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1 **Title**

2 Antidiabetic “gliptins” affect biofilm formation by *Streptococcus mutans*

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19

20 **Abstract**

21 *Streptococcus mutans*, a dental caries causing odontopathogen, produces X-prolyl dipeptidyl  
22 peptidase (Sm-XPdap, encoded by *pepX*), a serine protease known to have a nutritional role.  
23 Considering the potential of proteases as therapeutic targets in pathogens, this study was  
24 primarily aimed at investigating the role of Sm-XPdap in contributing to virulence-related  
25 traits. Dipeptidyl peptidase (DPP IV), an XPdap analogous enzyme found in mammalian  
26 tissues, is a well known therapeutic target in Type II diabetes. Based on the hypothesis that  
27 gliptins, commonly used as anti-human-DPP IV drugs, may affect bacterial growth upon  
28 inhibition of Sm-XPdap, we have determined their *ex vivo* antimicrobial and anti-biofilm  
29 activity towards *S. mutans*. All three DPP IV drugs tested reduced biofilm formation as  
30 determined by crystal violet staining. To link the observed biofilm inhibition to the human-DPP  
31 IV analogue present in *S. mutans* UA159, a *pepX* isogenic mutant was generated. In addition to  
32 reduced biofilm formation, CLSM studies of the biofilm formed by the *pepX* isogenic mutant  
33 showed these were comparable to those formed in the presence of saxagliptin, suggesting a  
34 probable role of this enzyme in biofilm formation by *S. mutans* UA159. The effects of both *pepX*  
35 deletion and DPP IV drugs on the proteome were studied using LC-MS/MS. Overall, this study  
36 highlights the potential of Sm-XPdap as a novel anti-biofilm target and suggests a template  
37 molecule to synthesize lead compounds effective against this enzyme.

38

39 **Keywords**

40 *Streptococcus mutans*; Biofilm, X-prolyl dipeptidyl peptidase; saxagliptin; shot-gun proteomics

41

42 **Introduction**

43 Dental caries is the most prevalent, multifactorial, globally increasing oral health problem among  
44 children and adults (Bagramian et al., 2009; Selwitz et al., 2007). It is a manifestation of biofilm  
45 formation by certain members of the indigenous oral microbiota that are aciduric and acidogenic.  
46 Among them, *Streptococcus mutans* is one of the key etiological agents of dental caries. *S.*  
47 *mutans* is known to code for several peptidases and exoglycosidases that can facilitate utilization  
48 of human saliva as a source of nutrition (Ajdić et al., 2002). X-prolyl dipeptidyl aminopeptidase  
49 (XPDAP) is one such narrow substrate range cytoplasmic endopeptidase found in *S. mutans*,  
50 which help in utilization of proline-rich salivary polypeptides (Cowman and Baron, 1997, 1993).  
51 Collagenolytic and caseinolytic activities demonstrated by XPDAP further suggest its  
52 importance as a putative virulence factor in *S. mutans* (Cowman et al., 1975; Rosengren &  
53 Winblad, 1976). In *Streptococcus suis* and *Streptococcus gordonii* extracellularly present  
54 XPDAP play a role also in cellular invasion (Ge et al., 2009; Goldstein et al., 2001). Similarly,  
55 periodontal pathogen *Porphyromonas gingivalis* also had altered virulence in absence of XPDAP  
56 (Yagishita et al., 2001).

57 An analogous enzyme to XPDAP, DPP IV is also found in mammalian tissues and is a target for  
58 maintaining glucose homeostasis in Type II diabetic patients (Cowman and Baron, 1997; Green  
59 et al., 2006). Diabetes, an abnormal metabolic disorder, is an epidemic of significant healthcare  
60 concern among both developed and developing countries (King et al., 1998). Certain drugs,  
61 namely saxagliptin, vildagliptin and sitagliptin, are commonly used anti-human DPP IV (AHD)  
62 molecules used in the treatment of Type II diabetes (Green et al., 2006). DPP IV targets incretin  
63 hormones such as GLP-1, thereby decreasing their plasma levels. Inhibition of DPP IV leads to

64 the opposite effect, which results in a restoration of glucose homeostasis in diabetic patients  
65 (Wang et al., 2012). As a novel approach, our recent investigation has shown that *S. mutans*  
66 XPDAP (Sm-XPDAp, encoded by *pepX*) is inhibited by saxagliptin *in vitro* (De et al., 2016). In  
67 an extension of this work and hypothesising a probable role of Sm-XPDAp in virulence, herein  
68 we have evaluated the *ex vivo* effect of these molecules on cell growth and biofilm formation by  
69 *S. mutans*. A *pepX* (SMU.35) isogenic mutant was also generated. Furthermore, whole cell  
70 proteome analysis of AHD treated cells and the isogenic mutant was performed to identify  
71 possible consequences of Sm-XPDAp inhibition or deletion.

## 72 **Materials and Methods**

### 73 *MIC and Biofilm formation assay*

74 The MIC was determined by microdilution assay according to the Clinical and Laboratory  
75 Standards Institute (CLSI, 2011), with the exception of the medium used, which was BHI (Ahn  
76 et al., 2012; da Silva et al., 2013). The highest concentration of 2048 $\mu$ g/mL of each AHD was  
77 serially diluted down to 4 $\mu$ g/mL and the final density of mid-exponential phase cells used was  
78 10<sup>6</sup> CFU/mL. The drugs were dissolved in sterile water and erythromycin was used as a positive  
79 control.

80 Biofilm formation was assessed by a semi-quantitative crystal violet method in polystyrene 96-  
81 well cell culture plates (Costar 3595; Corning Inc., NY) as previously described (Ahn et al.,  
82 2008). An overnight culture of *S. mutans* UA159 (ATCC 700610) was transferred into pre-  
83 warmed BHI and grown till mid-exponential phase and then diluted 50 fold in semi-defined  
84 biofilm medium (SDM) supplemented with 20mM glucose or sucrose. Aliquots (100 $\mu$ L) of this  
85 culture were added to serially diluted drug in water (2048 $\mu$ g/mL to 4 $\mu$ g/mL), to make a final

86 volume of 200 $\mu$ L (with a 100-fold final dilution of cells) and incubated for 20 hours. The  
87 adhered cells were stained with 1% crystal violet for 15 minutes and the extract was quantified at  
88 495nm. The viability of the cells in the culture medium (planktonic phase over the formed  
89 biofilm) at each concentration of drug was determined by CFU counting.

#### 90 *Construction of pepX deletion mutants*

91 A *pepX* deletion mutant was generated by PCR ligation mutagenesis method (Lau et al., 2002).  
92 Briefly, erythromycin cassette, upstream and downstream flanking regions (about 600bps) of  
93 *pepX* was amplified using specific primers (Table 2). The amplicons were digested using NcoI  
94 and SacI and ligated at 16 $^{\circ}$ C overnight. The resulting ligation mixes were used for PCR to obtain  
95 a mutagenic construct using primers pepX-Up-F and pepX-Dn-R. This fragment was naturally  
96 transformed into mid-log phase *S. mutans* UA159, grown in Todd-Hewitt broth containing 10%  
97 sucrose and the recombinants were selected on BHI agar containing 10 $\mu$ g/mL erythromycin  
98 (Petersen and Scheie, 2000). The *pepX* mutant was confirmed by colony PCR and Sanger  
99 sequencing.

#### 100 *CLSM examination of Biofilm*

101 The structure of biofilm of the *pepX* mutant and wild-type *S. mutans*, grown on polystyrene discs  
102 in the presence of SDM and glucose and AHD drugs as described above, was evaluated using an  
103 LSM-510-META laser scanning microscope attached to an Axioplan-II microscope (Zeiss). The  
104 non-adherent cells were washed in saline, biofilms were stained with Live/Dead BacLight-(1X)  
105 (Molecular Probes Inc.) for 20 minutes and rinsed three times in saline to remove excess stain.  
106 Subsequently, the stained discs were examined with an alpha Pan-Fluor 100X objective under  
107 excitation at 488nm (Argon-laser) and 543nm (He-Ne-laser), and emission filter ranging 585-

108 615nm and 505-530nm for Propidium iodide and SYTO9, respectively. ImageJ v1.48 (NIH,  
109 USA) was used to process images. The proportion of viable cells (green) versus dead cells (red)  
110 was determined based on the intensity at each pixel using ImageJ (Nance et al., 2013). The  
111 proportion of green signal and the red signal was calculated by multiplying the total number of  
112 pixels with the given intensity (0 -255) at each channel and then dividing it by the sum of the  
113 intensity value for each signal measured at each image stack.

#### 114 *Proteome analysis of biofilm-grown cells*

115 The proteome of biofilm-grown cells in absence or presence of an AHD drug and that of the  
116  $\Delta pepX$  mutant were analysed from 48mL of culture. In brief, after 20 hours incubation, the  
117 harvested biofilm cells were lysed. The lysate was used to acetone precipitate all proteins  
118 overnight at -20°C. The precipitate was washed in 80% and 40% acetone successively, air dried  
119 and then partially pre-fractionated by 1D PAGE. The protein containing gel was stained,  
120 destained and sectioned into pieces for treatment in 100mM  $\text{NH}_4\text{HCO}_3$  and acetonitrile (ACN)  
121 for complete removal of Coomassie stain. The gel slices were dehydrated in ACN and digested  
122 in 40 $\mu\text{g}/\text{mL}$  trypsin (Trypsin Gold, MS Grade, Promega) at 37°C overnight. Digestion was then  
123 stopped by adding 50% ACN (v/v) and 5% formic acid (v/v) with shaking for 30 min. The  
124 peptides-containing digested extract was removed and the gel pieces were further extracted in  
125 ACN and formic acid before freeze drying. The lyophilized peptide digest was mixed in 5%  
126 ACN and 0.1% formic acid (v/v) and then run in a LC-NanoPump coupled to a tandem mass  
127 spectrometer (Thermo-Q-Exactive attached to HPLC Ultimate-3000-RSLCnano system),  
128 through an Easy Spray C18 column (PepMap-RSLC, 75 $\mu\text{m}\times 500\text{mm}$ , Thermo Scientific) in a  
129 gradient solvent mixture of water and ACN containing 0.1% formic acid. The run was carried  
130 out for 215 minutes at a flow rate of 0.3 $\mu\text{L}/\text{min}$  with a scan range of 350–1800 m/z. The mass

131 spectrum data (MS and MS<sup>2</sup>) was then processed in Progenesis LC-MS v4.1 and the peptides  
132 identified in MASCOT database (Matrix Science, UK).

### 133 *Statistical analysis*

134 Statistical analysis was performed using the software Statgraphics Centurion ver. XV (Statpoint  
135 Technologies, Inc., Virginia, USA). Fitting of data was done using Origin ver. 8.1 (Origin Lab  
136 Corporation, MA, USA). Inhibition of biofilm formation data were fitted using a dose/response  
137 function (Boltzmann), according to the following equation (1):

$$138 \quad y = A2 + \frac{A1 - A2}{1 + e^{(x - EC50)/dx}} \quad (1)$$

139 where A2 and A1 are the maximum and minimum level of biofilm formed, respectively, and  
140 EC<sub>50</sub> is the concentration of the drug affecting 50% biofilm formation. EC<sub>50</sub> values from the four  
141 replicates of the same drug were derived and their mean calculated. Distribution of EC<sub>50</sub> values  
142 of the same drug was checked for normality. Analysis of variance (ANOVA) test was run to  
143 compare means from different drugs and to check variance. Probability value threshold was set  
144 to 0.05. Multiple comparison analysis was performed between pairs of data sets (considered as  
145 independent), corresponding to biofilm formation level (OD<sub>490nm</sub>) at each and every  
146 concentration of the given drug. F-test (ANOVA) was used to test for the rejection of the null  
147 hypothesis (P < 0.05).

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151 **Results**

152 *AHD drugs inhibited biofilm formation by S. mutans*

153 AHD drugs did not show a visible growth inhibition effect in the concentration range studied (4–  
154 2048 µg/mL). In the presence of sucrose, *S. mutans* sessile growth was not affected by any of the  
155 drugs, whereas all three AHD drugs inhibited biofilm formation in the presence of glucose, albeit  
156 with different potency (Fig. 1A). At concentrations >128 µg/mL of saxagliptin, there was 50%  
157 reduction of biofilm formation. Vildagliptin inhibited biofilm formation at concentrations  $\geq$   
158 256µg/mL, while sitagliptin demonstrated at least 50% inhibition at 256µg/mL, albeit with a  
159 substantial increase in biofilm biomass at 2048µg/mL. The EC<sub>50</sub> values of all drugs fell within  
160 the range of 128-512µg/mL. However, in the case of sitagliptin, the data point at 2048 µg/mL  
161 was not considered for fitting due to the anomalous behaviour at that concentration. While  
162 saxagliptin exhibited a more consistent inhibition pattern at concentrations  $\geq$  64 µg/mL,  
163 sitagliptin gave a sudden drop till saturation at 256-1024 µg/mL (Supplementary Fig.S1), as  
164 confirmed by the lower EC<sub>50</sub> value (Table 1). In the case of saxagliptin and sitagliptin, the F-test  
165 (ANOVA) showed a statistically significant difference between the means at each concentration  
166 ( $p_{\text{saxagliptin}} = 0.0013$ ,  $p_{\text{sitagliptin}} = 0.0089$ ). To determine which means are significantly different  
167 from which other and to analyse the difference in effect at various concentrations, a multiple  
168 sample pairwise comparison of the means of the four independent measurements of biofilm  
169 formation at each drug concentration (number of concentrations  $n = 11$ , from 0 to 2,048µg/mL)  
170 was performed (Supplementary Fig. S2). The apparent increase in biofilm formation at  
171 2048µg/mL of sitagliptin was found to be statistically relevant when compared with the biofilm  
172 biomass formed in presence of 128, 256, 512, and 1024 µg/mL of the drug (Supplementary Fig.  
173 S2b, cyan points). The differences observed in the presence of vildagliptin were not significant.

174 The cells in the planktonic phase over the formed biofilm at each concentration of drug were  
175 equally viable with colony count at 64µg/mL-2048µg/mL of each AHD treatment ranging  
176 between  $10^9$  to  $10^{10}$  CFU/mL (Supplementary Fig. S3). The control group  
177 ( $8.23 \pm 1.7 \times 10^8$  CFU/mL) differed by one order of magnitude from the colony counts at  
178 2048µg/mL of each drug ( $p = 0.043$ , unpaired student t-test, two-tailed).

179 *pepX* mutant exhibited reduced biofilm biomass

180 The stronger effect of saxagliptin on biofilm compared to the other two AHD drugs, concomitant  
181 with a lower  $K_i$  of this drug against pure recombinant enzyme (De et al., 2016), suggested a  
182 probable role of *pepX* in influencing biofilm formation by *S. mutans*. To confirm a direct  
183 involvement of Sm-XPDA in the biofilm development, a *pepX* mutant was generated. Crude  
184 extracts from the deletion mutant showed no amidolytic activity. The growth rate of the *pepX*  
185 deletion mutant was comparable to that of the wild type both in BHI (data not shown) and  
186 in SDM (Supplementary Fig. S4,  $P = 0.317$  by ANOVA for the variable “growth rate”), however,  
187 the CFU of mutant significantly differed from that of the wild type hinting towards less viability  
188 of *pepX* mutant in SDM (Supplementary Fig. S4,  $P < 0.01$  by ANOVA for the variable “growth  
189 rate”). The biofilm of the  $\Delta pepX$  mutant in SDM containing glucose was reduced by 70% (SD =  
190 13.4%,  $n = 9$ , Fig. 1B), while a lower decrease was observed in presence of sucrose (20%; SD =  
191 3.8%,  $n = 3$ , data not shown). The planktonic phase over the biofilm of *pepX* mutant had more  
192 viable cells compared to wild type (Fig 1B, insert), which may indicate that the mutant cells  
193 aggregate or adhere to the surface of the well less efficiently with a high propensity to remain in  
194 suspension. The same was for biofilms formed in the presence of AHD (Supplementary Fig. S3).

195

196 *Sm-XPdap* deficiency and saxagliptin treatment induced stress in *S. mutans*

197 The adherence of wild-type *S. mutans* treated with saxagliptin or vildagliptin and that of the  
198  $\Delta pepX$  mutant determined at 4h did not show any difference when compared to the untreated  
199 wild-type. At 20h, the wild-type demonstrated a thick and dense homogenous layer of  
200 aggregated cells, apparently more viable with few void spaces (15 $\mu$ m and 70.4% green cells,  
201 respectively), whereas the  $\Delta pepX$  mutant exhibited a less organized thin layer of cells with more  
202 void spaces (9.6 $\mu$ m and 28.5% green cells) (Fig. 2). The effect of saxagliptin on wild-type was  
203 similar to that of  $\Delta pepX$ . At 512  $\mu$ g/mL saxagliptin, streptococci showed shorter dispersed chains  
204 and experienced apparent stress with a higher prevalence of propidium iodide stained cells (36%)  
205 (Fig. 3e and 3f). Vildagliptin also led to disaggregation of the biofilm compared to the untreated  
206 control, but streptococcal chains looked healthier (Fig.3g). *S. mutans* grown in the presence of  
207 2048 $\mu$ g/mL sitagliptin presented a biomass level comparable to the untreated cells. However,  
208 chains were relatively long, apparently under stress with no difference in aggregation and in the  
209 proportion of dead cells (Fig. 3h and 3i).

210

211 *pepX* mutant exhibited downregulation of Cell Surface Antigen I/II

212 The proteomic analysis for differentially expressed proteins confirmed the absence of PepX in  
213 the  $\Delta pepX$  mutant. Using relatively stringent criteria for protein identification (>1 peptide  
214 identified per protein in each biological replicate) only 9 proteins were found to be more  
215 abundant in the wild-type compared to the  $\Delta pepX$  mutant (Supplementary Table S1) and no  
216 proteins were more abundant in the mutant compared to wild-type. Notably, the differentially  
217 expressed proteins included the Cell Surface Antigen I/II, a well-characterised adhesion protein

218 of *S. mutans* (Jenkinson and Demuth, 1997). Notably, three of these 9 proteins were amino acid  
219 tRNA ligases. Comparison of control and sitagliptin-treated biofilms identified 6 proteins as  
220 more abundant in the controls and 26 as more abundant in the drug-treated cells. Likewise,  
221 comparison of control and saxagliptin-treated biofilms identified 6 proteins as more abundant in  
222 the controls (including three glycosyltransferases and levansucrase, which may affect biofilm  
223 matrix formation) and 23 as more abundant in the drug-treated cells. Two of the  
224 glycosyltransferases (SMU.910 and SMU.1005), upregulated in the controls compared to  
225 saxagliptin-treated biofilms, were upregulated in the sitagliptin-treated biofilms suggesting drug  
226 specific responses that may affect biofilm remodelling. Of 49 proteins differentially expressed in  
227 either drug treatment, 12 were noted to be consistently altered in both (Supplementary Table S1)  
228 and were primarily involved in protein synthesis (n = 4) and various metabolic pathways (n = 7).

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## 242 Discussion

243 The AHD drugs used in this study are highly selective human DPP IV inhibitors (Wang et al.,  
244 2012). We hypothesize their effects on *S. mutans* by acting on Sm-XPDA and regulating the  
245 behaviour of the bacterium, even though the drugs may have multiple enzyme targets. The *ex*  
246 *vivo* assays using these gliptins showed inhibition of *S. mutans* biofilm formation. It could be  
247 speculated that this may impair streptococcal activity in the oral cavity of diabetic patients on  
248 these medications, as a consequence of the excretion of these drugs in saliva. Saxagliptin and  
249 vildagliptin have been found to possess 50% and >90% oral bioavailability, respectively  
250 (Boulton et al., 2013; Villhauer et al., 2003), while saxagliptin has also been reported in the  
251 salivary gland tissue (Fred, 2009). Sitagliptin, which is quite effective against biofilm formation  
252 and classified as a high intestinal permeability and low protein binding drug, has been found in  
253 saliva (AUC 592 ng/mL×hr) (Idkaidek and Arafat, 2012). This may suggest the possibility of  
254 excretion of the other two AHD drugs in saliva, which in conjunction with our results may  
255 suggest that systemic basal level of these drugs in host tissue of prescribed users may help in  
256 interfering with *S. mutans* behaviour.

257 In view of the differential cell physiology in sessile form compared to planktonic phase, the very  
258 high MIC of AHD drugs was considered inconsequential. CLSM was performed to investigate  
259 the influence of AHD drugs and the effect of Sm-XPDA deficiency on the structural  
260 organization of *S. mutans* biofilms *in vitro*. We tested saxagliptin and vildagliptin, the first for its  
261 gradual relative effect at each concentration and the second for its consideration as a reference  
262 molecule for comparison between “cyanopyrrolidides”. CLSM was conducted at 128 µg/mL  
263 saxagliptin and 512 µg/mL vildagliptin, which were the concentrations causing >40% biofilm  
264 inhibition (Fig. 1A). Blurred green cells observed under CLSM in biofilm treated with AHD was

265 indicative of the stress experienced by *S. mutans* in the given condition (Boulos et al., 1999).  
266 Cells treated with 512 µg/mL saxagliptin displayed similar observation to that of *pepX* mutant,  
267 which may allow hypothesizing that the inhibition of biofilm in presence of AHD drugs may be  
268 exerted through Sm-XPDA inhibition. In contrast to the other AHD drugs, a sudden increase in  
269 attached biofilm observed in presence of 2048µg/mL sitagliptin can likely be best explained due  
270 to increased stress response. Interestingly, there was complete inhibition of growth at the  
271 subsequent higher concentration of sitagliptin (Supplementary Fig. S5). A similar differential  
272 response to varying concentrations of the antibiotic lincomycin has also been reported in  
273 *Streptococcus pyogenes* (Malke et al., 1981), albeit the mechanism of action has not been  
274 explained yet. As a proof of concept, in this study we have used experimental systems as  
275 simplified as possible, considering that changes in variables may mask inhibition effects by the  
276 tested drugs. Once the fine mechanism of gliptin action in *S. mutans* is unravelled it will be of  
277 great interest to investigate how variations in the experimental approach that better emulate the  
278 *in vivo* conditions, such as using hydroxylapatite discs, adding saliva or using a mixed species  
279 biofilm model could alter the drug activity.

280 In this study a preliminary attempt was also made to identify a plausible role of PepX in  
281 modulating the proteome and investigate the site of action of AHD drugs in *S. mutans* through  
282 whole cell proteome analysis. Considering the high amount of biofilm biomass required for  
283 proteome analysis in parallel to undergoing investigations of the observed effect of AHD  
284 molecules, the analyses were performed at 128 µg/mL of saxagliptin and sitagliptin. Vildagliptin  
285 was exempted from the study due to its limited effect as observed by CLSM, whereas use of  
286 2048 µg/mL of sitagliptin was not feasible owing to the high amounts of pure molecule required.  
287 Surprisingly, relatively few differentially expressed proteins were consistently detected.

288 Sitagliptin treatment and the *pepX* deletion both affected the expression of valine and proline  
289 amino acid tRNA-ligases, which may reflect perturbation of cytoplasmic amino acid pools and  
290 consequently an overall stress as observed under CLSM. The alteration of the level of cell  
291 surface antigen I/II (Okahashi et al., 1989) was of much interest, and this may correlate with the  
292 reduced hydrophobicity and biofilm formation exhibited by the mutant. The 12 proteins that  
293 were differentially expressed in response to both drug treatments were noted to include enolase  
294 (SMU.1247), which can be a moonlighting surface-associated protein in *S. mutans* (Ge et al.,  
295 2004), and acetate kinase (SMU.1978), which participates in the Pta-Ack pathway that can  
296 influence biofilm formation (Kim et al., 2015).

## 297 **Conclusions**

298 Through this work we were able to establish a potential role of *pepX* in biofilm development of  
299 *S. mutans* and moved a step forward in the identification and development of novel Sm-DPP IV  
300 inhibitors. We have also tried to demonstrate the likely effect of AHD drugs on bacteria, as a  
301 proof of the concept that many regularly used drugs may exert some sort of side activity against  
302 microbes transiently or permanently inhabiting the human body. Future studies are focused on  
303 searching or synthesizing molecules targeting Sm-DPP IV that may be applicable as a new anti-  
304 caries agent and studying the effect of drug molecules on *S. mutans* biofilms formed on  
305 hydroxylapatite discs and, ultimately, mixed species biofilms.

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312 **Conflicts of Interests**

313 The authors declare no conflicts of interest.

314

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414

## 415 **Figure Legends**

416 Fig.1.Quantification of biofilm formed by *S. mutans* in the presence of AHD drugs and of  
417 biofilm formed by a *pepX* deletion mutant. A. The histogram represents mean % biofilm  
418 formation in minimal medium containing 0-2048  $\mu\text{g}/\text{mL}$  AHD drugs ( $n = 4$ , with duplicates  
419 within each independent experiment), B. Comparison of biofilm formation between  $\Delta\text{pepX}$  (in  
420 black) and wild-type (in white) *S. mutans* in minimal medium containing glucose ( $n = 3$ ,  
421 performed in triplicates within each independent experiment). Error bars represent standard  
422 deviation ( $p\text{-value} < 0.001$ ). Insert: viable cells count (expressed as CFU/mL) in the planktonic  
423 phase over the biofilm formed in SDM containing glucose.

424 Fig. 2. CLSM comparative study of biofilms formed by the  $\Delta\text{pepX}$  mutant and wild-type *S.*  
425 *mutans* after 20 h. (a)  $\Delta\text{pepX}$  mutant (1<sup>st</sup> field), (b)  $\Delta\text{pepX}$  mutant (2<sup>nd</sup> field), (c) wildtype (1<sup>st</sup>  
426 field), (d) wild-type (2<sup>nd</sup> field), (e) z-axis representation of biofilm formed by  $\Delta\text{pepX}$  mutant, (f)  
427 z-axis representation of biofilm formed by wild-type.

428 Fig. 3. CLSM images of *S. mutans* untreated controls and treated with AHD drugs. (a) Untreated  
429 (1st field), (b) Untreated (2nd field), (c) Untreated (3rd field), (d) 128 $\mu\text{g}/\text{mL}$  saxagliptin treated,  
430 (e) 512 $\mu\text{g}/\text{mL}$  saxagliptin treated (1st field), (f) 512 $\mu\text{g}/\text{mL}$  saxagliptin treated (2nd field), (g)  
431 512 $\mu\text{g}/\text{mL}$  vildagliptin treated, (h) 2048 $\mu\text{g}/\text{mL}$  sitagliptin treated (1st field), (i) 2048 $\mu\text{g}/\text{mL}$   
432 sitagliptin treated (2nd field).

434 **Tables**

435 **Table 1. EC<sub>50</sub> values for each drug.** Mean concentration of drug producing 50% reduction in  
436 biofilm formation (EC<sub>50</sub>) was calculated from four independent replicates. Standard deviations  
437 (SD) were also determined.

<b>Drug</b>	<b>EC<sub>50</sub>± SD (µg/mL)</b>
Saxagliptin	<b>205 ± 71</b>
Vildagliptin	<b>280 ± 150</b>
Sitagliptin	<b>167 ± 67</b>

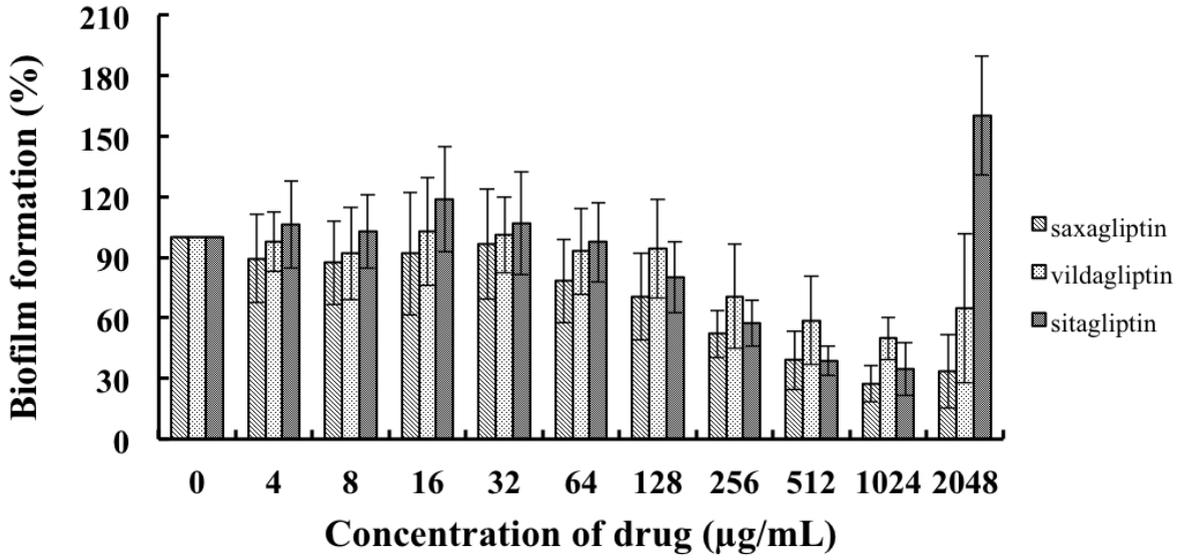
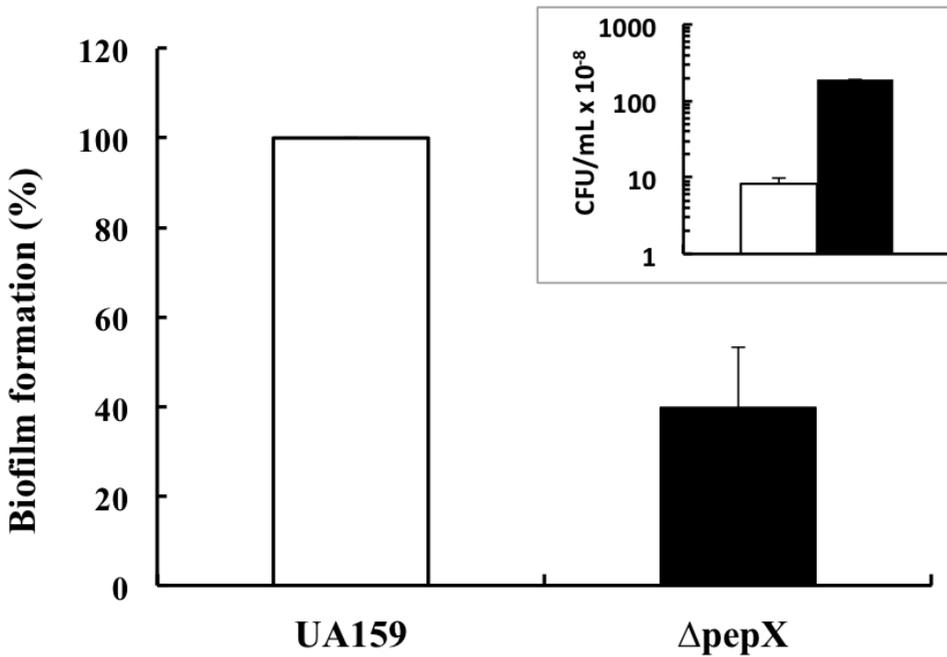
438

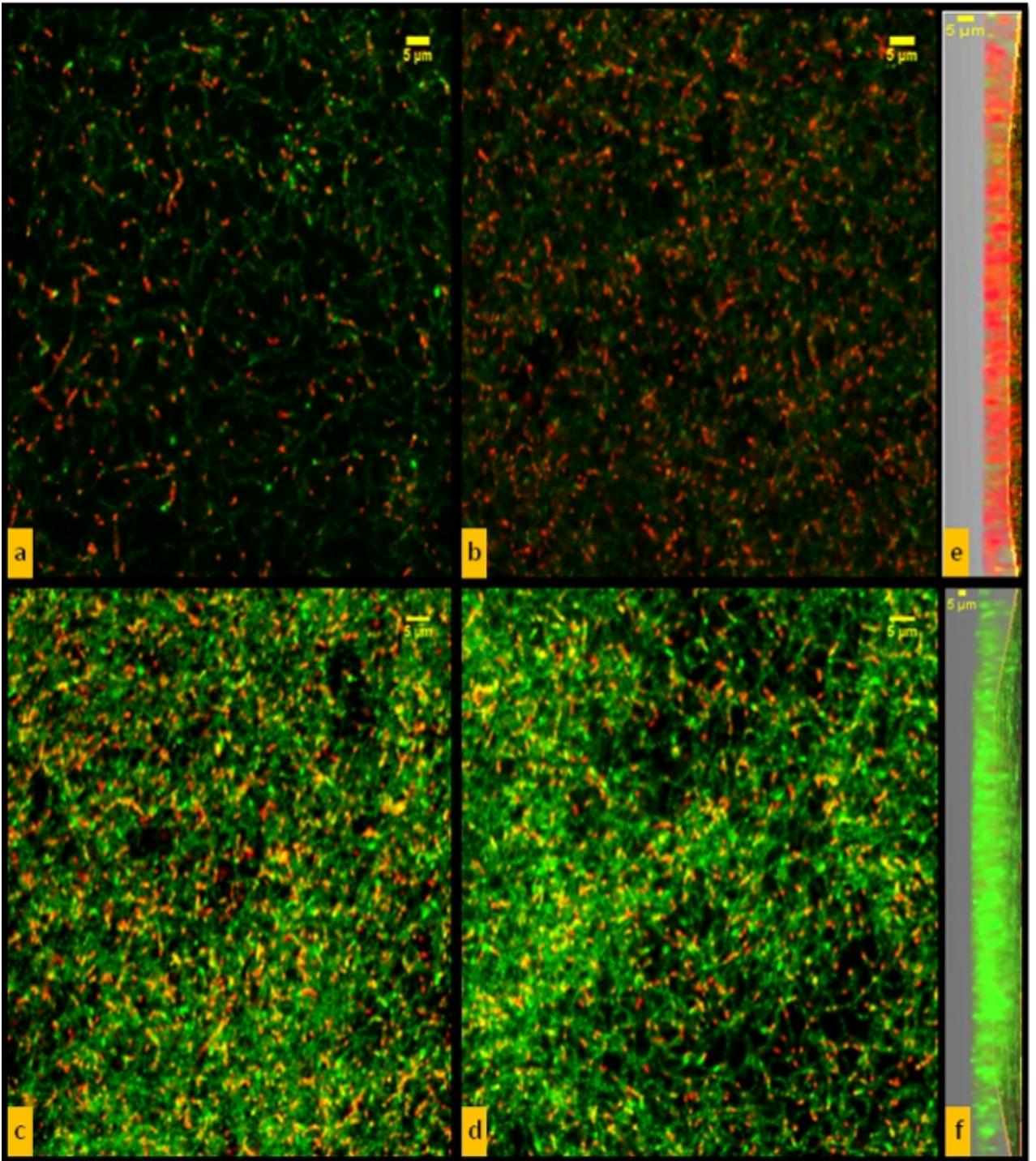
1 Table 2. **Oligonucleotide DNA primers used in the present study.**

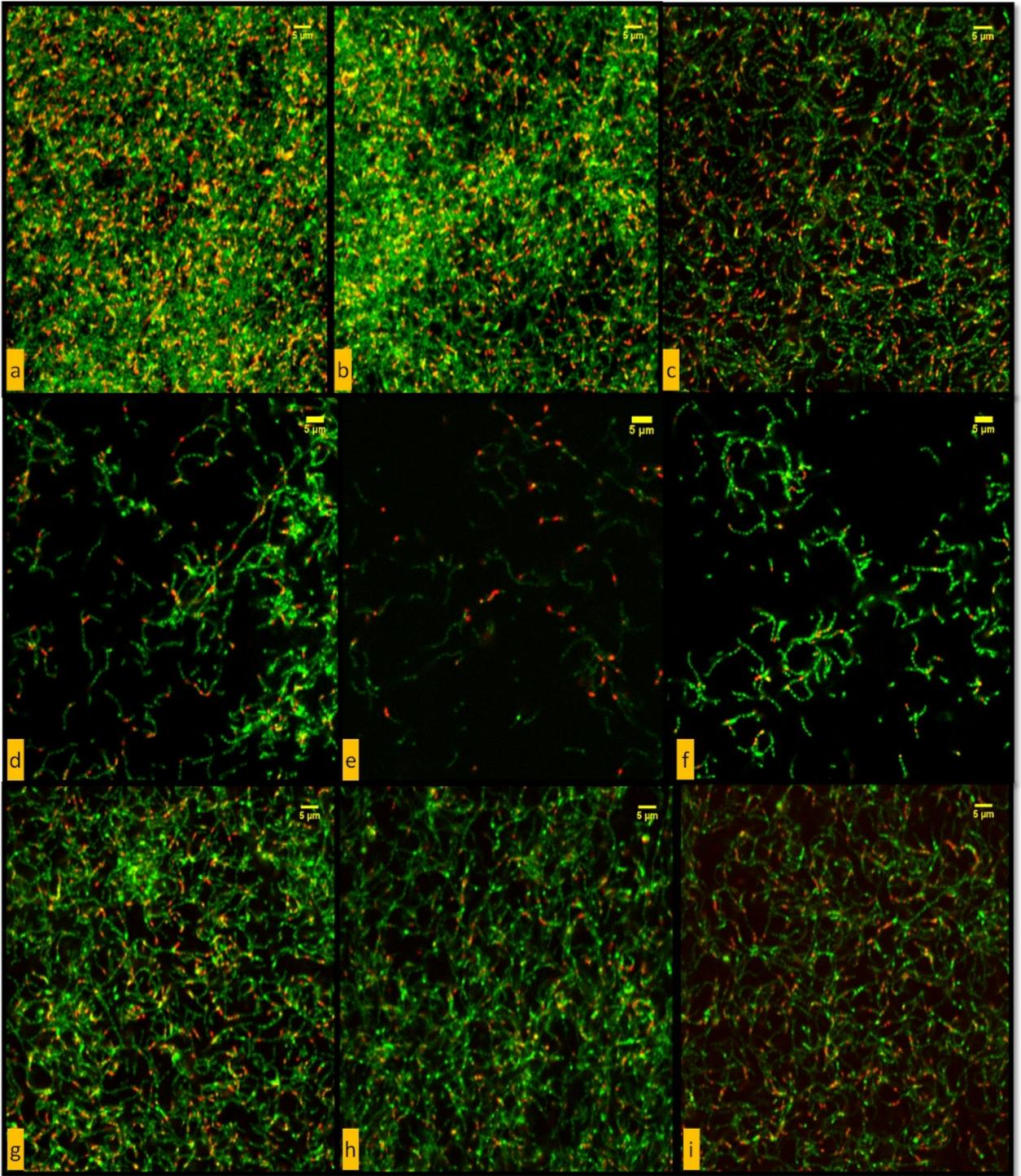
<b>Name</b>	<b>Forward (5' → 3')</b>	<b>Reverse (5' → 3')</b>	<b>Purpose</b>
Ery- pOMZ291	TAATCCATGGCACAAGTGATTGTGATTGTTG	TAATGAGCTCTAGGCGCTAGGGACCTCTTT	Erythromycin cassette amplification
pepX-Up	ATTGTCTTTTGCGTAGCATCTT	TAATGAGCTCAAACCGTTCGTGATAACAGC	5' fragment for <i>pepX</i> deletion
pepX-Dn	TAATCCATGGAGGTCGCTAAGTTTGCTTTATTG	TCCACAGCTGAGATAGTAGAGAATG	3' fragment for <i>pepX</i> deletion

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**A****B**





## ***Supplementary information***

### **Title**

Antidiabetic “gliptins” affect biofilm formation by *Streptococcus mutans*

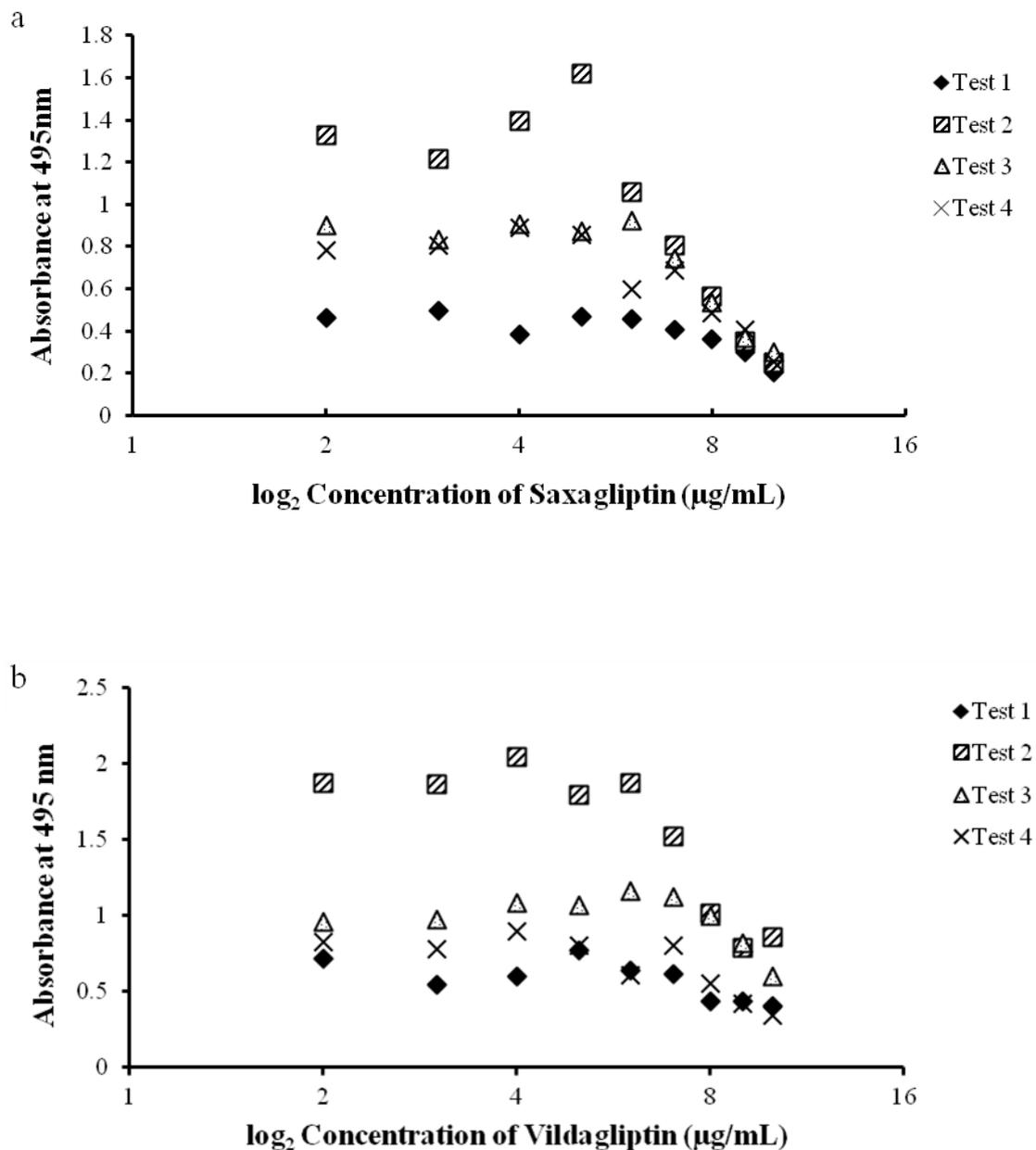
### **Authors**

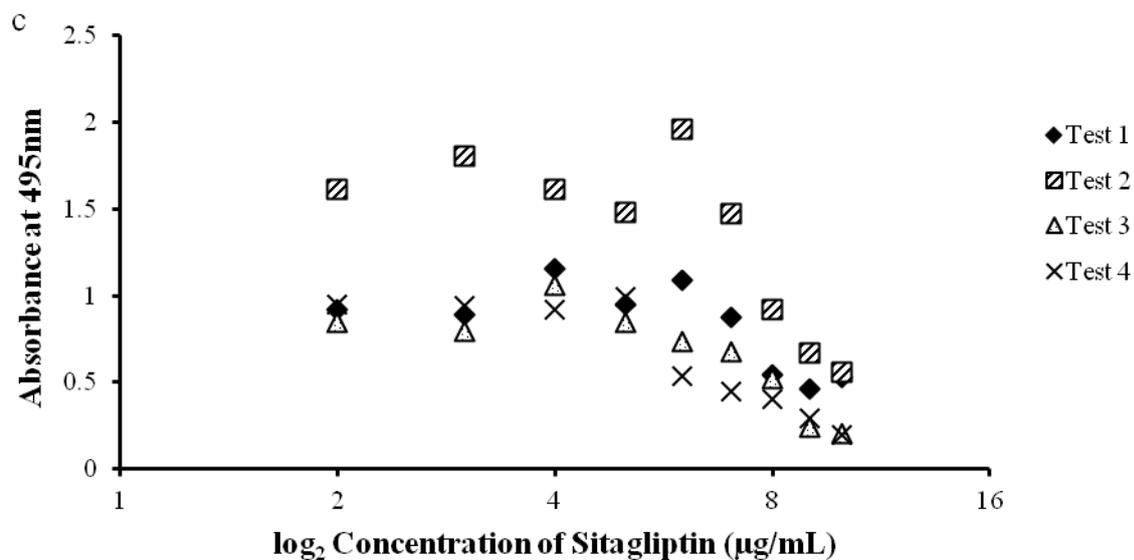
Arpan De<sup>1</sup>, Arianna Pompilio<sup>2,3</sup>, Jenifer Francis<sup>4</sup>, Iain Sutcliffe<sup>4</sup>, Gary Black<sup>4</sup>, Giulio Lupidi<sup>1</sup>, Dezemona Petrelli<sup>5</sup>, Luca A. Vitali<sup>1\*</sup>

### **Authors' affiliation**

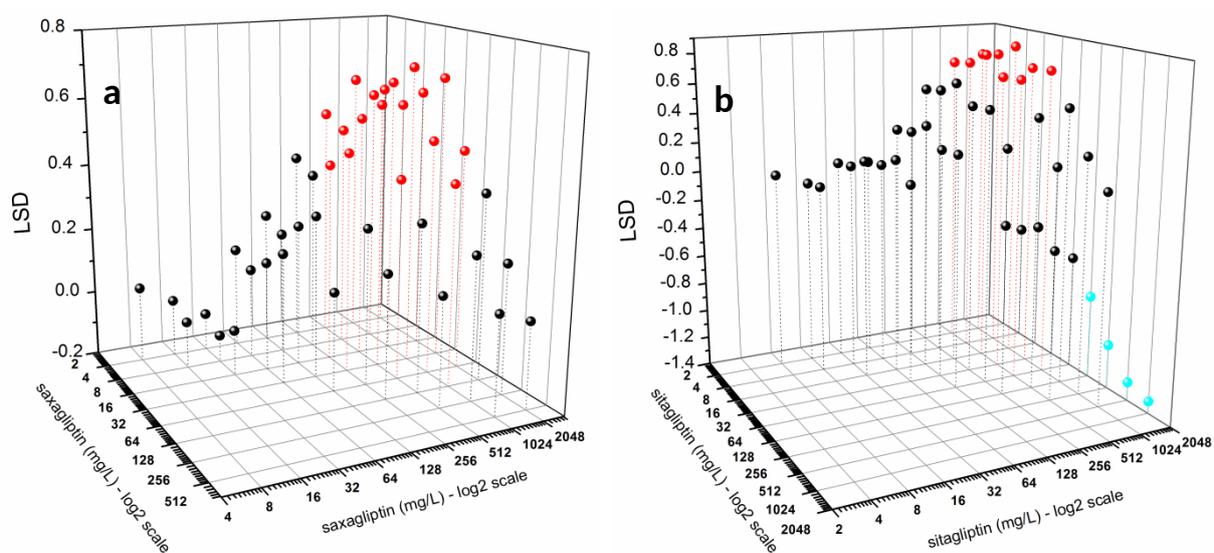
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**Fig.S1.** Scatter plot of effect of each AHD molecule representing data points of four independent experiments (performed in duplicates within). Each data point corresponds to mean of the absorbance values ( $n = 2$ ) at 495nm, at each concentration of AHD molecule while the data point at control or 0  $\mu\text{g/mL}$  represents mean of absorbance values ( $n = 3$ ) at the same wavelength. The absorbance values at 495 nm quantify the amount of extracted crystal violet by ethanol, in each biofilm assay. a. absorbance values at each concentration of saxagliptin, b. absorbance values at each concentration of vildagliptin, c. absorbance at each concentration of sitagliptin.

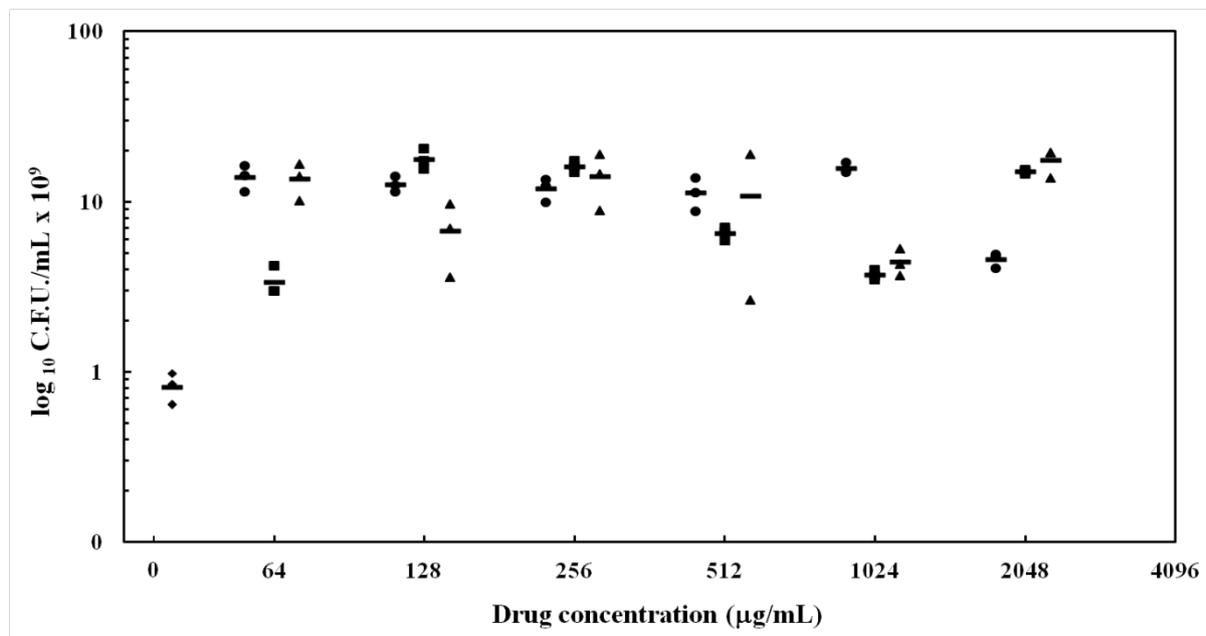




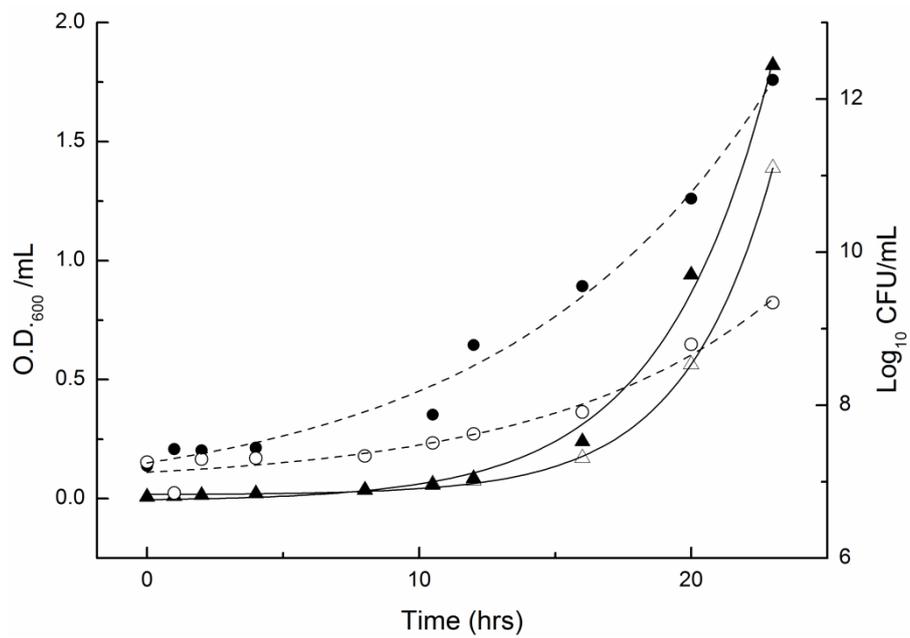
**Fig.S2.** Plots showing the pairwise estimated difference between means of the biofilm formed at two different concentrations of (a) Saxagliptin and (b) Sitagliptin. Statistical test was performed by multiple comparisons (all possible pairs of means) and Fisher's least significant difference (LSD) procedure. Pairs for which one mean was significantly different from the other (95% confidence level) were in red when positive and in cyan when negative.



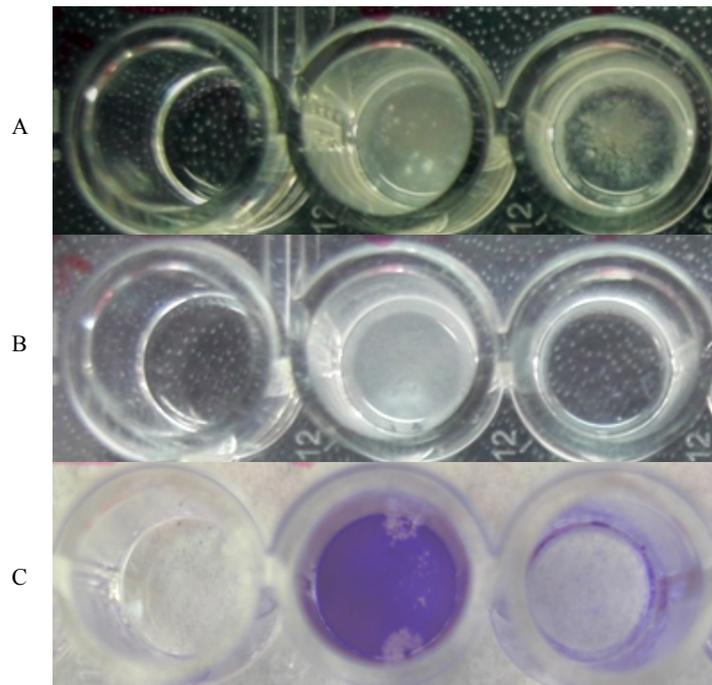
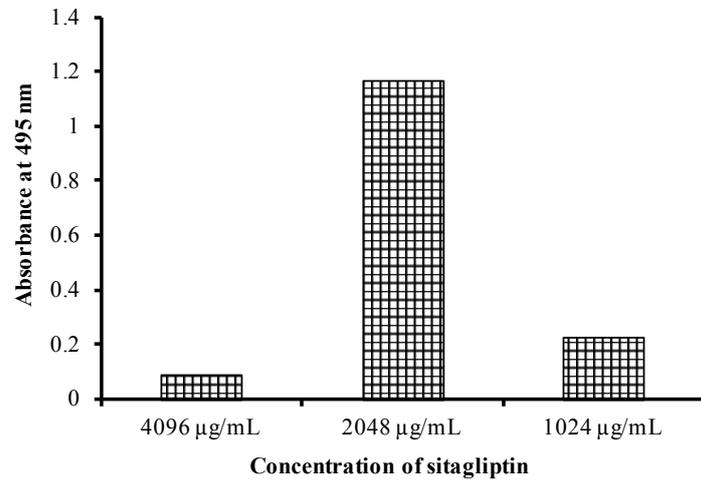
**Fig. S3.** Determination of cell viability of the planktonic phase in a biofilm assay at each concentration of AHD molecule. The platings were done in triplicates on BHI agar and mean of CFU/mL is reported as (-) in the scatter plot. Sitagliptin (●), Saxagliptin (■), Vildagliptin (▲).



**Fig. S4.** Growth curves in SDM + glucose until 24 hrs incubation time. Circles: Colony Forming Units/mL (CFU/mL); Triangles: Optical Density units at 600 nm/mL (OD<sub>600</sub>/mL). Filled: wildtype (*S. mutans* UA159); Open:  $\Delta pepX$  mutant.



**Fig. S5.** Images and biofilm forming assay using crystal violet semiquantitative method at higher concentrations of sitagliptin. A sudden upsurge of biofilm formation at 2048  $\mu\text{g/mL}$  of sitagliptin is represented as images. A. before washing of unattached cells, B. after three washes of unbound cells using saline, and C. after crystal violet staining and two subsequent washes.



## Supplementary Texts

### **Text 1. Preparation of Semi-Defined biofilm medium (SDM)**

Semi-Defined biofilm medium either in 20mM glucose or sucrose contained 58mM K<sub>2</sub>HPO<sub>4</sub>, 15mM KH<sub>2</sub>PO<sub>4</sub>, 10mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 35mM NaCl, 0.2% Casamino acids and filter sterilized 40μM Nicotinic acid, 100μM Pyridoxine HCl, 10μM Pantothenic acid, 1μM Riboflavin, 0.3μM Thiamine HCl, 0.05μM D-Biotin, 4mM L-Glutamic acid, 1mM L-Arginine HCl, 1.3mM L-Cysteine HCl, 0.1mM L-Tryptophan, 2mM MgSO<sub>4</sub>·7H<sub>2</sub>O. While preparation, salts and glucose or sucrose were autoclaved separately, whereas filter sterilized amino acids and vitamins were added in the medium at room temperature.

### **Text 2. Proteome analysis of biofilm grown cells**

#### *Protein isolation and pre-fractionation*

The proteome of biofilm grown cells, either in absence or presence of an AHD drug, and that of the *ΔpepX* mutant were analysed from 48mL of culture. The biofilm for each condition was set up in a 24 well cell culture plate (Greiner Bio-one, CELLSTAR) as described above. After 20 hours of incubation the planktonic phase was removed and the attached biofilm was disrupted using sterile water. The harvested cells were pooled and washed twice in PBS (1X), resuspended in lysis buffer and sonicated at 12000 – 13000 microns amplitude for 2 minutes with an intermittent 10 sec on and 10 sec off in ice. Subsequently, the cell free extract was collected by centrifugation at 14000 × g for 45 min at 4°C and acetone precipitated at -20°C overnight. The precipitated proteins were collected by centrifugation, washed in 80% and 40% acetone successively, air dried for 1 hour at room temperature and stored at -20°C for further use. The pellet was dissolved in 30mM Tris buffer (pH 8) and then partially pre-fractionated by 1D PAGE. A similar amount of calculated protein (μg) as estimated by

Bradford assay, representing samples at each condition, was boiled with 5 $\mu$ L of loading dye for 15 min and electrophoresed at 200V for about 1 hr. The gel was stained in Coomassie Blue for 15 min followed by destaining overnight at room temperature.

#### *Sample preparation and Mass spectrometry*

The protein containing gel was sectioned into pieces and then treated twice with 100mM  $\text{NH}_4\text{HCO}_3$  and acetonitrile (ACN) with shaking for 30 min allowing removal of the Coomassie stain. The gel slices were then dehydrated in ACN at room temperature for 60 min, air dried for 15 min and pre-incubated in 40 $\mu$ g/mL trypsin (Trypsin Gold, MS Grade, Promega) at 37°C for 1 hour in a water-bath. Subsequently, the gel pieces were immersed in  $\text{NH}_4\text{HCO}_3$  and incubated further at 37°C overnight. On the following day, the digestion was stopped by adding 50% ACN (v/v) and 5% formic acid (v/v) with shaking for 30 min. The digested extract containing peptides was removed and transferred into a fresh vial (Fraction A). The gel pieces were further extracted using 83% ACN (v/v) and 0.2% formic acid under the same conditions (Fraction B). All the extracts containing digested peptides were then pooled (Fraction A + Fraction B) and frozen at -80°C for more than an hour. Frozen peptide digests were freeze-dried for 20- 24 hours (Alpha 1-2 LoPlus CHRIST attached to JAVAC High Vacuum pump). The samples were stored at -80°C until injection into the LC-MS/MS. Before injection, the lyophilized peptide digest was mixed in 5% ACN and 0.1% formic acid (v/v) and then run in a LC- NanoPump coupled to a tandem mass spectrometer (Thermo Q Exactive attached to HPLC Ultimate 3000 RSLC nano system), through an Easy Spray C18 column (PepMap RSLC, 75 $\mu$ m  $\times$  500mm, Thermo Scientific) equipped with Electro Spray Ionization, in a gradient solvent mixture of water and ACN containing 0.1% formic acid. The run was carried out for 215 minutes at a flow rate of 0.3 $\mu$ L/min with a scan range of 350 – 1800 m/z.

### *Identification of the peptides*

The mass spectrum data (MS and MS2) obtained for each set of conditions was then processed in Progenesis LC-MS v4.1. The statistically validated peptides (>2 fold change in expression and features significantly present in all of the three technical replicates,  $p < 0.05$ ) were exported into MASCOT database for identification (Matrix Science, [www.matrix-science.com](http://www.matrix-science.com)). The database search was performed for MS/MS spectra of all selected peptides with carbamidomethyl and oxidised methionine as modifications, and peptide mass tolerance of  $\pm 20$  ppm. After identification, peptides were imported back into Progenesis for refining for only those protein matches from *S. mutans*, reviewing and removing all the proteins with conflict, grouping all the peptides with similar protein labels, quantifying abundance level of proteins and producing a compiled report on the differential level of proteins at different conditions. To ensure robust protein identification, only those proteins consistently detected in both biological replicates, typically with a minimum of >1 peptide per protein in each run, were retained for further analysis.

## Supplementary Tables

**Table S1.** Differentially expressed protein identified by proteomic analysis of *S. mutans* biofilms (\*\* these protein were only identified on the basis of 1 peptide in one of the biological replicates).

<b>More abundant in SAXAGLIPTIN control cells</b>		
<b>Protein id.</b>	<b>Protein name/annotation</b>	<b>Expression ratio n=2</b>
SMU.446	Glycine--tRNA ligase beta subunit	1.8
SMU.910	Glucosyltransferase-S	2.1
SMU.1004	Glucosyltransferase-I	2.1
SMU.1005	Glucosyltransferase-SI	2.5
SMU.2028	Levansucrase (ftf)	2.2
SMU.2031	Elongation factor Ts	2.1
<b>More abundant in SAXAGLIPTIN treated cells</b>		
<b>Protein id.</b>	<b>Protein name/annotation</b>	<b>Expression ratio n=2</b>
SMU.23	Ribose-phosphate pyrophosphokinase 1	1.6
SMU.34	Phosphoribosylformylglycinamide cyclo- ligase	1.8
SMU.359	Elongation factor G	2.0
SMU.361	Phosphoglycerate kinase	2.1
SMU.402	Formate acetyltransferase (pfl)	2.6
SMU.421	Translation initiation factor IF-2**	2.1
SMU.471	Cell cycle protein GpsB	1.8
SMU.558	Isoleucine--tRNA ligase	3.6
SMU.676	NADP-dependent glyceraldehyde-3- phosphate dehydrogenase	1.7
SMU.712	Phosphoenolpyruvate carboxylase**	2.7
SMU.714	Elongation factor Tu	2.4

SMU.860	Carbamoyl-phosphate synthase large chain**	2.6
SMU.1060	Signal recognition particle protein	2.3
SMU.1066	GMP synthase [glutamine-hydrolyzing]	2.0
SMU.1115	L-lactate dehydrogenase	2.0
SMU.1247	Enolase	1.9
SMU.1656	Phosphoserine aminotransferase	2.1
SMU.1673	Uracil phosphoribosyltransferase	2.5
SMU.1838	Protein translocase subunit SecA	1.8
SMU.1954	60 kDa chaperonin (groEL)	1.8
SMU.1978	Acetate kinase**	2.6
SMU.2101	Aspartate--tRNA ligase 2	2.1
SMU.2135c	30S ribosomal protein S4	7.5

<b>More abundant in SITAGLIPTIN control cells</b>		
<b>Protein id.</b>	<b>Protein name/annotation</b>	<b>Expression ratio n=2</b>
SMU.307	Glucose-6-phosphate isomerase	1.86
SMU.1457	dTDP-glucose 4,6-dehydratase	2.6
SMU.1770	Valine-tRNA ligase	28.0
SMU.1783	Proline-tRNA ligase	2.5
SMU.1786	Isoprenyl transferase	3.8
SMU.2031	Elongation factor Ts	1.6
<b>More abundant in SITAGLIPTIN treated cells</b>		
<b>Protein id.</b>	<b>Protein name/annotation</b>	<b>Expression ratio n=2</b>
SMU.23	Ribose-phosphate pyrophosphokinase 1	4.3

SMU.82	Chaperone protein DnaK	3.3
SMU.155	Polyribonucleotide nucleotidyltransferase	5.3
SMU.359	Elongation factor G	2.0
SMU.402	Formate acetyltransferase (pfl)	2.19
SMU.421	Translation initiation factor IF-2	3.6
SMU.712	Phosphoenolpyruvate carboxylase	1.8
SMU.714	Elongation factor Tu	3.0
SMU.856	Bifunctional protein PyrR	2.6
SMU.860	Carbamoyl-phosphate synthase large chain	1.7
SMU.900	4-hydroxy-tetrahydrodipicolinate reductase	2.4
SMU.910	Glucosyltransferase-S	2.9
SMU.1005	Glucosyltransferase-SI	9.0
SMU.1085	Peptide chain release factor 1	32.1
SMU.1247	Enolase	4.5
SMU.1467	Adenine phosphoribosyltransferase	16.4
SMU.1538	Glucose-1-phosphate adenylyltransferase	6.3
SMU.1635	Bifunctional protein GlmU	1.9
SMU.1673	Uracil phosphoribosyltransferase	4.0
SMU.1820c	Glutamyl-tRNA(Gln) amidotransferase subunit A	∞
SMU.1954	60 kDa chaperonin	34.4
SMU.1978	Acetate kinase**	3.1
SMU.1990	DNA-directed RNA polymerase subunit beta	3.5
SMU.1992	Tyrosine--tRNA ligase	9.1
SMU.2032	30S ribosomal protein S2	1.8
SMU.2128	Dihydroxy-acid dehydratase	2.3

<b>More abundant in wild type compared to <i>pepX</i> mutant</b>		
<b>Protein id.</b>	<b>Protein name/annotation</b>	<b>Expression ratio n=2</b>
SMU.82	Chaperone protein DnaK	2.2
SMU.610	Cell surface antigen I/II (spaP)	1.8
SMU.675	Phosphoenolpyruvate-protein phosphotransferase (ptsI)	1.8
SMU.1073	Formate-tetrahydrofolate ligase (fhs)	1.7
SMU.1770	Valine-tRNA ligase**	3.4
SMU.1783	Proline-tRNA ligase**	1.8
SMU.1838	Protein translocase subunit SecA	2.0
SMU.2008	50S ribosomal protein L30	2.5
SMU.2098	Arginine-tRNA ligase	2.5