mode and y -pol first SPP mode have a resonance point at wavelength 1.22 μm , and the y -pol

102 even mode and zero SPP mode have a resonance point at wavelength $1.3 \mu\text{m}$, while there is no
 103 resonance point between the x -pol odd mode and SPP mode. According to the coupling mode
 104 theory 35, the x -pol even, y -pol odd, and y -pol even modes are completely coupled with the x -pol
 105 first SPP, y -pol first SPP, and zero SPP modes, respectively. In order to further verify the above
 106 conclusions, the mode field distributions of the x -pol and y -pol even and odd modes at
 107 wavelengths $1.05 \mu\text{m}$ and $1.55 \mu\text{m}$ are shown in Figs. 3(a) and 3(b), respectively. From Fig. 3(a),
 108 the mode field energies of the x -pol and y -pol odd and even modes do not occur to change at
 109 wavelength $1.05 \mu\text{m}$, which indicate that before wavelength $1.05 \mu\text{m}$, there are no mode coupling
 110 between the considered modes and SPP modes. From Fig. 3(b), the mode field energy of the x -
 111 pol odd mode does not occur to change, and the mode field energies of the x -pol even, y -pol odd,
 112 and y -pol even modes occur to transfer. It indicates that the x -pol even, y -pol odd, and y -pol even
 113 modes occur to couple with the x -pol first SPP mode, y -pol first SPP mode, and zero SPP mode,
 114 respectively, after $1.55 \mu\text{m}$. Because the coupling strengths based on the SPR effect for different
 115 modes are different, the effective refractive index differences between the x -pol and y -pol modes
 116 are increased, and the DC-PCF PBS could be achieved due to the enhanced birefringence.

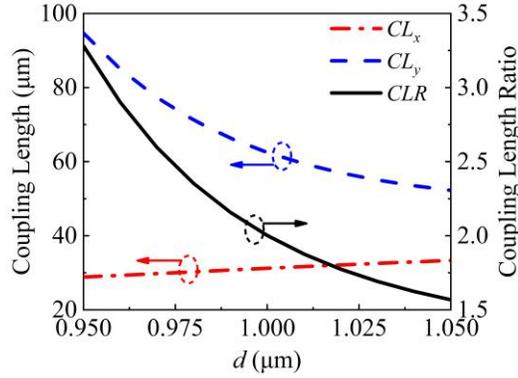


117
 118 **Fig. 2.** The effective refractive indices of the x -pol odd and even modes, y -pol odd and even modes, x -pol and y -pol
 119 first SPP modes, and zero SPP mode calculated as functions of wavelength.



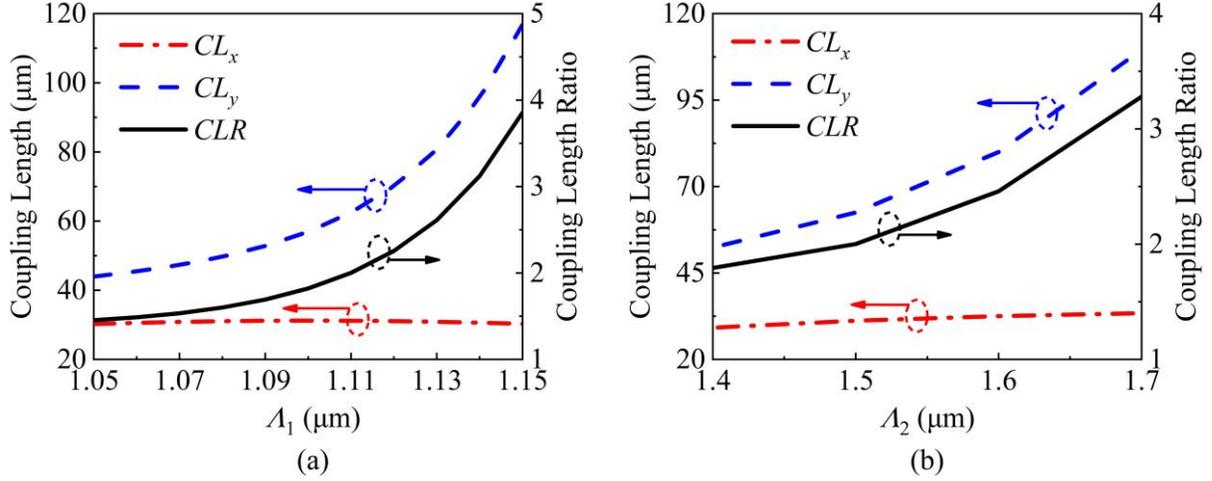
120
 121 **Fig. 3.** The mode field distributions of the x -pol odd mode, x -pol even mode, y -pol odd mode, and y -pol even mode
 122 calculated at wavelengths (a) $1.05 \mu\text{m}$ and (b) $1.55 \mu\text{m}$.

123 In the following, the structure parameters, including d , A_1 , A_2 , d_{x1} , d_{y1} , d_{y2} , d_{x2} , d_{x3} , and d_{y3} ,
 124 are, respectively, adjusted at wavelength $1.55 \mu\text{m}$ to optimize the performance of the DC-PCF
 125 PBS. The relationships between the CL_x , CL_y , and CLR and d are shown in Fig. 4. When d
 126 changes from 0.95 to $1.05 \mu\text{m}$, the effective refractive indices of the x -pol odd and even modes
 127 decrease, but the decrease amplitude of the x -pol even mode is larger than that of the x -pol odd
 128 mode. Meanwhile, the effective refractive indices of the y -pol odd and even modes also decrease,
 129 but the decrease amplitude of the y -pol even mode is larger than that of the y -pol odd mode. Thus,
 130 the effective refractive index differences between the x -pol odd and even modes and y -pol odd
 131 and even modes become smaller. As shown in Fig. 4, the CL_x increases from 28 to $33 \mu\text{m}$, and
 132 the CL_y decreases from 92 to $52 \mu\text{m}$. It can be deduced from Eq. (3) that the CLR decreases from
 133 3.2 to $1.5 \mu\text{m}$. When $d=1 \mu\text{m}$, the $CLR=2.048$, which is very close to the ideal value of 2 .

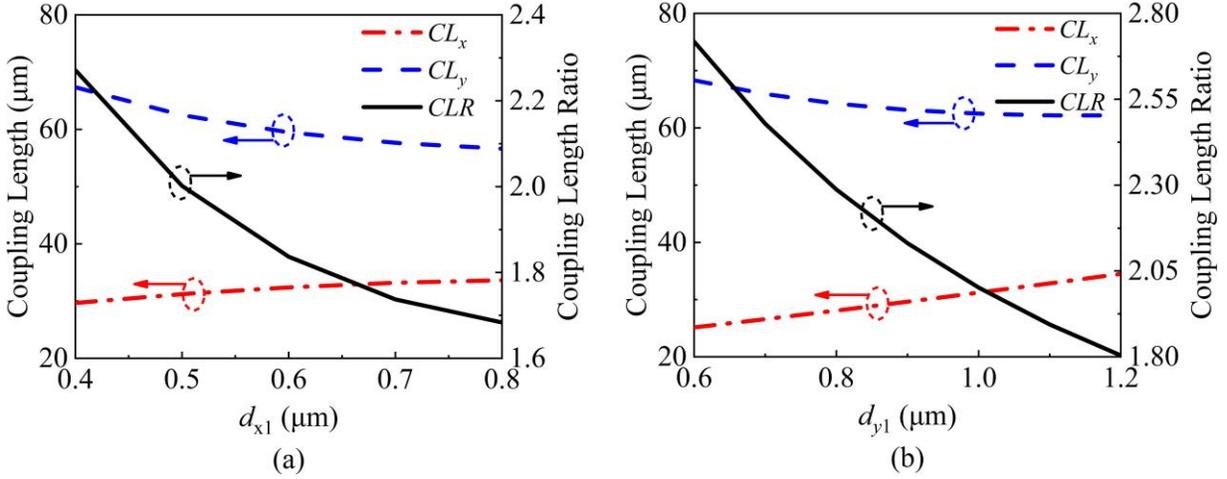


134
 135 **Fig. 4.** The relationships between the CL_x , CL_y , and CLR and d .

136 When A_1 changes from 1.05 to $1.15 \mu\text{m}$, the effective refractive indices of the x -pol odd and
 137 even modes increase, and the increase amplitude of the x -pol even mode is approximately equal
 138 to that of the x -pol odd mode. Although the effective refractive indices of the y -pol odd and even
 139 modes also increase, the increase amplitude of the y -pol even mode is larger than that of the y -
 140 pol odd mode. Thus, the effective refractive index difference between the x -pol odd and even
 141 modes remains almost unchanged, while the effective refractive index difference between the y -
 142 pol odd and even modes becomes smaller. As shown in Fig. 5(a), the CL_x is stabilized at $30 \mu\text{m}$,
 143 and the CL_y increases from 43 to $120 \mu\text{m}$. According to Eq. (3), the CLR increases from 1.4 to
 144 $3.8 \mu\text{m}$. When $A_1=1.11 \mu\text{m}$, the CLR is very close to 2 . From Fig. 5(b), when A_2 changes from 1.4
 145 to $1.7 \mu\text{m}$, the CL_x increases from 29 to $33 \mu\text{m}$, the CL_y increases from 52 to $109 \mu\text{m}$, and the
 146 corresponding CLR increases from 1.79 to 3.2 . When $A_2=1.6 \mu\text{m}$, the CLR is very close to 2 .

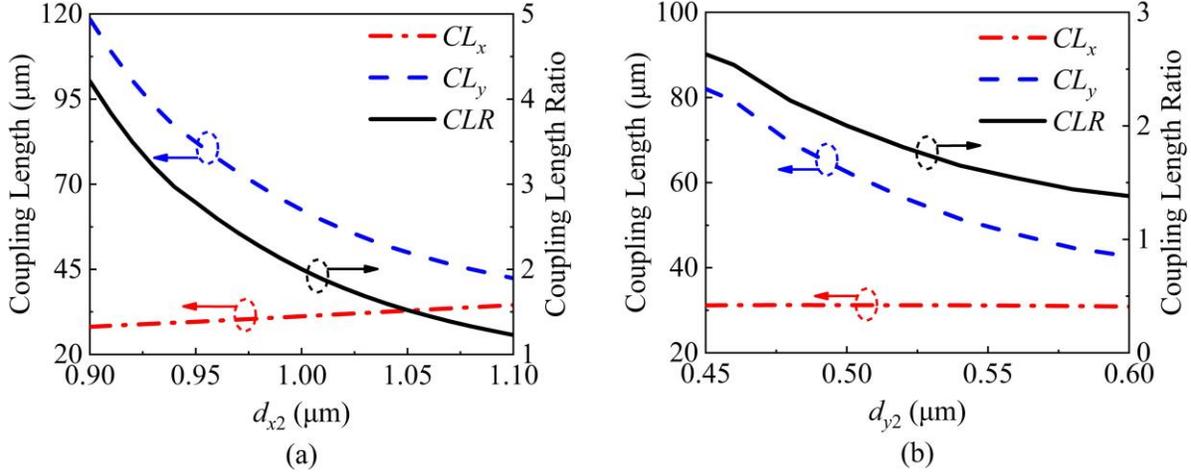


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148 **Fig. 5.** The relationships between the CL_x , CL_y , and CLR and (a) A_1 and (b) A_2 .



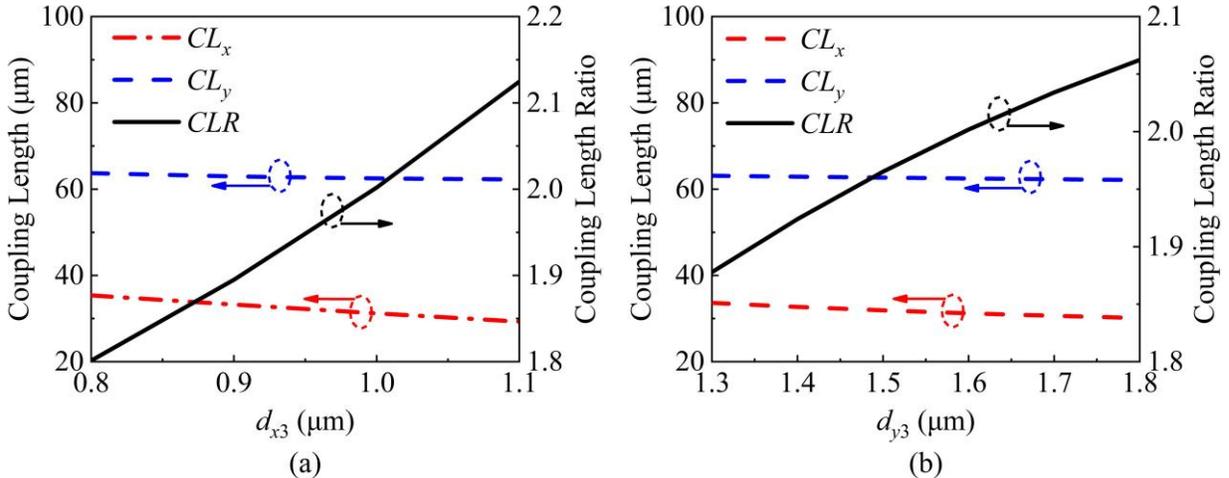
149
150 **Fig. 6.** The relationships between the CL_x , CL_y , and CLR and (a) d_{x1} and (b) d_{y1} .

151 The influences of the elliptical hole sizes are shown in Figs. 6(a) and 6(b). When d_{x1} changes
152 from 0.4 to 0.8 μm , the effective refractive indices of the x -pol odd and even modes decrease, but
153 the decrease amplitude of the x -even mode is larger than that of the x -odd mode. Although the
154 effective refractive index of the y -pol odd mode remains almost unchanged, the effective
155 refractive index of the y -pol even mode gradually decreases. Thus, the effective refractive index
156 difference between the x -pol odd and even modes becomes smaller, and the effective refractive
157 index difference between the y -pol odd and even modes becomes larger. As shown in Fig. 6(a),
158 the CL_x increases from 29 to 33 μm , the CL_y decreases from 67 to 56 μm , and the corresponding
159 CLR decreases from 2.2 to 1.6. When $d_{x1}=0.5$ μm , the CLR is very close to 2. When d_{y1} increases
160 from 0.6 to 1.2, the effective refractive index difference of the x -pol odd and even modes
161 becomes smaller, and the effective refractive index difference between the y -pol odd and even
162 modes also becomes larger. As shown in Fig. 6(b), the CL_x increases from 25 to 34 μm , the CL_y
163 decreases from 68 to 62 μm , and the corresponding CLR decreases from 2.7 to 1.8. When $d_{y1}=1$
164 μm , the CLR is very close to 2.



165
166 **Fig. 7.** The relationships between the CL_x , CL_y , and CLR and (a) d_{x2} and (b) d_{y2} .

167 The influences of the elliptical gold wires are shown in Figs. 7(a) and 7(b). When d_{x2}
168 changes from 0.9 to 1.1 μm, the effective refractive index of the x-pol odd mode remains almost
169 unchanged, but the effective refractive index of the x-pol even mode gradually decreases.
170 Although the effective refractive indices of the y-pol odd and y-pol even modes decrease, the
171 decrease amplitude of the y-pol even mode is larger than that of the y-pol odd mode. Thus, the
172 effective refractive index difference between the x-pol odd and x-pol even modes becomes
173 smaller, and the effective refractive index difference between the y-pol odd and y-pol even
174 modes becomes larger. As shown in Fig. 7(a), the CL_x increases from 28 to 34 μm, the CL_y
175 decreases from 118 to 42 μm, and the corresponding CLR decreases from 4.2 to 1.2. When $d_{x2}=1$
176 μm, the $CLR=2$. When d_{y2} increases from 0.45 to 0.6, the effective refractive index difference
177 between the x-pol odd and x-pol even modes remains almost unchanged, and the effective
178 refractive index difference between the y-pol odd and y-pol even modes becomes larger. As
179 shown in Fig. 7(b), the CL_x is stabilized at 30 μm, the CL_y decreases from 131 to 42 μm, and
180 the corresponding CLR decreases from 4.2 to 1.38. When $d_{y2}=0.5$ μm, the CLR is very close to 2.

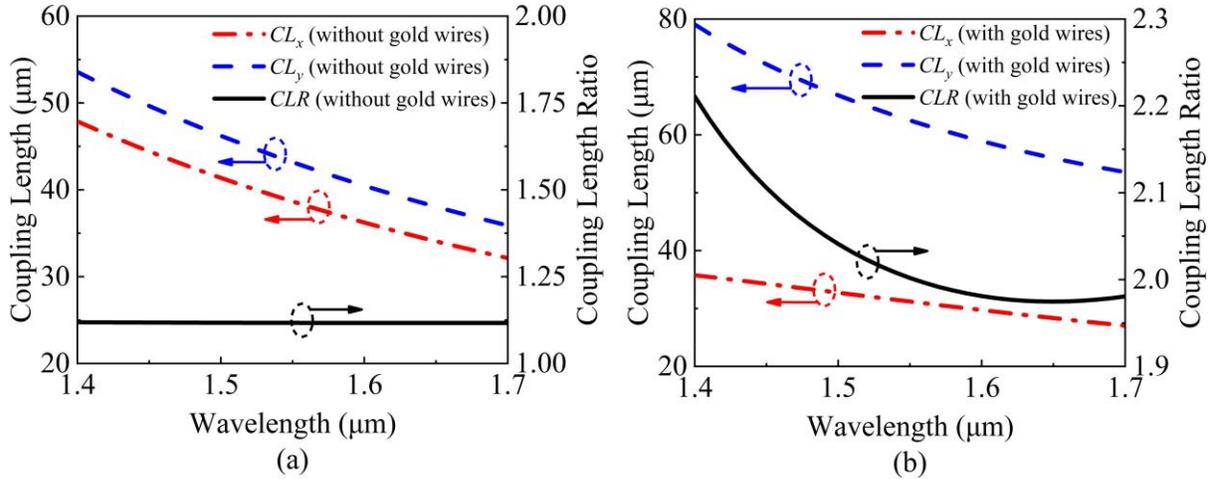


181
182 **Fig. 8.** The relationships between the CL_x , CL_y , and CLR and (a) d_{x3} and (b) d_{y3} .

183 The influences of the large elliptical air hole along the horizontal axis are shown in Figs. 8(a)
184 and 8(b). When d_{x3} changes from 0.8 to 1.1 μm, the effective refractive index of the x-pol odd

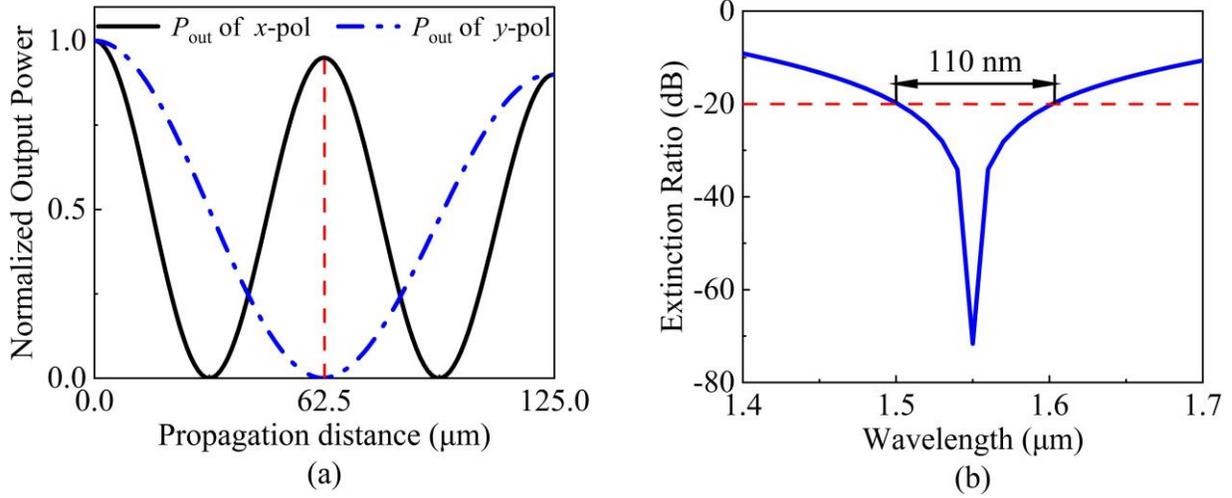
185 mode decreases slightly, and the effective refractive index of the x -pol even mode remains
 186 almost unchanged. Meanwhile, the effective refractive indices of the y -pol odd and y -pol even
 187 modes remain almost unchanged. Thus, the effective refractive index difference between the x -
 188 pol odd and x -pol even modes becomes larger, and the effective refractive index differences
 189 between the y -pol odd and y -pol even modes changes slightly. From Fig. 8(a), as the CL_x
 190 decreases, the CL_y is almost constant, and the corresponding CLR increases. As d_{y3} increases
 191 from 1.3 to 1.8, the effective refractive indices of the x -pol odd and x -pol even modes and y -pol
 192 odd and y -pol even modes decrease, and the decrease amplitudes of the x -pol odd and y -pol odd
 193 modes are approximately equal to those of the x -pol even and y -pol even modes. It can be seen
 194 from Fig. 8(b) that the CL_x and CL_y are almost constant, but the corresponding CLR increases.

195 As shown in Fig. 9(a), when the gold wire is not filled in the two elliptical air holes, the
 196 CLR can only maintain at 1.1 in the wavelength range from 1.4 to 1.7 μm . At this time, it is
 197 difficult to completely split the polarized light between the two cores. As shown in Fig. 9(b),
 198 when the two elliptical air holes are filled with the gold wires, the CLR decreases from 2.21 to
 199 1.98 in the considered wavelength range, and reaches 2 at wavelength 1.55 μm . Therefore, the
 200 gold wire plays an important role in achieving the PBS.
 201



202
 203 **Fig. 9.** The relationships between the CL and CLR and wavelength, (a) without gold wires, and (b) with gold wires.

204 In summary, the optimized structure parameters of the DC-PCF PBS are chosen as
 205 following: $A_1=1.11 \mu\text{m}$, $A_2=1.5 \mu\text{m}$, $d=1 \mu\text{m}$, $d_{x1}=0.5 \mu\text{m}$, $d_{y1}=1 \mu\text{m}$, $d_{x2}=1 \mu\text{m}$, $d_{y2}=0.5 \mu\text{m}$, $d_{x3}=1$
 206 μm , and $d_{y3}=1.6 \mu\text{m}$. Fig. 10(a) shows the relationship between P_{out} of x -pol and y -pol and
 207 propagation distance. As shown in Fig. 10(a), when the light at wavelength 1.55 μm enters the
 208 core A, P_{out} of the x -pol and y -pol will periodically change with the propagation distance. When
 209 the propagation distance is 62.5 μm , P_{out} of the x -pol reaches the maximum value, and P_{out} of the
 210 y -pol is close to 0. At this time, the two polarization states can be completely separated. For the
 211 core B, the case is exactly opposite. Due to the ohmic loss of metal, the maximum value of the
 212 normalized power will decrease with the propagation distance 36. Thus, the optimal DC-PCF
 213 PBS length is 62.5 μm . Fig. 10(b) shows the relationship between the ER and wavelength when
 214 the DC-PCF PBS length is 62.5 μm . It can be seen from Fig. 10(b) that the ER reaches the
 215 maximum value of -71 dB at wavelength 1.55 μm , and is less than -20 dB in the wavelength
 216 range of 1.5 to 1.61 μm . The bandwidth of the DC-PCF PBS is 110 nm, covering the whole C
 217 band.



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219
220

Fig. 10. (a) The relationship between P_{out} of the x-pol and y-pol and propagation distance, and (b) the relationship between the ER and wavelength.

221

Table 1. The comparisons between other proposed PCF PBSs and this work.

Reference	PBS length	Bandwidth	ER at 1550 nm
22	1.890 mm	9 nm	-83.2 dB
23	0.175 mm	250 nm	-80.7 dB
25	1.0 mm	280 nm	-83.6 dB
26	1.746 mm	47 nm	-60 dB
Error! Reference source not found.	830 μm	20 nm	-60 dB
[42]	254.6 μm	560 nm	-111 dB
This work	62.5 μm	110 nm	-71 dB

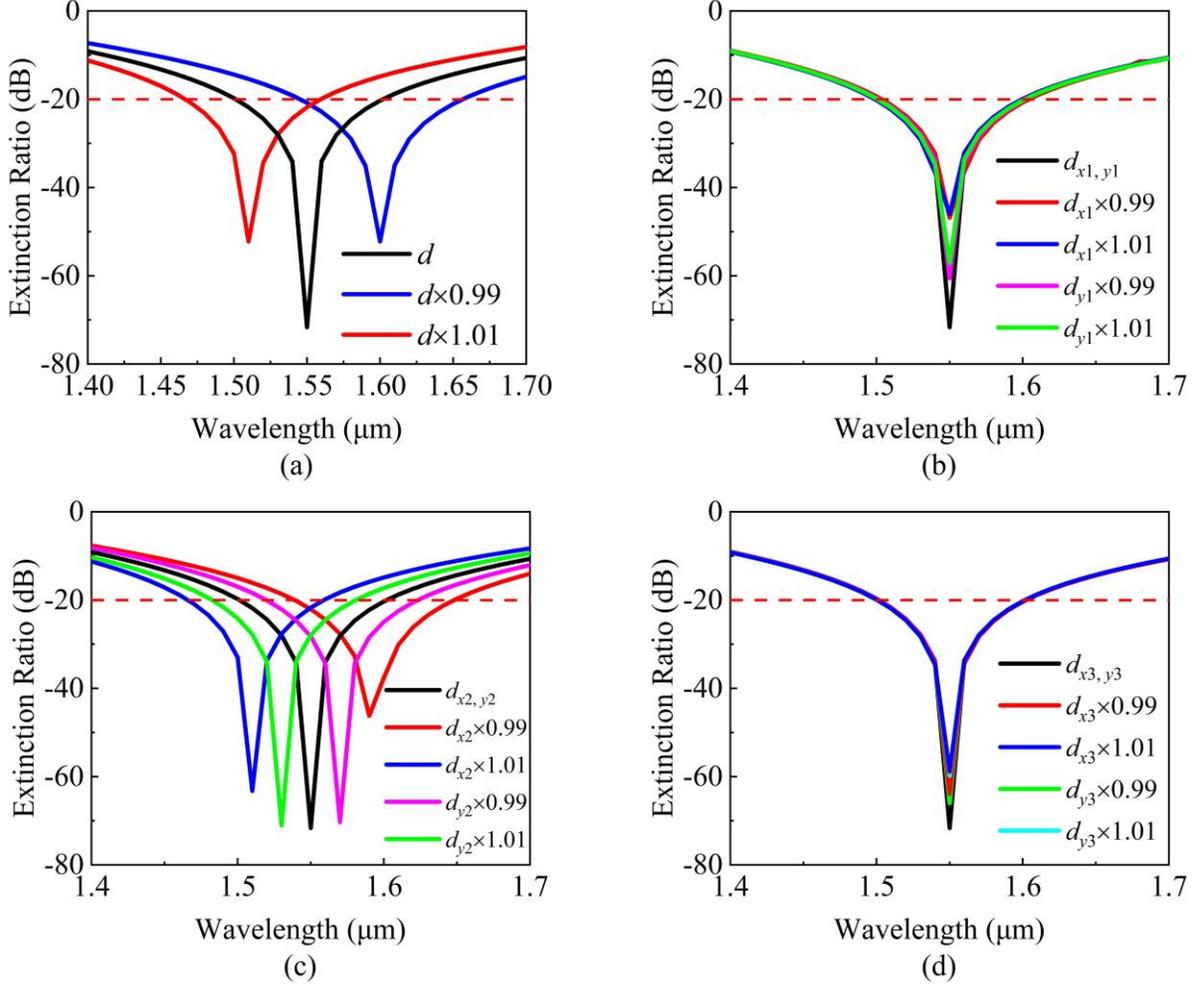


Fig. 11. The relationships between the *ER* and wavelength under $\pm 1\%$ error of (a) d , (b) d_{x1} , d_{y1} (c) d_{x2} , d_{y2} , and (d) d_{x3} , d_{y3} .

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Table 1 shows the comparisons between other proposed PCF PBSs and this work [37-42]. It can be concluded from Table 1 that although the bandwidths of the PBSs proposed in Refs [38, 39, 42] are slightly wider than that of this work, the DC-PCF PBS proposed in this work has the shortest length. This is more conducive to the development of photonic integration. Moreover, the bandwidth and *ER* are also good. Finally, we will consider the fabrication tolerance of the proposed DC-PCF PBS. We investigated the dependence of the *ER* on each structure parameter under the fabrication tolerance of 1%. As shown in Fig. 11(a), when d is reduced or increased by 1%, the bandwidth is red-shifted to 1.54 - 1.64 μm or blue-shifted to 1.46 - 1.56 μm . The DC-PCF PBS can still work well. As shown in Figs. 11(b) and 11(d), when d_{x1} and d_{y1} , and d_{x3} and d_{y3} are reduced or increased by 1%, only the *ER* at wavelength 1.55 μm is changed, and the bandwidth of the proposed DC-PCF PBS does not change. As shown in Fig. 11(c). when d_{x2} and d_{y2} are reduced by 1%, the bandwidth is blue-shifted to 1.53 - 1.65 μm and 1.51 - 1.63 μm , respectively. When d_{x2} and d_{y2} are increased by 1%, the bandwidth is red-shifted to 1.43- 1.57 μm and 1.41- 1.57 μm , respectively. Therefore, the proposed DC-PCF PBS has good fabrication tolerance.

240 4. Conclusion

241 In conclusion, we design an ultra-short DC-PCF PBS based on the SPR effect. The performance
242 of the proposed DC-PCF PBS is optimized through adjusting structure parameters. The *CLR* at
243 wavelength 1.55 μm is very close to 2. The optimized DC-PCF PBS length is 62.5 μm , the *ER* at
244 wavelength 1.55 μm is -68.76 dB, and the bandwidth is 110 nm (1.5 - 1.61 μm), which can cover
245 the whole C band. The proposed DC-PCF PBS has ultra-short length, large bandwidth, and high
246 *ER*, and can find important application in the micro-optical system.

247 Disclosures

248 The authors declare no conflicts of interest.

249 Acknowledgement

250 This work is supported by National Key Research and Development Project of China
251 (2019YFB2204001).

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391 **Captions List**

392 **Fig. 1.** The cross-section of the proposed DC-PCF PBS.

393 **Fig. 2.** The effective refractive indices of the x -pol odd and even modes, y -pol odd and even
394 modes, x -pol and y -pol first SPP modes, and zero SPP mode calculated as functions of
395 wavelength.

396 **Fig. 3.** The mode field distributions of the x -pol odd mode, x -pol even mode, y -pol odd mode,
397 and y -pol even mode calculated at wavelengths (a) 1.05 μm and (b) 1.55 μm .

398 **Fig. 4.** The relationships between the CL_x , CL_y , and CLR and d .

399 **Fig. 5.** The relationships between the CL_x , CL_y , and CLR and (a) A_1 and (b) A_2 .

400 **Fig. 6.** The relationships between the CL_x , CL_y , and CLR and (a) d_{x1} and (b) d_{y1} .

401 **Fig. 7.** The relationships between the CL_x , CL_y , and CLR and (a) d_{x2} and (b) d_{y2} .

402 **Fig. 8.** The relationships between the CL_x , CL_y , and CLR and (a) d_{x3} and (b) d_{y3} .

403 **Fig. 9.** The relationships between the CL and CLR and wavelength, (a) without gold wires, and
404 (b) with gold wires.

405 **Fig. 10.** The relationship between P_{out} of the x -pol and y -pol and propagation distance, and (b)
406 the relationship between the ER and wavelength.

407 **Fig. 11.** The relationships between the ER and wavelength under $\pm 1\%$ error of (a) d , (b) dx_1 , dy_1
408 (c) dx_2 , dy_2 , and (d) dx_3 , dy_3 .

409 **Table 1.** The comparisons between other proposed PCF PBS and this work.