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Citation: Zhao, Hangyue, Wang, Lei, Belwal, Tarun, Jiang, Yunhong, Li, Dong, Xu, Yanqun, Luo, Zisheng and Li, Li (2020) Chitosan-based melatonin bilayer coating for maintaining quality of fresh-cut products. Carbohydrate Polymers, 235. p. 115973. ISSN 0144-8617

Published by: Elsevier

URL: https://doi.org/10.1016/j.carbpol.2020.115973 https://doi.org/10.1016/j.carbpol.2020.115973

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- 1 Chitosan-based melatonin bilayer coating for maintaining quality of fresh-cut products
- 2
- ³ Hangyue Zhao^a (zhy-156@163.com, ORCID: 0000-0003-0179-1484)
- 4 Lei Wang^a (wangley@zju.edu.cn, 0000-0002-6949-4489)
- 5 Tarun Belwal^a (tarungbpihed@gmail.com, ORCID: 0000-0003-0434-1956)
- 6 Yunhong Jiang^b (yunhongjiang@yahoo.com, ORCID: 0000-0003-3292-8164)
- 7 Dong Li^a (dong_li@zju.edu.cn, ORCID: 0000-0002-1800-1656)
- 8 Yanqun Xu^a (xuyanqun@zju.edu.cn, ORCID: 0000-0003-3488-9445)
- 9 Zisheng Luo^{a,c} (luozisheng@zju.edu.cn, ORCID: 0000-0001-8232-9739)
- 10 Li Li^{a,c,*} (lili1984@zju.edu.cn, ORCID: 0000-0002-1242-3866)
- 11
- ¹² ^aKey Laboratory for Agro-Products Postharvest Handling of Ministry of Agriculture and
- 13 Rural Affairs, College of Biosystems Engineering and Food Science, Zhejiang University,
- 14 Hangzhou 310058, China
- ¹⁵ ^bBristol Dental School, University of Bristol, Bristol BS1 2LY, UK
- ¹⁶ ^cNingbo Research Institute, Zhejiang University, Ningbo 315100, China
- 17
- ¹⁸ *To whom correspondence should be addressed: Dr. Li Li (lili1984@zju.edu.cn; Telephone:
- 19 +86-571-88981885; Fax: +86-571-88981885.)
- 20

21 Abstract

22 This work was designed to develop the chitosan-based melatonin layer-by-layer assembly 23 (CMLLA) via the inclusion method and further to test the effectiveness on fresh produce. 24 The structural characterizations and interaction present in CMLLA were investigated by 25 the scanning electron microscope (SEM), X-ray diffraction (XRD) and Fourier 26 Transform-Infrared spectroscopy (FTIR). The ratio of chitosan (CH) to 27 carboxymethylcellulose (CMC) greatly influenced the mechanical properties, including the 28 tensile strength, moisture content and color performance. The antioxidant capacity of 29 CMLLA was determined by evaluating the scavenging effect and the antimicrobial activity 30 was evaluated using the zone of inhibition against infected bacterial. Results showed that 31 both antioxidant and antimicrobial properties of CMLLA were enhanced with the addition 32 of melatonin (MLT). Furthermore, the possible practical application of CMLLA as edible 33 coating on fresh products by was investigated. It was demonstrated that the CMLLA with 34 1.2% (w/v) CH, 0.8% (w/v) CMC and 50 mg/L MLT better contributed to the delay of 35 chlorophyll degradation and the maintenance of shelf-life quality. Results from this study 36 might open up new insights into the approaches of quality improvement of postharvest 37 fresh products by incorporating the natural antioxidant compounds into natural polymers.

38

39 Keywords:

40 Melatonin-loaded; assembly; layer by layer; structural property; antioxidant capacity,

- 41 antimicrobial activity
- 42

43 **1. Introduction**

44 The natural polysaccharides applied on packaging of fresh produces have increased 45 tremendously with more emphases on development of eco-packaging coating materials in 46 last few years (de Moraes Crizel et al., 2018; Medina-Jaramillo, Ochoa-Yepes, Bernal, & 47 Famá, 2017). The incorporated natural bioactive compounds in packaging films are of 48 great importance, which provides various functional properties to the films, such as 49 antioxidant, antimicrobial. The advantages of selecting natural compounds over synthetic 50 have well been reviewed and discussed over many years (Noronha, de Carvalho, Lino, & 51 Barreto, 2014; Sozer & Kokini, 2009; Song et al., 2018). For instance, the higher toxicity of 52 synthetic antioxidants was well explained and thus substituted by the natural antioxidants 53 (Noronha, de Carvalho, Lino, & Barreto, 2014; Huang et al., 2015; Park, Choi, Hu, & Lee, 54 2013; Tang et al., 2016).

55 Among various natural antioxidants, melatonin (MLT), a natural hormone that is primarily released by the pineal gland to the blood circulation, exerts various biological activities 56 57 such as antioxidant, antimicrobial, and anti-apoptotic (Wang et al., 2018; Arnao and 58 Hernandez-Ruiz, 2018). In a recent study, MLT has been applied in preharvest and 59 postharvest fresh products due to the excellent antioxidant capacity (Sun et al., 2019; Tan 60 et al., 2007; Shi et al., 2015). For instance, Tan et al. (2007) reported that the improved 61 antioxidant capacity and tolerance to copper contamination in pea plants by exogenous 62 MLT (Tan et al., 2007). Moreover, MLT has been widely used to postpone chlorophyll 63 degradation of barley leaves (Arnao & Hernández-Ruiz, 2009), and to reduce oxidative damage of grape cuttings (Meng et al., 2014). Considering the post-harvested products, 64 65 the application of MLT could effectively prolong the shelf-life and also maintain the 66 postharvest quality attributes (Tan et al., 2012; Liu et al., 2016). Furthermore, it was 67 demonstrated that exogenous MLT significantly reduced the weight loss and decay 68 incidence, as well as maintained firmness and total soluble solids of postharvest peach 69 (Gao et al., 2016). In a recent report, the application of MLT was found to be effectively 70 attenuate the fungal decay and maintain the nutritional quality of post-harvested 71 strawberry as well as trigger the accumulation of H_2O_2 accumulation, which resulted from 72 higher superoxide dismutase (SOD) activity (Aghdam et al., 2017). Overall, MLT 73 potentially contributed to maintaining quality in postharvest fresh-cut products. However, 74 the direct dose of MLT posed difficulties during the processing procedure as the low 75 solubility of MLT in water, while the antioxidant capacity of MLT was significantly affected. 76 Thus, the suitable delivery system loading MLT are essential to sustain and prolong its 77 efficiency, which is analogous to that used in drug and medical industry (Malafaya, Silva, 78 & Reis, 2007). Chitosan, a poly-N-acetyl-glucosaminoglycan obtained by alkaline 79 deacetylation of chitin, is an excellent coating material known for its outstanding film 80 forming properties with good mechanical performance and barrier capacity (Kurek,

Guinault, Voilley, Galić, & Debeaufort, 2014; Martins, Cergueira, & Vicente, 2012). 81 82 Besides that, several studies showed that chitosan had inherent antibacterial and 83 antifungal properties, depends on its degree of deacetylation and molecular weight (Aider, 84 2010; Tan et al., 2015). In spite of the mechanical and antimicrobial properties, 85 nevertheless, the single chitosan film did not present ideal antioxidant capacity (Liu et al., 86 2017). To meet out this challenge, various approaches have been tested for improving the 87 coating film guality for better packaging of fresh produce by incorporating the natural 88 antioxidant compounds, such as green tea extract and black soybean seed coat extract 89 (Wang et al., 2018; Rubilar et al., 2013; Siripatrawan & Harte, 2010). In addition, natural 90 antimicrobial agents have been used to develop the antimicrobial packages, including 91 plant extracts such as grapefruit seed extract (Wang, Lim, Tong, & Thian, 2019), and whey 92 protein (Brink, Šipailienė, & Leskauskaitė, 2019). As a natural biopolymer, chitosan was 93 incorporated directly into meat products to inhibit microbial growth and to increase 94 shelf-life of the meat products (Soultos, Tzikas, Abrahim, Georgantelis, & Ambrosiadis, 95 2008). Besides, it is common to blended chitosan with other polymers to obtain better 96 packaging coating (van den Broek, Knoop, Kappen, & Boeriu, 2015). Recently, chitosan 97 nanoparticles were used in delivery system of food packaging extending shelf-life of food 98 (Lin, Xue, Duraiarasan, & Haiying, 2018). In this line, recently our group has introduced 99 the idea of developing layer-by-layer (LBL) assembly of chitosan and carboxymethyl 100 cellulose (CMC), which provide higher antioxidant and antimicrobial activities to the 101 packaging film for postharvest fresh produce (Yan et al., 2019).

102 Following and expanding research with similar idea and considering MLT as a natural 103 active antioxidant compound, the aim of the present work was to develop chitosan-based 104 melatonin layer-by-layer assembly (CMLLA) as an innovative and active melatonin film 105 formulation applicable to the post-harvested fresh products. Up to now, MLT-loaded 106 polysaccharides assembly films have not been formulated and tested for its active role in 107 fresh products. In the present study, the CMLLA was developed via the self-assembled 108 molecular technique. The structure, color, biological and mechanical properties of the 109 novel chitosan-based melatonin assembly were assessed. Further, to find the practical 110 applicability of the developed films, the effect of CMLLA on guality attributes of three 111 fresh-cut products were evaluated.

- 112 **2. Material and methods**
- 113 2.1 Materials

Food-grade chitosan (CH, deacetylation degree 91.0%) and carboxymethyl chitosan (CMCH, deacetylation degree: 91.0%) were bought from Golden-shell Pharmaceutical Co., Ltd, Zhejiang Province, and the carboxymethyl cellulose (CMC, viscosity: 800-1200mpa·s) was procured from Shanghai Aladdin Bio-Chem Technology Co., LTD (Shanghai, China). Melatonin (MLT) (contains 10%-20% benzene, ≥97.0% HPLC), Acetic
acid (98% HPLC), pure ethanol (95% HPLC) was purchased from Shanghai Aladdin
Bio-Chem Technology Co., LTD (Shanghai, China). The 2,2-diphenyl-1-picrylhydrazyl
(DPPH) was purchased from Sigma Chemical Co. (St. Louis, MO, USA). Deionized water
(Millipore) was used to prepare the solutions. All other reagents were of analytical grade.

123 2.2 Preparation of CMLLA

124 The CH solution was prepared by dissolving chitosan powder in a 1% (v/v) aqueous 125 acetic acid solution to obtain a chitosan concentration of 2.5% (w/v) in an assembly 126 system. The CMCH and CMC solutions were prepared separately by dissolving 2.5g of 127 each in 100 mL of deionized water to obtain the concentration of 2.5% (w/v) in an 128 assembly system. All the solutions were homogenized by magnetic stirring for 2 h at room 129 temperature until complete dissolution. To prepare the MLT-loaded assembly of CH, 130 CH-M25, CH-M50, and CH-M100, which means MLT was added to CH solution at 131 different concentrations of 0, 25, 50, 100 mg/L, respectively, and homogenized by 132 magnetically stirring for 10 min.

The simple CH, CMCH and CMC, as well as the CH/MLT, CMCH/MLT assemblies were obtained by pouring the stock solutions into a glass dish. The solvents were removed by drying in a ventilated climatic chamber at 27 °C and 50% RH for 24 h. The dried surface was then peeled off and stored at 25°C and 53% RH until further analysis to obtain corresponding CMLLA. The CMLLA was prepared based on difference ratios of CH/CMCH to CMC stock solutions (1:4, 2:3, 3:2, and 4:1).

139 2.3 Structural characterization

140 The Fourier Transform-Infrared spectroscopy (FTIR) spectra of CMLLA were obtained by AVATAR370 FT-IR (Thermo Nicolet, USA). The crystalline characteristics were 141 142 determined by X-pert powder diffractometer (Panalytical B.V., Netherland), which was 143 operated at 40 mA and 40 kV using Ni-filtered Cu Kα radiation. The diffraction pattern was obtained from 20, 5° to 80° at a scan rate of 0.1° per second. A scanning electron 144 145 microscope (SEM, HITACHI, Tokyo, Japan) was used to observe the cross-sectional 146 microstructures of the films. The accelerating voltage of the SEM was 200 kV. The films 147 were frozen with liquid nitrogen and pinched out with tweezers. The samples prepared 148 were then fixed on individual specimen stubs and sputter-coated with gold. The 149 cross-sectional photographs of the films were obtained at magnifications of 1000x and 150 10,000×, respectively.

151 2.4 Physical characterization

152 **2.4.1 Thickness**

153 The assembly thickness was measured by CHY-C2 Thickness Tester (PARM[™], China).

The average values of five thickness measurements at different positions were used in allcalculations.

156 2.4.2 Color and opacity

157 The color was determined by the CR-400 Chroma Meter (Konica Minolta Sensing, Inc., 158 Japan). The L*(lightness), a* (red to green) and b* (yellow to blue) values were averaged 159 from three readings for each sample. And then the total color difference (ΔE) was 160 calculated as per the equation (1) (Gennadios, Weller, Hanna, & Froning, 1996):

161
$$\Delta E = \sqrt{\Delta L^{*2} + \Delta a^{*2} + \Delta b^{*2}}$$
 Eq. (1)

where, ΔL^* , Δa^* and Δb^* are the differences between the color parameter of the samples and those of the white standard (L*= 92.82, a* = -1.24, b* = 0.46).

The opacity was determined by measuring the film absorbance at 600 nm, using UV-5800PC spectrophotometer (METASH, China), following the method of Park et al. (2004). The film opacity was calculated by the absorbance against the thickness. All measurements were performed in triplicate.

168 2.4.3 Moisture content

169 CMLLA was cut into square pieces (20 mm × 15 mm) and the accurate weight (M₁) and 170 the constant weight (M₂) was calculated. The moisture content was determined by the 171 following equation (2):

172 Moisture content (%)=
$$(M_1-M_2)/M_2 \times 100\%$$
 Eq. (2)

173 2.4.4 Mechanical properties

The tensile strength (TS, in MPa) and percentage of elongation at the breakpoint (E, %) were determined by XLW (M) auto Tensile Tester (PARAMTM, China), according to American Society for Testing Material (ASTM) standard method D882 (ASTM, 1992). Briefly, the assembly samples were cut into rectangular pieces (1 cm × 5 cm) and mounted in the extension grips of the testing machine and stretched axially at a rate of 50 mm·min⁻¹. Three film specimens were used for each replicate.

- 180 2.5 Biological activities
- 181 **2.5.1** Antioxidant capacity

The antioxidant capacity of the assembly was measured by DPPH radical scavenging assay (Mayachiew, Devahastin, 2010). Briefly, the assembly sample was stirred and dissolved in 10 ml of deionized water and mixed with DPPH reagent (150 μ mol/L). The absorbance was recorded at 517 nm for every 10 min in 2 h of reaction time. All measurements were performed for three replications.

187 2.5.2 Antimicrobial property

188 The antimicrobial properties of CMLLA were examined by the zone of inhibition assay 189 on solid media as per the Sevdim (2006) protocol with slight modifications. Three bacterials, Salmonella Enteritidis (S. enteritidis, ATCC 13076), Escherichia coli (E. coli, 190 191 O157:H7, ATCC 35218), and Listeria monocytogenes (L. monocytogenes, NCTC 2167), 192 which commonly present in fresh-cut products based on previous study, were used in 193 antimicrobial assay (Fernandez-Saiz, Lagaron, & Ocio, 2009; Portes, Gardrat, Castellan, 194 & Coma, 2009; Sánchez-González, González-Martínez, Chiralt, & Cháfer, 2010). Briefly, every 100 µL of bacterial cultures (colony count of 1×10⁸ CFUmL⁻¹) inoculated in 10 mL of 195 196 molten LB nutrition agar. Test discs were placed on the bacterial lawns. The plates were 197 incubated at 37°C for 24 h. The diameter of the test discs was 10mm. The diameter of the 198 zone of inhibition was measured with a caliper. The average value of the zone of inhibition 199 was calculated as the means of three measurements.

200 2.6 CMLLA on quality traits of fresh produce

201 Fresh produce of Cucumis sativus (cucumber). Brassica oleracea (broccoli) and 202 Cucumis melo (melon) in uniform color and size without mechanical injury were selected 203 and cut into ready-to-eat pieces and subjected to different treatments (control (pure water), 204 According to the previous study of Yan et al. (2019), the control group was immersed in 205 distilled water for 30 s, the single layer group was immersed in the 1.2% CH/CMCH 206 solution for 30 s, and the CMLLA group was first coated with CH/CMCH solution under 207 different concentration and dried at room temperature for 20-30 min, and then coated with 208 CMC (Table 1). After the produce surface was completely dry, all samples placed in the 209 plastic casing at room temperature Based on the properties of fresh products and 210 Accelerated Shelf-life Test (ASLT), storage time was 5 days for cucumber, 7 days for 211 broccoli and 5 days for melon, respectively, All quality attributes below were determined 212 for three technical replications and three biological replications. The weight loss of the 213 ready-to-eat produce was calculated by comparing the initial and final weight (Sathivel, 2005). The firmness was measured using a TA-XT2i texture analyzer (Stable 214 215 Microsystems Texture Technologies Inc., UK) with a cylindrical probe (5 mm diameter) 216 with the test speed of 0.5 mm s⁻¹ and a pierce distance of 5 mm. Firmness was tested at 217 three locations on each fruit and the result was expressed as the maximum compression 218 force (g). The content of titratable acidity (TA) and total soluble solids (TSS) was 219 measured using a PLA-1 pocket refractometer (ATAGO CO., Tokyo, Japan). The juice 220 from fruit samples was squeezed out and used immediately for determining TA and TSS 221 concentration. The color of the ready-to-eat produce was determined by CR-400 Chroma 222 Meter (Konica Minolta Sensing, Inc., Japan). Furthermore, the chlorophyll content in 223 broccoli was measured by recording the absorbance at 663 nm and 645 nm using a 224 UV-5800PC spectrophotometer (METASH, China).

Table 1. Assembly with different components in the present study.

Assembly	Addition of components							
Assembly	CH/CMCH (%)	CMC (%)	MLT (mg/L)					
Control	0	0	0					
CH/CMCH	1.2	0	0					
CH-M25	2.4	1.6	25					
CH-M50	2.4	1.6	50					
CH-M100	2.4	1.6	100					
CH/CMCH1.2	1.2	0.8	50					
CH/CMCH1.8	1.8	1.2	50					
CH/CMCH2.4	2.4	1.6	50					

226 2.7 Statistical analysis

The results were analyzed by the statistical software SPSS ver. 18.0 (SPSS Inc., Chicago, IL, USA). All data was expressed as means ± standard deviations (SD) from three technical and biological replications. One-way analysis of variance (ANOVA) with a 95% confidence interval of the data was conducted using SPSS 18.0 (SPSS Inc., Chicago, IL, USA).

232

233 **3 Results and discussion**

234 3.1 Structural Characterization

235 3.1.1. FTIR analysis

FTIR spectroscopy was carried out to demonstrate the interactions between CH/CMCH and CMC. In this study, three represented samples are shown to demonstrate the structure of CMLLA. Fig. 1 showed the FTIR spectra for the CH, CMCH, CMC, CH-CMC (3:2) and CMCH-CMC (3:2) assemblies.

240 The main bands observed in the CH spectrum (Fig. 1) were: (i) a broad asymmetric band 241 between 3400 and 2500 cm⁻¹ corresponding to the axial stretching of C-H bonds; (ii) a 242 region between 1700-1200 cm⁻¹ attributed to the amide groups; (iii) a strong absorption 243 region between 1200-800 cm⁻¹ due to the polysaccharide skeleton, including the vibration 244 of the glycoside bonds, C-O and C-O-C stretching (Zhai et al., 2017; Branca et al., 2016; 245 de Abreu & Campana-Filho, 2009; Wang et al., 2005). It was demonstrated in Fig. 1 that 246 the structural difference between the CH spectrum and the carboxymethylated CMCH, (i) the broader band centered at 3300 cm⁻¹ which revealed the more hydrophilic 247 248 characterization of CMCH; (ii) the presence of an intense band in 1630 cm⁻¹ and a moderate band at 1423 cm⁻¹, were contributed to the symmetric and asymmetric axial 249

deformation of COO, respectively (Bao et al., 2014; de Abreu & Campana-Filho, 2009; Esteghlal, Niakousari, & Hosseini, 2018). In the CMC spectrum, the characteristic bands in 3423 cm⁻¹ and 2921 cm⁻¹ were assigned to -OH and -CH stretching regions, respectively (Fig. 1). The characteristic absorption bands of symmetric and asymmetric -COO were observed in 1418 cm⁻¹ and 1605 cm⁻¹, respectively. These characteristic absorption bands are consistent with that in previous studies (Esteghlal, Niakousari, & Hosseini, 2018).

257 In the spectrum of CH-CMC sample (Fig. 1), the region between 3400 and 2000 cm⁻¹ 258 with a clear increase in the intensity of the bands, indicating the amino proton was 259 synthesized to be NH₃⁺ in the film. The band of N-H stretching vibration was shifted to 260 3420 cm⁻¹, indicate hydrogen bond association. The C=O and N-H groups were 261 overlapped with a shift of C-O stretching vibration to 1410 cm⁻¹, showed the existence of COO, indicating the intermolecular hydrogen bonding of C=O...H-N between two 262 263 materials. The peak of C-H at 2926 cm⁻¹ became weaker, attributing to the intermolecular 264 interaction between CH and CMC (Boy et al., 2016). In the CMCH-CMC spectrum, the 265 overlapped bands at 1581 cm⁻¹ are associated with the asymmetric stretching of 266 carboxylic anion COO⁻ and the stretching of the amino group. The absorption at 1065 cm⁻¹ 267 attributed to C-O-C pyranose ring vibration in CMCH and CMC (Esteghlal, Niakousari, & 268 Hosseini, 2018; Yang, Yan, Chen, Lee, & Zheng, 2007). Besides, the peak of amide III in 269 the spectrum of CMC-CMCH film at 1322 cm⁻¹ of C-H became weaker, indicating a 270 replacement of the amino group and these results indicated the presence of heterocyclic 271 amine and ester bond in CMLLA.





Fig. 1. FT-IR spectra of CMLLA films. (a) CH/MLT-CMC; (b) CMCH/MLT-CMC

3.1.2. XRD patterns

275 Crystalline characteristics of CMLLA were determined by XRD. As shown in Fig. 2, the 276 simple chitosan film presented a semi-crystalline characteristic with diffraction peaks at 277 11.7°, 14.2° and 17.0°, which were similar to the previous studies (Liu et al., 2017; Tan et al., 2015). The diffraction peak at 11.4° was owing to the hydrated crystalline structure, 278 279 while the broad peak at 20°-23° represented the amorphous structure of chitosan (Rivero 280 et al., 2010). Compared with CH, the spectrum of CMCH exhibited poorly defined and less 281 intense peaks, which was resulted from the presence of carboxymethyl groups 282 substituting the hydrogen atoms of the hydroxyl and amino groups (de Abreu & 283 Campana-Filho, 2009).

284 When incorporated with CMC into the CMLLA, the broad peak became wider and weaker. 285 It was demonstrated that the overall crystallization rate was low, as the intensity of the 286 crystal peak of CH at 20° (20) declined significantly, compared to CH. Furthermore, it was 287 proved that the molecular chains of both crystalline polymers without presence of 288 crystallization, of which the domains of both components were scarcely formed (Sakurai et 289 al., 2000). Therefore, the amorphous nature of the CMLLA further confirmed the good 290 miscibility of the components. This was probably due to the intermolecular interaction 291 between hydroxyl groups and NH₃⁺ in CMC and chitosan, which limited the molecular

movement of both chitosan and CMC (Mathew & Abraham, 2008; Liu et al., 2016). These
results further strongly predicted the good compatibility of two constituents in CMLLA.
Presence of CMC induced the looseness of chitosan structure, resulting in a matrix more
unlikely to hydrogen bonding formation and leading to the decrease of the crystallinity.

296 Block backbone model was built to illustrate the tentative interaction mechanisms 297 between CH and CMC (Fig. 3). The model showed that the two components would have 298 hydrogen bonding and amidation to form a complex network. The OH group of CMC molecules interacted with OH groups of chitosan, leading to a matrix less favorable to 299 300 hydrogen bonding with water which in turn would decrease moisture content of CMLLA. In 301 the model, there are C=O...H-N intermolecular hydrogen bonding between two materials. 302 Therefore, compared to the molecular distance of simple chitosan film, CMLLA showed 303 obvious differences and the latter might be slightly looser than the former (Feng, Liu, Zhao, & Hu, 2012; Okuyama et al., 2000). The much loose arrangement of CMLLA might 304 305 facilitate the penetration of water molecules.

306





308 Fig. 2. XRD patterns of CMLLA films. (a) CH/MLT-CMC; (b) CMCH/MLT-CMC



Fig. 3. The built block backbone model to illustrate the tentative interactions between CHand CMC.

309

313 3.1.3. Microstructural analysis

314 The microstructure of cross-section of CMLLA was investigated by SEM. Fig. 4 showed 315 the microstructure of cross-section of the film (CH:CMC = 1:4, 2:3, 3:2) which can provide 316 information about different components and interaction between two constituents. The 317 surface of the plain chitosan film showed a smooth and uniform appearance (Fig. 4a). The 318 intersection of CH-CMC film appeared somewhat rough (Fig. 4d), which may be due to 319 the interaction between chitosan and CMC. In previous studies, similar results were 320 reported which showed chitosan-Sodium alginate (SA) films had rough surface between 321 intersection which indicated less homogeneity of the components owing to the positive 322 and negative charged interactions (Li et al., 2019; Sogut, & Seydim, 2018). The film's 323 morphologies had an obvious difference compared to the different ratio of film's 324 constituents (Fig. 4). Among all the films tested, film (CH:CMC=1:4, 2:3) had obvious 325 fracture surface in the intersection (Fig. 4d, e), while film (CH:CMC=3:2) was more 326 uniform and smooth (Fig. 4f), probably due to the interaction between chitosan and CMC. 327 In the study of Sogut et al. (2018), the addition of nano-cellulose (NC) into CH up to 10% 328 resulted in a smooth surface in the cross-section of the bilayer films, which can effectively 329 enhance the properties of CH due to the similarity of cellulose CH (Khan, Huq, Khan, 330 Riedl, & Lacroix, 2014).



Fig. 4. Scanning electron microscope images of CH:CMC=1:4 at 1,500× (a) and 220,000×
(d) magnification, CH:CMC=2:3 at 1,000× (b) and 10,000× (e) magnification, and CH:
CMC=3:2 at 1,000× (c) and 10,000× (f) magnification.

331

336 3.2 Physical characterization

337 3.2.1 Opacity and color

338 Color is an important property of assembly appearance. Assembly with different ratio of 339 chitosan and CMC were prepared to verify color changes of samples. Fig. 5 showed the 340 morphology and visible color variation of CMLLA. Color and opacity parameters of films were summarized in Table 1. Results showed that bilayers under different ratio had a 341 342 significant distinction in color and opacity (p < 0.05). The film color was visibly changed 343 from pale white with low opacity for CMC film to yellow for CH, and high opacity for CMCH, 344 respectively (Fig. 5). The color of pure chitosan was associated with the carotenoid pigment astaxanthin (Kucukgulmez et al., 2011) and the preparation procedure (Seo, King, 345 346 & Prinyawiwatkul, 2007). The color difference increased with the increasing ratio of 347 chitosan, with ΔE ranging from 8.24 for the assembly (CH:CMC = 1:4) to 12.10 for the 348 assembly (CH:CMC = 5:0). However, the increased ratio of chitosan had little influence on the opacity of assembly. In CMCH-CMC assembly, the opacity significantly increased 349 350 when the ratio of CMCH increased (p < 0.05).

351



- 353 **Fig. 5**. Morphology of CMLLA samples.
- 354

355 3.2.2 Mechanical properties

The mechanical properties of CMLLA included tensile strength (*TS*), elongation at break (*E*), thickness (*T*), and moisture content (*MC*) were shown in Table 1.

358 CH had the lowest TS (54.42±3.67 MPa) and this value increased with the increasing 359 CMC concentration, which increased twice than the simple CH. Similarly, reports indicated 360 that when CH was combined with CMC, the TS increased by 150% (Marzieh, 2019). In the 361 previous research from Zhuang et al. (2018), nevertheless, the mechanical strength of 362 LBL assembly was 38.27% lower than that of single-layer sodium alginate (SA), which 363 probably due to the materials of the bilayers that had bad compatibility with each other. On 364 the contrary, simple CH has higher E value, while the combination with CMC would 365 decrease the E value, which might be caused by the entanglement and interaction 366 between two polymer constituents. It has been proved that the mechanical behaviors had 367 a close relationship with the inner structure (Wu et al., 2016). Results of the present study 368 showed that CH-CMC assembly exhibited higher TS and lower E values which might be 369 owing to the hydrogen bonding and electrostatic interaction between the positively 370 charged CH and negatively charged CMC (Fig. 3).

The thickness of CMLLA is given in Table 1. As expected, CMLLA exhibited higher thicknesses than simple chitosan and CMC films; and the average thickness of films increased gradually with the increase in the ratio of chitosan. The average thickness of CMLLA (CH:CMC = 4:1) was 75.3 μ m, which was 1.91 times thicker than simple CMC film. This might be due to the intersection of CH and CMC, which can be also seen in SEM images (Fig. 4).

377 The moisture content (MC) of CMLLA was shown in Table 1. It was widely suggested that the higher MC of simple chitosan film was due to the strong hydrogen bond 378 379 interactions with water molecules (Aljawish et al., 2016). MC of assemblies reduced from 380 15.72% to 12.68%, when the ratio of chitosan increased from 0% to 40%. Compared with 381 simple chitosan and CMC, CH-CMC assemblies exhibited lower moisture contents which 382 might be owing to the hydroxyl and carboxyl groups in CMC molecules which interact with 383 the hydrophilic groups in chitosan (Marzieh et al., 2019). It was revealed that the CMLLA (CH:CMC = 3:2) exhibited the best physical properties compared with other samples. 384385 CH-CMC assembly maintained better mechanical properties with average thickness of 386 69.8 μ m for CH and 56.1 μ m for CMCH, and the opacity significantly as the CH ratio 387 increased (p < 0.05).

Table 2. Mechanical properties (*T*, Thickness, *E*, Elongation at break, *TS*, Tensile strength, *MC*, Moisture content), opacity and color changes (ΔE) of

	СН						СМСН					
	<i>Τ</i> (μm)	E (%)	TS (MPa)	<i>MC</i> (% H ₂ O)	ΔE	Opacity	<i>Τ</i> (μm)	E (%)	<i>TS</i> (MPa)	<i>MC</i> (% H ₂ O)	ΔE	Opacity
0:5#	39.4±3.4 ^{a*}	1.90±0.013ª	64.91±20.31 ^b	13.97±0.03 ^{ab}	6.98±0.12 ^ª	1.452±0.030 ^a	39.4±3.4ª	1.90±0.013ª	64.91±20.31 ^b	13.97±0.03ª	6.98±0.12 ^ª	1.452±0.030ª
1:4	54.4±3.1⁵	1.90±0.013ª	52.27±6.67ª	16.31±0.01 ^b	8.24±0.31ª	1.225±0.337ª	39.1±7.3ª	5.71±0.040 ^{ab}	42.75±5.94 ^{ab}	15.63±0.01ª	8.00±0.06 ^b	1.422±0.176ª
2:3	66.7±10.8 ^{bc}	3.81±0.013 ^{ab}	58.91±5.63ª	12.68±0.01ª	9.78±0.66 ^b	1.407±0.162ª	48.1±4.3 ^{ab}	1.90±0.013ª	55.81±15.95 ^{ab}	12.65±0.02ª	8.36±0.46 ^{bc}	1.909±0.642ª
3:2	69.8±8.4 ^c	8.57±0.040 ^b	48.38±1.48 ^a	15.58±0.01 ^b	10.13±0.45 ^b	2.143±0.282 ^{ab}	56.1±3.4 ^b	7.62±0.013 ^b	43.41±5.20 ^{ab}	15.10±0.01ª	8.79±0.30°	6.802±1.114 ^b
4:1	75.3±8.4°	2.86±0.00 ^a	60.36±9.23 ^{ab}	14.11±0.01 ^{ab}	11.58±1.26°	2.279±0.259 ^b	62.5±13.6 ^b	6.67±0.013 ^{ab}	44.55±0.56 ^{ab}	14.51±0.01ª	10.21±0.19 ^d	8.243±0.980°
5:0	57.7±9.6 ^b	3.81±0.013 ^{ab}	54.42±3.67 ^a	15.72±0.00 ^b	12.10±1.48°	1.274±0.145ª	46.7±7.0 ^a	10.48±0.049 ^b	34.49±10.25 ^a	16.46±0.04 ^{ab}	10.67±0.66 ^d	12.384±1.620 ^d

389 CMLLA films (average ± standard deviation).

[#]Different proportion means the ratio of CH/CMCH and CMC.

*Different superscripts (a-d) within a column indicate significant differences among samples (p<0.05)

390 3.3 Biological activities

391 **3.3.1** Antioxidant capacity

392 The free radical scavenging ability of the packaging films is usually required for active 393 packaging of fresh produce. The DPPH antioxidant activity of CMLLA was shown in Fig. 6. 394 The addition of MLT significantly increased the antioxidant capacity of CH-CMC assembly. 395 Compared with single chitosan film, the DPPH radical scavenging activity increased with 396 increasing of loaded MLT concentration and release time in assemblies. Nevertheless, the 397 DPPH radical scavenging activity of CH-MLT50 and CMCH-MLT50 were 45.55% and 398 30.67%, respectively. The result showed the higher antioxidant activity in CH film which 399 was different from previous studies (Guo et al., 2005; Zhao, Huang, Hu, Mao, & Mei, 2011; 400 Chen et al., 2015). The difference of antioxidant capacity was resulted from the different 401 solvent used for preparation of MLT stock solution. The introduction of carboxymethyl 402 groups into the chitosan, could increase the hydrogen-donating ability which actively 403 scavenged the DPPH radicals (Chen, & Ho, 1995; Zhao, Huang, Hu, Mao, & Mei, 2011) 404 (Lee, 2016). Compared with chitosan, CMCH had the significantly improved antioxidant 405 capacity, which probably due to the modified structure of CMCH. Moreover, the control 406 samples were shown the lower DPPH scavenging activity, which was consistent with that in previous studies (Sun et al., 2017; Yen, Yang, & Mau, 2008). The exogenous addition of 407 408 MLT significantly increased the antioxidant capacity of CH/CMCH-CMC assembly. And the 409 antioxidant capacity of CH-MLT50 and CMCH-MLT100 increased by 2 folds compared to 410 the control. Moreover, the DPPH radical scavenging activity of CH-MLT50 and 411 CMCH-MLT50 were 45.55% and 30.67%, respectively.

412 Moreover, the study presented that antioxidant capacity increased slowly at first, 413 nevertheless, there was a significant difference between assemblies with or without 414 addition of MLT (p<0.05). It was probably due to the viscosity of CH/CMCH, which leads to 415 the controlled release of MLT.

416



417

418 Fig. 6. Antioxidant capacity of CMLLA incorporated with different concentration of

419 melatonin.

420 CH, CH: CMC=3:2, CMCH, CMCH: CMC=3:2, MLT0, C_{MLT}=0 mg/L, MLT25, C_{MLT} =25 421 mg/L; MLT50, C_{MLT} =50 mg/L; MLT100, C_{MLT} =100 mg/L.

422

423 **3.3.2** Antibacterial property

424 The zone of inhibition assay was employed to investigate the antibacterial property of 425 CH/MLT and CMCH/MLT assemblies against S. enteritidis, E. coli, and L. monocytogenes. 426 The inhibitory effect of CMLLA on the growth of three kinds of microorganisms was shown 427 in Fig. 7. Results revealed that there was little difference in inhibition zones between 428 control and MLT23. These results indicated the original antimicrobial property of CH and 429 CMCH. Consistently, Fernández-Saiz et al. (2013) also observed that chitosan could 430 inhibit the microbial growth in hake fillets when packaged in air and under vacuum. The 431 bacterial activity of CH might be owing to the free amino groups and the electrostatic 432 interaction. Binding to cell surface, disturbing the cell membrane, the amino groups may 433 cause the cell death by inducing leakage of intracellular components (Chung & Chen, 2008: Wahid et al., 2016), According to the study of Savari et al. (2016), generally, the 434 435 chitosan exhibited higher antimicrobial activities on gram-positive bacteria than that on 436 gram-negative bacteria, which leading to the discrepancy of inhibition zone. And the order 437 of the antimicrobial activities of CMLLA against microorganisms was: E. coli < L. 438 monocytogenes < S. enteritidis, which was consistent with that in previous study (Jeon, 439 2001; Sun et al., 2017).

440 Furthermore, the MLT loading in the CMLLA delivery system increased the antibacterial 441 activity. It has been widely proved the antibacterial effect of MLT against gram-positive 442 and gram-negative bacteria, which ascribed to its ability to reduce intracellular substrates 443 availability such as free iron and fatty acids (Romić et al., 2016), although most research 444 of MLT was limited in the clinical study relevant to wound healing (Tekbas, Ogur, Korkmaz, Kilic, & Reiter, 2008; Vielma et al., 2014). Our study showed that exogenous MLT may 445 446 effectively inhibit the growth of three presentative microorganisms. The inhibition zone of 447 CH-MLT100 against S. enteritidis was 1.83 cm, which was 1.31 times larger than that of 448 control (Fig. 7). All above results provided evidence that the antimicrobial activities of 449 CMLLA resulted from the synergic application of both CH and MLT. Result of the positively 450 antimicrobial properties indicated that the CMLLA could potentially be applied as 451 antimicrobial packaging materials in maintenance of quality attributes.

452



454 **Fig. 7.** Antibacterial activity of CMLLA samples.

455 CH, CH: CMC=3:2, CMCH, CMCH: CMC=3:2, MLT0, C_{MLT}=0 mg/L, MLT25, C_{MLT} =25

456 mg/L; MLT50, C_{MLT} =50 mg/L; MLT100, C_{MLT} =100 mg/L.

457

453

458 **3.4 CMLLA on quality traits of fresh produce**

459 3.4.1 Cucumber (*Cucumis sativus*)

460 Morphological characteristics of cucumber was exhibited in Fig. 8. As expected, the 461 surface of cucumber coated by CH was much whiter and rougher than control. And CMCH 462 coating contributed to the smooth and opaque morphology. Moreover, CMLLA better 463 maintained the appearance (Fig. 8a). The quality attributes of cucumber in response to 464 MLT-incorporated assembly were shown in Table a. Firmness was improved by 1.67 times 465 by CH1.2 than control, while in CMCH2.4 the firmness was 21.40% lower than control. During postharvest storage, the sugar-acid ratio of cucumber decreased gradually. In 466 467 comparison to control, LBL coating significantly prevent the decrease in sugar-acid ratio, 468 which was 1.58 in CH1.2 group, and about 41.07% higher than control. And ΔE (Table 2) 469 showed that CH1.2 had minimal variation which was 57.2% lower than control.

470 Results revealed that CMCH (14.35%) had much more weight loss than CH (10.80%), 471 which might be due to the water solubility of CMCH that increase the loss of water on the surface of cucumber produce. And compared with CH, CMCH increased the sugar 472 473 content by 9.1%. Furthermore, the viscosity of high concentration of CH and CMCH 474 applied in assembly contributed to the decrease of the oxygen and water vapor 475 permeability, and then accelerated the decomposition rate of the post-harvested 476 cucumber. The incorporation of loaded MLT would largely retain the sugar, firmness and color in cucumber, which was consistent with the research reported by Xin et al. (2017), 477 478 where the cucumber was treated by MLT-incorporated assembly. Results revealed that MLT could effectively better maintain the sensory properties of postharvest *Cucumis sativus* and retard the decrease of TA, which indicated the better-quality maintenance of
 the MLT loaded films.

482

483 3.4.2 Broccoli (Brassica oleracea)

484 Morphological characteristics of broccoli were presented in Fig. 8b. Compared with the 485 control group, the color of broccoli florets was much brighter and much greener when 486 coated with MLT film. However, the effect of MLT was suppressed when incorporated with 487 CH under high concentration, which accelerated the decline in the quality of broccoli, 488 along with increased bacterium infection and yellowing problem. The quality attributes of 489 broccoli were shown in Table 2. During postharvest storage, LBL coating dramatically 490 retards the decrease of firmness and weight. The firmness of low concentration (1.2%, 491 1.8%) of CH is 1.51 and 1.33 times harder than control. The weight loss of CH1.2 was 492 only 12.89%, which represent 42.89% lower than that of control. Furthermore, the results 493 of high concentration coating of broccoli were similar as for cucumber. There is no 494 difference in weight loss between control and CH2.4, however the color change of CH2.4 495 $(\Delta E=9.95)$ increased significantly (p<0.05).

496 Loss of green color of broccoli florets is one of the important factors which influence the 497 quality of post-harvested produce. Takeda et al. (1993) reported more than 80% reduction 498 in chlorophyll in broccoli florets within 4 days when stored at 23 °C. The present study 499 results revealed that coating can effectively attenuate the decrease of chlorophyll 500 concentration. Compared to control, treatment of MLT can mediate the decline of 501 chlorophyll a (Table 2). The level of chlorophyll a in control treatment declined from 4.33 502 μ g mg⁻¹ on day 0 to 2.93 μ g mg⁻¹ on day 3. And the level of chlorophyll a in CH1.2 and 503 CH1.8 is 1.95 and 1.89 times higher than control, respectively, manifesting the significant 504 effect of MLT to maintain the color of Brassica oleracea (p<0.05). These results are in 505 agreement with other studies on coating fresh-cut vegetable samples (María V. Alvarez et 506 al., 2013; Zhang J et al., 2017; Arnao, M.B., Hernández-Ruiz, J, 2009). For instance, 507 Arnao, M.B., Hernández-Ruiz, J (2009) reported that the chlorophyll loss in barley leaves 508 slowed down when treated with MLT. The present results revealed that the quality of 509 postharvest Brassica oleracea would be largely retained by the application of MLT. This might be due to the higher antioxidant activity of the MLT film which prevents the 510 511 chlorophyll degradation.

512 **3.4.3 Melon (Cucumis melo var. saccharinus)**

513 Morphological characteristics of melon were shown in Fig. 8c. In control group, melon 514 showed loss of water and softness of tissue, which prevent them to keep in its original 515 form. However, melon coated with CMLLA had a better appearance and sensory 516 properties. Table 2 showed that the melon quality corresponding to CMLLA. Tissue 517 softening occurs in melons during storage, and associated to the changes in the structure. 518 composition and linkages, which further contributed to its decreased weight and firmness 519 (Li et al., 2013a; Ortiz et al., 2011). Weight loss was declined in case of CMCH1.2 and 520 CMCH1.8, which was 46.19% and 36.11% lower than control, respectively. And firmness 521 in CH1.2 was improved by 9.28 times than control. Fresh-cut melons, as we knew, are 522 prone to quick physiological and microbial deterioration resulted in softening and juice loss 523 (Elena et al., 2018). Similar studies had been reported that coatings physically enhanced 524 the structure of melon and slowed down their degradation (Baldwin, Hagenmaier, & Bai, 525 2011). And many researchers had pointed out that coating with chitosan could effectively 526 prevented weight loss due to a stable and uniform barrier created by chitosan coatings 527 (Kader, 2002; Ochoa-Velasco et al., 2014; Ali et al., 2011).

TSS under different treatments showed significant differences (p < 0.05). Coating groups 528 529 had significantly higher TSS values when compared with control groups. The level of TSS 530 in the control group was declined from 16.32% to 11.43%. And low concentration (1.2%, 531 1.8%) CH showed TSS 1.23 and 1.25 times higher than control, respectively. The similar 532 results of MLT coating on fresh-cut fruits were reported elsewhere (Gao et al., 2016; Ma et 533 al., 2016; Liu et al., 2018; Liu et al., 2016). For instance, Liu et al. (2016) reported tomato 534 fruits treated with MLT have higher soluble solid and sugar contents than control. These 535 results indicated that MLT can increase fruits ability to resist oxidative stress and then delay postharvest senescence (Ma et al., 2016). Results showed that under treatment of 536 537 MLT-incorporated assembly, sensory properties of melon would be largely maintained and 538 decrease of TSS would be retarded.



Fig. 8. Impact of CMLLA on morphological characteristics of fresh products

	СН						СМСН					
Cucumis	Weight loss /%	Firmness /g					Firmness /g					
sativus		Section of fr	uit S	ection of center	Sugar-acid ratio	ΔE	weight loss /%	Section of fruit Section		on of center	of center	
Control	18.81±3.33 ^{b*}	1322.83±35	1322.83±35.73 ^a 344.8		1.15±0.12 ^a	7.72±0.81°	18.81±3.33 ^b	1322.83±35.73ª 344.4		87±34.50 ^ª	1.15±0.12 ^a	7.72±0.81 ^b
CH/CMCH	16.32±0.76 ^b	2075.83±22.37° 425.0		5.00±12.59°	1.49±0.06 ^{bc}	6.48 ±0.60 ^a	19.02±2.04 ^b	1914.37±92.55 ^a 499		58±36.01ª	1.37±0.03 ^b	6.62±0.79 ^{ab}
C1.2 [#]	10.80±0.70 ^a	2157.20±33.46° 631.4		1.63±90.08°	1.58±0.09°	4.72±0.35 ^b	12.35±0.83 ^a	1955.10±62.81 ^b 440. ^b		67±11.36ª	1.74±0.08°	6.10±0.40 ^a
C1.8	11.58±0.84 ^a	2210.33±149.26° 467.3		7.33±24.91°	1.41±0.04 ^b	5.11±0.63 ^b	11.33±0.42 ^a	1943.37±17.06 ^a 6		30±120.72 ^b	1.50±0.03 ^b	7.37±0.28 ^b
C2.4	17.06±0.68 ^b	1576.43±10	1.11 ^b 40	5.23±61.81ª	1.47±0.16 ^{bc}	7.57±0.87°	13.01±0.97 ^a	1038.93±1	4.09 ^a 293.2	27±148.34 ^a	1.16±0.17 ^a	7.45±0.59 ^b
Dressies		Weight loss /		Chloroph	hyll concentration			Weight loss /%		Chlorophyll concentration		
Brassica	Firmness /g		Igni 1035 / /0		/mg/L	ΔE	Firmness /g			/mg/L		ΔE
oleracea		Flower	Stem	Chlorophyll a	Chlorophyll b			Flower	Stem	Chlorophyll a	Chlorophyll b	
Control	2548.80±121.57 ^a	32.54±4.26 ^b	18.64±0.29	c 2.93±0.13 ^a	2.54±0.23 ^a	6.86±0.19 ^b	2548.80±121.57 ^a	32.54±4.26°	18.64±0.29 ^b	2.93±0.13 ^a	2.54±0.23 ^a	6.86±0.19 ^b
CH/CMCH	3578.90±88.57 ^b	23.40±4.01 ^a	19.31±0.98	° 3.21±0.06 ^b	2.45±0.10 ^a	6.50±1.34 ^b	3768.03±77.25°	26.81±3.52 ^b	16.82±2.88 ^b	3.25±0.18 ^b	2.88±0.26 ^a	6.09±1.04 ^b
C1.2	3859.27±50.20°	24.42±2.91ª	12.89±1.07	^{ab} 5.72±0.09 ^c	3.78±0.26 ^b	4.03±0.56 ^a	4392.10±146.14 ^d	16.64±2.54 ^a	11.93±0.36 ^a	4.19±0.25 ^d	3.98±0.26 ^b	3.96 ± 0.83^{a}
C1.8	3397.30±165.25 ^b	22.38±4.00 ^a	10.72±0.28	^a 5.55±0.13 ^c	4.12±0.27 ^b	3.07 ± 0.90^{a}	3722.80±182.94 ^c	16.69±2.19 ^a	10.07±1.32 ^a	3.72±0.03 ^c	3.69 ± 0.06^{b}	2.93±0.61 ^a
C2.4	3427.73±152.29 ^b	40.79±6.72°	14.62±1.94	^b 2.99±0.15 ^a	2.43±0.26 ^a	4.39±0.21 ^a	3060.17±310.54 ^b	19.12±2.61ª	14.00±1.55 ^{ab}	3.79±0.08°	2.72±0.18 ^a	9.55±1.12°
Cucumis melo	Firmness /g	TSS /%		Weight loss %	ΔΕ		Firmness /g	TSS /%		Weight loss /%	ΔE	
Control	67.43±12.48 ^a	11.43±0.60 ^a		29.55±7.48 ^b	12.74±0.84 ^d		67.43±12.48 ^a	11.43±0.60 ^a		29.55±7.48 ^b	12.74±0.84 ^c	
CH/CMCH	253.13±65.14 ^b	11.90±0.24 ^a		23.71±4.14 ^{ab}	10.51±0.53°		451.83±56.92 ^b	11.23±0.59 ^a		25.23±3.76 ^b	8.74±0.79 ^b	
C1.2	622.00±40.62 ^d	14.13±0.17°		15.90±2.79 ^a	5.60±0.41 ^a		820.63±45.59 ^d	15.23±0.09 ^c		15.68±2.62 ^a	5.27±0.90 ^a	
C1.8	690.60±12.34 ^d	14.10±0.33°		18.88±6.06 ^a	4.61±0	4.61±0.49 ^a		13.43±0.05 ^b		20.32±4.41 ^{ab}	7.10±0	.72 ^{ab}
C2.4	410.27±26.26°	12.90±0.16 ^b		21.43±0.98 ^{ab}	8.06±0.50 ^b		543.60±16.90°	12.97±0.37 ^b		22.14±5.43 ^{ab}	8.65±1	.54 ^b

541 **Table 3.** CMLLA on quality attributes of *Cucumis sativus, Brassica oleracea,* and *Cucumis melo* (average ± standard deviation)

#C1.2 means CH/CMCH1.2; C1.8 means CH/CMCH1.8; C2.4 means CH/CMCH2.4.

*Different superscripts (a-d) within a column indicate significant differences among samples (p<0.05)

542 **4. Conclusions**

543 In the present study, the novel CMLLA was successfully developed and the improved 544 antioxidant and antimicrobial properties of incorporated melatonin were presented. 545 Among all prepared samples, the CMLLA system loading 1.2% CH, 0.8% CMC, and 546 50mg/L MLT was potentially applied in fresh products. Incorporation of CMC and MLT 547 greatly improved the mechanical strength (increased by 100%), opacity; while the water 548 barrier property was enhanced. Structural characterization further verified the compatibility of the component polymers and improved properties were ascribed to the 549 550 cross-linking interaction between CMC and chitosan. Besides, CMLLA was also shown 551antioxidant and antimicrobial activities. Moreover, results from the present study 552 demonstrated the practical applicability of the developed CMLLA on fresh-cut cucumbers, 553 broccolis and melons by maintaining the quality attributes including firmness, total soluble 554solid and chlorophyll contents; while preventing the weight loss and color degradation. 555 Conclusively, the improvement of mechanical strength and morphological characteristics 556 of CMLLA indicated that the chitosan incorporated with MLT and CMC was potentially 557 applied in maintaining post-harvest quality of fresh products, although further research is 558 essential to evaluate the safety of the CMLLA system before commercialization.

559

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