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1 TOWARDS THE DEVELOPMENT ON NEW HIGH CAPACITY
2 TENSIOMETERS CAPABLE OF MEASURING SOIL MATRIC SUCTION
3 BEYOND 3 MPa

4

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10

11 **ABSTRACT**

12 High capacity tensiometers (HCT) are the only type of sensors in existence that can measure soil matric
13 suction directly and are regarded to be the most accurate and reliable technique for the study of
14 suction evolution in unsaturated soils. The measurement with HCTs is possible due to their design,
15 composed of ceramic filter with a specific air entry value (AEV), small water reservoir and pressure
16 transducer. Where, the AEV of the ceramic filter, more precisely the largest pore size within the
17 ceramic, plays the most important role in controlling the measuring range of HCTs. Specifically,
18 decreasing the largest pore size within the ceramic results in an increase in the measuring range. In
19 this work, a new development in HCTs is presented whereby the ceramic filter in HCTs was replaced
20 from the typically used 1.5 MPa AEV ceramic filter with a new alumina ceramic filter with an estimated
21 AEV of 3.5 MPa. To assess the performance of the new ceramic filter, similar designed HCTs were
22 assembled with the Soil Moisture and the new alumina ceramic filters. Early results show that the
23 maximum measuring matric suction range is beyond 3 MPa for HCTs assembled with the new alumina
24 ceramic filter.

25

26 **KEYWORDS:**

27 Soil suction measurement, High Capacity Tensiometers, Unsaturated Soils

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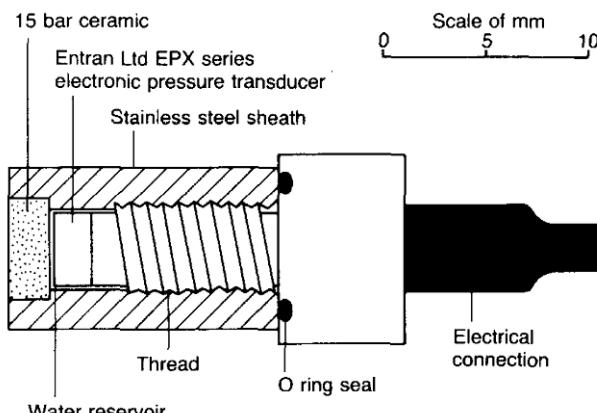
29 INTRODUCTION

30

31 High capacity tensiometers (HCTs) are sensors that can measure both positive (compressive) and
32 negative (tensile) pore-water pressures in soils directly. They are sometimes referred to as “suction
33 sensors” or “suction probes” because HCTs measure the pore suction in soils which, under
34 atmospheric conditions, coincides with the negative pore-water pressure. Different from conventional
35 tensiometers which have threshold in the measuring range of 0 to 80 kPa, HCTs can measure soil
36 suctions beyond this value normally in the range of up to 1.5-2 MPa. HCTs are very versatile sensors
37 as they can be used in different applications from engineering to farming. For example, HCTs have
38 been used both in the field to monitor pore-water pressures inside unstable slopes or agricultural soils
39 (Mendes et al., 2008, Cui et al., 2008, Toll et al. 2011) and in geotechnical laboratories to measure the
40 evolution of soil suction during direct shear tests (Caruso and Tarantino, 2004) or triaxial tests
41 (Cunningham et al., 2003, Mendes and Toll, 2016).

42 The first HCT was developed in the 1990’s at Imperial College, London, by Ridley and Burland (1993).
43 Shown in Figure 1, the design of the Imperial College HCT (IC-HCT) consisted of a ceramic filter with
44 an Air Entry Value (AEV) of 15 bar (1.5 MPa) and an electronic pressure transducer separated by a
45 small water reservoir (10 mm^3) enabling the direct measurement of soil suction in excess of 1.5 MPa.

46



47 **Figure 1.** Imperial College HCT (Ridley and Burland, 1993).

48

50 Since the development of the IC-HCT, various similar HCT designs have emerged from different
51 research groups around the world (Guan and Fredlund, 1997; Meilani et al. 2002; Take and Bolton
52 2003; Lourenco et al., 2008; Cui et al., 2008; Mendes and Buzzi 2014; Bagheri et al., 2018; Jacobsz,
53 2018; Mendes et al., 2020). Bespoke, or commercially available pressure transducers and the same
54 ceramic filter with an AEV of 1.5 MPa as in the IC-HCT was used in these subsequent HCT designs. For
55 a review of the theoretical and practical aspects of suction measurement by means of HCTs, please
56 refer to Marinho et al. (2008).

57 The ceramic filter is the component that limits the maximum measuring range in HCTs. The
58 measurement of soil suction values beyond -100 kPa (absolute 0 kPa at sea level) with HCTs are only
59 possible because of the AEV of the ceramic filter and due to a proper saturation of the ceramic filter
60 and water reservoir. This second factor ensures the hydraulic continuity of the water inside the HCT
61 and the soil specimen. The AEV prevents air from breaking through the ceramic filter and it is the
62 maximum difference in pressure that can exist between the air and water pressures acting on both

63 sides of the ceramic filter. In the case of measuring suction in a soil specimen, it is the difference in
64 pressure between the pore pressure in the soil and the pressure in the water reservoir. For a properly
65 saturated HCT during point measurements, when this difference in pressure is larger than the AEV of
66 the ceramic filter, cavitation (or water tension breakdown) in the HCT occurs. While the maximum
67 measuring range is directly related to the AEV of the ceramic filter, cavitation in HCTs can occur at
68 pressure values well below the AEV of the ceramic filter due to poor saturation of the HCT and in
69 prolonged continuous measurements on properly saturated HCTs. Regardless, cavitation in HCTs is
70 easily observed in readings from the pressure transducer which show a rapid and immediate decrease
71 in pressure to values close to -100 kPa and it has also been observed through visual instrumentation
72 to occur at the moment when air bubbles emerge in the water reservoir from the ceramic filter, even
73 at pressures below the AEV of the ceramic filter (Mendes and Buzzi, 2013). However, what constitutes
74 the air bubbles that emerge in the water reservoir when cavitation occurs is still unclear (if diffused
75 air from outside the HCT, if entrapped air in the ceramic filter, if water vapour from water cavitation
76 or as combination of the aforementioned). Nevertheless, most HCTs in existence, by using the same
77 ceramic filter with an AEV of 1.5 MPa are theoretically limited to a measuring range of 1.5 MPa.
78 However, as it has been reported by Tarantino and Mongioví (2001), HCTs with this type of ceramic
79 filter are able to measure soil suction well beyond their measuring range where they report measuring
80 suction values in soil specimens in the range of 2.6 MPa. This is in line with observations by Ridley and
81 Burland (1994) and Mendes et al. (2020) where HCTs assembled with similar ceramics were able to
82 sustain pressures beyond 2 MPa. This discrepancy in relation to the measuring range in HCTs is not
83 yet fully understood, but it seems that the actual AEV in this type of ceramics varies greatly and
84 inconsistently for each individual ceramic filter and between the nominal value of 1.5 MPa and 2 MPa.

85 The water reservoir in the HCT serves only one purpose which is to provide space for the deflection of
86 the pressure transducer. Contrary to previous belief, the water reservoir size does not influence the
87 measuring range of HCTs. As it has been shown previously (Mendes and Buzzi, 2013), large size
88 reservoirs with a volume of 1000 mm³ assembled with the ceramic filter with an AEV of 1.5 MPa were
89 able to reach the nominal value of 1.5 MPa before cavitation. However, it can be noted that the phase
90 of initial saturation of the HCT is crucial in determining both proper performance and measuring range
91 of HCTs.

92 The pressure transducer range also does not affect the measuring range of HCTs. When choosing a
93 pressure transducer for an HCT, the measuring range of AEV of the ceramic filter and its symmetrical
94 response should be taken into consideration. A symmetrical response determines the capacity of the
95 pressure transducer to measure both positive and negative pressures (Tarantino and Mongioví, 2002),
96 enabling the calibration of HCTs to be performed in the positive range and then extrapolated to the
97 negative range. Preferably, the pressure transducer measuring range should be above the AEV of the
98 ceramic filter. Despite this, it is possible to use pressure transducers with a pressure range below AEV
99 of the ceramic filter as shown by Lourenco et al. (2008). However, using a pressure transducer with a
100 measuring range similar or higher to the AEV of the ceramic filter, higher water pressures can be
101 applied to the HCT during saturation without damaging it and, in turn, accelerate the saturation of the
102 ceramic filter and water reservoir for the HCT to reach its full measuring range after initial saturation.

103 Following the aforementioned guidelines, new HCTs (herein N-HCT) assembled with ceramic filters
104 with an estimated AEV of 3.5 MPa and pressure transducers with a 6.8 MPa pressure range were
105 developed at Northumbria University. For assessment and comparison of the new ceramic filter,
106 similar designed HCTs (herein C-HCT), assembled with ceramic filters with an AEV of 1.5 MPa, were
107 also built. Early results show that the N-HCTs can measure soil suction in the range of up to 3.5 MPa,

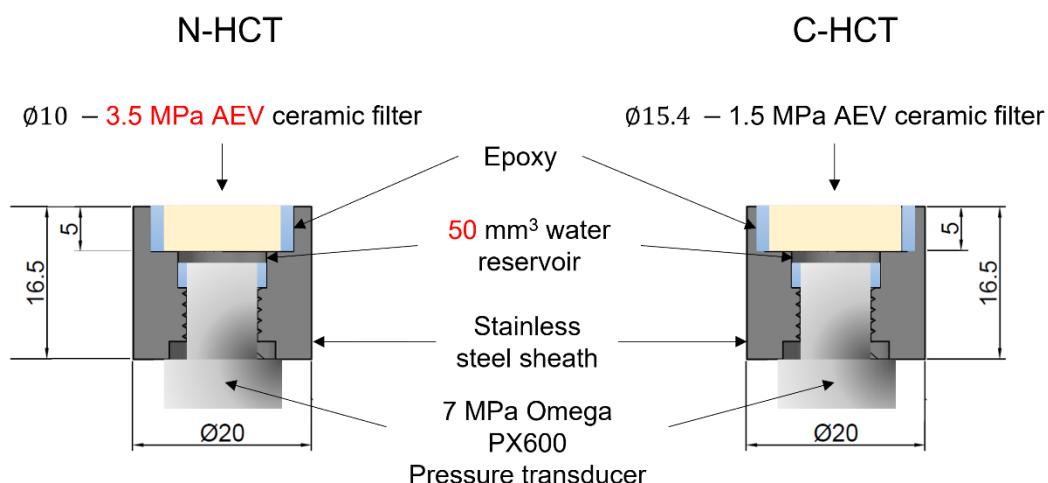
108 twice the range of C-HCTs. Moreover, it was also found that the response rate when measuring suction
109 in soil specimens with the N-HCT was significantly faster.

110 The development of HCTs with larger measuring ranges enables the extended study of soil suction
111 using direct methods necessary for the determination of soil water retention curves which, in turn,
112 are crucial in the development of constitutive models for describing the behaviour of unsaturated
113 soils. Moreover, these new HCTs will be important in the development of real-time soil suction
114 monitoring systems, since the extended measuring range can potentially increase the longevity of
115 continuous soil suction measurement, helping in the prevention of early cavitation in HCTs.

116 N-HCT AND C-HCT DESIGNS

117

118 The design of the N-HCT followed a similar design principle to the IC-HCT in Figure 1, comprising of a
119 ceramic filter, water reservoir and pressure transducer encapsulated in a stainless-steel sheath. As
120 shown in Figure 2, the dimensions of the N-HCT are 20 mm in diameter and about 20 mm in height
121 (when accounting with the back extension of the pressure transducer).



122
123 **Figure 2.** Schematics for the N-HCT (left) and the C-HCT (right). Sizes in mm.
124

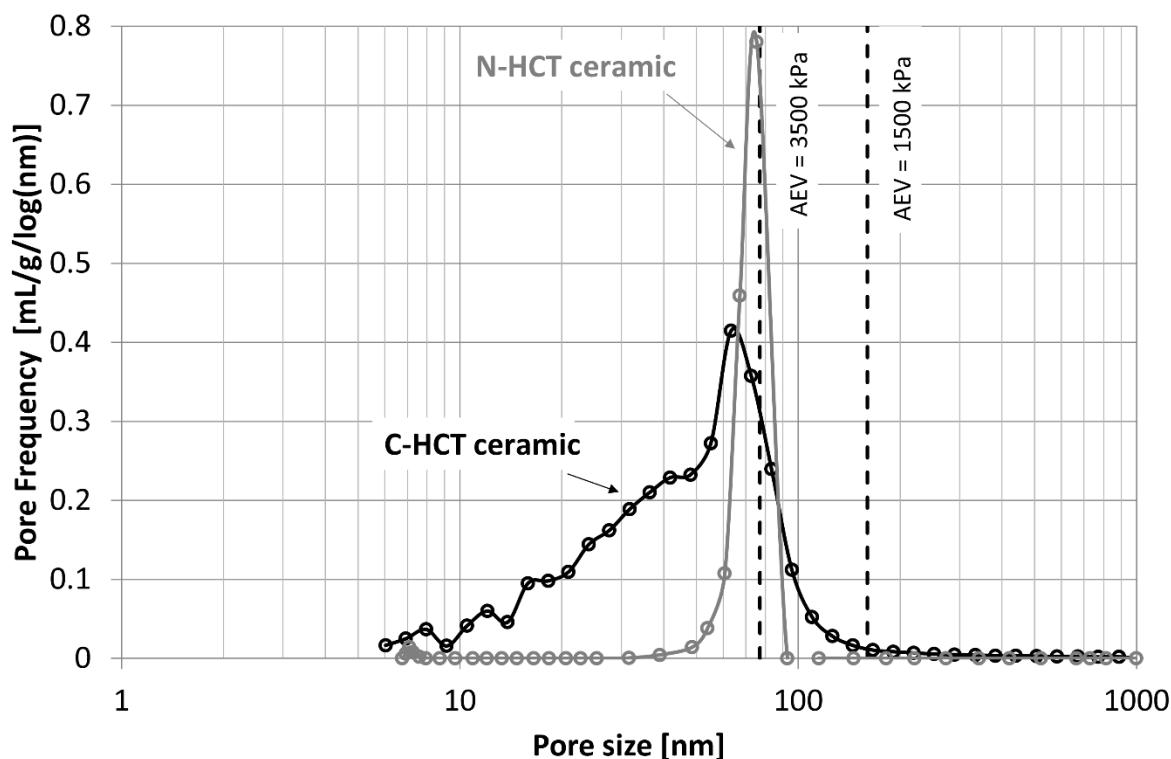
125 The most important component in an HCT is the ceramic filter, more precisely its AEV, as it defines the
126 upper bound limit of the maximum suction attainable by the HCT. The AEV is inversely related to the
127 largest pore size in the ceramic filter, where a smaller largest pore size relates to a higher AEV and
128 therefore, to a greater maximum suction range attainable by the HCT. In the N-HCT design, the
129 ceramic filter used in the assembly was a 10 mm in diameter by 5 mm in height >99.9% alumina
130 ceramic filter with the largest pore size diameter of 75–92 nm (see Figure 3) determined by mercury
131 intrusion porosimetry (MIP) using a Micromeritics AutoPore IV device. This is about 2 times smaller
132 to the largest pore size diameter of 165–220 nm (see Figure 3) in the 1.5 MPa AEV ceramic filter
133 (Mendes et al., 2020) commonly used in most HCT designs. A rough estimation of about 3.5 MPa for
134 the AEV of the new ceramic filter was obtained using Young-Laplace equation (Equation 1).

$$AEV = \frac{4\sigma_w \cos \theta_w}{d_{max}} \quad [Equation 1]$$

135 Where, σ_w is the air-water surface tension (72.8 mN/m at 293°K) and θ_w is the contact angle between
136 the filter material and the water. Note that, for simplicity the material of the filter was considered to

137 be perfectly wettable, meaning the contact angle used in the estimation of the AEV was kept equal to
138 zero.

139 As aforementioned, the pressure transducer range of an HCT should be at least equal to the AEV of
140 the ceramic filter to allow a faster saturation of the ceramic filter at a pressure level matching the AEV.
141 Although not considered in this study, it is also possible to achieve a satisfactory saturation of ceramic
142 filter of the HCT at a pressure level below the AEV of the ceramic filter if preceded by good saturation
143 (see Take and Bolton, 2003). Thus, for an estimated AEV of 3.5 MPa of the ceramic filter, the pressure
144 transducer used in the assembly of the N-HCTs was the PX600 flush diaphragm subminiature pressure
145 transducer with a pressure range of 6.8 MPa by Omega Engineering. The PX600 pressure transducer
146 is designed with a thread just below the sensing face and is made of stainless steel, two features that
147 are important when assembling and using the HCT. The thread in pressure transducer simplifies the
148 manufacture of the N-HCT, but also, allows for an accurate positioning of the pressure transducer
149 inside the sheath. Because it is made of stainless steel, the same material as in the protective sheath,
150 the influence of temperature in the measurement is expected to reduce. The latter can be particularly
151 important when considering the use of HCTs outside of the temperature-controlled environment of
152 the laboratory.



153
154 **Figure 3.** Pore size distribution of the ceramic filters used in both N-HCT and C-HCT obtained from
155 mercury intrusion porosimetry (MIP) tests.
156

157 The water reservoir, built within the protective stainless-steel sheath, was designed to be 50 mm³.
158 This was considered to be large enough to allow deflection of the pressure transducer when under
159 tension. Finally, clear epoxy with good performance for bonding metals and ceramics was used to
160 secure and seal the ceramic filter and pressure transducer inside the protective sheath.

161 Overall, four N-HCTs were assembled at Northumbria University according to the design specifications
162 and used in this study, two N-HCTs were tested at Northumbria University, UK, while the other two
163 were tested at UPC-Barcelona Tech, Spain. To assess the performance of the new ceramic filter in the

164 N-HCTs, two C-HCTs were also assembled with the more commonly used 1.5 MPa ceramic filter.
165 Comparatively with the N-HCTs, in the C-HCT design, the only difference was the size of the ceramic
166 filter measuring 15.4mm in diameter while maintaining the 5 mm in height. The overall dimension,
167 pressure transducer and water reservoir size were the same as in the N-HCT design.

168

169 FIRST SATURATION, CALIBRATION AND FIRST CAVITATION AT NORTHUMBRIA
170 UNIVERSITY

171

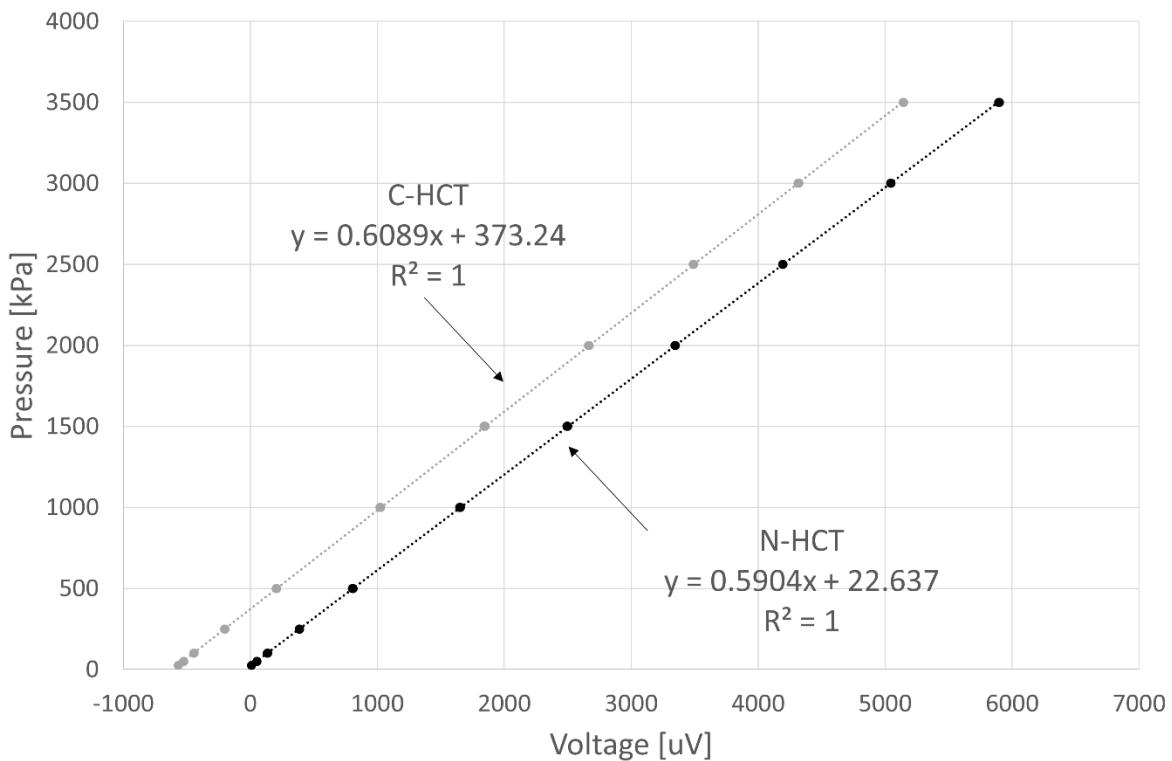
172 Whereas the proper implementation of first saturation is often overlooked, it cannot be stressed
173 enough how important this procedure is and how it influences the performance of HCTs. HCT
174 performance is highly dependent on the water saturation level (the relation between air and water
175 present within the voids) of the ceramic filter and water reservoir.

176 After assembly, the four completely dry HCTs (2 N-HCTs and 2 C-HCTs) were placed and sealed inside
177 a saturation vessel to undergo the first saturation. On one end, the saturation vessel was connected
178 by hydraulic valves to a Pfeiffer DUO 11 two stage rotary vane vacuum pump, delivering an absolute
179 pressure of 3.10^{-4} kPa. The other end the saturation vessel was connected to a beaker with deaired
180 deionised water at atmospheric pressure and a 4 MPa GDS Instruments pressure volume controller.

181 The procedure for the first saturation of the N-HCTs and C-HCTs can be described in the following
182 sequential stages: vacuum, zero-pressure, and pressurisation. In the vacuum stage, the HCTs were
183 initially subjected to vacuum for about 1 hour. During this stage the valves connected to the beaker
184 with water and to the pressure volume controller were kept closed. This process allows the vacuum
185 pump to evacuate most of the air entrapped within the ceramic filter. After 1 hour, in the zero-
186 pressure stage, the valve to the vacuum pump was closed, while the valve connecting to the beaker
187 containing deaired deionised water at atmospheric pressure was open for about 2-5 seconds to rapidly
188 flood the empty space and increase the pressure to atmospheric inside the saturation vessel. This
189 stage is important for two reasons. Firstly, the pressure controller is not able to cope with negative
190 pressures. And secondly, even with the rapid flow setting, the water flowrate of the pressure
191 controller is inadequate to rapidly flood the empty volume of the saturation vessel. Immediately after
192 the zero-pressure stage, in the pressurisation stage, the valve to the beaker with water was closed,
193 while the valve to the pressure controller, set to the water pressure of 3.5 MPa, was open. The HCTs
194 were then left inside the saturation vessel overnight under a water pressure of 3.5 MPa.

195 Following the stage of first saturation, calibration of the HCTs was performed. Calibration of the N-
196 HCTs and C-HCTs was performed on the positive pressure range and extrapolated to the negative as
197 suggested by Tarantino and Mongioví (2002). Voltage readings from the HCTs were recorded at
198 specific water pressures (3500 – 3000 – 2500 – 2000 – 1500 – 1000 – 500 – 250 – 100 – 50 – 25 – 50 –
199 100 – 250 – 500 – 1000 – 1500 – 2000 – 3000 – 3500 kPa) applied with the pressure volume controlled.
200 The typical regression lines of voltage versus pressure for the two types of HCTs are presented in
201 Figure 4. As it can be observed in Figure 4, the calibration curves did not show significant hysteresis in
202 obtained readings from the HCTs within the applied pressure range. Moreover, the obtained
203 calibration curves with the N-HCT and C-HCT suggests that the ceramic filter does not seem to
204 influence the performance of the PX600 pressure transducer. Comparatively, the obtained slope
205 values of the linear regression lines were very similar in value for both sensors (0.5904 kPa/ μ V for the
206 N-HCT and 0.6089 kPa/ μ V for the C-HCT).

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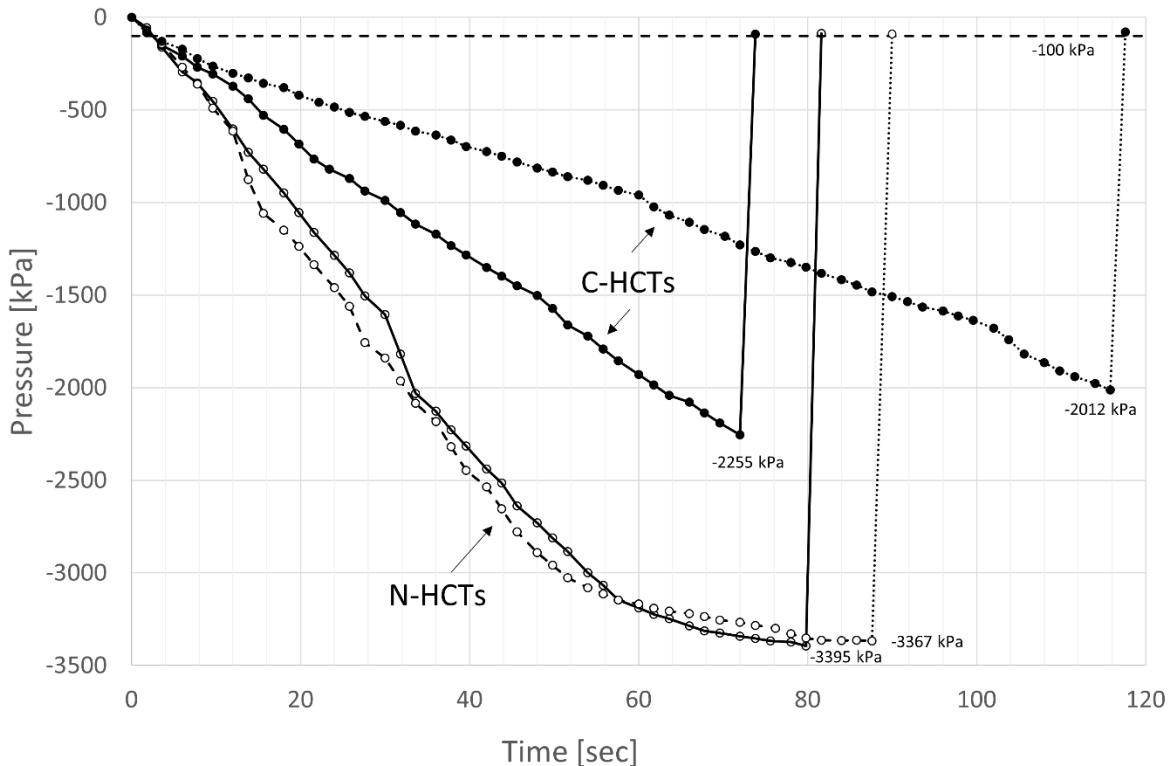


208
209 **Figure 4.** Calibration curves for C-HCT (left) and N-HCT (right).
210

211 After calibration, the HCTs were removed from the saturation vessel and placed in a beaker of free
212 standing deaired deionised water. After the readings from the sensors equalised with water pressure
213 in the beaker (close to atmospheric) the HCTs were subjected to their first evaporation tests
214 (sometimes referred to as cavitation test).

215 Evaporation tests are normally used to determine the maximum attainable measuring range of HCTs
216 and are performed by exposing the sensing face of the HCT to atmosphere. During an evaporation
217 test, as water from the ceramic filter starts to evaporate, the readings from the HCT will decrease in
218 value in an attempt to match the relative humidity (RH) in the laboratory. Current HCTs are not able
219 to measure RH below 98%, which means that at some point the HCTs will eventually cavitate.
220 Nevertheless, the value immediately before cavitation is taken and considered to be the maximum
221 attainable suction range of the HCT.

222 Figure 5 presents the results obtained in the first evaporation test of two N-HCTs and two C-HCTs
223 carried out at open laboratory atmosphere conditions. As it can be observed in Figure 5, the value
224 immediately before cavitation or measuring suction range for the HCTs was in the range of 2-2.25 MPa
225 and 3.36-3.39 MPa for the C-HCTs and N-HCTs, respectively.



226
227 **Figure 5.** Evaporation test with N-HCT and C-HCT after initial saturation.
228

229 The obtained results in Figure 5 show that the C-HCTs were able to sustain a negative pressure below
230 -2 MPa, well beyond the AEV of the ceramic filter of 1.5 MPa. This value suggests what has been
231 mentioned before, that the AEV of the 1.5 MPa ceramic filter is only nominal and each individual
232 ceramic filter may have a higher AEV than specified by the manufacturer. The N-HCTs were able to
233 reach a value lower than -3.3 MPa, significantly less than the C-HCTs, and close to the estimated AEV
234 of the ceramic filter of 3.5 MPa. As shown in Figure 5, the N-HCTs were still able to surpass the C-HCTs
235 in terms of measuring suction range by more than 1 MPa. Finally, the response rates of the N-HCTs
236 were similar for both sensors and significantly faster when compared with the C-HCTs, even though
237 the largest pore size within the ceramic filter of the N-HCTs is smaller than the largest pore size of the
238 ceramic filter of the C-HCTs. The observed behaviours could be explained by the effective pore size
239 distribution and the difference in hydraulic conductivity of both ceramic types. The pore size
240 distribution for a 1.5 MPa ceramic filter typically ranges between 2 nm and 220 nm (Mendes et al.,
241 2020). This wide range of pore sizes within the ceramic filter is hypothesized to be responsible for the
242 observed slower flow and transmission of pressure within the ceramic filter of C-HCTs, but also,
243 responsible for the different response rate observed for the two C-HCTs due to different pore volume
244 distribution in each ceramic. While the pore size distribution for the ceramic filter used in N-HCT the
245 pore size distribution range is monomodal within 40 and 92 nm (see Figure 3) resulting in an increased
246 flow and transmission of pressure, and, in turn, resulting in the much quicker and comparable response
247 rates of both N-HCTs in Figure 5.

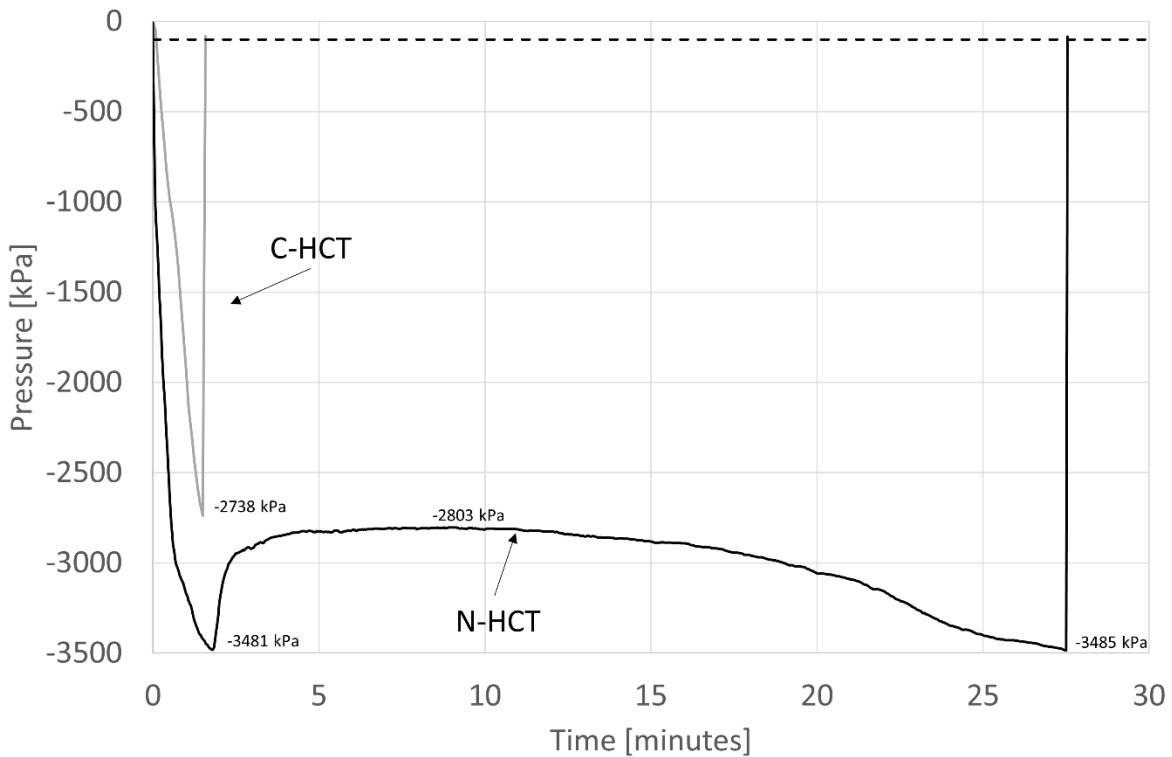
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249 RESATURATION AND RESATURATION TIMES AT NORTHUMBRIA UNIVERSITY
250

251 As it can be observed in Figure 5, when cavitation occurs there is an immediate jump to values of -80
252 to -90 kPa (close to absolute zero pressure at sea level) in the HCTs readings. As shown by Mendes
253 and Buzzi (2013), this corresponds to the time that air bubbles emerge in the water reservoir, resulting
254 in the loss of hydraulic connection between the soil and pressure transducer. The presence of these
255 air bubbles in the water reservoir prevents any further measure of soil suction to take place and
256 resaturation of the HCTs is therefore required. Depending on the saturation level of the ceramic filter
257 of the HCT, resaturation may involve only re-pressurisation of the HCT or a repeat of the first
258 saturation procedure. If immediately placed in free water after cavitation, preventing the desaturation
259 of the ceramic filter, re-pressurisation of the HCTs is sufficient to achieve satisfactory resaturation.
260 However, if after cavitation the ceramic filter of the HCT is left to desaturate beyond the point in which
261 the readings of the HCT start to increase from around -90 kPa to atmospheric pressure (when an air
262 continuum between the water reservoir and ceramic filter is reached), resaturation of HCTs will
263 require the full desaturation of the ceramic filter, either by the use of silica gel and/or oven drying at
264 low temperatures (preferably within the working temperature of the components used in the HCT
265 assembly such as pressure transducer and epoxy), followed by a repeat of the first saturation
266 procedure.

267 In this work the HCTs were regularly monitored and placed in free water as soon as cavitation
268 occurred. Thus, for the resaturation process, the HCTs were placed in the saturation vessel and were
269 re-pressurised at 3.5 MPa with deaired deionised water delivered by the 4 MPa GDS Instruments
270 pressure volume controller. In contrast to the initial saturation, vacuum is not required and, in fact,
271 should be avoided as the change in phase of the water (from liquid water to solid ice) due to the low
272 pressure applied by the vacuum pump could damage the ceramic filter. Initially the N-HCTs and C-
273 HCTs were subject to regular (almost daily) evaporation tests to observe any changes in the measuring
274 range. At the end of each evaporation test, after cavitation had occurred, the HCTs were placed in the
275 saturation vessel to be resaturated.

276 Figure 6 reports the best results obtained for each of the N-HCTs and C-HCTs. As it can be observed in
277 Figure 6, the measuring range of C-HCTs increased from 2.2 MPa to values closed to 2.8 MPa. Although
278 only recorded once, this result shows that the maximum measuring range of HCTs can be almost twice
279 the value of the 1.5 MPa AEV ceramic filter used in their assembly. The measuring range of the N-HCTs
280 did not vary significantly from initial saturation values presented in Figure 5, increasing from 3.3 MPa
281 to values close to the estimated AEV of the ceramic filter of 3.5 MPa. The main difference in the
282 behaviour from part of the N-HCTs was in the time they took to cavitate from 1.3 minutes to almost
283 30 minutes, as shown in Figure 6. Water tension, however, was not maintained during this time, where
284 after reaching -3.48 MPa, the readings of the N-HCT started to increase, plateauing at about -2.8 MPa
285 before decreasing once more until cavitation occurred at -3.48 MPa. This kind of behaviour was
286 observed before by Mendes and Buzzi (2013), where they hypothesise that even with the application
287 of high vacuum and high-water pressures during first saturation and resaturation, air pockets will still
288 be present inside the ceramic filter, influencing the ability of the HCT to maintain the value of water
289 tension and, in turn, the ability to accurately measure soil suction at pressure values close to the AEV
290 of the ceramic filter.

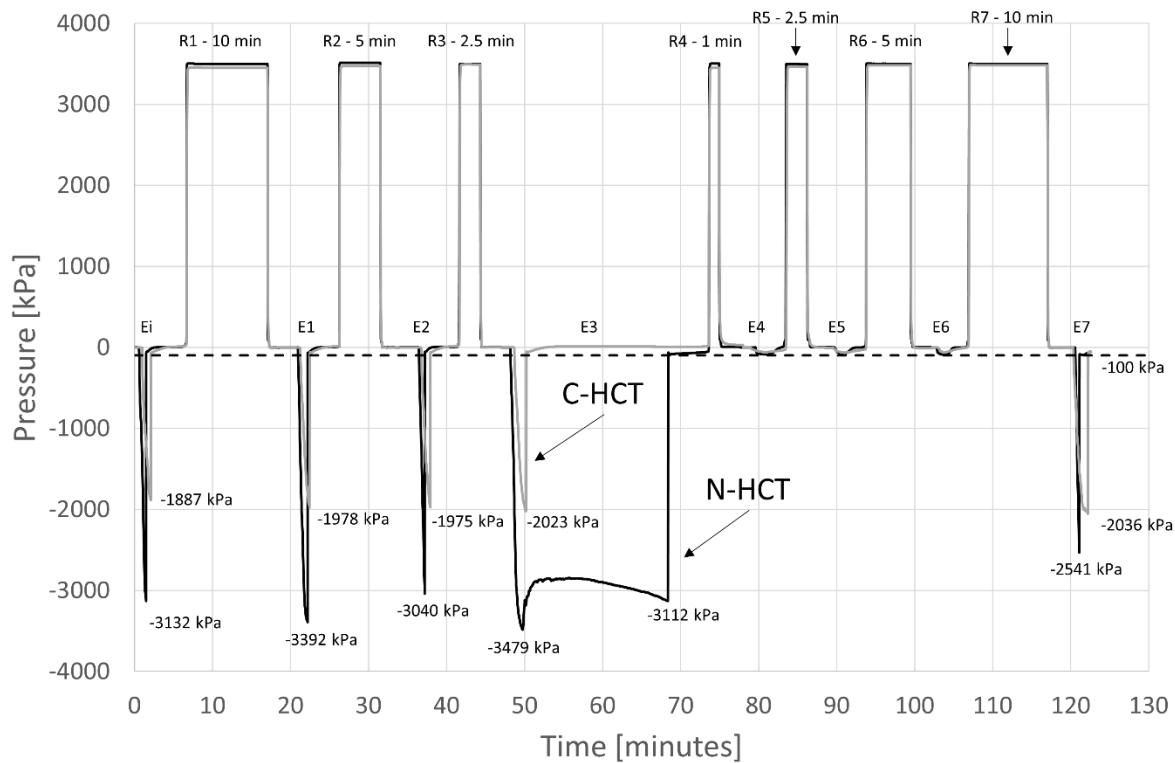


292
293 **Figure 6.** Evaporation test results with N-HCT and C-HCT.
294

295 The time required for resaturation at high water pressures of HCTs after cavitation is normally
296 stipulated to be in the range of 12 hours (overnight) up to several days. To study the time HCTs spent
297 pressurised during resaturation and the effect it has on their performance, a series of tests were
298 conducted subjecting both N-HCTs and C-HCTs to short pressurisation times. In these tests, the HCTs
299 were initially kept under high water pressure overnight inside the saturation vessel before the initial
300 evaporation test was conducted. As soon as cavitation occurred, the HCTs were placed in a beaker
301 with deaired deionised water before being placed back inside the saturation vessel where the HCTs
302 were pressurised to 3.5 MPa for 10 minutes, followed once again by an evaporation test. This cycle of
303 evaporation test followed by short resaturation was then repeated at sequential shortened time
304 periods (5, 2.5, 1 minutes) until the HCTs were not able to record pressures below -100 kPa. The
305 sequence was then reversed to verify the resaturation time period required to reactivate the HCTs. In
306 summary, these tests were performed to establish the shortest resaturation time period required to
307 reactivate the HCTs after cavitation.

308 Three tests were conducted where the results were found to be similar, for clarity and brevity only
309 the result from a single test is presented in Figure 7. As it can be observed in Figure 7, in the initial
310 evaporation test (E1), the recorded values for the N-HCT and C-HCT before cavitation were -3132 KPa
311 and -1887 kPa, respectively. After 10 minutes of resaturation at 3.5 MPa (R1) and during the
312 evaporation test (E1), the readings of both sensors increased to -3392 KPa and -1978 kPa for the N-
313 HCT and C-HCT, respectively. Similar results were obtained for the resaturation-evaporation test
314 cycles R2-E2 and R3-E3 showing that it is possible to reactivate freshly cavitated HCTs after only 2.5
315 minutes of water pressurisation at 3.5 MPa. When the resaturation period time was reduced to 1
316 minute (R1) both HCTs were unable to measure pressure values below -100 kPa, as it can be observed
317 in Figure 7 to the evaporation test E4. After being unable to reactivate the HCTs, the resaturation time
318 period was then reversed. Both HCTs failed to record pressures below -100 kPa to 2.5 minutes and 5

319 minutes resaturation-evaporation cycles (R5-E5 and R6-E6 in Figure 7, respectively). Only when the
320 resaturation period was extended to 10 minutes (R7) did the HCTs respond by being able to record
321 values of -2541 kPa and -2036 kPa for both N-HCT and C-HCT, respectively.



322
323 **Figure 7.** Evaporation and short resaturation cycles with N-HCT and C-HCT.
324 Ei – initial evaporation cycle after overnight saturation; EX – evaporation cycle after short
325 resaturation (X represents cycle number); RX – Y.Y min – Resaturation cycle of HCTs at 3.5 MPa for
326 short period of time (X represents cycle number and Y.Y min represents the period)
327

328 From the obtained results in Figure 7 it was possible to establish that freshly cavitated HCTs can be
329 reactivated in about 10 minutes of resaturation at high water pressure, a significant reduction in the
330 resaturation time, provided that HCTs are placed under pressure immediately after cavitation
331 preventing the desaturation of the ceramic filter. These results can be of particular interest to
332 researchers performing point suction measurements in multiple soil specimens in the laboratory. For
333 researchers intending to use HCTs in field installations note that the resaturation-evaporation cycles
334 presented in Figure 7 were performed on HCTs that were placed in free water immediately after
335 cavitation, something that is unlikely to be possible in field installations. Moreover, the impact of short
336 resaturation periods on the long-term performance of HCTs was also not studied.

337

338 POINT SUCTION MEASUREMENTS AT NORTHUMBRIA UNIVERSITY

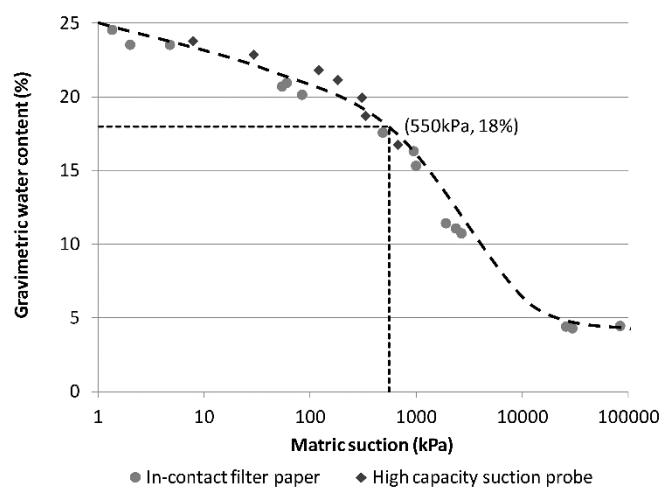
339

340 The N-HCT and C-HCT performance was also studied in relation to the measurement of suction in soil
341 specimens. Point suction measurements with the best performing N-HCT and C-HCT were carried on
342 soil samples prepared at specific water contents. The soil used for the point suction measurement
343 tests was a well graded sandy clay soil of intermediate plasticity, single sourced from a stockpile in

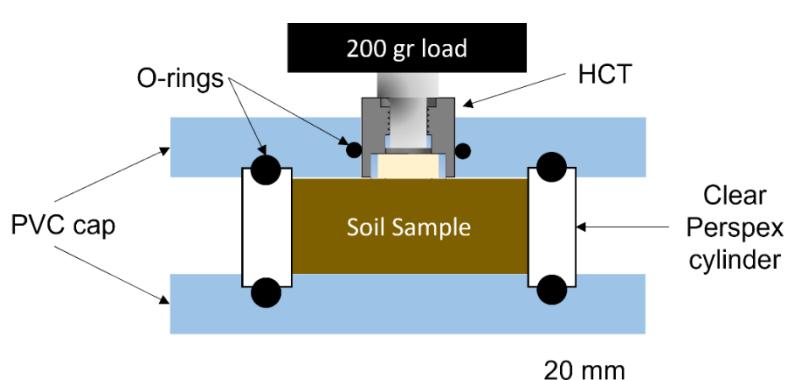
344 County Durham (Hughes et al., 2009), sieved to 2.8 mm in particle size and with Atterberg limits of
345 43.3% and 19.6% for the liquid limit and plasticity index, respectively.

346 For the point suction measurements, samples with the dimensions of 50 mm in diameter by 20 mm in
347 height were cut from larger dynamically compacted soil specimens prepared at 25% of water content
348 following the Mendes and Toll (2016) sample preparation procedure. These were then dried to target
349 water contents corresponding to known suction values. For reference, the gravimetric water versus
350 matric suction relationship obtained using direct methods (HCTs) and indirect methods (in-contact
351 filter paper) by Mendes and Toll (2016) is shown in Figure 8. When the target water content was
352 reached, the small samples were then wrapped in cling film for 24 hours for the water content to
353 equalise inside the samples. After 24 hours of equalisation, the samples were unwrapped and placed
354 inside a sealed chamber where an HCT was placed in contact with the top of the sample surface to
355 allow the measurement of suction, as shown schematically in Figure 9. A 200 gr load was applied to
356 the back of the HCT to ensure an intimate contact was established between soil sample surface and
357 the sensing face of the HCT.

358



359
360 **Figure 8.** Gravimetric water content versus matric suction for compacted samples dried from 25% of
361 water content, after Mendes and Toll (2016).
362

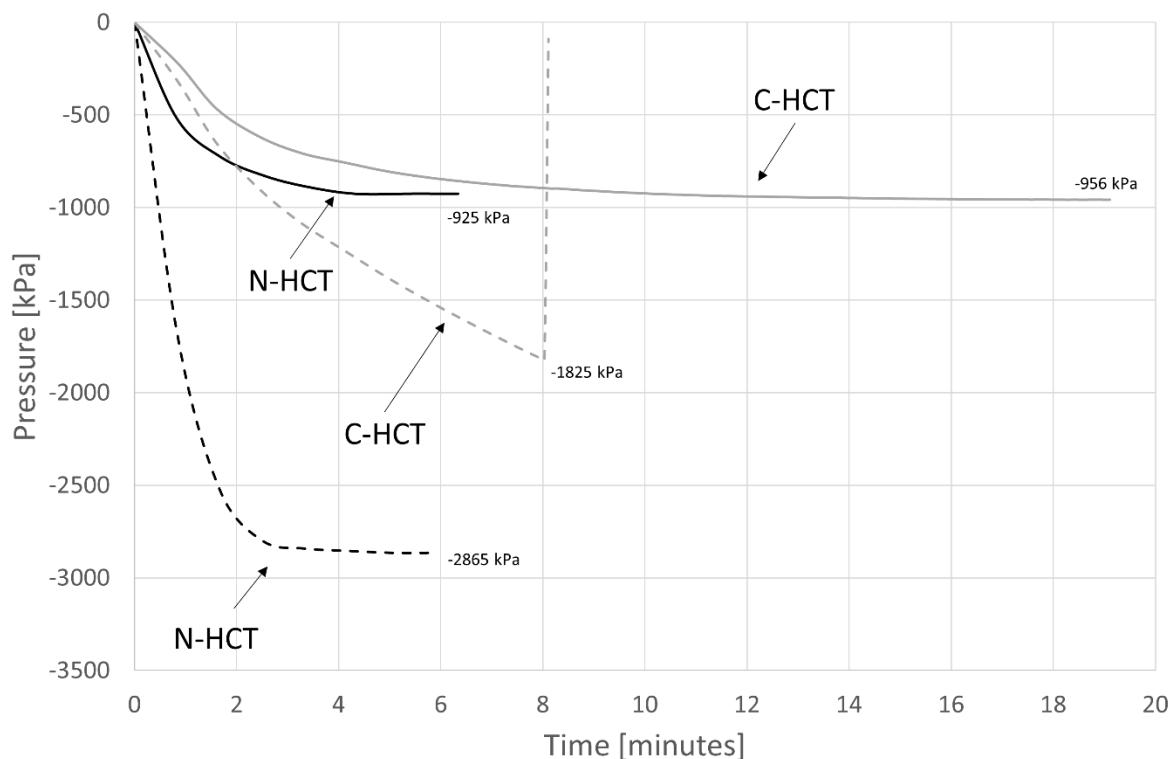


363
364 **Figure 9.** Schematic of the sealed chamber used in the point measurements with N-HCTs and C-
365 HCTs.
366

367 Four samples that were initially prepared at a gravimetric water content of 25% were dried to 17.5%,
368 15%, 13% and 10%. According to Figure 8, the corresponding soil matric suction values for the different
369 water contents are 700 kPa for 17.5%, 1000 kPa for 15%, 1600 kPa for 13%, and 3000 kPa for 10%.

370 Figures 10 and 11 present the matric suction readings obtained with the N-HCT and C-HCT for point
371 measurement tests performed on samples prepared at the aforementioned water contents.

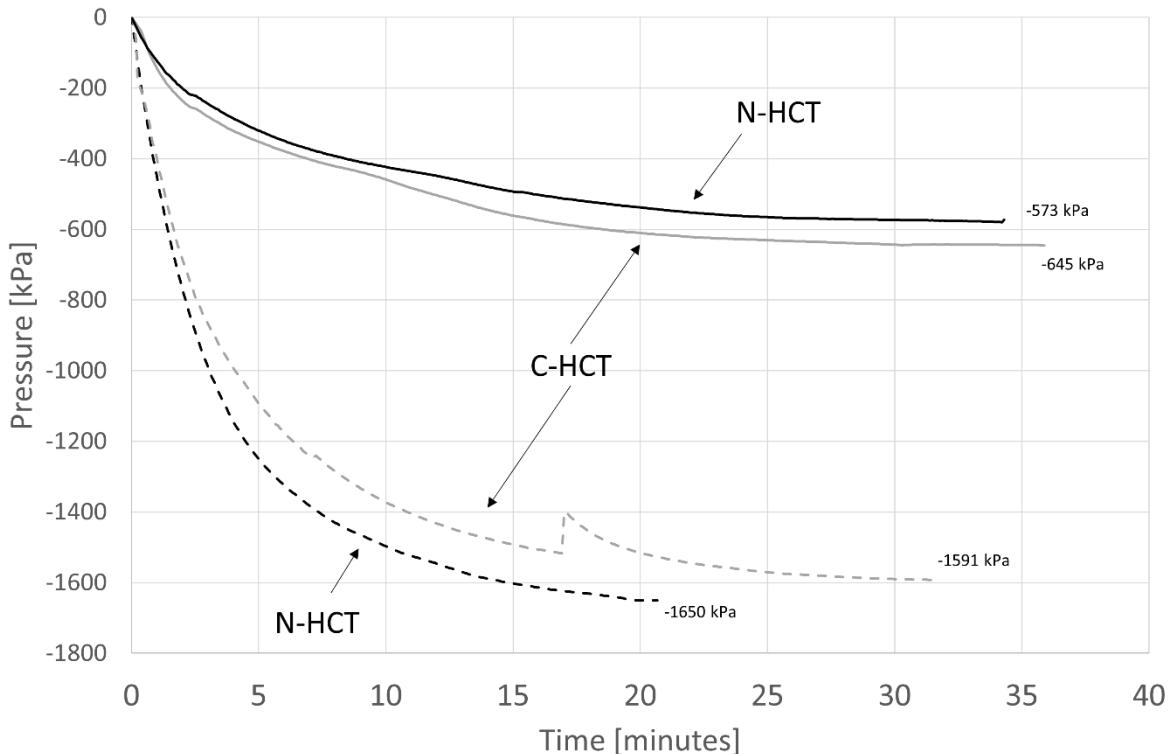
372 In Figure 10, the equalised suction reading from the N-HCT and C-HCT for the soil sample tested at
373 15% water content (solid lines in Figure 10) were 925 kPa and 956 kPa, respectively. For the sample
374 tested at 10% water content (dashed lines in Figure 10), equalisation with the soil sample was reached
375 at 2865 kPa for the N-HCT, while cavitation occurred in the C-HCT when measuring a suction value of
376 1825 kPa. On both tests presented in Figure 10, the readings from the N-HCT equalised with suction
377 in soil within the first 7 minutes; whereas, for the only successful test with the C-HCT (for the sample
378 tested at 15%) equalisation occurred after 14 minutes. Thus, the N-HCT was able to measure
379 comparable high suctions to the C-HCT, while reaching equalisation with the suction in soil sample in
380 half of the time.



381
382 **Figure 10.** Comparison of the response rate of N-HCT and C-HCT during measurement of suction in
383 soil specimens prepared at a water content of 15% (solid lines) and 10% (dashed lines) and without
384 the use of a soil paste in the HCT sensing face.
385

386 In Figure 11, the equalised suction reading from the N-HCT and C-HCT for the soil sample tested at
387 17.5% water content (solid lines in Figure 11) were 573 kPa and 645 kPa, respectively. While, for the
388 sample tested at 13% water content (dashed lines in Figure 11), equalisation with the soil sample was
389 reached at 1650 kPa and 1591 kPa for the N-HCT and C-HCT respectively. In the two tests presented
390 in Figure 11, the adopted procedure was changed slightly with the addition of soil paste (prepared at
391 the liquid limit of the soil of 43.3%) applied to the sensing face of the HCTs. The use of soil paste, as
392 suggested by Oliveira and Marinho (2008), is highly recommended since it minimises the risk of poor

393 contact between the sensing face of the HCT and soil sample. However, the soil paste, normally
 394 prepared at a wetter state than the soil, increases the equalisation time between the soil sample and
 395 HCT since time is now required for the soil sample, soil paste and HCT to reach an equilibrium
 396 corroborating the findings of Oliveira and Marinho (2008). This is evident in Figure 11, where for both
 397 the N-HCT and C-HCT the equalisation time increased significantly to 20-30 minutes.



398
 399 **Figure 11.** Comparison of response rate of N-HCT and C-HCT during measurement of suction in soil
 400 specimens with soil paste at a water content of 17.5% (solid lines) and 13% (dashed lines) and with
 401 the use of a soil paste in the HCT sensing face.
 402

403 Overall, the results in Figures 10 and 11 show a good agreement between the readings obtained with
 404 the two different HCT designs. Where, if soil paste is not applied to the sensing face of the HCT, then
 405 the N-HCT is able to reach equilibrium with the soil in half the time of the C-HCT, corroborating the
 406 behaviour observed during the evaporation tests presented before. Moreover, the obtained results
 407 with the N-HCT, by being very close to the expected suction values and the readings of the C-HCT,
 408 demonstrate that the new alumina ceramic filter is suitable for use in HCT designs for measurement
 409 of soil suction.

410

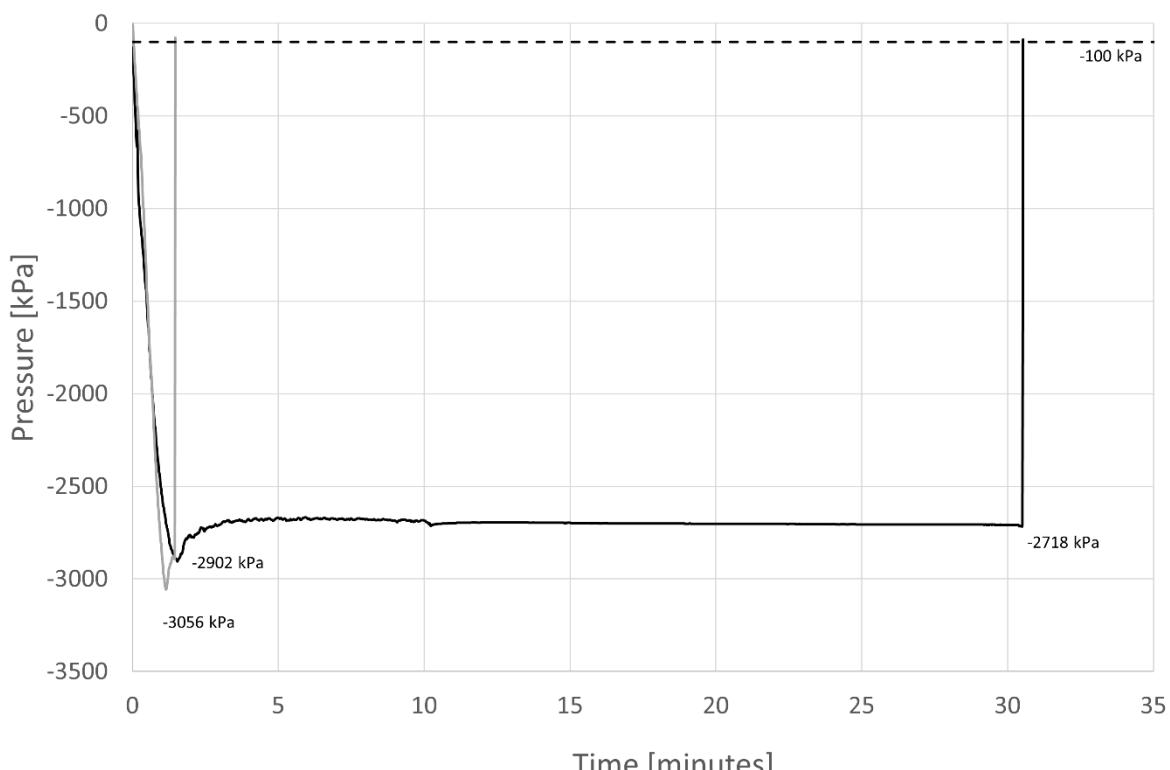
411 CONTINUOUS SUCTION MEASUREMENTS AT UPC-BARCELONA TECH

412

413 Continuous drying tests with N-HCTs were conducted in UPC-Barcelona Tech, Spain. In a continuous
 414 drying test, as the name suggests, soil samples are placed in contact with the N-HCTs and are exposed
 415 to atmosphere allowing progressive drying of the soil sample. The samples are left to dry until
 416 cavitation in the N-HCTs occurs.

417 Before performing the continuous drying tests, the N-HCTs were initially saturated and calibrated
418 following the procedure presented earlier. However, the maximum applied pressure was limited to 3
419 MPa due to unavailability of a pressure controller with sufficient pressure range of the estimated AEV
420 of the ceramic filter.

421 A series of evaporation tests were then performed to assess the N-HCTs maximum suction measuring
422 range. The best overall results from the series of evaporation tests with the N-HCTs are presented in
423 Figure 12. As it can be observed from Figure 12, the two N-HCTs were able to reach pressures in the
424 range of the saturation pressure applied of about 3 MPa and showing a similar behaviour pattern as
425 in Figures 5 and 6. After reaching a value close to -3 MPa, the readings from the N-HCTs started to
426 increase and plateauing before cavitation occurred at higher pressure of about -2.7 to -2.9 MPa.



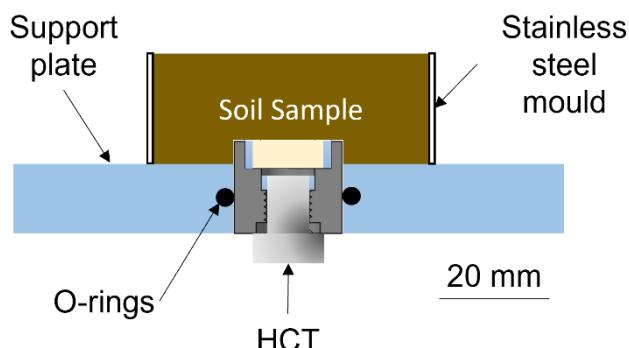
427
428 **Figure 12.** Best overall evaporation test results with the N-HCTs at UPC-Barcelona Tech.
429

430 Comparing the results in Figures 6 and 12 suggests that the maximum measuring range for the N-HCTs
431 is highly dependent on the applied pressure during saturation. In both cases, the N-HCTs were able to
432 read pressure values equivalent to the applied pressures demonstrating that N-HCTs saturated at
433 lower water pressures are still able to perform.

434 After assessing the expected performance of the N-HCTs, two continuous drying tests were performed
435 with two different low plasticity clays locally sourced in Barcelona, Spain. One of the continuous drying
436 tests was conducted in a soil sample prepared from slurry with the well-known Barcelona silty clay
437 that was sieved below 2 mm and with Atterberg limits of 32% and 16% for the liquid limit and plasticity
438 index, respectively (Gens et al., 1995; Barrera, 2002). The second continuous drying test was
439 conducted in a soil sample cut from a dynamically compacted larger sample, using the proctor
440 hammer, at a water content of 19% (equivalent to a degree of saturation of 92%) with the locally
441 sourced Agropolis clay that was sieved below 2 mm and with Atterberg limits of 29% and 12% for the
442 liquid limit and plasticity index, respectively (Cordero et al., 2020). These tests were performed by

443 clamping the N-HCTs to a support platform where the soil samples, with initial dimensions of 50 mm
444 in diameter and 20 mm in height, were placed on top of the N-HCT as shown schematically in Figure
445 13. To ensure a good contact between the soil samples and sensing face of the N-HCT, an indentation
446 of 5 mm in depth and 20 mm in diameter (same diameter as the N-HCT) was cut in the soil samples.
447 The indentation was supplemented, in the case of the sample prepared with the Agropolis clay, with
448 soil paste (prepared at the liquid limit water content of 29%). The paste was used in order to seal any
449 existing gaps and crevices that might have been created during the cutting of the indentation in the
450 soil sample. The drying of the soil sample was produced at open laboratory atmosphere conditions.

451



452

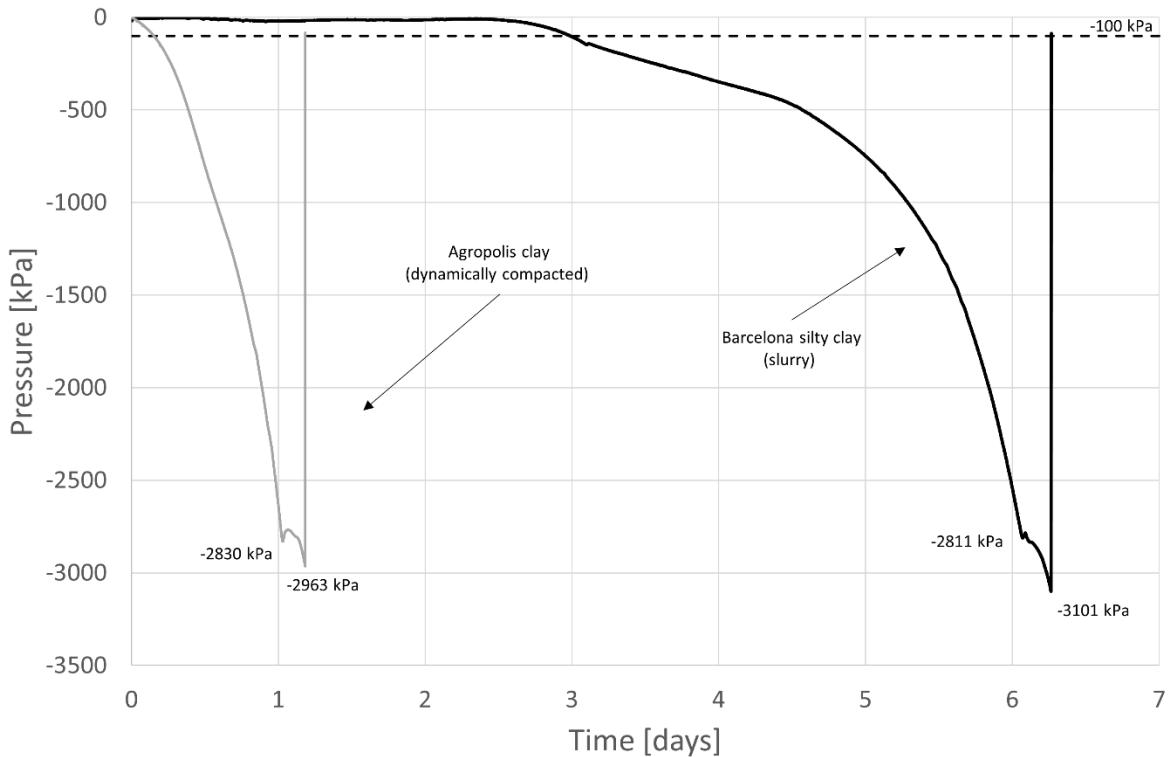
Figure 13. Schematics of the setup used the for continuous drying test.

453

454

455 The readings obtained with the N-HCTs during the continuous drying tests with the Barcelona silty clay
456 and the Agropolis clay are presented in Figure 14. As it can be observed in Figure 14, the pressure
457 readings obtained with N-HCTs seem to follow the expected evolution of soil suction during
458 continuous drying in both tests. The Agropolis clay sample was dynamically compacted using the
459 Proctor hammer with a starting water content of 19% equivalent to a degree of saturation of 92%
460 (grey line in Figure 14). After the initial equalisation of N-HCT with the matric suction in the sample,
461 the pressure readings from the N-HCT were progressively decreasing in time as the soil sample
462 desaturated and until a value of -2830 kPa was achieved. Beyond this value, the pressure readings
463 from the N-HCT diverged in pattern. Specifically, an increase was observed initially, followed by a
464 decrease in the pressure readings before cavitation of the N-HCT occurred, while recording a pressure
465 value of -2963 kPa. The Barcelona silty clay sample was prepared from an unconsolidated slurry at
466 34% (black line in Figure 14). The initial pressure readings from the N-HCT were close to 0 kPa, for the
467 first 3 days, before significant desaturation in the soil sample occurred as the pressure readings of the
468 N-HCT started to progressively decrease with desaturation of the soil sample down to -2811 kPa.
469 Beyond this point, much like the observed behaviour of the N-HCT in the continuous drying test
470 performed with the Agropolis clay sample, a small increase in the pressure readings was observed
471 initially before decreasing until cavitation of the N-HCT occurred, while recording a pressure value of
472 -3101 kPa.

473



474

475 **Figure 14.** Continuous drying test with N-HCTs on the Barcelona silty clay sample prepared from
 476 slurry with an initial water content of 34% (black line) and on the Agropolis clay sample dynamically
 477 compacted using the Proctor hammer with an initial water content of 19%.

478

479 From Figure 14 it is evident that, while the N-HCTs were still able to consistently record decreasing
 480 pressure values, the pattern of the suction recordings with the N-HCTs beyond 2.8 MPa appeared to
 481 be influenced by something other than the actual suction in the soil samples. This suggests that the
 482 reliability of the measurement with N-HCTs when recording suction values close to the pressure
 483 applied during saturation, much like the observed behaviour observed by Mendes and Buzzi (2013) in
 484 their evaporation tests, is not only influenced by the AEV of the ceramic filter, but also by the water
 485 pressure applied during saturation. Nevertheless, the obtained results with the N-HCTs during the
 486 continuous drying tests show that by applying a saturation pressure of 3 MPa to the N-HCTs it is
 487 possible to reliably monitor soil suction evolution in soil samples during drying to values up to 2.8 MPa.
 488 A value that is already a significant increase in the measuring range for HCTs, but also, an important
 489 step towards the development of new HCTs capable of measuring soil matric suction beyond 3 MPa.

490

491 CONCLUSIONS

492

493 High capacity tensiometers (HCT) are sensors that are increasingly used in the monitoring of pore
 494 water pressures, both positive and negative (suction). They are systematically employed in field
 495 installations to monitor the evolution of pore water pressures and in the study of unsaturated soils in
 496 the laboratory. HCTs, uniquely enable the direct measurement of soil suction and also respond faster
 497 to changes in soil suction, which are both major limitations in indirect methods of suction

498 measurement (e.g., inferred suction from soil moisture content). However, HCTs have two major
499 limitations: cavitation and insufficient measuring range.

500 In this manuscript a new HCT design (N-HCT) was presented, incorporating a new alumina ceramic
501 filter with a largest pore size of 75-92 nm, estimated to have an AEV of 3.5 MPa. The performance of
502 the N-HCT was assessed in relation to maximum measuring suction range and measurement reliability.
503 Specifically, the performance comparison was made with the more common 1.5 MPa AEV ceramic
504 filter HCTs (C-HCTs) which were also assembled in the laboratory.

505 From the evaporation tests conducted at Northumbria University, it was possible to establish that with
506 the new alumina ceramic filter, the N-HCTs maximum measuring suction range is close to 3.5 MPa,
507 resulting in an almost twofold increase in the measuring range when compared with other HCTs built
508 with the more commonly used 1.5 MPa AEV ceramic filter found in the literature. Comparatively, the
509 N-HCTs measuring suction range of 3.5MPa was still significantly higher than the maximum measuring
510 range achieved by the C-HCTs, of about 2.8 MPa even when high vacuum and high-water pressure (of
511 3.5 MPa) was applied during the initial saturation.

512 From the point suction measurement tests performed with both N-HCTs and C-HCTs in sandy clay soil
513 samples it was found that the obtained results were comparable between the two different HCT
514 designs, with a difference of less than 70 kPa during high suction measurements. In the test performed
515 with soil sample dried to 10% water content, the N-HCT was able to reach an equilibrium with the
516 suction in the soil sample at a suction value close to 2.9 MPa, whereas the C-HCT cavitated at around
517 1.8 MPa. The later result shows that N-HCTs can be used for soil suction measurements within a higher
518 suction range than C-HCTs. Moreover, the obtained results were comparable with previous
519 experimental results obtained with indirect methods showing the reliability and confidence in the
520 measurements made with the two different HCT designs.

521 The use of soil paste prepared at the soil liquid limit, applied to improve the contact between soil and
522 HCT, was found to influence the time required to reach suction equilibrium between the soil sample
523 and HCT: without the use of soil paste on the sensing face, the time that the N-HCTs required to reach
524 an equilibrium was found to be less than 10 minutes, half the time when compared with the C-HCTs;
525 when soil paste was applied to the sensing face, the time to reach suction equilibrium was comparable
526 for both HCT designs at about 20-30 minutes.

527 Continuous soil suction measurements were also conducted with N-HCTs on two low plasticity clays
528 at the UPC-Barcelona Tech. The obtained results show that the N-HCTs were able to successfully
529 record suction changes as the soil samples progressively dried up to a value of soil suction of 2.8 MPa.
530 Although still able to read lower pressure values (higher suction), down to -3.1 MPa, during the
531 continuous suction measurement tests, the observed pattern of the readings from part of the N-HCTs
532 suggests that the water pressure of 3 MPa applied during saturation may have affected the N-HCTs
533 measuring range. However, it is important to consider the observed performance of the N-HCTs in
534 continuous drying tests is quite relevant for the general adoption of HCTs designed with this new
535 alumina ceramic filters since it is more common to find, typically as part of other testing equipment,
536 pressure controllers with 3 MPa pressure range in most geotechnical laboratories than pressure
537 controllers with higher pressure ranges.

538 The results obtained with the N-HCTs presented in this paper are an important step towards the
539 development of HCTs capable of measuring soil matric suction beyond 3 MPa. With an extended
540 measuring suction range, the N-HCTs already enable the extended study of soil suction using direct
541 methods necessary for the determination of soil water retention curves that are crucial in the

542 development of constitutive models for describing the behaviour of unsaturated soils; but also, in the
543 development of more reliable real-time soil suction field monitoring systems, preventing or, at least,
544 delaying cavitation in HCTs at soil suctions below the AEV of the ceramic filter during prolonged
545 continuous monitoring.

546

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548

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557

558

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