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Aerosol forcing of intertropical convergence zone position

Harriet E. Ridley¹, Yemane Asmerom², James U.L. Baldini¹, Sebastian F.M. Breitenbach³,
Valorie V. Aquino⁴, Keith M. Prufer⁴, Brendan J. Culleton⁵, Victor Polyak², Franziska A.
Lechleitner⁵, Douglas J. Kennett⁴, Minghua Zhang⁶, Norbert Marwan⁷, Colin G.
Macpherson¹, Lisa M. Baldini¹, Tingyin Xiao⁶, Jaime Awe⁸, and Gerald H. Haug³.

¹Department of Earth Sciences, University of Durham, Durham, DH1 3LE, UK.

²Department of Earth and Planetary Sciences, University of New Mexico, Albuquerque, NM 87106, USA.

³Department of Earth Science, Eidgenössische Technische Hochschule (ETH), CH-8092 Zürich, Switzerland.

⁴Department of Anthropology, University of New Mexico, Albuquerque, NM 87106, USA.

⁵Department of Anthropology, The Pennsylvania State University, University Park, PA 16802, USA.

⁶Institute for Terrestrial and Planetary Atmospheres, School of Marine and Atmospheric Sciences, Stony Brook, NY 11794-5000, USA.

⁷Potsdam Institute for Climate Impact Research, P.O. Box 60 12 03, 14412 Potsdam, Germany.

⁸Institute of Archaeology, Belmopan, Belize.

Intertropical convergence zone (ITCZ) position is the dominant control on low-latitude precipitation distribution and is largely controlled by hemispheric temperature contrasts^{1,2}. Recent modelling^{1,3,4} and observational^{5,6} studies suggest that anthropogenic aerosols may have contributed to southward ITCZ shifts by moderating Northern Hemisphere (NH) relative to Southern Hemisphere (SH) warming^{1,7,8}. Despite this abundant evidence suggesting that NH-SH temperature contrasts affected low latitude rain belts over the last few decades, differentiating between anthropogenic forcing and century-scale natural variability is problematic and requires a record with nearly no chronological error and very high temporal resolution. Unfortunately, these types of records are extremely uncommon in tropical regions affected by the ITCZ. Here, we use an exceptionally well-dated and monthly-resolved 456 year-long stalagmite

27 **record from Belize to demonstrate that unprecedented rainfall decreases coincided with**
28 **increasing anthropogenic aerosol emission rates. The record also suggests that short-**
29 **lived drying occurred after large NH volcanic eruptions since 1550. These results**
30 **strongly suggest that aerosol injections into the NH atmosphere result in southward**
31 **ITCZ repositioning, and firmly implicate anthropogenic aerosol emissions as having**
32 **caused 20th Century rainfall reductions in the northern tropics. Future changes in the**
33 **distribution of aerosol emissions should therefore be a critical consideration when**
34 **predicting regional susceptibility to severe rainfall variations.**

35

36 ITCZ position largely controls low latitude seasonal rainfall distribution. Relative ITCZ
37 position is strongly influenced by hemispheric temperature contrasts and subsequent
38 atmospheric restructuring, which draw the ITCZ toward the warmer hemisphere^{1,2,5}. Indeed,
39 considerable proxy evidence links Northern Hemisphere temperature to low-latitude rainfall
40 throughout the Holocene^{9,10}. Since 1900 however, limited instrumental evidence suggests a
41 southward shift in ITCZ position^{3,5}, a trend possibly driven by asymmetrical hemispheric
42 warming due to the cooling effect of anthropogenic aerosols (e.g., sulphates^{3,4} and black
43 carbon¹¹) in the NH, but that could also arise from undetected natural variability. Climate
44 models have attempted to assess the relative contributions of greenhouse gases (GHG) and
45 aerosols to ITCZ displacement with contradictory results^{1,7}. Limited long-term instrumental
46 climate records from low latitudes complicates detecting climate shifts attributable to
47 anthropogenic influences, and consequently future precipitation projections remain
48 ambiguous¹². Furthermore, chronological uncertainties associated with low latitude rainfall
49 proxy records prevent establishing robust links between low-latitude rainfall amount and
50 atmospheric aerosol distributions at a suitable resolution. Here, we discuss an exceptionally
51 well-dated, monthly-scale stalagmite rainfall record covering 456 years from 1550 to 2006

52 C.E., thus covering the critical transition into the Current Warm Period (CWP) with
53 unprecedented detail and providing much needed evidence to support modelling work.

54 Stalagmite YOK-G was obtained from Yok Balum Cave in southern Belize (16° 12' 30.780"
55 N, 89° 4' 24.420" W; 336 m.a.s.l.) (Supplementary Fig. S7). This site is near the
56 northernmost extent of the ITCZ, a remarkably sensitive location for reconstructing even
57 minor variations in ITCZ position. The cave was undisturbed prior to 2005 and is
58 characterised by a stable low- $p\text{CO}_2$ atmosphere, consistent year round temperatures (22.3°C
59 \pm 0.5), and high relative humidity (>95%) (Supplementary Fig. S17 and S18). The cave is
60 remote and located below steep, dense forest that is unsuitable for farming or mechanised
61 logging, minimising potential past human interferences at the site. Outside air temperature
62 only varies between 20°C (December through February) and 24°C (June through August).
63 However, rainfall is distinctly seasonal, ranging from 40-70mm per month in the peak dry
64 season (February through April) to 400-700mm per month during the peak wet season (June
65 through September) due to seasonal ITCZ and associated trade wind migrations that track the
66 thermal equator¹³. Evapotranspiration surpasses precipitation during the dry months¹⁴,
67 reducing effective rainfall and water input to the karst system. Stalagmite YOK-G was
68 collected in 2006 and is 1090mm tall, but only the top 365mm are discussed here. 3648
69 carbonate samples were collected by milling continuously at 100 μm increments along the
70 central growth axis, and carbon and oxygen stable isotope ratios were determined using a
71 Thermo MAT 253 gas source mass spectrometer.

72 Annual carbon isotope ratio ($\delta^{13}\text{C}$) cycles apparent throughout most of the record provide
73 exceptional chronological control. The uppermost 8mm milled at a 100 μm spatial resolution
74 did not reveal $\delta^{13}\text{C}$ cycles, which prevented counting cycles back from the date of collection.
75 The $\delta^{13}\text{C}$ cycle chronology is instead anchored to the first evidence of atmospheric 'bomb'

76 radiocarbon in 1955 (Supplementary Information and Fig. S14). Higher resolution (25 μm ;
77 weekly-scale) re-milling over the top 8mm also failed to detect $\delta^{13}\text{C}$ cycles (Supplementary
78 Fig. S12), strongly suggesting that no $\delta^{13}\text{C}$ cycles exist in the most recent part of the
79 stalagmite. If 2006 is used as the cessation of sample growth (due to collection), the calculate
80 growth rate for this interval deviates significantly from the nearly uniform growth rate for the
81 preceding ~ 500 years. This suggests that either that: a) carbonate precipitation slows down at
82 some point since 1984, b) that the sample stopped growing earlier than the date of collection,
83 or c) a combination of both a and b. This short interval (from 1984 to 2006) is therefore not
84 included in the discussion due to increased chronological uncertainty. XRD results indicate
85 that YOK-G is entirely aragonitic, which, due to its high capacity for uranium inclusion,
86 permits the construction of a precise ^{230}Th chronology (Fig. 1; Supplementary Table S1).
87 Eighteen high precision MC-ICP-MS ^{230}Th dates confirm that the $\delta^{13}\text{C}$ cycle-derived model
88 is robust (Fig.1). Between 1550 and 1983 C.E. YOK-G grew continuously with a mean
89 growth rate of 0.82mm a^{-1} .

90 Here we utilise the YOK-G $\delta^{13}\text{C}$ record as a palaeorainfall proxy. Stalagmite $\delta^{13}\text{C}$ in low
91 latitude regions not experiencing temporal shifts in vegetation type (e.g., shifts from C3 to C4
92 vegetation) largely reflects effective rainfall amount and the hydrology of the drip feeding the
93 stalagmite. Dry intervals promote: a) prior carbonate precipitation (due to lower groundwater
94 flow rates), b) increased bedrock carbon contributions, and c) reduced soil bioproductivity,
95 all contributing to a more positive $\delta^{13}\text{C}$. Conversely, wetter conditions result in more negative
96 $\delta^{13}\text{C}$ (see Supplementary Information). This interpretation is supported by the remarkable,
97 demonstrably annual $\delta^{13}\text{C}$ cycle reflecting seasonal water recharge conditions, as well as by
98 interpretations of other Belizean stalagmite $\delta^{13}\text{C}$ records as reflecting rainfall, notably
99 Frappier et al.¹⁵, linking pronounced $\delta^{13}\text{C}$ increases to El Niño related rainfall reductions, and

100 Webster et al.¹⁶ linking $\delta^{13}\text{C}$ shifts over the last 3,300 years to rainfall. We note that these two
101 studies represent the two published speleothem records from cave sites closest to Yok Balum
102 cave (ATM Cave, ~100km to the north, and Macal Chasm, ~80km to the north), and that
103 both utilised $\delta^{13}\text{C}$ as a palaeorainfall proxy (Supplementary Fig. S4). The YOK-G $\delta^{13}\text{C}$
104 record is also corroborated as a proxy of ITCZ related rainfall variability by the Cariaco
105 Basin record¹⁰. We stress that $\delta^{18}\text{O}$ is also an extremely useful complementary rainfall proxy
106 (see Supplementary Information), but we believe that under the conditions at our site, $\delta^{13}\text{C}$ is
107 more sensitive to subtle shifts in recharge.

108 Both wet and dry season $\delta^{13}\text{C}$ values ($\delta^{13}\text{C}_{wet}$ and $\delta^{13}\text{C}_{dry}$) are clearly distinguishable in the
109 YOK-G record (Fig. 1c), providing a rare opportunity to isolate rainfall amount during
110 specific seasons at a low latitude site. YOK-G $\delta^{13}\text{C}_{wet}$ and the NINO3.4 Center of Action
111 (COA) sea surface temperature (SST) reconstruction¹⁷ are anticorrelated ($r = -0.3$, $p < 0.001$
112 with a nine-year moving average applied) during the preindustrial period (1550-1850),
113 suggesting that eastern equatorial Pacific SST exerted a significant control on Belizean
114 rainfall (Fig. 2a). Additionally, a weak but significant negative relationship ($r = -0.19$, $p <$
115 0.001) exists between the Esper Northern Hemisphere Temperature (NHT) reconstruction¹⁸
116 and $\delta^{13}\text{C}_{wet}$ during the preindustrial interval of the record (Fig. 2c). This suggests a warmer
117 NH tends to draw the ITCZ to a more northerly position, consistent with the results of
118 numerous previous studies^{7,10,19}. No relationship exists between $\delta^{13}\text{C}_{dry}$ and NHT ($r = 0.05$, p
119 $= 0.43$), again consistent with the interpretation of YOK-G $\delta^{13}\text{C}_{wet}$ as an ITCZ rainfall proxy.
120 Elevated NHT tended to cause a more seasonal rainfall distribution (greater seasonality)
121 during the preindustrial portion of the YOK-G record ($r = 0.32$, $p < 0.001$ with nine-year
122 moving average applied) (Fig. 2b).

123 However, post-1850 all the $\delta^{13}\text{C}$ data (mean annual, wet season, and dry season) strongly
124 suggest a steady drying trend coinciding with increasing NHT, suggesting a dramatic reversal
125 in the relationship between NHT and ITCZ position (Fig. 3). Additionally, post-1850 YOK-G
126 annual mean $\delta^{13}\text{C}$ tracks trends in global GHG concentrations and anthropogenic aerosol
127 emissions (Fig. 4). This indicates a southward ITCZ migration despite increasing NHT.

128 The timing of this relationship reversal suggests an anthropogenic link. Recent research
129 highlights the competing effects of GHG and anthropogenic aerosols on low latitude rain
130 belts, with GHG increases believed to force the ITCZ to the north, and aerosols to the
131 south^{5,7}. Modelling studies suggest that a heterogeneous regional cooling effect induced by
132 NH mid-latitude anthropogenic aerosol emissions drove the southward migration of the ITCZ
133 over recent decades^{1,3,4,7}, leading to drought in the Sahel^{8,20} and parts of monsoonal Asia^{21,22}.
134 The rainfall decreases implied by the YOK-G record closely follow patterns of regional
135 industrialisation and aerosol emissions in North America and western Europe since ~1880
136 (Figs. 4 and Supplementary Fig. S24). Peak US aerosol production during the period 1970-
137 1990 is estimated to have had a direct radiative forcing of -6 Wm^{-2} over the central and
138 eastern US resulting in relative cooling of $0.5\text{-}1.0^\circ\text{C}$ ^{23,24}. Cooling over the North Atlantic
139 region modifies atmospheric circulation to accommodate cross equatorial thermal contrasts
140 and subsequently drives the ITCZ southward²⁵.

141 The YOK-G record also illustrates that very similar ITCZ repositioning occurred following
142 large NH volcanic eruptions that injected sulphate aerosols into the atmosphere. These
143 affected the ITCZ through a similar mechanism as anthropogenic aerosols, causing
144 preferential NH cooling, southward ITCZ migration, and consequently drying in Belize.
145 Particularly noteworthy is the coincidence of the large and climatologically significant Laki
146 eruption (1783-1784) with the height of the largest preindustrial drought in Belize since 1550

147 C.E., evident in both the YOK-G and the historical records. The Laki eruption produced a
148 peak estimated direct radiative forcing in August 1783 of -5.5 Wm^{-2} in the NH²⁶, similar to
149 the magnitude of the anthropogenic aerosol peak during 1970-1990 (-6 Wm^{-2}), and resulted in
150 comparable drying in Belize. However, we note that the direct climate effects attributable to
151 the Laki eruption were unlikely to have lasted more than three years²⁶, so the 1783 eruption
152 may have exacerbated or prolonged the 1765-1800 drought but was not the principal driver.
153 SH volcanic eruptions, including those at low southerly latitudes, appear to force the ITCZ to
154 the north. Most notable of these is the Tambora eruption in 1815, associated with increased
155 Belizean rainfall the following year (Fig. 4). Of the nine largest NH eruptions identified in
156 the GISP2 ice core sulphate record and the historical record since 1550²⁷, all are associated
157 with drying in Belize; conversely, all three large SH eruptions are associated with increased
158 rainfall at our site. Specifically, the YOK-G record indicates that NH eruptions result in
159 substantially elevated $\delta^{13}\text{C}_{dry}$, and we suggest that this reflects a longer dry season caused by
160 delayed onset of the summer wet season. Our data suggest that NH eruptions shortened the
161 duration of the wet season, and SH eruptions extended wet season duration. The record
162 provides compelling evidence that stratospheric sulphate aerosol injections associated with
163 explosive volcanism resulted in short-lived ITCZ migration (Fig. 4). This result is consistent
164 with recent modelling results suggesting that large volcanic eruptions that inject aerosols into
165 the NH cause the ITCZ to migrate to the south, whereas SH eruptions push the ITCZ to the
166 north (HAYWOOD et al., 2013), and with historical records suggesting reduced Nile
167 discharge following the 1783 Laki eruption (Oman et al. 2006). Similarly, continuous NH
168 anthropogenic aerosol emissions during the 20th Century drove sustained southward ITCZ
169 repositioning.

170 The monthly-resolved YOK-G $\delta^{13}\text{C}$ rainfall record provides the strongest proxy evidence
171 currently available that recent droughts in the northern tropics are attributable to extra-

172 tropical anthropogenic forcing. Rather than being a cyclic natural phenomenon, sustained
173 rainfall reductions only occurred after atmospheric aerosols increased following regional
174 industrialization in the NH. The record also indicates that similar (albeit shorter lived) ITCZ
175 repositioning occurred in response to sulphate aerosol forcing associated with large NH
176 volcanic eruptions. Future modelling should focus on determining how shifts in regional
177 aerosol emission rates might affect ITCZ position. This is particularly relevant to currently
178 industrialising regions where large populations are dependent on seasonal rainfall.

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181 **References**

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260

261 **Figure captions**

262

263 **Figure 1. YOK-G $\delta^{13}\text{C}$ record and chronology.** **a,** ^{230}Th dates with errors (black line) and $\delta^{13}\text{C}$ cycle
264 chronology (red line). Shaded grey boxes indicate intervals where the $\delta^{13}\text{C}$ cycles are present but
265 somewhat less clear. The shaded pink box indicates the interval (1983-2006) where $\delta^{13}\text{C}$ cycles are
266 absent. Both chronological models are fitted with cubic splines. The ^{230}Th dates were used to verify
267 the accuracy of the $\delta^{13}\text{C}$ cycle count chronology, but were not used directly in developing the
268 chronological model. **b,** The YOK-G $\delta^{13}\text{C}$ record against depth spanning the last 456 years, with inset
269 expanded in **c,** illustrating $\delta^{13}\text{C}$ annual cycles with peak wet ('W' = low $\delta^{13}\text{C}$) and dry ('D' = higher
270 $\delta^{13}\text{C}$) season $\delta^{13}\text{C}$ values identified. These were used as a further chronological tool, permitting
271 identification of season of deposition. The grey shaded area to the right illustrates cycles during an
272 interval where the $\delta^{13}\text{C}$ cycles are less clear.

273 **Figure 2. YOK-G $\delta^{13}\text{C}$ record.** **a,** YOK-G $\delta^{13}\text{C}_{\text{wet}}$ record and the Niño 3.4 COA reconstruction ¹⁷ for
274 the period 1550 to 1850. **b,** Seasonality defined by the amplitude of each annual $\delta^{13}\text{C}$ from peak wet
275 season to peak dry season and Esper NHT ¹⁸ for the period 1550-1850. **c,** YOK-G $\delta^{13}\text{C}_{\text{wet}}$ against NHT
276 for the period 1550-1850. **d,** as in **a** but for the industrial interval of the record, 1851-1983. **e,** as in **b**
277 but for the period 1851-1983. **f,** as in **c** but for the period 1851-1983.

278 **Figure 3. Scatterplot of YOK G $\delta^{13}\text{C}_{\text{wet}}$ versus Esper NHT¹⁸.** During the preindustrial period
279 (1550-1849) (unfilled circles), showing weak significant negative correlation ($r = -0.19$, $p < 0.005$),
280 and during the CWP (1850-1983) (black filled circles), which exhibits a switch to a significant
281 positive correlation ($r = 0.43$, $p < 0.001$).

282 **Figure 4. Annual mean YOK-G $\delta^{13}\text{C}$ links to aerosols.** **a,** Annual mean $\delta^{13}\text{C}$ (black) and GISP2
283 total sulphate record (blue) ²⁷ for the period 1550-1983. Estimated aerosol production based on CO_2
284 emission rates relative to 1992 levels ^{28,29} for western Europe (green) and North America (yellow)
285 post 1850. Major NH eruptions (red labels) and SH eruptions (dark blue labels) with a Volcanic
286 Explosivity Index (VEI) of 5 or above, identified from the historical and GISP2 record. The dashed

287 lines designate the date of the eruption thought to have caused the GISP2 sulphate peak rather than the
288 sulphate peak itself; occasionally the eruption occurred the year preceding the sulphate peak in the ice
289 core. The location of the volcano responsible for producing the large 1809 sulphate peak evident in
290 the GISP2 record is unknown. (*) denotes eruptions with a VEI of 6 or 7. The brown vertical bar
291 indicates the timing of a large drought identified in the historical record³⁰. **b**, Relative climate
292 response to NH and SH eruptions exemplified by YOK-G $\delta^{13}\text{C}$ values (normalized to monthly means
293 in the year prior to the eruption) in the year preceding the volcanic eruptions identified in panel **a**
294 ('Year -1'), the year of the volcanic eruptions ('Year of eruption'), and three years following the
295 eruptions ('Years +1, +2, and +3'). The grey shaded area represents one standard deviation from the
296 monthly mean values over the entire preindustrial period. Thick lines represent the average $\delta^{13}\text{C}$
297 response for NH eruptions (red line) and SH eruptions (blue line).