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1 Deep learning-based networks for automated recognition and classification of awkward

2 working postures in construction using wearable insole sensor data

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32 **Abstract**
33 Among the numerous work-related risk factors, construction workers are often exposed to
34 awkward working postures that may lead them to develop work-related musculoskeletal disorders
35 (WMSDs). To mitigate WMSDs among construction workers, awkward working posture
36 recognition is the first step in proactive WMSD prevention. Several researchers have proposed
37 wearable sensor-based systems and machine learning classifiers for awkward posture recognition.
38 However, these wearable sensor-based systems (e.g., surface electromyography) are either
39 intrusive or require attaching multiple sensors on workers' bodies, which may lead to workers'
40 discomfort and systemic instability, thus, limiting their application on construction sites. In
41 addition, machine learning classifiers are limited to human-specific shallow features which
42 influence model performance. To address these limitations, this study proposes a novel approach
43 by using wearable insole pressure system and recurrent neural network (RNN) models, which
44 automate feature extraction and are widely used for sequential data classification. Therefore, the
45 research objective is to automatically recognize and classify different types of awkward working
46 postures in construction by using deep learning-based networks and wearable insole sensor data.
47 The classification performance of three RNN-based deep learning models, namely: (1) long-short
48 term memory (LSTM), (2) bidirectional LSTM (Bi-LSTM), and (3) gated recurrent units (GRU),
49 was evaluated using plantar pressure data captured by a wearable insole system from workers on
50 construction sites. The experimental results show that GRU model outperforms the other RNN-
51 based deep learning models with a high accuracy of 99.01% and F1-score between 93.19% and
52 99.39%. These results demonstrate that GRU models can be employed to learn sequential plantar
53 pressure patterns captured by a wearable insole system to recognize and classify different types of
54 awkward working postures. The findings of this study contribute to wearable sensor-based posture-
55 related recognition and classification, thus, enhancing construction workers' health and safety.

56

57 **Keywords:** Awkward working postures; Deep learning networks; Wearable insole pressure
58 system, Work-related musculoskeletal disorders, Work-related risk recognition.

59 **1. Introduction**

60 The construction industry suffers from numerous health and safety problems because construction
61 activities involve diverse resources and physically demanding tasks. In Australia, there were 26
62 out of 183 fatalities in the construction industry in 2019, which accounted for a 2.2 fatality rate
63 (fatalities per 100,000 workers) across all industries (Safety Work Australia, 2020). Among
64 construction-related health and safety problems, work-related musculoskeletal disorders (WMSDs)
65 are the leading cause of non-fatal occupational injuries (Umer et al., 2017a; Anwer et al., 2021;
66 Anwer et al., 2021). WMSDs refer to a wide range of injuries or disorders that result in pain and/or
67 other sensations in the muscles, nerves, tendons, ligaments, and joints (Wang et al., 2015a).
68 Examples of WMSDs include low back disorders, carpal tunnel syndrome, tendonitis, and bursitis
69 (Umer et al., 2017a; Antwi-Afari et al., 2018a). According to the Health and Safety Executive
70 (HSE) in the UK, WMSDs accounted for 57% of 81,000 work-related ill health cases injuries
71 (HSE, 2020). Gibb et al. (2018) estimated that in the UK, WMSDs costs construction employers
72 about GBP 650 million/year out of a total estimated burden of occupational ill-health cost of about
73 GBP 850 million/year. Given that WMSDs still remain a health and safety problem in construction,
74 there is an urgent need to recognize work-related risk factors that may lead workers to develop
75 WMSDs.

76

77 The high prevalence rate of WMSDs among construction workers could be attributed to several
78 work-related physical risk factors, psychosocial stressors, and individual factors (Wang et al.,
79 2015a; Umer et al., 2017b). Taken together, they can lead to work absenteeism, schedule delays,
80 increased cost of medical expenses, loss of income and productivity, and early retirement (Umer
81 et al., 2017a; Yu et al., 2021). Examples of work-related risk factors include repetitive motions,

gender, age, safety concerns, overexertion, awkward working posture, and poor working conditions such as high vibration, and extreme temperature (Wang et al., 2015a; Umer et al., 2020; Anwer et al., 2021; Yu et al., 2021). Among the various work-related risk factors, awkward working postures (e.g., stoop, squat) are the major risk factor that causes WMSDs in construction. According to the Center for Construction Research and Training (CPWR), roofers and painters are on their knees, crouching or stooping more than 60% of the time, and brick masons spend 93% of their time bending and twisting their bodies (CPWR, 2018). Consequently, research on automated recognition of awkward working postures has become relevant to both researchers and practitioners in developing proactive interventions which could aid WMSDs risk factors prevention in construction.

Generally, one of the critical steps to mitigate WMSDs risk factors is to identify an ergonomic risk approach for recognizing a potential work-related risk factor. In the past decades, work-related risk factors were mainly recognized by using ergonomic risk approaches such as observation-based methods (McAtamney and Corlett, 1993; Hignett and McAtamney, 2000). Although these traditional ergonomic risk approaches are simple and less expensive, they mostly involve subjective judgments and a large amount of manual data which make them time-consuming, and error-prone (David, 2005). Alternatively, wearable sensing technologies have been developed to monitor and recognize work-related risk factors effectively, thus preventing WMSDs (Antwi-Afari et al., 2019a). Among them, wearable inertial measurement units (WIMUs) have been widely used for automated recognition and classification of awkward working postures among construction workers (Chen et al., 2017; Valero et al., 2017; Lee et al., 2020). WIMUs-based systems collect acceleration, angular velocity, and geomagnetic field measurements of a worker's bodily

105 movements, which are used to automatically monitor awkward working postures (Chen et al., 2017;
106 Valero et al., 2017). However, attaching multiple WIMUs-based systems on different body parts
107 not only significantly intrude a worker's task, but also often causes synchronization issues, body
108 discomfort, and sensor stream deviations due to varying sensor locations (Guo et al., 2017).

109

110 In recent years, research works on automated recognition and classification of work-related risk
111 factors have demonstrated the application of computational techniques such as machine learning
112 classifiers to train and evaluate classifier performance (Akhavian and Behzadan, 2016; Nath et al.,
113 2018; Ryu et al., 2019; Antwi-Afari et al., 2020a; Umer et al., 2020). Even though these studies
114 have shown promising results, traditional machine learning classifiers implement pattern
115 recognition approaches. These approaches require multiple pre-processing steps such as manual
116 segmentation of continuous time-series sensor data with different window sizes, and further
117 extraction of statistically significant feature vectors, which are inefficient and time-consuming
118 (Portugal et al., 2018). In addition, the use of human-specific shallow features leads to poor
119 performance in incremental learning. Moreover, traditional machine learning classifiers treat each
120 time step of the time-series sensor data as statistically independent, thus, ignoring the temporal
121 relationship between consecutive time steps (Rashid and Louis, 2019). These limitations of
122 traditional machine learning classifiers motivate this current research to use deep learning
123 networks to automatically extract relevant features with spatio-temporal dependency captured by
124 a wearable insole pressure system.

125

126 To date, the literature mostly focuses on WIMUs-based systems and machine learning applications
127 for automated recognition and classification of work-related risk factors. Although they provided
128 useful evidence for mitigating WMSD risk factors among construction workers, they were limited

129 due to attaching intrusive wearable sensor-based systems and adopting machine learning classifiers
130 that use hand-crafted feature extraction methods for model evaluation. To address these limitations,
131 the present study proposed a non-intrusive wearable insole sensor system, which was used to
132 collect plantar pressure data and deep learning-based networks for classification performance.
133 Therefore, the objective of this research was to evaluate a novel approach of using deep learning-
134 based networks and wearable insole sensor data to automatically recognize and classify different
135 types of awkward working postures in construction. Consequently, the current study adopted
136 recurrent neural networks (RNNs), deep learning models to train time-series plantar pressure data
137 captured by a wearable insole pressure sensor. In this study, plantar pressure data were collected
138 from a construction site when construction workers performed several awkward working postures
139 (i.e., overhead working, squatting, stooping, semi-squatting, and one-legged kneeling) during their
140 daily activities. In the context of a real construction site experiment, it was hypothesized that the
141 proposed approach could produce reliable and better performance accuracy for classifying
142 different types of awkward working postures. The findings of this study could not only
143 complement existing wearable sensor-based systems used for work-related risk factors recognition
144 but also provide a novel method that could be beneficial to both researchers and safety managers
145 to mitigate WMSDs risk factors in construction.

146

147 **2. Research Background**

148 This section mainly presents existing research studies related to ergonomic risk approaches for
149 recognizing work-related risk factors. In addition, extant literature on wearable sensor-based
150 systems for automated recognition and WMSDs prevention are thoroughly discussed. Lastly, the

151 feasibility of using wearable insole sensor data and deep learning network-based classification in
152 construction is discussed.

153

154 *2.1. Ergonomic risk approaches for recognizing work-related risk factors*

155 To mitigate the risk of developing WMSDs, several ergonomic risk recognition approaches have
156 been developed. For instance, observational-based approaches involve manual field observations
157 and visual inspections of work-related risk factors and workers' activities by experienced expert
158 observers. Examples of observational-based approaches used for recording and evaluating work-
159 related risk factors include the Ovako Working Analysis System (OWAS) (Kivi and Mattila, 1991),
160 the Rapid Upper Limb Assessment (RULA) (McAtamney and Corlett, 1993), and Rapid Entire
161 Body Assessment (REBA) (Hignett and McAtamney, 2000). While OWAS is designed to
162 recognize awkward postures in workers on manufacturing lines, the RULA tool evaluates
163 ergonomic posture risks by calculating the angles between body parts. Zhang et al. (2018)
164 performed ergonomic posture recognition from site cameras based on OWAS. Although
165 observational-based approaches are applied to numerous work-related risk factors, they are mostly
166 impractical due to the substantial cost, time, subjective judgments by the experts, and technical
167 knowledge required for post-analysis of large amounts of non-heterogeneous data (David, 2005).

168

169 Vision-based approaches consist of the use of computer-aided visual sensing technologies, such
170 as single or multi-video cameras, stereo cameras, depth cameras, and MS Kinect, to capture human
171 motions and recognize WMSD risk factors in construction. Ray and Teizer (2012) utilized a depth
172 camera to detect a worker's non-ergonomic postures by modeling the worker's skeleton and
173 measuring its joint angles. Seo et al. (2015) proposed an approach that could perform 3D

174 biomechanical analysis using visionary data from a stereo camera. While vision-based approaches
175 are intuitive and provide reliable results, they are limited to privacy and ethical issues since
176 cameras are generally perceived as recording devices (Yilmaz et al., 2006). In addition, with the
177 cluttered nature of the construction industry, characterized by diverse categories of specialized
178 resources and risk factors, and continuously changing working conditions, they may result in
179 several technical issues such as illumination and occlusion (Chen and Shen, 2017).

180

181 In recent years, several researchers have utilized direct measurement approaches such as wearable
182 sensor-based systems to recognize work-related risk factors for developing WMSDs among
183 construction workers. Examples of these approaches include surface electromyography (sEMG),
184 electrocardiography (ECG), photoplethysmography (PPG), electrodermal activity (EDA),
185 electroencephalogram (EEG), WIMUs-based system, and wearable insole pressure system. Umer
186 et al. (2017b) compared the differences in lumbar biomechanics (i.e., trunk muscle activity and
187 trunk kinematics) during three typical rebar tying postures measured by sEMG and WIMUs.
188 Similarly, Antwi-Afari et al. (2018a) investigated the risk of developing low back disorders in
189 rebar workers by examining muscle activity and spinal kinematics during repetitive rebar lifting
190 tasks by using sEMG and WIMUs. Yan et al. (2017) developed a real-time motion
191 warning personal protective equipment that enables workers' self-awareness and self-management
192 of ergonomically hazardous operational patterns for the prevention of WMSDs based on WIMUs.
193 By using a wearable insole pressure system, Antwi-Afari and colleagues have proposed methods
194 to recognize awkward working postures (Antwi-Afari et al., 2018f), and recognize overexertion-
195 related workers' activities (Antwi-Afari et al., 2020a). While previous studies have made
196 significant contributions for automated recognition of work-related risk factors for mitigating

197 WMSDs among construction workers, they mostly utilized direct measurement approaches in a
198 laboratory experimental setting. In this regard, whether a wearable insole pressure system would
199 perform well on a real construction dataset remains to be evaluated in this paper.

200

201 *2.2. Wearable sensor-based systems for automated recognition and WMSDs prevention*

202 Monitoring and recognizing workers' activities and work-related risk factors in real-time play a
203 significant role in evaluating workers' productivity and mitigating WMSDs risks. Consequently,
204 automated recognition of awkward working postures is an initial step for mitigating WMSDs. With
205 recent advancements in information technologies, wearable sensor-based systems are mostly used
206 as ergonomic intervention tools for proactive monitoring and recognizing workers' activities.
207 Combined with computational analyses such as machine learning classifiers, these approaches
208 have demonstrated their feasibility in the construction domain and provided good performance
209 evaluation for recognizing workers' activities and work-related risk factors.

210

211 Numerous wearable sensor-based systems such as global positioning system (GPS), wearable
212 biosensors (e.g., sEMG, ECG, PPG, EEG), ultra-wideband (UWB), and radio-frequency
213 identification (RFID) are widely used for monitoring location-based activities, physiological
214 responses, and detecting worker-object interactions (Antwi-Afari et al., 2019a). Caldas et al. (2006)
215 assessed the potential of using GPS sensors to improve the tracking and location of materials on
216 construction sites. Goodrum et al. (2006) developed a tool tracking and inventory system for
217 storing operation and maintenance data by using commercially available active RFID tags. Xing
218 et al. (2020) explored the effects of physical fatigue on the induction of mental fatigue in
219 construction workers in a pilot experimental method by using wearable EEG sensors. Combining

220 the efforts of previous studies in the application of location tracking and proximity detection
221 wearable sensor-based systems within the construction environment, they all provided reliable and
222 more robust information for enhancing and monitoring construction operations such as workers,
223 materials, and equipment. The main limitation for applying these location tracking and proximity
224 detection wearable sensor-based systems is the need to install tags, sensors, or markers on each
225 individual resource, which is costly and time-consuming and thereby makes deployment on
226 construction sites unsuitable (Teizer et al., 2007).

227

228 To overcome these challenges, researchers and practitioners have recently adopted WIMUs-based
229 systems for human activity recognition and work-related risk factors recognition. WIMUs-based
230 systems consist of an accelerometer, gyroscope, and magnetometer that measure 3-axes
231 acceleration, angular velocity, and geomagnetic field, respectively. They are smaller in size, lighter
232 in weight, have high capacity, and provide reliable accuracy for human activity recognition and
233 WMSDs risk prevention. In the past decades, they have been widely used in research disciplines
234 such as rehabilitation, sports science, and healthcare, to provide multimodal interactions, support
235 independent living in elderly people, and context-aware personalized activity assistance
236 (Mantyjarvi et al., 2001; Bao and Intille, 2004; Delrobaei et al., 2018). Mantyjarvi et al. (2001)
237 recognize human ambulation and posture based on acceleration data collected from the hip.
238 Delrobaei et al. (2018) proposed a WIMUs-based system to quantify full-body tremor and to
239 separate tremor-dominant from non-tremor-dominant Parkinson's Disease patients and healthy
240 individuals. In these previous studies, they suggested that WIMU-based systems could serve as a
241 portable ergonomic intervention tool that can be used in the home environment to monitor patients
242 and facilitate therapeutic interventions. In the realm of construction, numerous studies have also

243 focused on human activity recognition and WMSD prevention by using WMIUs-based systems
244 (Joshua and Varghese, 2010; Valero et al., 2017; Alwasel et al., 2017; Chen et al., 2017). Despite
245 significant efforts, attaching multiple WIMUs-based systems on workers' bodies lead to workers'
246 discomfort and systemic instability, thus, limiting their application on construction sites.

247

248 To remedy this situation and considering the rapid development of microelectromechanical
249 systems (MEMS), WIMUs-based systems have become smaller to be incorporated into smart-
250 wearable systems such as smartphones, smartwatches, smart belts, and smart wristbands for
251 recognizing workers' activity and work-related risk factors. Smartphones and smart wearable
252 systems are characterized as unobtrusive because they are embedded with multiple sensor-based
253 systems (e.g., accelerometer, gyroscope, magnetometers, barometer, light and temperature
254 sensors), which provide a self-sufficient data collection, computing, and storage scheme. In
255 addition, they are more intelligent, intuitive, and ubiquitous wearable systems for wireless
256 communication networks with modern software development environments and require relatively
257 lower maintenance and operating cost as compared to WIMUs-based systems. These approaches
258 have been widely applied in human activity recognition and work-related risk factors classification
259 in construction (De Dominicis et al., 2013; Akhavian and Behzadan, 2016; Nath et al., 2018; Ryu
260 et al., 2019). De Dominicis et al. (2013) investigated the capability of smartphones for real-time
261 data collection of geo-localization information for construction site managers. Akhavian and
262 Behzadan (2016) presented an activity analysis framework for recognizing and classifying various
263 construction workers' activities by using a smartphone's built-in accelerometer and gyroscope
264 sensors. Their method used five different types of machine learning algorithms to recognize
265 various types of construction activities. The results indicate that neural networks outperform other

266 classifiers by offering an accuracy ranging from 87% to 97% for user-dependent and 62% to 96%
267 for user-independent categories. Nath et al. (2018) proposed a method for monitoring ergonomic
268 risk levels caused by overexertion through body-mounted smartphones (i.e., accelerometer, linear
269 accelerometer, and gyroscope signals). By adopting a support vector machine (SVM) classifier,
270 the results achieved an accuracy of 90.2%. Ryu et al. (2019) examined the feasibility of the wrist-
271 worn accelerometer-embedded activity tracker for automated action recognition during simulated
272 masonry work in a laboratory setting. It was found that the multiclass SVM with a 4-s window
273 size showed the best accuracy (88.1%) for classifying four different subtasks of masonry work.
274 These machine learning classifiers have been effectively demonstrated to recognize WMSD risk
275 factors and workers' activities, but a remaining challenge is the lack of applicable features that
276 accurately represent the change in a worker's bodily movements caused by awkward working
277 postures. Nevertheless, smartphones with embedded sensor-based systems by their nature are not
278 fixed wearable sensors because of varying device locations and orientations, which can lead to
279 data misrepresentation.

280

281 Given the above limitations, it is still crucial to deploy other automated wearable sensing systems
282 for activity recognition and WMSDs prevention by collecting sensing data from workers on a
283 construction site. In addition, it would be appropriate to select computational activity models that
284 could allow software systems to conduct reasoning algorithms to infer workers' motion or
285 movement. To do this, the current study seeks to evaluate a novel approach by using wearable
286 insole sensor data and deep learning-based networks to automatically recognize and classify
287 awkward working postures in construction. The next section provides more details on its feasibility
288 and application on construction sites.

289 *2.3. Wearable insole sensor data and deep learning-based networks for recognizing
290 awkward working postures in construction*

291 Automated recognition and classification of WMSD risk factors play a crucial role in mitigating
292 WMSDs among construction workers. It could also help researchers and safety managers to
293 retrieve important WMSD risk factor information to facilitate their analyses and decision-making
294 support in WMSD prevention. Previous studies have extensively focused on the application of
295 wearable insole sensor data and machine learning classifiers for recognizing and classifying loss
296 of balance events (Antwi-Afari et al., 2018e), awkward working postures (Antwi-Afari et al.,
297 2018f), and overexertion related construction activities (Antwi-Afari et al., 2020a). Antwi-Afari
298 et al. (2018f) developed a non-invasive method to recognize and classify awkward working
299 postures based on wearable insole pressure data and machine learning classifiers. The results
300 achieved a classification accuracy of 99.7% by using the SVM, indicating the feasibility of using
301 a wearable insole pressure system to recognize risk factors for developing WMSDs among
302 construction workers. However, the main limitation of traditional machine learning classifiers is
303 the fact that they treat individual dimensions of the sensor data statistically independently. Thus,
304 each dimension of the data is converted into feature vectors without due consideration of their
305 spatio-temporal context. To address this limitation, the current study adopted RNN-based deep
306 learning models, which incorporate temporal dependencies of sensor data streams and are more
307 appropriate for monitoring work-related risk factors than considering the data stream
308 independently. Moreover, RNN-based deep learning models provide a high level of performance
309 for time series sequential data classification, which severs as the memory units through the gradient
310 descent steps.

311

312 Recently, deep learning networks have received great interest from the construction-related
313 research fields because they have achieved exceptional performance in various research topics,
314 including image classification (Yang et al., 2018; Zhong et al., 2020), object detection and
315 recognition (Fang et al., 2018; Fang et al., 2018), natural language processing (Zhong et al., 2020),
316 and work-related risk factors recognition (Zhang et al., 2018; Son et al., 2019; Yu et al., 2019; Kim
317 and Cho, 2020; Lee et al., 2020; Yang et al., 2020; Zhao and Obonyo, 2020; Seo and Lee, 2021;
318 Wang et al., 2021; Zhao and Obonyo, 2021). Son et al. (2019) presented a method to detect
319 construction workers under varying poses against changing backgrounds in image sequences. Yu
320 et al. (2019) analyzed a joint-level vision-based ergonomic assessment tool for construction
321 workers (JVEC) to provide automatic and detailed ergonomic assessments of construction workers
322 based on construction videos. The main limitation of vision-based ergonomic assessments (i.e.,
323 images and videos) is that they require a direct line of sight to register the movements in a
324 construction environment (Han and Lee, 2013).

325

326 Kim and Cho (2020) achieved a classification performance of 82.39% to 94.73% accuracy for
327 long-short term memory (LSTM) model than conventional machine learning classifiers. Lee et al.
328 (2020) proposed an automatic detecting technique for excessive carrying-load (DeTECLoad) to
329 predict load-carrying weights and postures, achieving 92.46% and 96.33% performance,
330 respectively. Yang et al. (2020) adopted a bidirectional LSTM (Bi-LSTM) algorithm for physical
331 load detection, and they achieved 74.6 to 98.6% accuracy. Zhao and Obonyo (2021) investigated
332 the feasibility of deploying a convolutional long short-term memory (CLN) model under
333 incremental learning for recognizing workers' posture and achieved 87% (personalized) and 84%
334 (generalized) recognition performance. Wang et al. (2021) developed a novel vision-based real-

335 time monitoring, evaluation, and prediction method for workers' working postures. Their method
336 achieved 87.0% accuracy of joint point recognition and 96.0% accuracy of posture risk prediction.

337

338 The abovementioned previous studies applied various deep learning networks for recognizing and
339 classifying work-related risk factors such as physical loads and awkward working postures.
340 Compared to traditional machine learning classifiers, deep learning-based networks considerably
341 reduce the effort of choosing the right features by automatically extracting abstract features
342 through several hidden layers, and they have been proven to work well with unsupervised learning
343 (Seyfioğlu et al., 2018; Nguyen et al., 2019) and reinforcement learning (Ijjina and Chalavadi,
344 2017). The major limitation of these studies which hinders their application in construction is that
345 wearable sensing data were collected by using WIMUs. It is known that attaching multiple
346 WIMUs-based systems on workers' bodies lead to workers' discomfort and systemic instability,
347 thus, limiting their application on construction sites (Antwi-Afari and Li, 2018g). Knowledge from
348 these previous studies made significant contributions to automated work-related risk factors
349 recognition for WMSD prevention, but still, there is a need to further improve the methods to
350 prevent WMSDs in construction workers. Even though many previous studies on deep learning-
351 based classification have been conducted, and the fact that human activity recognition, object
352 detection and recognition, and WMSD risk recognition have widely been studied in construction,
353 no recent study has utilized wearable insole sensor data collected from workers on construction
354 sites as input data for recognizing and classifying awkward working postures among construction
355 workers. To this end, the current study employs different types of deep learning networks to
356 recognize and classify awkward working postures based on plantar pressure data collected from a
357 wearable insole pressure system.

358 **3. Research gaps, research objective, and contributions**

359 Although awkward working postures remain one of the most prevalent work-related risk factors
360 that may lead construction workers to develop WMSDs, little research has been conducted in
361 recognizing and classifying different types of awkward working postures among construction
362 workers. Thus, the main research question to be answered in this study is how to combine wearable
363 insole sensor data and deep learning-based networks for recognizing and classifying different types
364 of awkward working postures in construction. Given the above, the present study proposed a non-
365 intrusive wearable insole sensor system for capturing plantar pressure data, and deep learning-
366 based networks for awkward working posture recognition and classification. Therefore, the
367 objective of this study was to recognize and classify different types of awkward working postures
368 by using time-series wearable insole data and deep learning-based networks.

369

370 The main contributions of the present study can be summarized in two folds: (1) the feasibility of
371 onsite experimental data collection for work-related risk factor recognition using a wearable insole
372 pressure system. Numerous previous studies on work-related risk factor recognition are conducted
373 by student participants in a controlled laboratory setting (Chen et al., 2017; Antwi-Afari et al.,
374 2018f; Umer et al., 2020). These experimental conditions affect the generalization and validity of
375 a given study. To improve the experimental design and data collection procedures, the present
376 study analyzed wearable insole data collected from workers on construction sites for work-related
377 risk factor recognition. Real time-series data collected from workers on construction sites are
378 practically challenging due to the dynamic nature of the construction environment. Based on the
379 field experiments, this study would provide a deeper insight towards validating the use of
380 recognized awkward working postures performed by workers at the workplace; (2) occupational

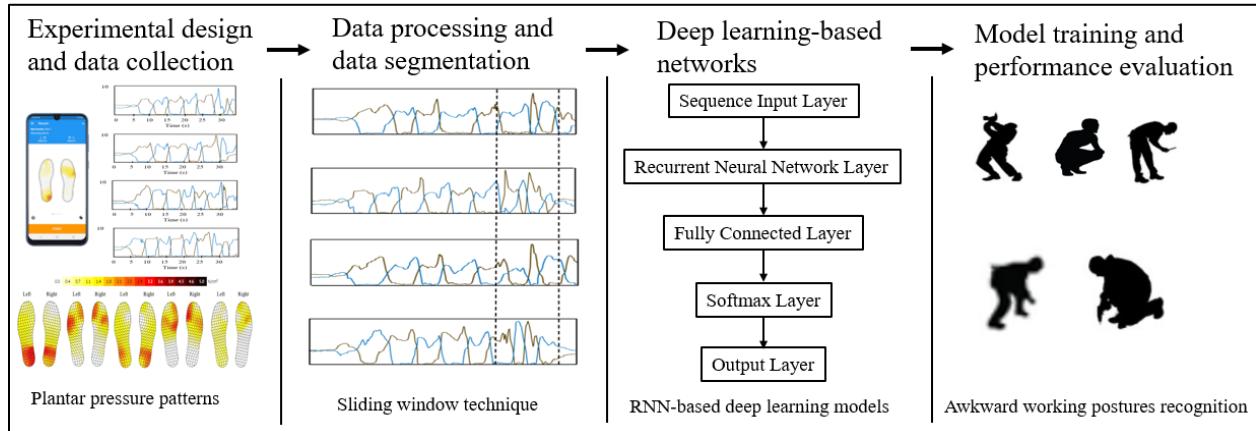
381 awkward working posture recognition and classification. In the construction domain, traditional
382 ergonomics risk monitoring and recognition approaches (e.g., observational methods) for
383 mitigating WMSDs are time-consuming, unreliable, and prone to errors. The proposed work-
384 related risk factor recognition uses time-series wearable insole data (i.e., plantar pressure patterns)
385 and RNN-based deep learning models (e.g., LSTM, Bi-LSTM, and gated recurrent units (GRU))
386 for recognizing and classifying awkward working postures in construction. With this approach,
387 workers' awkward working postures could be automatically monitored throughout the course of
388 their work without any expert's interference or observation. In addition, this present study will add
389 to the extant literature in this domain by utilizing both time series wearable insole sensor data and
390 deep learning networks for practical application on construction sites. By adopting deep learning
391 models, wearable insole data will be automatically extracted with highly representative features,
392 containing spatio-temporal of plantar pressure patterns. Notably, this helps to enrich wearable
393 sensor pattern data derived purely from time-series data for computational analysis and reasoning.
394 Consequently, this proposed approach could enhance the generality and automation in construction
395 safety management, especially for WMSD prevention.

396

397 **4. Research methods**

398 This section discusses the experimental design and data collection procedures such as recruiting
399 participants, experimental apparatus (i.e., wearable insole pressure system), and field experiment,
400 and plantar pressure data collection from rebar workers on construction site. It also explains the
401 data processing and data segmentation approach by adopting the sliding window technique. Next,
402 three RNN-based deep learning models were adopted and discussed. The final stage is model
403 training and performance evaluation, where each RNN-based deep learning model was trained by

404 using plantar pressure patterns as input data and the performance of the trained models was
405 evaluated using metrics. Fig. 1 illustrates the framework of the proposed approach. Further details
406 are presented below.



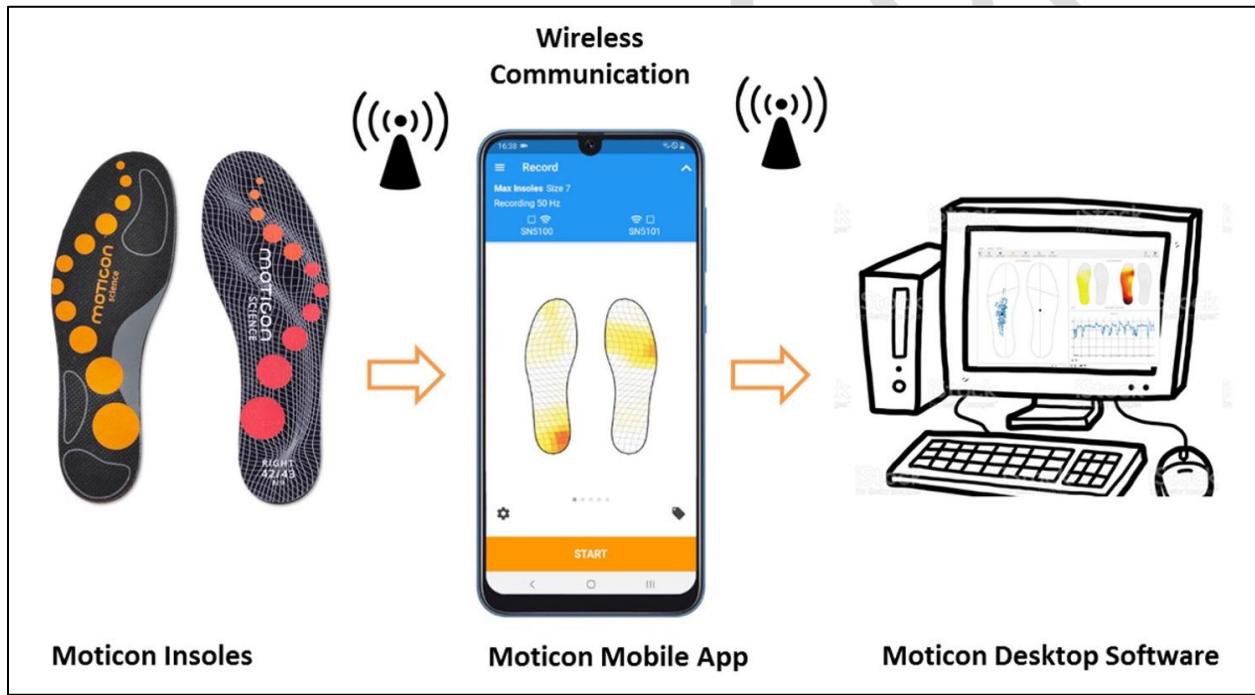
407
408 **Fig. 1.** A framework of the proposed approach

410 4.1. Experimental design and data collection

411 4.1.1. Participants

412 Ten male participants (i.e., construction rebar workers) were voluntarily recruited to participate in
413 the experiments. Construction rebar workers were recruited and participated in this study because
414 repetitive rebar tasks (e.g., preparing and assembling rebars) are physically demanding and often
415 involve long working hours, awkward working postures, and manual lifting activities (Buchholz
416 et al., 2003; Anwer et al., 2021). The participants mean age, weight, height, and shoe size were 38
417 ± 1.82 years, 76 ± 2.79 kg, 1.75 ± 0.32 m, and 10.32 ± 1.03 EU size, respectively. All participants
418 had no history of (1) significant foot injuries or lower extremity abnormalities during the last 12
419 months preceding the start of the study, and (2) neurological conditions or disabilities or other
420 conditions that affected fall and/or balance. The experimental protocol for data collection was
421 reviewed and approved by the Institutional Review Board. In addition, a written consent was
422 obtained from each participant after a verbal explanation of the experimental procedures.

423 4.1.2. *Experimental apparatus*
424 An OpenGo system (Moticon GmbH, Munich, Germany), which is a wearable insole pressure
425 system for measuring plantar pressure distribution was used in the current study. Each left or right
426 wearable sensor insole contains 16 capacitive pressure sensors, a 3-axis gyroscope (MEMS
427 LSM6DSL, ST Microelectronics), and a 3-axis accelerometer. A sampling frequency of 50Hz was
428 used for data collection. Further details of this wearable insole pressure system are presented in
429 related studies (Antwi-Afari and Li, 2018g; Antwi-Afari et al., 2018e; Antwi-Afari et al., 2018f).
430 Fig. 2 shows the overview of the mobile application user interface of the wearable insole system.



431
432 **Fig. 2.** Overview of the mobile application user interface of the wearable insole system
433

434 4.1.3. *Field experiment and data collection*

435 Data collection was conducted on a construction site. Participants wore a safety boot with an
436 inserted wearable insole. Each participant was studied during daily repetitive rebar tasks such as
437 lifting, carrying, cutting, or tying rebars. While the participants performed their daily workplace
438 activities, only five different types of awkward working postures were observed and collected.

439 They mainly included overhead working, squatting, stooping, semi-squatting, and one-legged
440 kneeling. These awkward working postures were studied because they are often used in repetitive
441 rebar tasks and expose rebar workers to high risk of developing WMSDs (Umer et al., 2017b;
442 Antwi-Afari et al., 2018a). Fig. 3 depicts the field experimental trials of different types of awkward
443 working postures. In the overhead working posture, participants were captured in an upright stance
444 while working with their hands touching a bar above their head (Fig. 3a). Squat posture was
445 identified when the participants maintained a full squat (Fig. 3b). Stoop posture involved full trunk
446 flexion with bilateral knee extension in standing (Fig. 3c). Semi-squat posture involved bilateral
447 knee bending (Fig. 3d). Lastly, one-legged kneeling was seen when the participants bent either of
448 their knees to work in a kneeling position (Fig. 3e). Each participant performed a total of 75
449 experimental tasks, consisting of 5 types of awkward working postures and 15 repeated
450 experimental trials. Each experimental trial lasted for 30 seconds. Before field data collection, all
451 participants were given sufficient time to familiarize themselves with the experimental apparatus
452 (i.e., wearable insole pressure system) to eliminate systematic bias. The participants were also
453 given enough rest (approx. 5 mins) between successive experimental trials to prevent injuries and
454 physical fatigue. Notably, all experimental trials were conducted in an outdoor construction
455 environment under natural conditions. The participants' plantar pressure data were synchronized
456 and recorded by using a video camera for all experimental tasks. In this study, awkward working
457 postures were defined as postures that deviated significantly from the neutral position and might
458 cause WMSDs after being sustained for a long time (Karwowski, 2001). Moreover, it is worth
459 mentioning that these awkward working postures exceeded the internationally recommended trunk
460 inclination for the angles of various body parts for static working postures as defined by the
461 International Organization for Standardization (ISO 11226:2000) (ISO, 2006).



462
463 **Fig. 3.** Field experiments of different types of awkward working postures: (a) Overhead working;
464 (b) Squatting; (c) Stooing; (d) Semi-squatting; and (e) One-legged kneeling
465

466 *4.2. Data processing and data segmentation*

467 After data collection, the next stage is data processing and data segmentation. The collected data
468 were stored in the mobile phone, and they were wirelessly transferred onto a desktop computer for
469 data processing. For each observed awkward working posture, the participants performed 15
470 repeated trials. It is worth noting that the wearable insole pressure system can capture plantar
471 pressure patterns, acceleration, angular velocity, ground reaction force, and center of pressure data.
472 However, all the collected data except plantar pressure patterns data were removed from the dataset
473 during data processing. As such, only plantar pressure patterns were labelled and used for data
474 segmentation. Class labelling was conducted by using the recorded videos and the collected plantar
475 pressure data. The signals were visually inspected for noise or signal artefacts. Since plantar
476 pressure patterns were evenly distributed and didn't cause any unrelated changes to different types
477 of awkward working postures, no further signal artefacts were conducted during data processing.
478 In the data segmentation stage, a sliding window technique was adopted to divide plantar pressure
479 data into smaller segments, each segment containing a specified number of data samples (Preece
480 et al., 2009). The purpose of this stage is to obtain labeled segments from the continuous stream

481 of wearable insole data to evaluate the performance of the deep learning networks. Since the
482 sampling frequency for data collection was 50 Hz, 50 data samples are obtained every second for
483 data processing. Given the experimental conditions, the dataset contains 10 participants with
484 1,125,000 data samples of five classes. By considering the conducted experiments which involved
485 repetitive rebar tasks, a window size of 5.12 s, which represents 256 (2^8) was suitable for dividing
486 plantar pressure data into smaller segments. This window size data segment was chosen by initially
487 analyzing the collected plantar pressure data to include representative awkward working postures
488 in order to optimize the recognition performance. To prevent missing relevant data, an overlapping
489 of consecutive windows was conducted. A 50% overlap of adjacent data segment lengths was used
490 as demonstrated in previous studies (Antwi-Afari et al., 2018e; Antwi-Afari et al., 2018f).

491

492 *4.3. Deep learning-based networks*

493 *4.3.1. Recurrent neural network (RNN) model architectures*

494 RNN is a subset of deep learning-based networks on the principle of extracting the output layer
495 and feeding it back as the input of another layer to predict the output of the current layer (Inoue et
496 al., 2018). Fig. 4 represents an overview of the RNN model architecture. As shown in Fig. 4a, the
497 basic architecture of an RNN consists of an input, output, activation function, and a recurrent loop.
498 Fig. 4b illustrates the structure of an unfolded RNN into a full network that allows it to perform a
499 sequence of input data. Generally, RNN model receives the input x_0 from the sequence of input
500 data, performs some calculations resulting in h_0 , which, together with x_1 , compose the input to the
501 next step. Similarly, the output h_1 with the input x_2 will be the input to the next step, and so on. It
502 is worth noting that y_t is the same as h_t .

503

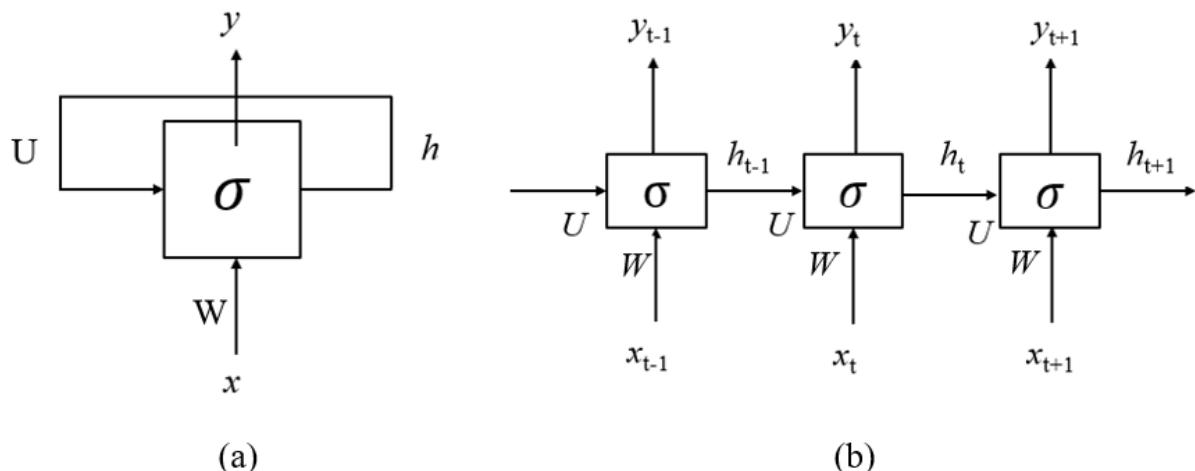
504 The value of h_t is calculated using Equation 1. As illustrated in Equation 1, the input x_t is modified
505 by W and h_{t-1} is modified by U .

$$506 \quad h_t = \sigma(Wx_t + Uh_{t-1}) \quad (1)$$

507 Where, x_t represents the input of the structure at time step t , h_t , is the output of the structure at time
 508 step t , W is the weight matrix of the input to the hidden layer at time t , U is the weight matrix of
 509 the hidden layer at time $t-1$, and σ represents the activation function.

510

Like other neural network structures, RNN models learn weights (W , U) through training using the backpropagation technique. The network then determines the accuracy of the model by using an error function (loss function) and calculating the derivates of the loss function with respect to the weight. In addition, the network uses an activation function to simplify the mathematical calculations related to the application of backpropagation. In the following section, this study presents three types of RNN-based deep learning models that were used for classifying different types of awkward working postures.



518
519 **Fig. 4.** An overview of the RNN model architecture: (a) The basic architecture of an RNN; and (b)
520 The structure of an unfolded RNN

521 *4.3.1.1. Long-short term memory (LSTM)*

522 LSTM is a type of RNN model with an enhanced function to calculate hidden states. Hochreiter
523 and Schmidhuber (1997) proposed LSTM network to solve temporal sequences and long-term
524 dependency problems by adding the gating mechanism. Compared to traditional RNN models,
525 LSTM network can solve the vanishing and exploding gradient problems because it extends RNN
526 with memory cells which can ease the learning of temporal relationships on long time scales.

527

528 Fig. 5 shows LSTM cell architecture. This cell determines which data to keep in memory and
529 which data to ignore using the concept of gating. LSTM cell has three gates, namely, input, forget,
530 and output gates. These gates can be seen as write (deciding what new information should be kept
531 in memory by the input gate), reset (deciding what information should be forgotten by the forget
532 gate), and read (deciding what information should be output by the output gate) operations for the
533 cells. LSTM cell state is the key component that carries the information between each LSTM cell.
534 Modifications to the cell state are controlled by the three gates mentioned above. The first stage of
535 the LSTM cell architecture is the forget gate, which is responsible for specifying which data to
536 remember and which data to erase. This decision is made through the sigmoid layer as shown in
537 Equation 2.

538
$$f_t = \sigma(x_t W^f + h_{t-1} U^f + b_f) \quad (2)$$

539 The output is 0 or 1, where 0 means forget, and 1 means keep. The second stage is the input gate,
540 which decides which information to be stored or added to the cell state. The input gate also consists
541 of another sigmoid layer that is used to determine new candidate values that could be updated to
542 the cell state, as shown in Equation 3.

543
$$i_t = \sigma(x_t W^i + h_{t-1} U^i + b_i) \quad (3)$$

544 The next stage in LSTM is the memory update, where the old cell is updated to the new cell. The
545 \tanh function creates a vector of candidate values that could be added to the state as shown in
546 Equation 4.

$$547 \hat{C}_t = \tanh(x_t W^g + h_{t-1} U^g + b_c) \quad (4)$$

548 The cell state is then ready for the update by concatenating both f_t and \hat{C}_t . LSTM updates the old
549 cell state C_{t-1} to be C_t as shown in Equation 5.

$$550 C_t = \sigma(f_t \times C_{t-1} + i_t \times \hat{C}_t) \quad (5)$$

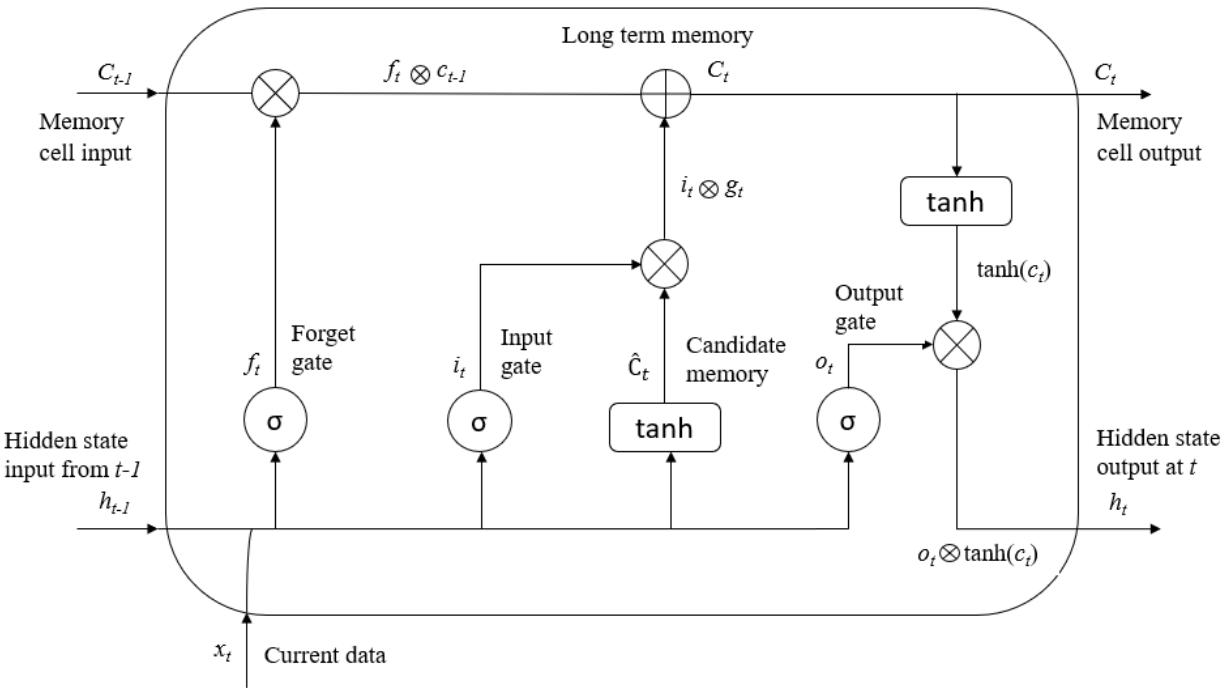
551 The final stage of LSTM is the *output* gate, which uses a sigmoid function to determine which part
552 of the cell state will come out as shown in Equation 6.

$$553 o_t = \sigma(x_t W^o + h_{t-1} U^o + b_o) \quad (6)$$

554 In Equation 7, by multiplying o_t with $\tanh(C_t)$, we implicitly determine which part to take out.

$$555 h_t = \tanh(C_t) \times o_t \quad (7)$$

556 Where, i_t , f_t , and o_t are the input, forget, and output gates, respectively. W^i , W^f , and W^o are the
557 weights for the input, forget, and output gates at time step t , respectively. W^g is the weight for the
558 candidate layer. U^i , U^f , and U^o are the weights for the input, forget, and output gates at time step
559 $t-1$. U^g is the weight for the candidate layer. x_t is the input at current time step t . h_t and h_{t-1} are the
560 output of the cell at current time step t and previous time step $t-1$, respectively. C_t and C_{t-1} are the
561 cell states at time steps t and $t-1$, respectively. b_i , b_f , and b_o are the biases for the input, forget, and
562 output gates, respectively. b_c is the bias for the candidate layer, and σ is the sigmoid function.



563
564

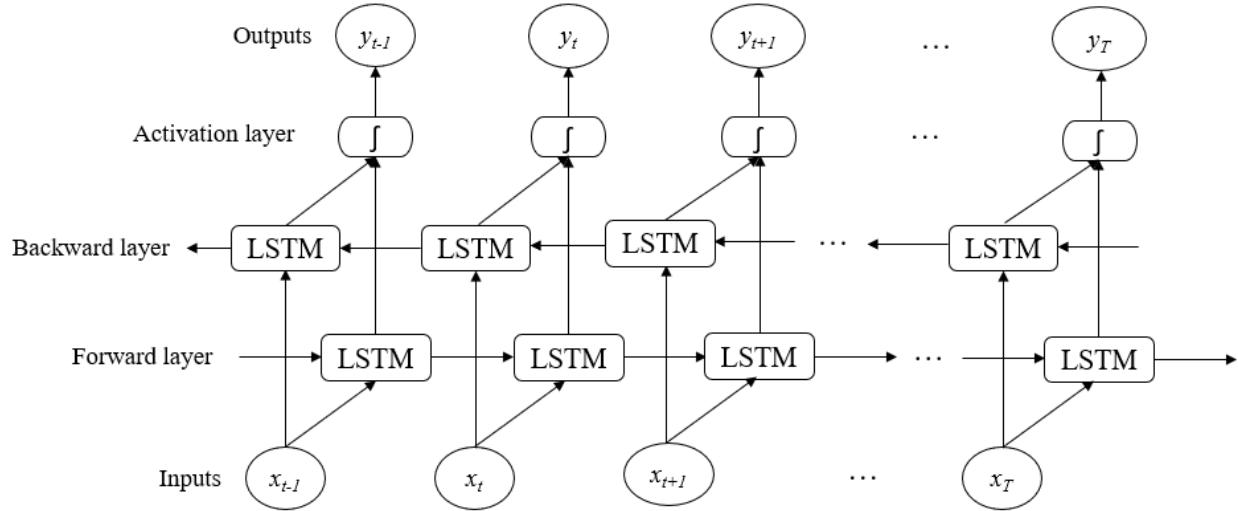
Fig. 5. LSTM cell architecture

565

566 4.3.1.2. Bidirectional LSTM (Bi-LSTM)

567 Fig. 6 depicts the Bi-LSTM layer structure, where the two independent layers share the same input
 568 sequence while the outputs from the two layers are concatenated and represented in the sequence.
 569 Bi-LSTM model consists of two separate layers that divide the state neurons of a regular LSTM
 570 into a forward layer, which is responsible for positive time direction, and a backward layer, which
 571 is responsible for negative time direction. The outputs of the forward and backward layers are
 572 concatenated, which make it possible to obtain the forward and backward information at each time
 573 step in the sequence. This approach enhances the learning process due to the dependency found
 574 between the neighboring data pairs.

575



576
577

Fig. 6. Bi-LSTM layer structure

578

579 *4.3.1.3. Gated recurrent units (GRU)*

580 GRU is an improved version of the standard RNN and a simplified version of LSTM (Gers et al.
581 2002). Like LSTM, GRU is designed to reset or update its memory adaptively. Hence, GRU has a
582 reset gate and an update gate, which are identical to the forget and the input gates in LSTM. Fig.
583 7 represents the GRU cell architecture, which is like the LSTM structure but with fewer parameters
584 that enable it to capture long-term dependencies more easily. The update gate monitors the amount
585 of memory content that must be forgotten from the previous time step.

586 The operation of a GRU cell can be described as follows:

$$587 z_t = \sigma(W_z \cdot [h_{t-1}, x_t] + b_z) \quad (8)$$

588 The model uses the reset gate to decide the amount of past information to forget as given in
589 Equation 9.

$$590 r_t = \sigma(W_r \cdot [h_{t-1}, x_t] + b_r) \quad (9)$$

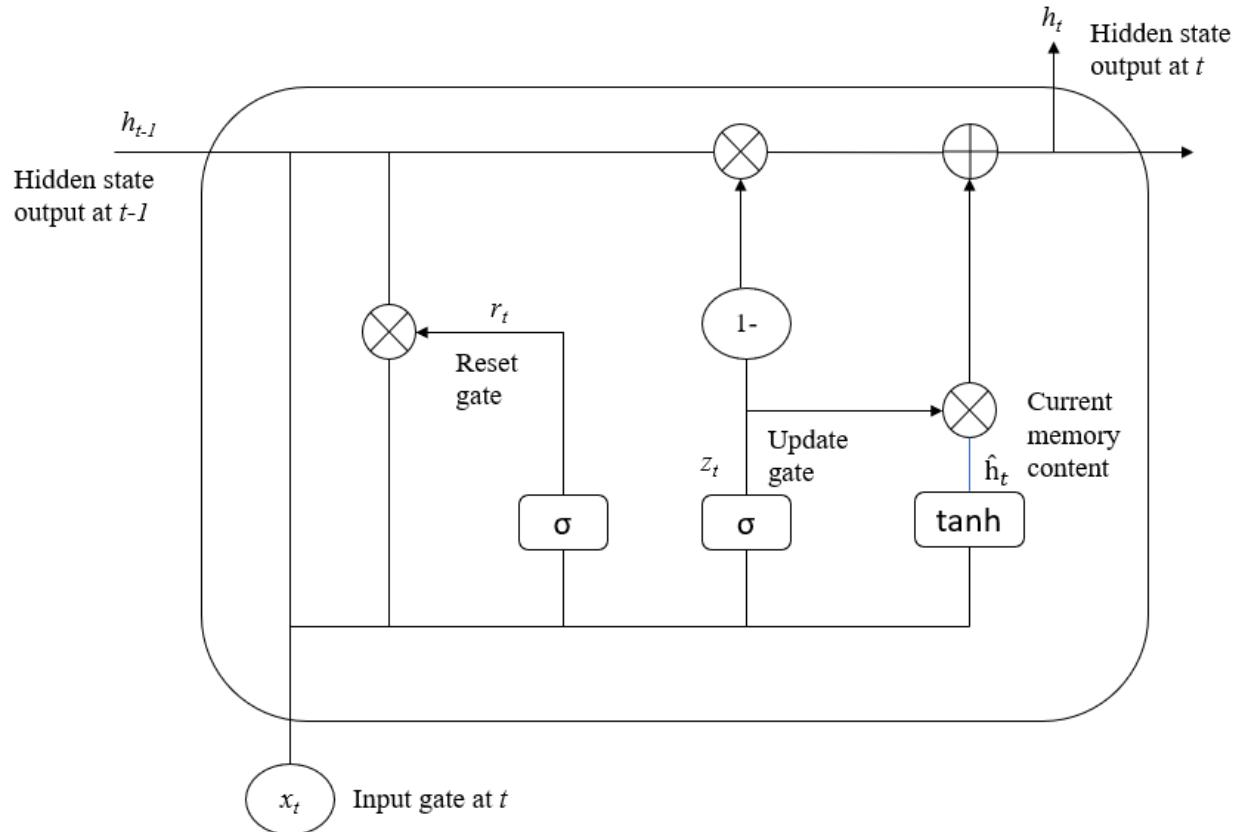
591 New memory content is introduced by using the reset gate as calculated in Equation 9 and relevant
592 past information is stored as shown in Equation 10.

593 $\hat{h}_t = \tanh(W \cdot [r_t \times h_{t-1}, x_t] + b_h)$ (10)

594 Finally, the network calculates the hidden state h_t , which is a vector that carries information for
 595 the current unit and passes it down to the network. Thus, the update gate is essential since it decides
 596 what is needed from the current memory content \hat{h}_t and the previous step h_{t-1} . Equation 11
 597 calculates the value of h_t .

598 $h_t = (1 - z_t) \times h_{t-1} + z_t \times \hat{h}_t$ (11)

599 Where, z_t and r_t are the output of the update and reset gates. W_z and W_r are the weights for the
 600 update and reset gates. b_z and b_r are the biases for the update and reset gates. h_t and h_{t-1} are the
 601 output of the cell at the current time step t and previous time step $t-1$, respectively. x_t is the input
 602 at the current time step t , and σ is the sigmoid function.



603
 604 **Fig. 7.** GRU cell architecture

605 4.4. *Deep learning model training and performance evaluation*

606 During the deep learning model training, all RNN-based deep learning models (i.e., LSTM, Bi-
607 LSTM, and GRU) have been designed to receive the same input data. Each class label belongs to
608 the same participant from plantar pressure data. For each experimental task, the plantar pressure
609 data vector has a dimensionality of 32 vectors (2×16 pressure sensors for each foot) \times 256 data
610 samples. The total number of data samples is 4,394 values. Since each window size contains 256
611 data samples, the current study used input data of 1,124,864 data samples. The network models
612 are three layers deep, and the number of hidden units ranges from 100 to 500 for each deep learning
613 model. A previous study used a similar architecture, with 200 hidden units per layer (Alawneh et
614 al., 2021). In this study, we used the cross-entropy loss (log loss function) as a cost function for
615 model accuracy. The loss function determines the model's accuracy in the classification problem.
616 The smaller the loss value, the more accurate the actual value. Updating the weights and biases in
617 the model is the responsibility of the optimization function. In addition to the Adam optimization
618 function, an adaptive version of the stochastic gradient descent was used for model training
619 (Kingma and Ba, 2014). The Adam optimizer is a reliable optimizer that ensures fast and accurate
620 results when updating the network parameters. To prevent overfitting in the model, this study
621 applied the widely used stochastic regularization method known as the dropout technique
622 (Srivastava et al., 2014). Overfitting arises when the loss function is very small for training data
623 while it is very large for testing data. The main objective of the dropout technique is to prevent the
624 neurons in the network from excessive co-adapting, which results in a lack of model generalization.
625 The model evaluation process is performed by dividing the dataset into training and testing datasets,
626 thus, 90% for training and the remaining 10% for testing. The training dataset was further split
627 into two datasets (80% for training and 20% for validation). The validation dataset was used for

628 hyper-parameter tuning and to determine the optimal unit numbers of the RNN-based deep
629 learning models. The 10-folds cross-validation technique was adopted to test the classification
630 performance of RNN-based deep learning models, similar to previous studies utilizing deep
631 learning networks (Kim and Cho, 2020; Yang et al., 2020). By conducting 10-folds cross-
632 validation, the best hyper-parameters can be selected, and the RNN-based deep learning models
633 can be evaluated as generalized models that show the desired classification performance with an
634 unseen dataset. The parameters values based on the model that provided the best accuracy with the
635 lowest training time were selected. The results show that our tuning process achieved the best
636 accuracy for the datasets when setting the values of the epoch, dropout, batch size, learning rate,
637 and hidden units at 100, 0.5, 64, 0.001, and 200, respectively. The experiments were conducted
638 and trained on a computer 2.60 GHz Intel (R) Core (TM) i7-9750H CPU, 16GB RAM, 64-bit
639 operating system, Windows 10 Pro, and Intel Iris Plus Graphics 650 1536MB GPU using
640 MATLAB R2020b. The detailed dataset and tuned hyper-parameters of the proposed RNN-based
641 deep learning models are shown in Table 1.

642 **Table 1.** Dataset and hyper-parameters of the proposed RNN-based deep learning models

Dataset and hyper-parameters	Value
Number of classes	5
Number of plantar pressure sensors	32 capacitive pressure sensors
Window size	5.12 s
Overlap of adjacent windows	50%
Sampling rate	50 Hz
Epoch	100
Dropout	0.5
Batch size	64
Learning rate	0.001
Hidden units	200
Number of sample data	1,125,000 data samples

643
644 In performance evaluation and classification, the performance of the three types of RNN-based
645 deep learning models was assessed by using evaluation metrics such as accuracy, precision, recall,

646 specificity, and F1-score (Attal et al. 2015). Equations 12 to 16 show how each evaluation metric
 647 is calculated. Accuracy is the most standard metric to summarize the overall classification
 648 performance for all classes. It is defined as the ratio of correctly classified instances to the total
 649 number of instances. Precision is the measure of determining how many instances classified as
 650 positive are actually positive, thus, it is a measure of exactness. It is defined as the ratio of correctly
 651 classified positive instances to the total number of instances classified as positive. Recall or
 652 sensitivity is the number of positive instances correctly classified as positive, thus, it is a measure
 653 of correctness. It is defined as the ratio of correctly classified positive instances to the total number
 654 of positive instances. Specificity is the number of negative instances correctly classified as
 655 negative. It is defined as the ratio of correctly classified negative instances to the total number of
 656 instances classified as negative. The F1-score combines precision and recall into a single value,
 657 and it is used to measure the performance of the classification model by avoiding systematic bias
 658 (Ordóñez and Roggen, 2016). Besides these evaluation metrics, the performance of each model on
 659 individual classes was assessed using a confusion matrix, while the accuracy and loss curves were
 660 drawn for the best model.

$$661 \quad Accuracy = \frac{TP + TN}{TP + TN + FP + FN} \quad (12)$$

$$662 \quad Precision = \frac{TP}{TP + FP} \quad (13)$$

$$663 \quad Recall = \frac{TP}{TP + FN} \quad (14)$$

$$664 \quad Specificity = \frac{TN}{TN + FP} \quad (15)$$

$$665 \quad F1 - score = 2 \times \frac{Precision \times Recall}{Precision + Recall} \quad (16)$$

666 Where, True Positive (TP) is the number of positive instances that were classified as positive, True
667 Negative (TN) is the number of negative instances that were classified as negative, False Positive
668 (FP) is the number of negative instances that were classified as positive, and False Negatives (FN)
669 is the number of positive instances that were classified as negative.

670

671 **5. Results**

672 This section presents the results derived from the conducted experimental design and data
673 collection procedures. Table 2 shows the classification accuracy and training time for different
674 types of RNN-based deep learning models which were evaluated by 10-folds cross-validation. The
675 classification accuracy for all three RNN-based deep learning models was greater than 97%. As
676 indicated in Table 2, the classification accuracies were 97.99%, 98.33%, and 99.01% for LSTM,
677 Bi-LSTM, and GRU, respectively. The results revealed that GRU model achieved the highest
678 performance among all tested RNN-based deep learning models in terms of training plantar
679 pressure pattern data for classifying different types of awkward working postures. On the other
680 hand, when the performance of the three types of RNN-based deep learning models was evaluated
681 in terms of training time, the average duration of LSTM, Bi-LSTM, and GRU networks lasted 31
682 mins, 56 mins, and 54 mins, respectively. The results show that Bi-LSTM network requires more
683 training time than either LSTM or GRU models.

684 **Table 2.** Classification accuracy and training time for RNN-based deep learning models

RNN-based deep learning models	Accuracy (%)	Training time (minutes)
Long-short term memory (LSTM)	97.99	31
Bidirectional LSTM (Bi-LSTM)	98.33	56
Gated recurrent units (GRU)	99.01	54

685

686 The confusion matrix and evaluation metrics for LSTM model are presented in Table 3. Generally,
687 the evaluation metrics achieved high performance of LSTM model on the plantar pressure data for

688 classifying different types of awkward working postures. In terms of precision metric, LSTM
689 model achieved classification performance values between 88.30% and 99.82%. The highest
690 instance of correct classified awkward working posture was overhead working posture,
691 representing 98.74%. Conversely, stooping posture had little impact on the LSTM model (i.e.,
692 67.48%) among the different types of awkward working postures. The values of specificity and
693 F1-score metrics are in the range of 95.33% to 99.94%, and 76.50% to 98.40%, respectively. To
694 identify the classes that are misclassified or confused with other classes, the confusion matrix was
695 presented. As shown in Table 3, each row represents the actual classes, while the columns represent
696 the predicted classes. The diagonal cells represent the correct instances as highlighted in bold font
697 for a more detailed evaluation of the classification performance at the end of the 100th epoch. The
698 other cells show the misclassified instances. From Table 3, it was revealed that overhead working
699 posture class had the best recognition performance because plantar pressure data are different from
700 the values in other classes. It can also be seen that the top two most misclassified classes are
701 stooping and overhead working postures. Stooping posture is confused 30 times with overhead
702 working posture. Data collection for both stooping and overhead working postures involved
703 bilateral knee extension in static positions. As such, the confusion between stooping and overhead
704 working postures can be explained by the similar plantar pressure data collected from the wearable
705 insole system.

706 **Table 3.** Confusion matrix and evaluation metrics for long-short term memory (LSTM)

		Predicted class				
True class	Overhead working	625	0	5	3	0
	Squatting	10	350	4	3	1
	Stooping	30	4	83	6	0
	Semi-squatting	23	0	2	433	0
	One-legged kneeling	8	0	0	9	533
	Overhead working	Squatting	Stooping	Semi-squatting	One-legged kneeling	
Accuracy						97.99%
Precision	89.80%	98.87%	88.30%	95.37%		99.82%
Recall	98.74%	95.11%	67.48%	94.54%		97.02%
Specificity	95.33%	99.78%	99.46%	98.76%		99.94%
F1-score	94.06%	96.95%	76.50%	94.96%		98.40%

707

708 Table 4 represents the confusion matrix and evaluation metrics of Bi-LSTM model. The correct
 709 classes are shown in bold for a more detailed evaluation of the classification performance at the
 710 end of the 100th epoch. Generally, the evaluation metrics of Bi-LSTM model achieved higher
 711 performance than LSTM model. With regards to precision metric, Bi-LSTM model achieved
 712 performance rates between 92.09% and 99.61%. Like LSTM model, the highest instance of Bi-
 713 LSTM for correct classified awkward working posture was overhead working, representing
 714 97.83%. It was reported that overhead working posture had the most positive impact on the
 715 performance of Bi-LSTM, followed by one-legged kneeling (97.80%), squatting (96.37%), semi-
 716 squatting (93.02%), and stooping (87.50%) (Table 4). The specificity and F1-score metrics of
 717 different types of awkward working postures range from 96.03% to 99.88% and 91.70% to 98.75%,
 718 respectively. According to the confusion matrix in Table 4, it can be observed that overhead
 719 working posture is the most recognized class with 675 positive instances. In addition, it was found
 720 that the top two most misclassified classes are stooping and overhead working postures (Table 4).

721

722 **Table 4.** Confusion matrix and evaluation metrics for bidirectional LSTM (Bi-LSTM)

		Predicted class				
True class	Overhead working	675	0	8	5	2
	Squatting	8	425	0	8	0
	Stooping	25	2	210	3	0
	Semi-squatting	18	0	0	240	0
	One-legged kneeling	7	0	0	4	512
	Overhead working	Squatting	Stooping	Semi-squatting	One-legged kneeling	
Accuracy						98.33%
Precision		92.09%	99.53%	96.33%	92.31%	99.61%
Recall		97.83%	96.37%	87.50%	93.02%	97.80%
Specificity		96.03%	99.88%	99.58%	98.94%	99.88%
F1-score		94.87%	97.93%	91.70%	92.66%	98.75%

723

724 The confusion matrix and evaluation metrics of GRU model are presented in Table 5 with correct
 725 classes shown in bold for a more detailed evaluation of the classification performance at the end
 726 of the 100th epoch. The evaluation metrics of GRU model achieved the highest performance
 727 compared to either LSTM or Bi-LSTM model. Regarding precision metric, GRU model achieved
 728 classification performance values between 94.41% and 99.80%. The highest instance of correct
 729 classified awkward working posture was overhead working, representing 99.30%. This recall
 730 result concurs with classification accuracy, thus, indicating that GRU model outperforms other
 731 RNN-based deep learning models. It was found that stooping posture had the lowest correct
 732 classified posture (i.e., 89.00%) among the different types of awkward working postures. The
 733 specificity and F1-score metrics of different types of awkward working postures range from 97.08%
 734 to 99.94% and 93.19% to 99.39%, respectively. Taken together, these results show that GRU
 735 model outperformed either LSTM or Bi-LSTM model based on plantar pressure data for
 736 classifying different types of awkward working postures. Like LSTM and Bi-LSTM models, it can
 737 be observed from the confusion matrix in Table 5 that overhead working posture is the most

738 recognized class with 710 positive instances. Moreover, it was reported that stooping and overhead
739 working postures are the top two most misclassified classes (Table 5).

740

741 **Table 5.** Confusion matrix and evaluation metrics for gated recurrent units (GRU)

		Predicted class				
True class	Overhead working	710	0	4	1	0
	Squatting	5	412	0	3	0
	Stooping	21	1	178	0	0
	Semi-squatting	12	0	0	310	1
	One-legged kneeling	4	0	0	1	489
	Overhead working	Squatting	Stooping	Semi-squatting	One-legged kneeling	
Accuracy						99.01%
Precision	94.41%	99.76%	97.80%	98.41%	99.80%	
Recall	99.30%	98.10%	89.00%	95.98%	98.99%	
Specificity	97.08%	99.94%	99.80%	99.73%	99.94%	
F1-score	96.80%	98.92%	93.19%	97.18%	99.39%	

742

743 Fig. 8 and 9 show the accuracies and losses over iterations curves with the tuned hyperparameters
744 of the GRU model. As shown in both figures, GRU model performance shows an increase in
745 accuracy and decrease in loss in both training and validation, respectively. In other words, the
746 training and validation curves for GRU model converge at higher accuracy whilst their
747 corresponding loss curves converge at a lower loss value. It was found that both the accuracies and
748 losses were converged at the 90th epoch. Thus, the difference between either training accuracy and
749 validation accuracy or training loss and validation loss was insignificant, indicating that the GRU
750 model was effectively trained without overfitting plantar pressure data.

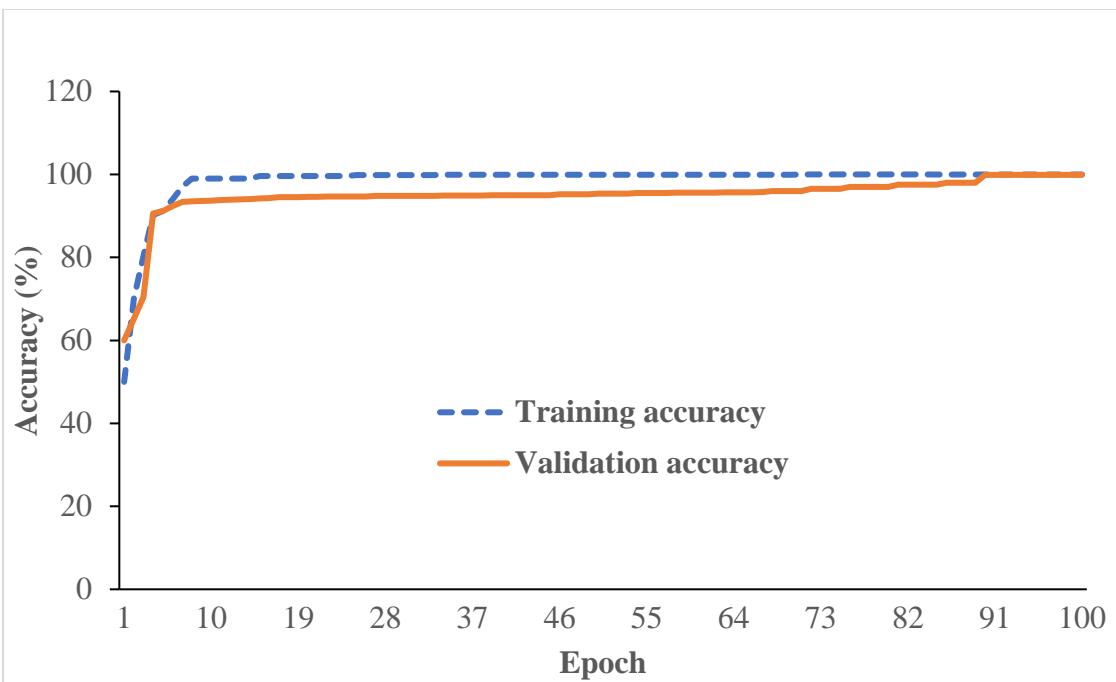


Fig. 8. Accuracies over iterations curves with the tuned hyperparameters of the GRU model

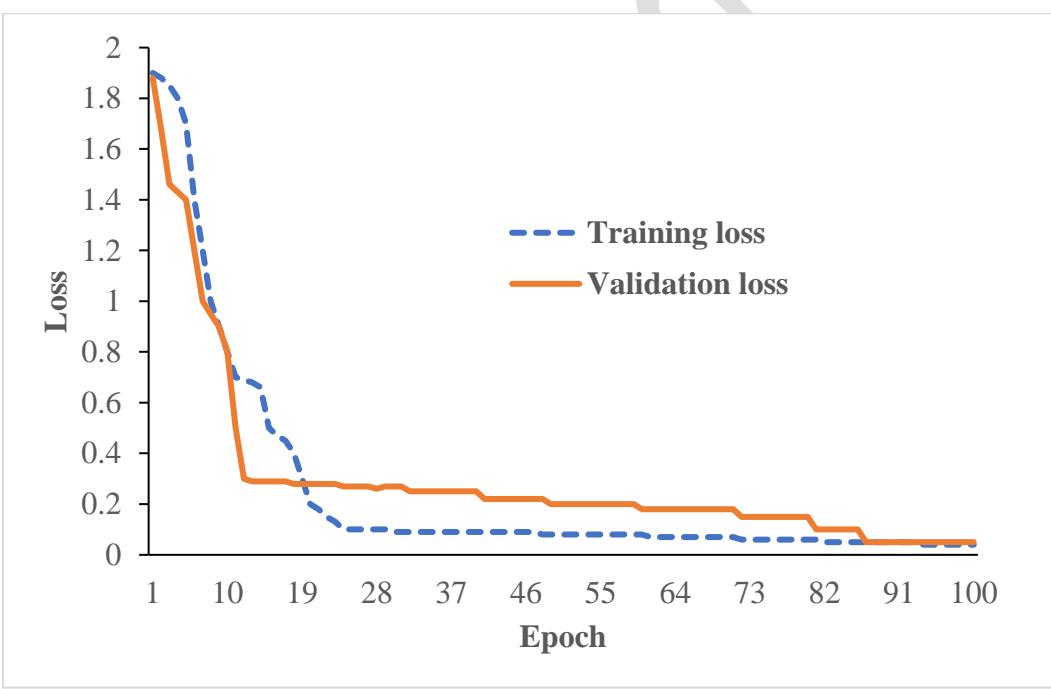


Fig. 9. Losses over iterations curves with the tuned hyperparameters of the GRU model

757 6. Discussion

758 6.1. Wearable sensing data and deep learning-based networks

759 Construction activities are associated with several work-related risk factors. Among them,
760 awkward working postures are the major risk factor that causes WMSDs in construction. The
761 objective of this research was to evaluate a novel approach of using deep learning-based networks
762 and wearable insole sensor data to automatically recognize and classify different types of awkward
763 working postures in construction. To do this, this study adopted three types of RNN-based deep
764 learning models to train time-series plantar pressure data captured by a wearable insole system.

765

766 By comparing the employed RNN-based deep learning models in this study, it was found that
767 GRU model achieved the highest accuracy (i.e., 99.01%) with an average training duration of 54
768 minutes. In addition, the results show that GRU model obtained precision, recall, specificity, and
769 F1-score metrics of 94.41% to 99.80%, 89.00% to 99.30%, 97.08% to 99.94%, and 93.19% to
770 99.39%, respectively in classifying different types of awkward working postures. Regarding the
771 confusion matrix, it was revealed that the top two most misclassified classes are stooping and
772 overhead working postures. Moreover, GRU model performance shows an increase in accuracy
773 and a decrease in loss in both training and validation, respectively. These results support the
774 hypothesis of this study that GRU model, which is an RNN-based deep learning network could
775 provide a reliable and better performance accuracy for classifying different types of awkward
776 working postures. This finding might be explained from the model perspective. GRU model is
777 relatively simpler and can forget and choose memory with fewer parameters, while LSTM model
778 needs more gating and parameters to complete similar tasks. In addition, GRU model can control
779 the information flow from the previous activation when computing new candidate activation. In

780 summary, GRU model outperformed other RNN-based deep learning models in this study in terms
781 of computational power (i.e., convergence of training time) and performance (i.e., parameter
782 updates). Our results are comparable to other previous studies which found GRU model to
783 outperform LSTM model (Yang et al., 2020; Zarzycki and Ławryńczuk, 2021). The findings of
784 this study indicate that GRU architecture can leverage the advantages of both LSTM and Bi-LSTM
785 layer architectures to enhance awkward posture recognition. Hence, the use of the GRU model is
786 recommended for classifying awkward working postures based on wearable insole data.

787

788 A previous study by Antwi-Afari et al. (2018f) utilized plantar pressure data to recognize different
789 types of awkward working postures based on machine learning classifiers, finding an accuracy of
790 99.70% with SVM classifier at 0.32s window size. However, this previous work was conducted in
791 a controlled laboratory setting, by student participants, and static awkward working postures.
792 These experimental conditions are not the case in a real-world construction environment. By
793 utilizing WIMU-based systems, Lee et al. (2020) compared a deep learning network (i.e., CNN-
794 LSTM) to conventional machine learning classifiers for automated classification of squat postures.
795 They obtained 75.4% and 91.7% classification performance for conventional machine learning
796 and deep learning model, respectively. Although these results are comparable to the current study,
797 Lee et al. (2020) used acceleration and angular velocity data while the present study used plantar
798 pressure data captured by a wearable insole system.

799

800 Notably, previous studies have also demonstrated similar deep learning networks (e.g., vanilla,
801 unidirectional LSTM, Bi-LSTM, GRU) in wearable sensor-based human activity recognition
802 studies in construction (Rashid and Louis, 2019; Kim and Cho, 2020; Lee et al., 2020; Yang et al.,

803 2020; Zhao and Obonyo, 2021) and other disciplines (Li et al., 2019; Alawneh et al., 2021;
804 Mekruksavanich and Jitpattanakul, 2021). Rashid and Louis (2019) evaluated a data-augmentation
805 framework for identifying construction equipment activity by combining LSTM model and
806 multiple WIMU-based systems. They found that LSTM model outperforms conventional machine
807 learning classifier (i.e., artificial neural network). Kim and Cho (2020) proposed a construction
808 worker's motion recognition model using the LSTM network based on an evaluation of the number
809 and location of WIMUs to maximize motion recognition performance. They found that the
810 proposed approach could improve a worker monitoring mechanism for safety and productive
811 management. Yang et al. (2020) investigated the feasibility of identifying various physical loading
812 conditions by analyzing a worker's bodily movements collected by using WIMUs. Their findings
813 contribute to automated work-related risk recognition and WMSDs prevention, thus, enhancing
814 workers' health and safety at construction workplace. Zhao and Obonyo (2020) investigated the
815 feasibility of integrating convolutional neural networks (CNN) with LSTM layers for recognizing
816 construction workers' postures from motion captured by WIMUs-based systems. The results
817 revealed that the proposed deep neural network approach has a high potential in addressing
818 challenges for improving posture recognition performance than conventional machine learning
819 models. Alawneh et al. (2021) compared the performance of data augmentation and RNN-based
820 deep learning models on three open-source datasets, finding that GRU models and data
821 augmentation significantly enhance activity recognition. Collectively, these studies found that
822 deep learning models and wearable sensing data can be utilized for monitoring workers' activities
823 regarding their safety, fall risks, and productivity. However, direct comparison between existing
824 studies' findings and the current study may not be meaningful due to numerous differences in
825 experimental design (e.g., participants' physical characteristics) and data collection procedures.

826 *6.2. Study implications, practical applications, and contributions*

827 The current study provides relevant findings and practical implications to both researchers and
828 practitioners within the construction industry. First, a key practical implication is the feasibility of
829 onsite experimental data collection for work-related risk factor recognition using a wearable insole
830 pressure system. Collecting wearable sensing data in a real-world construction setting is very
831 challenging due to multiple reasons such as the dynamic nature of the construction environment,
832 huge resources, and several work-related risk factors. Different from previous studies on work-
833 related risk factor recognition that were conducted by student participants in a controlled
834 laboratory setting (Chen et al., 2017; Antwi-Afari et al., 2018f; Umer et al., 2020), the current
835 study investigated the use of wearable insole data while construction rebar workers performed
836 awkward working postures during repetitive rebar tasks at construction site. Awkward working
837 postures are also commonly performed by other workers such as masons, carpenters in the
838 construction industry. Collectively, the proposed approach could not only be applied during
839 repetitive rebar tasks (e.g., preparing and assembling rebars), but also other manual repetitive
840 handling tasks (e.g., bricklaying) in construction. Second, the proposed approach provides an
841 automated recognition and classification of awkward working postures in construction. The results
842 from the current study revealed that awkward working postures, the most prevalent work-related
843 risk factor among construction workers, could be recognized and classified by using wearable
844 insole data and deep learning networks. Awkward posture recognition is the first step in proactive
845 WMSD prevention. As such, this wearable sensor-based approach can serve as a proactive
846 intervention tool for recognizing work-related risk factors, thus, mitigating WMSDs risks in
847 construction. Besides automated WMSDs risk monitoring and recognition in construction, the
848 achieved awkward posture recognition model can also facilitate “Prevention through Design” (PtD)

practices by identifying workers' ergonomic risks under different workplace designs. These preventive strategies can also be adopted in other physically demanding and labor-intensive occupations such as manufacturing, automobile, and agriculture. Third, the proposed approach—utilizing wearable insole data and deep learning-based networks—will contribute to real-time wearable sensor computing by deploying the performance of plantar pressure patterns and GRU model for awkward posture recognition. Construction practitioners (e.g., safety managers) can use this piece of information to enhance their safety program, thus, improving workers' safety and health. With the performance accuracies of three RNN-based deep learning models in this study, the best RNN-based deep learning model (i.e., GRU) can learn workers' movement patterns and provide reliable results for predicting posture-based WMSDs risk. However, it was found that stooping and overhead working postures were misclassified and could lead to recognition errors. Nevertheless, the findings of this study can be applied to other work-related risk factors (e.g., overexertion, loss of balance events) with specific physical load conditions and reasonable hyper-parameter tuning through model training and testing, thus, mitigating the risk of developing WMSDs.

864

865 *6.3. Limitations and future research directions*

866 The proposed approach is successful for automated recognition and classification of awkward
867 working postures in construction. However, there are few limitations and challenges. First, this
868 study only investigated a small sample of experienced rebar workers and five types of awkward
869 working postures in construction. With diverse construction workers and physically demanding
870 construction activities, the small experimental dataset could limit the application of the proposed
871 approach in the construction industry. Future studies should collect large samples of data from

several construction workers (e.g., bricklayers, carpenters) while conducting other types of awkward working postures (e.g., bending or twisting to lift an object) during a real-world construction environment. Such dataset with enough samples is crucial in training, testing, and developing a generalized model for different construction activities. Second, this study considered limited types of wearable sensor data—plantar pressure data—for automated recognition of awkward working posture. Notably, there are other types of body sensor networks or wearable biosensors for collecting heart rate, respiration, and body temperature data could be integrated to enhance automated monitoring and recognition applications. As such, future research should include other types of biosensor data. Third, the current study employed only three types of RNN-based deep learning models for awkward posture recognition and classification. Although useful, RNN-based deep learning models are specifically designed to handle sequential data, but they suffer from the vanishing/exploding gradient problem. As a result, RNNs fail to deal with long sequences if *tanh* is applied as the activation function, whereas the model is unstable if a rectified linear unit (*relu*) is used (Dang et al., 2020). In addition, RNN layers cannot be stacked into a very deep model because the saturated activation functions cause the gradient to decay over layers. Consequently, future research could evaluate other types of deep learning networks (e.g., CNN) or integrate two or more deep learning networks (e.g., CNN-LSTM) for awkward posture recognition.

890

891 **7. Conclusions**

892 This research evaluates a novel approach of using deep learning-based networks and wearable
893 insole sensor data to automatically recognize and classify different types of awkward working
894 postures in construction, which may lead workers to develop WMSDs. Five different types of

895 awkward working postures (i.e., overhead working, squatting, stooping, semi-squatting, and one-
896 legged kneeling) were conducted, and plantar pressure data were captured by using a wearable
897 insole pressure system. The classification performance of three RNN-based deep learning
898 models—LSTM, Bi-LSTM, and GRU—was evaluated using metrics such as accuracy, precision,
899 recall, specificity, and F1-score. The experimental results show that GRU model outperforms the
900 other RNN-based deep learning models with a high accuracy of 99.01% and F1-score between
901 93.19% and 99.39%. These results suggest that GRU model, widely applied for the classification
902 of time-series and sequential data, can be employed to learn sequential plantar pressure patterns
903 captured by a wearable insole system to recognize and classify different types of awkward working
904 postures. The proposed approach will contribute to real-time wearable insole sensor computing by
905 deploying the performance of GRU model for awkward working posture recognition on
906 construction sites. In addition, it contributes to automated WMSDs risk recognition among
907 construction workers by enabling safety managers to continuously monitor awkward working
908 postures, thus improving workers' safety and health conditions. To develop a detailed practical
909 guideline for this application, future research could integrate other types of wearable biosensors
910 (e.g., heart rate monitors) and deep learning networks (e.g., CNN) for vigorous recognition of
911 awkward working postures.

912

913 **Data availability statement**

914 The datasets used in this study are available from the corresponding author upon request.

915

916 **Declaration of competing interest**

917 None

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926

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