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# Acousto-Pi: An Opto-Acoustofluidic System using Surface Acoustic Waves controlled with Open Source Electronics for Integrated In-Field Diagnostics

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**Abstract**—Surface acoustic wave (SAW) devices are increasingly applied in life science, biology, and point-of-care applications due to their combined acoustofluidic sensing and actuating properties. Despite the advances in this field, there remain significant gaps in interfacing hardware and control strategies to facilitate system integration with high performance and low cost. In this work, we present a versatile, and digitally controlled acoustofluidic platform by demonstrating key functions for biological assays such as droplet transportation and mixing using a closed-loop feedback control with image recognition. Moreover, we integrate optical detection by demonstrating *in-situ* fluorescence sensing capabilities with a standard camera and digital filters, bypassing the need for expensive and complex optical setups. The Acousto-Pi setup is based on open-source Raspberry Pi hardware and 3D printed housing, and the SAW devices are fabricated with piezoelectric thin film on a metallic substrate. The platform enables the control of droplet position and speed for sample processing (mixing and dilution of samples), as well as the control of temperature based on acousto-heating, offering embedded processing capability. It can be operated remotely while recording the measurements in cloud databases towards integrated in-field diagnostic applications such as disease outbreak control, mass healthcare screening and food safety.

**Index Terms**—Surface acoustic waves, integrated acoustofluidics, open-source electronics, feedback control, point-of-care diagnostics, fluorescence imaging, piezoelectric thin film.

## I. INTRODUCTION

In order to manage disease outbreaks and reduce its rapid spreading; fast, accurate and affordable diagnostics techniques are needed. The most established techniques for medical diagnostics based on nucleic acid (DNA and RNA) detection are polymerase chain reaction (PCR) and loop-mediated isothermal amplification (LAMP) [1]. Both PCR and LAMP facilitate biological reactions that result in exponential amplification of targeted DNA for a subsequent detection step [2]. In these methods, the biological sample from the test subject is processed with a series of reagents in regulated protocols for DNA extraction, amplification and detection, which require microfluidic functions such as mixing,

dispensing and heating. For DNA detection, the reagents produce a bound marker that is typically fluorescent to be optically measured in real time during the amplification reaction. The luminescence level results in a positive or negative detection which is based on a predetermined threshold value. PCR is widely accepted as the gold standard diagnostic method of detection of viral DNA/RNA, including COVID-19 [3], whereas LAMP is emerging as an alternative technique that offers comparable performance [4]. PCR requires thermal cycling processes between 60 to 90 °C for around 40 cycles [5][6], while LAMP is an isothermal process, commonly involving a constant temperature of 65 °C in the region of twenty to thirty minutes [7][8][6].

Surface acoustic wave (SAW) technology has found a wide range applications including radio frequency (RF) filters in telecommunications, sensing, acoustofluidics, lab-on-a-chip (LOC), and biomedicine applications[9][10][11][12][13]. SAW technology has been used to realise PCR and LAMP functions for detection of malaria [14], sexually transmitted pathogens [15] and salmonella [16][17][18][19]. SAWs are generated by applying RF signals to the interdigitated transducers (IDTs) patterned on the substrate of a piezoelectric material. SAW energy can be transferred into a liquid in contact with the device's surface to generate different functions for bio-sampling and biosensing [20][21]. These functions are versatile and allow integrated LOC devices developed for diagnostic assays, tissue engineering, or drug development and delivery applications [22][23][24]. Different acoustofluidic functions in microfluidics, including generation, pumping, splitting, jetting, mixing and heating of droplets have been realised [25]. However, their integration into a single, low cost and efficient platform provided with a simple and low-cost optical detection for biological assays remains a challenge that this work aims to fulfil. This causes practical implications when using PCR to detect and model the spread of a disease outbreak. In current PCR tests, the sample is typically collected in one location, sent (refrigerated) to another, and the test result is usually given 24 hours after the sample is collected. The setup presented here can be used in the field directly, and the test result can be

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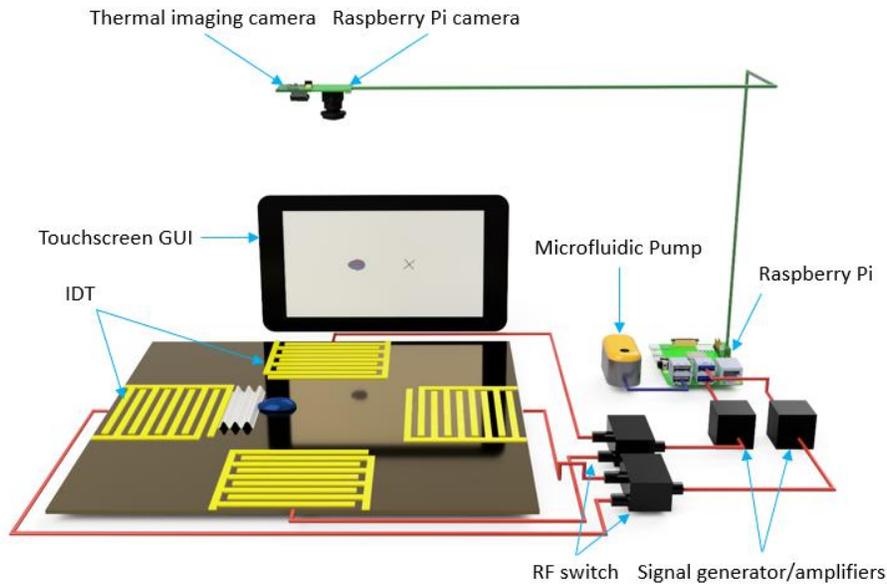


Figure 1 An illustration of SAW platform integrated with a Raspberry Pi controlling signal generators, amplifiers, RF switches, visible and thermal cameras interfaced through a touchscreen.

automatically transmitted to a safe database to study virus transmission and infection rates, attributed to the embedded electronics. Another key aspect is that low-cost PCR machines consist only thermal cyclers, with the detection being made in an endpoint mode using electrophoresis. This requires a skilled operator to run the detection test and interpret the result, which is time consuming and difficult to integrate.

Opto-acoustofluidics is an emerging field that combines acoustics, fluid dynamics and optics. Their combination can be done in several ways depending on the target application and optical sensing technique applied, including but not limited to the photoacoustic effect. For instance, the latter can be used to detect low concentrations of cells inside a droplet, although requiring laser excitation source and optical beam hardware [26]; or using laser sources in combination with optical galvanometers for spatial micrometre resolution [27]. In comparison, the system presented here uses fluorescence-based detection with the off-the-shelf digital cameras and no additional light excitation and related optical hardware. In addition, advanced microscopy setups are currently being developed using open source (Raspberry Pi) platforms ([28][29][30][31][32]). Thus, we envision future applications that will combine such setups with the Acousto-Pi platform.

In this work, we present an opto-acoustofluidic system concept with potential for integration using Raspberry Pi as embedded control unit. The focus of this work has been to demonstrate the suitability of the Raspberry Pi platform to perform closed loop control using digital and thermal cameras in combination with optical fluorescence detection. The results presented here have been employed using two benchtop instruments: a signal generator and an RF amplifier. However, throughout the paper we present alternative components for setup integration.

#### A. Background

Acoustofluidic research applications are starting to be implemented with open-source platforms. For instance, Ultraino [33] is a phased-array system using ultrasound to

suspend samples in a 3D space with applications in holography and 3D particle manipulation. This uses an Arduino Mega with a driver board that connects several ultrasonic transducers. The system is controlled via a computer that runs calculations to map the ultrasonic field, highlighting pressure nodes and then manipulating them to control the position of a sample.

In another electronic interfacing system [19], mobile phones are used to generate and amplify signals using Bluetooth-connected speakers connected to a piezoelectric transducer (e.g., PZT). These acoustic waves are used to induce acoustic streaming in a polydimethylsiloxane (PDMS) chamber. Images are then gathered using the mobile phone in a microscope setup [19].

Another open source acoustofluidic system [34] has developed a low cost multifunction system. This system works with audible frequency range devices controlled with Arduino microcontrollers and it is able to manipulate fluids flowing in microchannels while rotating particles. Multiple platforms have targeted portability as a key part into integrating these devices for real world situations. For instance, handheld acoustofluidics [35] to combat hurdles such as removing the need for benchtop lab equipment, miniaturizing and running the platform with battery power but capable of mixing liquids, nebulization and particle alignment. One system in particular uses a Raspberry Pi to interface ultrasonic transducers [36] that are used to position small scale objects on water or free moving on a surface, however the feedback processing is made on a separate laptop running MATLAB software.

We have chosen Raspberry Pi as a platform for several reasons: compromise between performance and cost; ease of use and availability of Python libraries; possibility to interface and control hardware with the General Purpose Input Output (GPIO) pins; the desktop operating environment (similar to a PC); remote access; embedded signal processing; data storage and transmission to the cloud; and access to a dedicated family of hardware that is periodically upgraded.

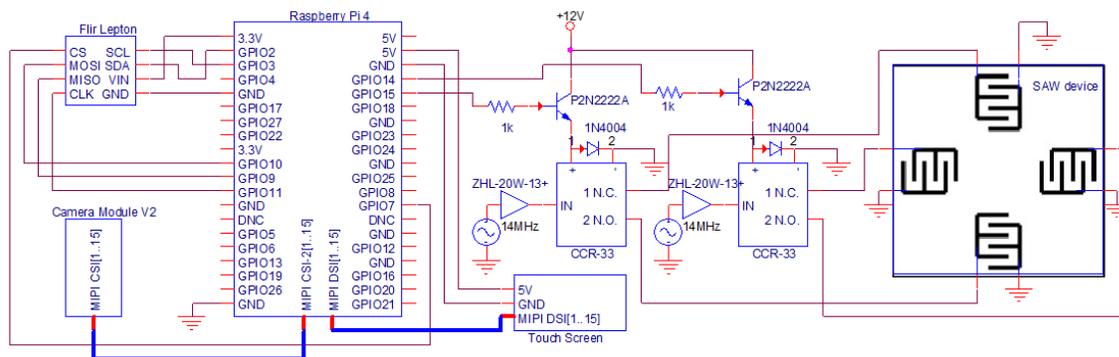


Figure 2 Circuit diagram of Raspberry Pi pinout and SAW control using an RF switch

Arduino, Raspberry Pi and other small microcontroller/PC based systems will become the next stage in progressing towards acoustofluidic application and, especially, LOC and point-of-care (POC). Among these systems, our platform uses Raspberry Pi hardware integrally for interfacing, control and data analysis to control samples on a surface of a SAW device and integrating optical sensing with a standard digital camera. In addition, we use the platform with industrially scalable thin film SAW devices operated in the MHz domain.

Raspberry Pi is also emerging as an alternative for microscopy and fluorescent imaging [29][37][38] that can combine optical and thermal control circuits to image microscopic *Caenorhabditis* cells [29]. For instance, a low cost imaging system for teaching, research and bioengineering is produced using a Raspberry Pi camera, commercial light-emitting diodes (LEDs) and acrylic filters combined with Python modules for data analyses to effectively investigate fluorescent images [37]. We use Raspberry Pi and 3D printed structures with LED diffusion, optical filters and focus adjustment. Using hue saturation and value (HSV) colour model, the selected colour of the fluorescence is found with upper and lower bounds for hue (colour) and saturation (level of grey). The rest of the colour spectrum is digitally filtered from the image using a mask leaving only the fluorescent droplet in the image to be measured based on brightness/intensity.

### B. Acousto-Pi overview

Open source electronics and additive manufacturing are now being used to produce high quality scientific equipment for a low cost [39]. For instance, expensive syringe pumps have been realised with 3D printing and open source libraries, lowering the cost to below £100 [40]. The microfluidic protocol to extract and amplify DNA requires different volumes of components such as enzymes, primers, buffers, or fluorescent dyes. Microfluidic pumps could be employed to administer the microfluidic protocol necessary to run the biological reactions, facilitating further integration and automation. Similarly, in this work we fill the gap in interfacing ultrasonic and optical hardware and control strategies with open source means. Hence, we combine multiple SAW functions, closed-loop control systems and camera-based fluorescent detection into a single acoustofluidic platform.

The platform is integrated with two cameras, e.g., a Raspberry Pi camera and an infrared camera, which can be monitored

simultaneously. The visible light camera is used for droplet position control and fluorescent measurements. The infrared camera is used for contactless temperature measurements (see Figure 1). Taking advantage of Raspberry Pi and Python programming language, with low cost but industrially scalable in-line processing, the system is able to be adaptive and interface with a large range of components and equipment needed for SAW and biological sample testing.

A computer vision library is used for droplet tracking and fluorescence measurements. Finally, Raspberry Pi has been embedded to control signal generators, microfluidic pumps, and thermal imaging cameras to create a multifunctional platform to perform and develop biological assays (Figure 1). We have not included a network analyser in Figure 1 as the resonant frequencies of the SAW devices have been characterised beforehand. Plans are to provide network analyser hardware capabilities using, for instance, a phase locked loop algorithm (as described in reference [35]). We envision that this platform could be employed in the POC systems for emergency situations such as the current COVID-19 pandemic, allowing for mass automated testing linked directly to government cloud databases such as test and trace [41]. In future versions we will consider using the industrial version of Raspberry Pi (Zero) due to its compact design, scale up possibilities and compatibility to Raspberry Pi hardware used.

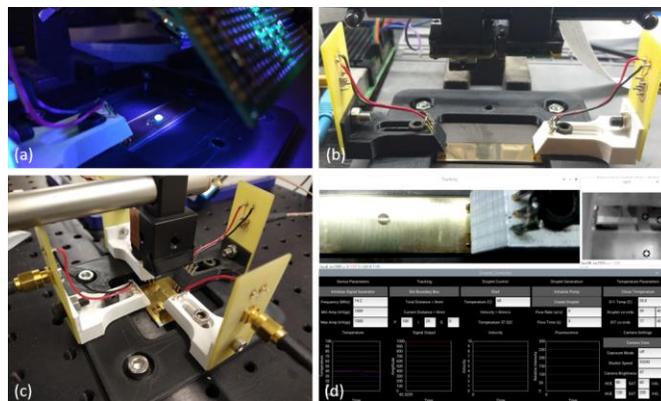


Figure 3 (a) Fluorescent droplet on SAW device (b) single axis two IDT SAW device and 3d mounted cameras (c) 2 axes 4 IDT mounted SAW device (d) GUI as seen on screen in overall setup

## II. DESIGN METHODOLOGY AND PROCEDURES

### A. Hardware

We have developed an electronic testbed to automate the experimental procedures and data analysis. The embedded controller is based on a Raspberry Pi (model 4) due to its relative low cost, versatility, and open source nature. This offers an operating system comparable to a desktop PC, complemented with a dedicated hardware family that we make use, and with GPIO connections to interface electronic sensors and actuators. A Raspberry Pi camera (module V2), Camera Serial Interface (CSI) port, and a touchscreen display (Official Raspberry Pi 7") are used with a Display Serial Interface (DSI) port [42]. The thermal camera (Flir Lepton Series model 2.5) is connected to the Raspberry Pi using I-squared-C ( $I^2C$ ) serial connection for temperature control and measurement. These cameras are used as main monitoring and control inputs for GUI seen in Figure 3(b).

For droplet transport, the SAW devices are excited using sine wave signals from a signal generator (Tektronix AFG1062) and amplifiers (Mini-Circuits ZHL-20W-13+). To control the different SAW devices on the platform (Figure 1), powered RF switches (Teledyne CCR-33S10) are used to switch between four IDTs on two axes (Figure 2). The SAW signals are generated using a virtual serial com port over USB, and Python scripts are written using manufacturer specified Application Program Interface (API) commands to communicate and control the amplitude and frequency output.

For demonstration, a microfluidic pump (Celix, ExiGo microfluidic syringe pump) is connected to the set-up via USB serial (Figure 1) and controlled using select API commands. The syringe pump is triggered to generate a droplet, and the droplet volume is controlled by the flow rate and duration. This is used to create droplets of different sizes, which are then dispensed onto the SAW device surface (Supporting Movie 1). It is worth highlighting that the commercial microfluidic pump used (Celix ExiGo) is extremely over specification for this project with nanofluidic capabilities and many unused API functions. This would be better replaced with a syringe pump built specifically for these applications requirements capable of  $\mu\text{l}$  droplet domain dispensing and further integration with the Raspberry Pi. In future work we will implement an open source pump as described in [40], using a commercial syringe pump (Celix ExiGo) for benchmarking and comparison. It is possible to adjust this pump design to provide virtually any fluidic resolution needed via coupling the 200 step/revolution motor with an adequately sized syringe. For instance, a Hamilton 50  $\mu\text{l}$  syringe with a 60 mm stroke length would allow for the change from the ml/mm to the  $\mu\text{l}/\text{mm}$  scale, as each millimetre movement from the stepper motor would push 0.8  $\mu\text{l}$  from the syringe. This would become the target resolution, making it capable to deliver the 1 to 20  $\mu\text{l}$  droplets needed for reagent dispensing. Laboratory equipment such a signal generators and amplifiers helped to prove the concept in this study. In forthcoming applications, the costs and size of the set-up can be reduced by integrating chip-scale components. Both the detection and the actuation capabilities can be implemented using off-the-shelf chip-level components. For instance, using a direct digital synthesis (DDS) module as a signal generator, such as the AD9851 module (that can be bought for £10) and

that operates from 0 to 70 MHz, which is a suitable range for our application. Alternatively, oscillators can be designed as resonators using well-known typologies such as Colpitts or Clapp oscillators. An integrated RFC1G21H4-24-S gallium nitride (GaN) amplifier (with a cost of \$114) operated with the ADCB-20-82+ bidirectional coupler (cost £7.95) can be coupled together for device adjustment, further integration and to optimise transfer of power. This model is rated with 2W, which would enough to achieve pumping. For heating, where more power is needed, we would investigate higher power GaN amplifiers. For instance, the 10W amplifier HMC1099PM5E from Analog Devices (125€ cost inc. VAT). Its compact IC form makes it ideal for integration purposes. Also, low cost reflectometers can be implemented using RF gain/phase detectors such as AD8302 to measure the spectrum of the device.

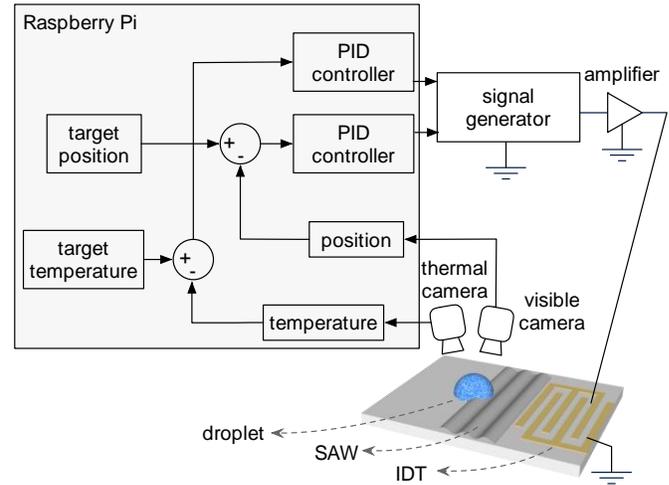


Figure 4 Raspberry Pi Singular SAW Feedback loops and Module connected for droplet position and temperature control

### B. Software

The GUI is implemented using Python programming language, also making use of touchscreen functionalities for more interactive user control. Via the GUI, the Raspberry Pi interfaces signal generators, RF amplifiers, microfluidic pumps, thermal imaging, and visible light cameras. Both droplet tracking and fluorescence measurements are performed using the same Raspberry Pi standard digital camera.

As a starting point, SAW operations are implemented to control droplet position, mixing and heating. Open source OpenCV library [43] is used for object tracking. The algorithm contains functions aimed at real time image analysis and manipulation, with simultaneous image detection and tracking. OpenCV library offers eight options for object tracking algorithms. Among these options the Kernelized Correlation Filter (KCF) [44] appears to be the best all-round tracker, offering a good compromise between accuracy and speed. A PID controller is then implemented using the KCF tracking in combination with Python off-the-shelf libraries. The PID controller uses the position/velocity calculated from Raspberry Pi camera as the input control value. In the Supporting Movie 1, we have shown a side view of the selected droplet (marked with a blue bounding box) and the target position (marked with the green

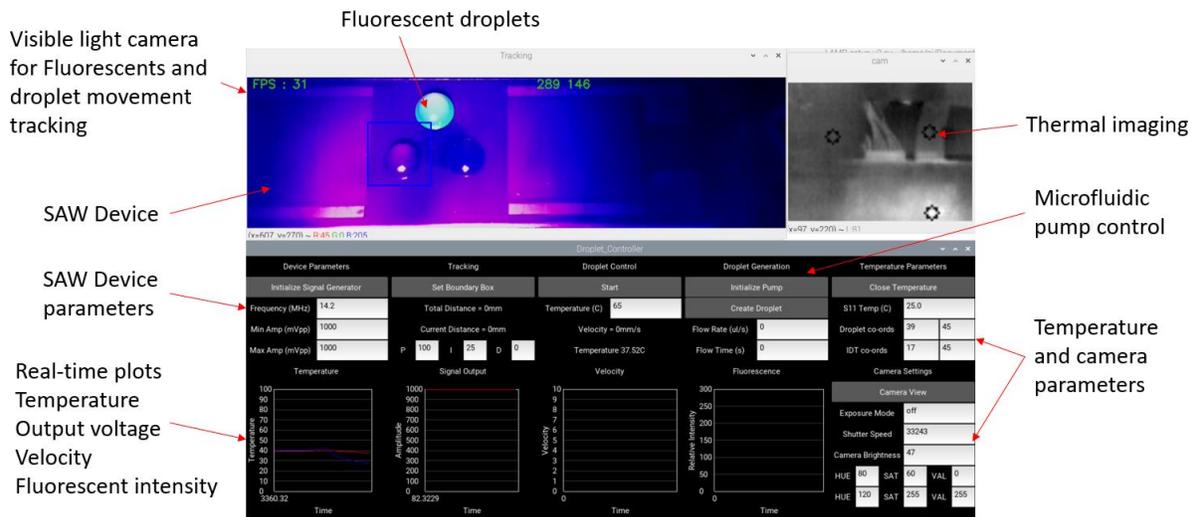


Figure 5 Print screen of the GUI with fluorescence measurements

dot). The distance between the droplet and the target position along both the  $x$  and  $y$  directions are defined as the distance errors. In this study, the control system operates with a maximum of 30 frames per second. The precision of the PID controller is theoretically determined by the frame rate. This can have moderate fluctuations due to the computational limitations whilst running the video input stream, object tracking, PID controllers and the GUI simultaneously, causing a varied sample rate for the input of the PID controller. We have however demonstrated that the current sampling rate is adequate to perform all the microfluidic functions needed to implement diagnostics with SAW. The real-time capability of the KCF tracking is limited by the Raspberry Pi platform and the Python programming to a frame rate to between 20 and 30 frames per second (the KCF can otherwise run at hundreds of frames per second). As the platform Raspberry Pi is periodically updated on hardware level, the concept presented here will hold its validity for future hardware upgrades.

A thermal camera is also used and allows for temperature control in a similar manner to droplet movement. The thermal camera output relays values from the droplet to another PID controller which is able to adjust the power until a target is met and maintained. Both cameras and mounted 3D printed components are positioned above the SAW device (Figure 1). For fluorescence detection, a digital filter is created using Hue Saturation Value (HSV) colour model. The functions of both physical optical filters and digital filters are to allow specific wavelengths of light to pass through. The digital filter uses the HSV colour model to create a black and white mask that is then combined with the original image. The white part of the mask is where the original image is shown, and black stays its own colour. To decide what will pass through to create the white section, the three variables Hue, Saturation and Value are used. Hue is the colour wheel consisting of the primary (red, blue, and yellow) and secondary colours (orange, green, violet) in another wheel. This is a single value to represent any colour from the visible spectrum. Saturation is the intensity of that colour starting from no intensity of white. Value refers to the brightness of the colour. Using a combination of these values, upper and lower boundaries are then set-up to create a mask.

Once masked, the image is then converted to grayscale to record the brightness value. A similar grayscale technique has been used in a PCR setup using a smartphone camera [45]. Hence, using this concept in Python, it is possible to mask out every other colour other than the selected range. This is highly advantageous as it allows the optical detection via conventional Raspberry Pi without the need of physical optical filters or any other advanced optical techniques, thus reducing the complexity and cost of the optical setup without jeopardising the fluorescent detection. The upper and lower bounds are set so that only the selected colour is allowed to pass through. We keep the camera parameters, such as the gains and white balance the same in every test in an effort to keep consistency. The parameters described can be effectively tuned to mask the desired wavelength and bandwidth. These bounds allow the filter to be tuned to match the fluorescence output of any dye chosen. Saturation is the measurement of white in the colour. The masked image is then converted to grayscale and the brightness is recorded.

The setup presented here can be used in other applications involving SAW. The API protocols could be further developed to produce commands to enable the control GUI to set the frequency of a SAW device, connect with a camera to track a droplet or set a target temperature among others. It is then possible to combine all related hardware into a new Python library for acoustic wave control.

### C. PID control design for liquid actuation and temperature control

The droplet control system is designed to fulfil microfluidic protocols for DNA amplification, including droplet transport, liquid dispensing and temperature control. For example, in DNA amplification applications, a droplet is positioned on a desired location for sample treatment, which requires such microfluidic functions. Due to variations in micro/nano fabrication process, SAW power, frequency, surface of the device and its treatment all influence the transportation performance of a droplet, which is also varied by the sample's density and viscosity. Therefore, it is important to dynamically adjust the control parameters of these variables while

maintaining the desired outcome for position control of the droplet.

The block diagram of the designed closed loop system is shown in Figure 4. A standard Raspberry Pi camera is used to produce a live image feed of the droplet on the surface with a top view. The KCF tracker works first by the assignment of a bounding box that the user sets around the object, which is initially placed approximately in the middle range for tracking speed and accuracy. The tracker takes a point from the box and calculates the motion by analysing the changes between frames. Once the new point is determined, the box is recreated in the new co-ordinates. Once the user has selected the droplet position, the droplet will move to the target destination. The co-ordinates of the box centre are then calculated and recorded. Once the droplet has been moved, the co-ordinates are recorded again, and the velocity is calculated based on the time between positions and the number of pixels in which the droplet is travelled (see Supporting Movie 2).

The controller updates (either increase, maintain or decrease) the power outputs of the radio-frequency input signal on the forward line to maintain the target velocity and to relocate the droplet's new position, hence minimising the distance error. The loop process operates in real time and is terminated when the distance errors in both  $x$  and  $y$  directions becomes zero when the droplet has reached the target position. If the droplet is overshooting to another side, then the RF power will be applied to the opposite IDTs (see Supporting Movie 3), so that the droplet can be driven back to the targeted position. Using this method, we can easily control the lateral position of the droplet (Figure 1), which has both the sample control method and separate PID controllers with nearly identical parameters in the embedded system running in parallel. A standard PID controller [46] is set to maintain a target velocity.

The PID controller used for both droplet position and temperature is expressed using the following equations.

$$e(t) = SP - PV \quad (1)$$

An error  $e(t)$  is produced by equation (1) and used as the PID controller input.  $SP$  is the set point which is the target value of temperature or velocity and position. The process variable  $PV$  is the current value acquired by the thermal and visible cameras.

$$u(t) = K_p e(t) + K_i \int e(t) dt + K_d \frac{de(t)}{dt} \quad (2)$$

The proportion gain  $K_p$  is used for a fast response getting close to the set point. The integral gain  $K_i \int e(t) dt$  is used to reduce the steady state error created by the proportional gain. Derivative gain  $K_d \frac{de(t)}{dt}$  is also used to reduce overshooting and increase stability around the target value.

The position PID controller is implemented using the Python library "simple-pid" [47]. To use this module, the input parameter is set as velocity, and the target is chosen as well as output upper and lower limits, which help to protect the SAW device. Then the proportional, integral and differential gain values are set to reduce the time that it takes for the droplet to overcome the friction force on the surface and start moving while reducing over-shooting oscillation around the set target

velocity. The gain values depend also on the volume and contact angle of the droplet to the surface, which is dependent on the surface treatment. The platform allows for further self-tuning PID values by using machine learning Python libraries, which will be considered in future work.

The temperature PID controller is also implemented with "simple-pid" library. Temperature control is established by implementing a PID controller with infrared camera readings as input process variable and SAW device supply as output. The thermal camera, calibrated with a separate infrared camera setup, is used for monitoring temperatures. The thermal camera is connected via the GPIO pins and is communicated using Serial Peripheral Interface (SPI). The position of the droplet is selected and the temperature values from the camera are used to continuously monitor the sample at 8 Hz. Once the user starts the assay, the temperature is fed into the PID which sets the power sent to the SAW device to aim for the set point desired temperature in a similar way as the position control.

An inherent property of piezoelectric transducers is the dependency of their resonant frequency to their temperature due to material properties. The dependency is measured with the temperature coefficients of frequency (TCF). Due to the thermal effect [48], the frequency is shifted as the temperature of the SAW device changes. We use the thermal imaging to feedback the temperature value of the IDT surface a long side the droplet and use the TCF equation described in Ref. [48] to calculate and compensate the new frequency signal applied, matched with the new resonant frequency. Every time the droplet temperature is measured, the surface is recorded and used to calculate the adjusted frequency.

$$F_{new} = F_0 + \Delta F \quad (3)$$

where  $F_0$  is the device frequency measured at room temperature before starting the assay, and  $\Delta F$  is the change in frequency.

$$\Delta F = TCF \cdot \Delta T \cdot F_0 \quad (4)$$

where  $TCF$  is the temperature coefficient of the frequency for the device, and  $\Delta T$  is the change of temperature.

#### D. Fluorescence detection

In PCR or LAMP assays, the targeted DNA strands are typically multiplied while producing chemiluminescence signals. The detection of a positive sample is replicated by measuring the luminescence of fluorescent dyes. In this study, a liquid solution of quinine is employed for fluorescence measurement testing. Quinine contains phosphorous, a material that emits light when exposed to 300 nm to 400 nm wavelengths of the electromagnetic spectrum. When the ultraviolet light is absorbed in the quinine, the substance changes into blue colour. A UV LED was used for this purpose (i.e. UV5TZ Series UV LED Array, 385 nm 20 mW, 15 °, 2-Pin Through Hole from manufacturer Bivar).

Using computer vision to process the visible light camera, the brightness of the sample is monitored and displayed on the GUI. The excitation source for fluorescence is a conventional 5 mm diameter LED, which meets the specific wavelength emission required for the dye chosen. For example, the Synergy Brands Inc (SYBR) green is a commonly used fluorescent dye that

binds to the target DNA [5]. The dye requires an excitation light source with a wavelength of 497 nm, causing the sample to emit light with a wavelength centred at 520 nm [49]. Then, a blue LED that has the dominant wavelength of 480 nm that is close to the most efficient excitation wavelength (4 V Blue LED 5 mm Through Hole, Cree C503B-BCN-CV0Z0461) can be used. The visible light camera monitors the light emitted from the same known as the excitation wavelength.

#### E. SAW device fabrication and surface treatment

The electronic setup is designed to be used with any SAW device. In the results presented here, we make use of thin-film based SAW devices. Thin film SAW devices, i.e., those based on ZnO/Al and AlN/Si substrates, do not exhibit the problems of bulk substrates such as in-plane anisotropic piezoelectric properties, inflexibility, brittleness, and difficulty to control/realize different wave modes [50]. Thin film SAW presents additional advantages such as hierarchical nanotexturing on a variety of substrates [51]. Ultra-low cost can be achieved with scaling up volume production industrially, although to achieve thicker film it will take longer deposition times and potential film stress issues. Previous SAW-based DNA amplification works [14][15] used crystal based piezoelectric devices (such as LiNbO<sub>3</sub>), which are brittle and costly. Thin film SAW has also recently been demonstrated as efficient microheaters [52]. Herein this study, we used ZnO/Al sheet SAW devices [51][53].

Piezoelectric ZnO thin films were deposited onto Al plate (1.6 mm thick) using direct-current (DC) magnetron sputter coating techniques. A zinc target with 99.99% purity was used for the deposition, with an Ar/O<sub>2</sub> flow ratio of 10/15 sccm. The DC power was 400 W, and the gas pressure was  $4 \times 10^{-4}$  mbar. The sample holder was rotated during the deposition to achieve uniform films with a rate of 5.6 nm/min. The thickness of ZnO thin films was  $\sim 10$   $\mu$ m. This relatively thicker film is used to have a good piezoelectric effect to generate strong acoustic waves for microfluidic operations.

The interdigital transducers (IDTs) are composed of sputter-coated Al with a thickness of 200 nm prepared through a conventional photolithography and lift-off process. The IDTs have a wavelength of 200  $\mu$ m, with 50 pairs of fingers and an aperture of 5 mm. The Rayleigh wave frequency of the SAW devices is measured as  $\sim 14.3$  MHz. This frequency is chosen so that there is no significant damping effect of waves when compared to higher frequency devices. The surface of the device is treated using biocompatible fluoropolymer coating CYTOP® solution (L-809A from AGC Chemicals) for hydrophobic coating. The solution is applied onto the top surface of the SAW device via dip-coating method and heated to 180 °C for 10 min. A vector network analyser (Keysight Fieldfox portable vector network analyser, N9913A 4GHz) is used to measure the reflection spectrum  $S_{11}$  of the devices (see supporting information Figure 1).

### III. RESULTS AND DISCUSSION

#### A. Acoustofluidics for integral and remote droplet position control

We demonstrate the remote-control functions of generation, pumping, moving, and mixing of single and multiple droplets, applied in a sequential order. We also test the performance of the setup in inclined angles, and we test transport droplets in multiple directions to any location on a surface using multiple PID controllers in the lateral plane. During these movements, a target speed is set.

Figure 6 (a) shows one example of the targeted velocity, measured velocity and the power sent to the SAW device. The PID controller sets the output voltage generated from the signal generator which is amplified and transmitted. After the initial transient, the velocity oscillates around the target velocity with moderate power oscillation. The PID helps to keep momentum in the droplet as it travels across the device, preventing the significant changes of velocity in the event of a non-uniformly treated surface. In this typical example the time takes 5 seconds, and the overshoot is 20%. Once settled after 13 seconds, the steady-state error of movement is  $\pm 0.2$  mm/s. The droplet will usually stop within 0.5 mm of the destination.

Figure 6(b) represents an example of droplet movement in  $x$  and  $y$  positions over 5 seconds. Separate signal sources and PID controllers are used with multiple IDTs allowing the droplet to be propelled diagonally. This was used and recorded with potential touchscreen use in future. In supporting videos (Supporting Movie 4 and 5) when the user clicks (or places the finger on the screen) a trajectory green line appears between the droplet and the cursor location. As the droplet moves both  $x$  and  $y$  axis values are represented as the example shown in Figure 6(a). Note that in references [14][15] single assays are performed using only one IDT as the fluidic dispensing protocol is set to be sequential. The 4 electrodes controlled by the setup here could be re-arranged in a different configuration (e.g., in parallel lines instead of in a cross) to have 4 assays running in parallel. This might be the easiest way to multiplex the system's operation. Figure 6(c) shows the obtained powers required for transportation of droplets along inclined surfaces with different inclination angles from 15° to 90°. It should be noted that the hierarchical nanotexturing fabrication (as in [51]) exploits a unique combination of shear hydrophobicity and tensile hydrophilicity. The obtained nanostructured surfaces are, at the same time, both slippery (low in-plane pinning) and sticky (high normal-to-plane liquid adhesion), which allow droplets to sit in inclined (or even vertical) angles. The aim is to demonstrate in-field operation, where there might not be flat surfaces to accommodate the setup, and also demonstrate that the controller adapts its PID values in un-even surfaces. The set distance is 10 mm, the target velocity is set as 1 mm/s and the volume of droplet is 2  $\mu$ l.

Finally, we have further investigated the ability to control liquids with various rheological behaviours, which could be explored for further biological applications such as separating plasma from whole blood [54] or cell sorting [55]. Different types of Newtonian and non-Newtonian fluids are used in the experiments. Most experiments are performed using DI water with viscosity and density of 1.0016 mPa.s and 995.0 kg/m<sup>3</sup> respectively. We also tested 17.388 (wt%) aqueous glycerol solution to investigate the effect of higher viscosity for

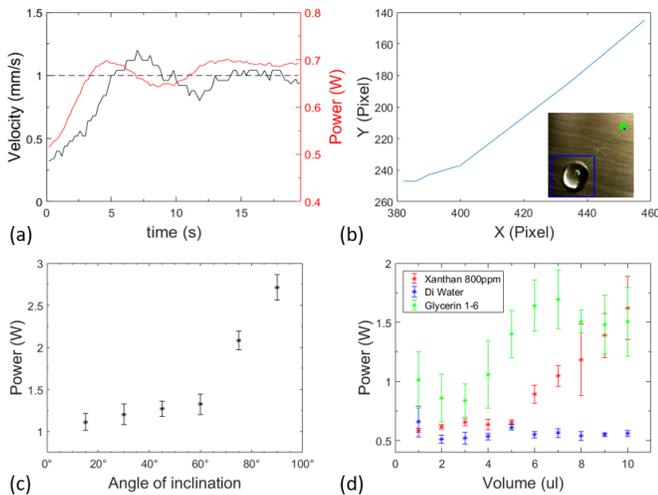


Figure 6 (a) 10ul droplet movement (b) Droplet movement using multiple IDTs (c) droplet movement on increased substrate inclination angle (d) effect of viscosity on droplet movement

Newtonian fluids. The kinematic viscosity and density of this solution are 1.5631 mPa.s and 1039.8 kg/m<sup>3</sup>, respectively. To simulate the non-Newtonian behaviour of liquids such as blood, droplets of Xanthan solution (molecular weight, Mw ~ 10<sup>6</sup> g.mol<sup>-1</sup>, Sigma Aldrich) [56] with concentration of 800 (ppm w/w) and density 995.4 kg/m<sup>3</sup> are used in the experiments. The power law ( $\mu = 0.076\dot{\gamma}^{0.51}$ , where  $\dot{\gamma}$  is the shear rate in the flow) is used to calculate the viscosity of the solution.

Figure 6(d) shows the average powers required for different types of liquids to be transported at a designed velocity of 1 mm/s. The power values are measured at the connection to the SAW device from the amplifier output, where we report the power measured at the output of the amplifier. The data from the pure water droplet is used as a reference. The data for the Newtonian fluids with higher viscosities show that by increasing the liquid viscosity, the minimum power to start the droplet motion is increased. Furthermore, higher powers are needed to keep the droplet moving with the consistent velocity. Another interesting result is the dependency of the minimum power to the droplet size. Since Xanthan solution is a shear-thinning liquid, due to the generation of an internal streaming field within the droplet (and increasing the shear rate), the viscosity of the liquid is decreased. Thus, less energy is dissipated by liquid viscosity and the droplet can be transported using a lower power on the surface. The internal streaming velocity field is more intense for smaller droplets and thus lower powers are needed to move the droplet. Due to the fabrication process and the hydrophobic surface treatments, SAW devices have variations in frequency and peaks. These two factors (device fabrication and surface treatment) influence the efficiency of the device. The differences in device efficiencies are observed even in the same batch. This is a common issue in micro/nano fabricated products, and in commercial applications this is resolved with the inclusion of a calibration step.

We further demonstrate the mixing functions for remote control of biological or blood dilution washing. One of the examples for droplet mixing is shown in Figure 7. One droplet with red polystyrene is transported to move and mix with another one of pure DI water. To mix fluids thoroughly the distribution of the

particles on the droplet is monitored using the digital camera. The duration of the mixing is set arbitrarily to the point where the microparticles appear homogenously distributed in the droplet. This mixing protocol can be adjusted and optimised based on the application at hand. The droplet of DI water is chosen as the tracking boundary and the droplet with red polystyrene as the destination. The DI water is pumped to the droplet with red polystyrene and two droplets are mixed into one larger droplet. With further IDT actuators combined with additional processing capabilities, it is possible to scale the system to track several droplets to transport or mix/dilute. In order to control and move multiple droplets independently the SAW devices could be manufactured with an increased number of IDTs on the 2D plane creating a matrix in which several droplets can be actuated at the same time.

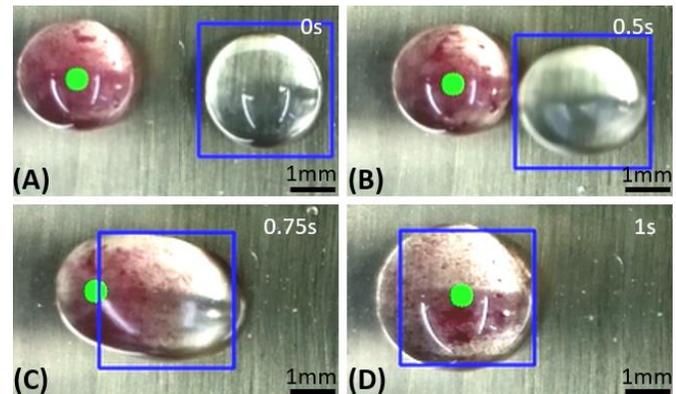


Figure 7 Droplet mixing of microparticles (a) no movement (b) 0.5 seconds into movement (c) droplet merging (d) droplet mixing

## B. Temperature control

This section demonstrates thermal cycling for PCR and LAMP reactions. For this we use a sessile droplet of DI water with volumes of 10  $\mu$ l. These droplets are dispensed onto the surface where their locations are found, the target temperatures and control parameters are set.

Figure 8 (a) shows the differences in temperatures between the IDT surface and the droplet in front. The peak-to-peak voltage in the  $x$  axis refers to the input at the amplifier. This shows the energy transfer into the droplet and enabling the adjustment of the IDT frequency to maintain efficiency as shown in Figure 8 (d). This opens up the possibility to control droplet temperature without thermal camera need, using the surface temperature or even the frequency shift and the TCF equation to calculate the temperature at the droplet.

In LAMP reactions, the sample is typically heated to 65°C for a space of 20 to 30 minutes. Figure 8 (b) shows an example of reaching and maintaining 65°C, demonstrating the control function of droplet heating using the PID controller described in section 2.2. The values used are a proportional gain of 100 and an integral gain of 25. The differential gain in this case is set to 0 making this currently a PI controller. The droplet is heated to a temperature to 65°C for 2 minutes. The time taken to reach the target temperature from 30°C takes 35 to 40 seconds. The settling time takes a further 10 to 20 seconds with an overshoot of 2%. Once at temperature, the algorithm is able to stabilise and maintain the temperature with an accuracy  $\pm 0.3^\circ\text{C}$ . The accuracy of the temperature is similar to that of a

certified thermal cycler. LAMP reactions operate most efficiently between 60°C and 70°C making this platform easily suitable for DNA amplification assays.

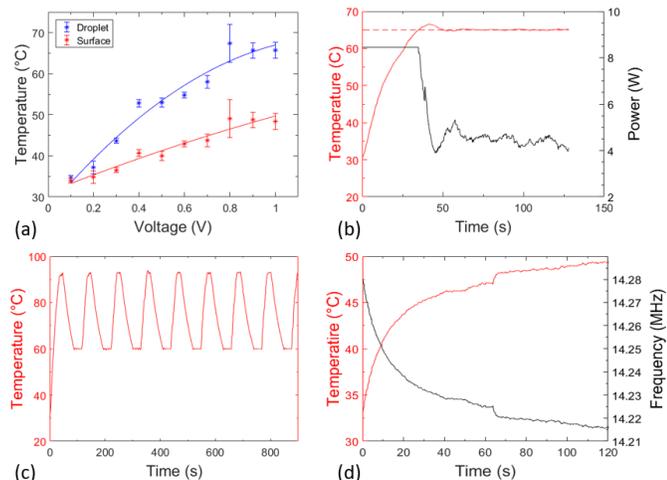


Figure 8 (a) Temperature recordings for droplet and surface using a constant input voltage (peak-to-peak) (b) Example of droplet temperature control (simulated LAMP thermal process); (c) Example of droplet temperature control (simulated PCR thermal cycling), (d) example control of frequency over time

Figure 8(c) is an example of PCR temperature cycling (60°C to 90°C). In a PCR reaction this routine lasts 40 cycles. The precision of this temperature control allows for PCR to function and is capable of holding set points for 20 to 30 seconds. The set point can be automatically timed and changed as part of the Python program feeding into the PID controller.

For both Figures 8(b) and 8(c) the IDT is driven using the Rayleigh resonant frequency, which for the device employed (standard electrode design with  $\lambda=200 \mu\text{m}$ ), this is in the order of 14 MHz. This resonant wave mode can be employed for both heating and pumping; hence a fine balance needs to be established between heating performance and droplet integrity. During thermal cycling in Figure 8(c) we place the droplet in a single spot that has not been hydrophobically treated, which helps with anchoring the droplet. Moreover, to avoid droplet evaporation we coat this with mineral oil as similar to reference [14]. In the future we might investigate if heating performance can be improved by using two IDTs. In principle this would allow to perform thermal cycling without an anchoring spot. However, two PID controllers would need to be actuated in parallel while balancing the microfabrication tolerances between the electrodes, which influence the resonant peak region and depth.

### C. Fluorescent relative intensity

Fluorescent measurements are investigated using our system using various concentrations and droplet sizes. We measure the input grayscale brightness (Relative Intensity) using the Raspberry Pi visible light camera based on our developed platform. By controlling the concentration of quinine, we show that the droplet fluorescence signals under the 385 nm UV (ultraviolet) light can be monitored.

Figure 9 (a) shows the fluorescence signals of droplets with different volumes, which show varied fluorescent levels. Adjusting the volume of the droplet affects the recorded

brightness obtained by the camera. This variation is a maximum of 15%, because of the differences in the areas to absorb UV light and emit blue light. As the concentration of quinine is increased, the measured fluorescence signal is increased. As the solution is further diluted with DI water below 20 ppm, the fluorescence intensity begins to rapidly decrease to 8 ppm (e.g., 10% of the initial quinine concentration). At this point, with the current camera and settings, it can no longer distinguish the droplet from the pure DI water.

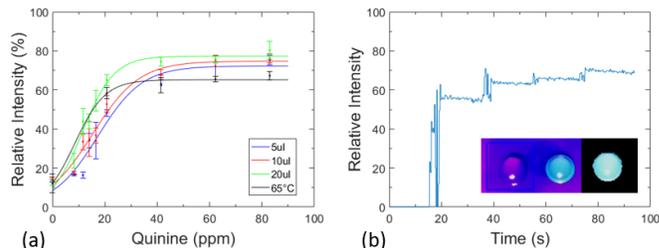


Figure 9 (a) Fluorescence concentration and relative intensity, measured at room temperature and 10 $\mu\text{l}$  at 65°C; (b) example mixing of fluorescent droplets over time.

Figure 9 (b) shows measurement results for the real time mixing. Starting with 5  $\mu\text{l}$  DI water, the digital filter detects no value. When a 5  $\mu\text{l}$  of Quinine solution is mixed with the water driven by the SAW from one side, the brightness increases. The growth of intensity is more significant for the first mixing of solution, and further introduction of solutions results in stagnated increase of intensity (because of the increase of total volume of the droplet). There are noise signals introduced as the droplets merge and mix before settling. Quinine represents the DNA amplification in the testing of this system. Increasing the quantity in the solution also begins to reach a point where further increasing the concentration does not yield further fluorescent intensity.

## IV. CONCLUSIONS

In this work we have presented Acousto-Pi: a versatile and adaptable opto-acoustofluidic platform with embedded open-source electronics for biological assay applications. The platform can be used to interface and control any SAW device. Using thin film SAW devices, we simulate a biological reaction for DNA amplification by showing all the specific steps that an opto-acoustofluidic system needs to achieve. We have demonstrated the ability to control and monitor the motion of droplets of several sizes, allowing for transportation and mixing of reagents. Utilizing a similar feedback control loop, the temperature of the samples can be set to a range of target values. These temperatures can be maintained with multiple different droplet sizes. Apart from the ability to manipulate droplets, digital optical fluorescent measurements are also implemented, resulting in a combined acoustofluidic and optical sensing platform. The setup is an adaptable system that can be adjusted to be used on different assays, including variations in target temperature, volume or type of reagents and samples used. The platform also compensates for SAW device fabrication and surface treatments, as well as temperature induced resonant frequency shifts. Using open-sources technology additional functions can be included, allowing for a large amount of

modularity without a large increase in complexity. The platform provides embedded computation which can be used for data storage, cloud transmission and further applications using machine learning algorithms.

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