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1 **Reply: Letter to Editor**

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4 **Non-invasive assessment of carotid arterial wave speed and distensibility**

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50 **To the Editor:** We would like to thank Maynard et al. for their interest in our work and raising  
51 important questions with a Letter to Editor. We are also please to reply as follows.

52  
53 **Comparisons**

54 First of all, we are not aware of any studies that directly measured local wave speed ( $c$ ) in  
55 similar exercise conditions to those performed in our study. This indeed limits the ability to  
56 compare our results with those found previously by other investigators. Specifically, the literature  
57 offered by Mynard et al., may not enable a fair scientific comparison, for several reasons. At the  
58 level of timing, Mutter et al. (10) measured  $c$  post aerobic exercise, whilst in our work  $c$  was  
59 measured during heavy exercise. In fact, the trend of our results agree with that of (10) at > 5  
60 minutes post-exercise with  $c$  returning to control values. Further, the works of both Rakobowchuk  
61 et al. (14) and Babcock et al. (1) report  $c$  values at rest before and after exercise but not during  
62 exercise; an important aim and novel contribution of our present work.

63 Several additional differences between our study and those of (14) and (1) relate to the  
64 cohorts involved and methodology used in determining  $c$ . Participants of our study (13) comprised  
65 of exclusively young male athletes, whereas, the cohorts participating in those two studies were  
66 recreational active in (14) and a mix that included both athletes and inactive in (1). In relation to  
67 determining  $c$ , the studies suggested in the Letter to Editor for comparisons used different  
68 fundamental assumptions that limit a direct comparison. Whilst the InDU-loop method assumes  
69 unidirectional waves in the earliest period of systole, it is not subject to the calibration and  
70 methodological issues of applanation tonometry, which involves forcefully flattening an arterial  
71 segment by pushing against a bone. In the case of the carotid artery, this is particularly difficult  
72 during exercise. In addition, the potential variable force applied by the operator(s) presents a  
73 question on the reproducibility. Therefore, these user-dependent issues associated with  
74 applanation tonometry make it difficult to use the results in (1) as “the” carotid PWV reference  
75 value. One distinct advantage of the InDU-loop method, however, is that it provides a means for  
76 determining  $c$  using measurements, which are direct and local to a specific arterial segment. It is  
77 worth noting that we have previously demonstrated the reproducibility of the InDU-loop method  
78 (12) and the results agree with those in (13). At 40 % of maximum workload  $c$  values of the current  
79 study is 9.5 m/s closely agreed with 9.7 m/s during exercise in (12).

80 Furthermore, classical work by Bramwell and Hill (5), Hystad and Anliker (7) have  
81 established that  $c$  is a function of pressure in several arteries of various mammals, and of flow  
82 velocity in (7). Table III – Fig. 9 in (5) shows an increase of 50% in pressure leads to 100% increase  
83 in  $c$ . Similar patterns could be seen in Fig. 5 in (7). In our work (13) systolic pressure increased by  
84 45%, carotid flow by 50%,  $\Delta U$  by 93%, heart rate by 200%, and cardiac output by 270%, all at 70%  
85 workrate intensity compared to at rest condition. These hemodynamic changes resulted in an  
86 increase in  $\Delta D$  by 58% and the carotid wall during exercise at that level must have experienced a  
87 significant increase in both wall stress and Young’s modules. Therefore, 136% increase in  $c$  under  
88 such hemodynamic conditions is not very surprising.

89  
90 **InDU-loop: Theoretical considerations**

91 Secondly, the notion of the InDU-loop method (6) is prone to increasing ‘true’  $c$  in the  
92 carotid artery may not be supported theoretically. According to (6)  $c$  can be calculated as

93 
$$c = \frac{1}{2} \frac{dU_{\pm}}{d \ln D_{\pm}} \quad (1)$$

94 A reflected wave in the carotid artery that is compression in nature (BCW) as generally accepted  
95 would decrease the term  $dU$  in equation (1), leading to a decrease of  $c$ . We acknowledge that a  
96 backward decompression wave (BDW) arriving in early systole would increase  $dU$  leading to a  
97 possible increase in  $c$ , however, we are not aware of any published work to suggest the existence  
98 of BDC in the carotid artery in early systole.

99 Further, the same notion is not supported by the literature and it is in a diametrically  
100 opposite position with findings of Segers et al., who reported a decrease in  $c$  when using the InDU-  
101 loop method, also at the carotid artery (15). Furthermore, this notion is not supported  
102 experimentally as we validated the method in vitro (9) and reported the possibility of decreasing  
103 'true' wave speed, inline with the theoretical expectations, in the presence of a large positive  
104 wave reflection; such as those resulting when the measurement and positive reflection sites are in  
105 close proximity (3).

106 Furthermore, we believe that using  $c$  determined by the InDU-loop method with the  
107 Bramwell-Hill (BH) equation to derive pulse pressure may not be theoretically permitted. This is  
108 because such approach will violate the constituents involved in deriving equation (1). The InDU-  
109 loop equation for determining  $c$  deals with differential quantities, which are the microscopic  
110 elemental changes of flow velocity and diameter, respectively,  $dU$  and  $dD$ , and their inter-  
111 changeability with macroscopic changes of pressure and area ( $\Delta P$  and  $\Delta A$ ) such as those used in  
112 the BH equation (2) has to be exercised with caution as it will likely lead to errors.

$$113 \quad c = \frac{1}{\sqrt{\rho \frac{\Delta A}{A \Delta P}}} \quad (2)$$

114 For equation (1) to be valid in determining  $c$ , waves must be unidirectional, hence the  
115 choice of using the microscopic parameters in early systole, when it maybe reasonable to assume  
116 only forward waves exist. However, with a mix of forward and backward waves in mid-to-late  
117 systole, the equation loses its validity to determine  $c$ . It follows; using  $c$  determined with the InDU-  
118 loop in equation (2) for the purpose of establishing pulse pressure  $\Delta P$ , as proposed by Maynard et  
119 al., must be related to the diameter changes corresponding (and restricted) to the duration of the  
120 initial linear portion of the loop. Otherwise, it renders the calculations outside the validity domain  
121 of equation (1), leading to errors as evidenced by the unrealistic  $\Delta P$  of 500 mmHg calculated  
122 during exercise in the Letter to Editor at hand.

123 If  $c$  is determined using equation (1) and is used with the BH equation, it is possible to  
124 calculate the change in pressure ( $\Delta P^*$ ) that is related to the initial linear portion of the InDU-loop  
125 by rearranging the BH equation terms

$$126 \quad \Delta P^* = 2\rho c^2 \Delta D^* / D_i \quad (3)$$

127 where  $\Delta D^*$  and  $D_i$  are the macroscopic change in diameter corresponding to initial linear portion  
128 of the InDU-loop and the initial diameter, respectively. Using this approach gives average values  
129 across our cohort of  $\Delta P^* = 18 \pm 6$  mmHg at rest and  $92 \pm 65$  mmHg at 70%  $W_{max}$ , which are  
130 vastly different from those obtained using  $\Delta P$  and  $\Delta D$  over the whole cardiac cycle being  $78 \pm 31$   
131 mmHg at rest and  $545 \pm 366$  mmHg at 70%  $W_{max}$ . The latter values are close to those calculated  
132 by Maynard et al., from our average values in (13).

### 133 134 **Velocity profile**

135 We agree with Maynard et al. that mean velocity is difficult to measure using Doppler  
136 ultrasound. However, the authors appear to have assumed incorrectly that we use maximum  
137 velocity in the calculations of  $c$ . We have used mean velocity in this and in our earlier work (2).  
138 Even if the ultrasound scanner being used for data acquisition does not provide mean velocity, we  
139 determine it by tracing the outer, inner envelopes of the ultrasound, and use the mean waveform  
140 as shown **Fig 1** of (4). It is worth noting that both the maximum and mean velocity waveforms are  
141 usually parallel; thus provide the same slope of the initial linear part of the InDU-loop. We have  
142 also compared the results of using mean vs. maximum velocity waveforms in determining  $c$  using  
143 the InDU-loop method and found no difference (unpublished data). This observation is in line with  
144 the theoretical understanding of a blunt flow profile in large arteries; i.e negligible difference  
145 between mean and maximum velocity. Whilst we acknowledge that for a parabolic profile of fully  
146 developed Poiseuille flow, the maximum is approximately double mean velocity, a conservative

147 entrance length (L) to reach fully developed flow,  $L = 0.05ReD$ ; where  $Re$  is carotid Reynold's  
148 number = 500 and  $D$  is an average carotid diameter = 0.008m. Consequently,  $L$  will need to be 20  
149 cm, which is longer than most human common carotid arteries.

150 We also agree with Maynard et al. that the method proposed by Kowalski et al. (8) maybe  
151 practical, but in our opinion robustness remains to be widely demonstrated. This is because the  
152 pressure is measured non-invasively with considerable variation between devices (11), and  
153 linearity assumptions are made in the calibration involving two different vessels of different  
154 locations, dimensions and wall mechanical properties.

155  
156 **In conclusion**, in the absence of direct comparable measurements of wave speed in the  
157 carotid artery during exercise, and the lack of evidence of backward decompression wave existing  
158 in early systole, the InDU-loop technique should not be claimed to increase wave speed. Such  
159 claims are not supported theoretically or experimentally. Also, mixing the microscopic and  
160 macroscopic terms of the InDU-loop and Bramwell and Hill techniques may not be allowed for the  
161 purpose of calculating pulse pressure, as this is likely to introduce substantial errors.

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