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1 **Development and Characterisation of Acoustofluidic Devices Using Detachable**  
2 **Electrodes Made from PCB**

3 Roman Mikhaylov<sup>1</sup>, Fangda Wu<sup>1</sup>, Hanlin Wang<sup>1</sup>, Aled Clayton<sup>2</sup>, Chao Sun<sup>3</sup>, Zhihua Xie<sup>4</sup>,  
4 Dongfang Liang<sup>5</sup>, Yinhua Dong<sup>6</sup>, Fan Yuan<sup>7</sup>, Despina Moschou<sup>8</sup>, Zhenlin Wu<sup>9</sup>, Ming Hong Shen<sup>10</sup>,  
5 Jian Yang<sup>10</sup>, Yongqing Fu<sup>11</sup>, Zhiyong Yang<sup>12</sup>, Christian Burton<sup>1,2</sup>, Rachel J. Errington<sup>2</sup>, Marie  
6 Wiltshire<sup>2</sup>, Xin Yang<sup>1</sup>

7 <sup>1</sup> Department of Electrical and Electronic Engineering, School of Engineering, Cardiff University,  
8 Cardiff CF24 3AA, UK

9 <sup>2</sup> Tissue Micro-Environment Group, Division of Cancer & Genetics, School of Medicine, Cardiff  
10 University, Cardiff CF14 4XN, UK

11 <sup>3</sup> School of Life Sciences, Northwestern Polytechnical University, 710129, P.R. China

12 <sup>4</sup> Department of Civil Engineering, School of Engineering, Cardiff University, Cardiff CF24 3AA,  
13 UK

14 <sup>5</sup> Department of Engineering, University of Cambridge, Cambridge CB2 1PZ, UK

15 <sup>6</sup> Department of Neurology, Tianjin 4th Centre Hospital Affiliated to Nankai University, 300140,  
16 P.R. China

17 <sup>7</sup> Department of Biomedical Engineering, School of Engineering, Duke University, NC 27708-  
18 0281, USA

19 <sup>8</sup> Centre for Biosensors, Bioelectronics and Biodevices (C3Bio) and Department of Electronic &  
20 Electrical Engineering, University of Bath, Bath BA2 7AY, UK

21 <sup>9</sup> School of Optoelectronic Engineering and Instrumentation Science, Dalian University of  
22 Technology, 116023, P.R. China

23 <sup>10</sup> Preclinical Studies of Renal Tumours Group, Division of Cancer and Genetics, School of  
24 Medicine, Cardiff University, Cardiff CF14 4XN, UK

25 <sup>11</sup> Faculty of Engineering and Environment, Northumbria University, Newcastle Upon Tyne,  
26 Newcastle NE1 8ST, UK

27 <sup>12</sup> School of Mechanical Engineering, Tianjin University, 300072, P.R. China

28 **Abstract:**

29 Acoustofluidics has been increasingly applied in biology, medicine and chemistry due to its  
30 versatility in manipulating fluids, cells and nano-/micro-particles. In this paper, we develop a novel  
31 and simple technology to fabricate a surface acoustic wave (SAW)-based acoustofluidic device by  
32 clamping electrodes made using a printed circuit board (PCB) with a piezoelectric substrate. The  
33 PCB-based SAW (PCB-SAW) device is systematically characterised and benchmarked with a  
34 SAW device made using the conventional photolithography process with the same specifications.  
35 Microparticle manipulations such as streaming in droplets and patterning in microchannels were  
36 demonstrated in the PCB-SAW device. In addition, the PCB-SAW device was applied as an  
37 acoustic tweezer to pattern lung cancer cells to form three or four traces inside the microchannel  
38 in a controllable manner. Cell viability of ~97% was achieved after acoustic manipulation using  
39 the PCB-SAW device, which proved its ability as a suitable tool for acoustophoretic applications.

## 40 **Introduction**

41 Acoustophoresis is a technique well-known for actuating and manipulating micro<sup>1</sup>-/nano<sup>2,3</sup>-  
42 particles using acoustic waves. Its applications have been demonstrated in a wide-range of  
43 biomedical applications such as separating blood cells and platelets<sup>4</sup>, separating circulating tumour  
44 cells from whole blood<sup>5,6</sup>, isolating exosomes<sup>7,8</sup>, washing<sup>9</sup> and coating of cells<sup>10</sup>, handling liquid  
45 <sup>11</sup> and versatile manipulations of micro-objects<sup>12-20</sup>, alongside the continuous development of  
46 acoustophoretic theories<sup>21-24</sup> and simulations<sup>25-27</sup>. Acoustophoretic devices using either bulk  
47 acoustic waves (BAWs)<sup>4,28</sup> or surface acoustic waves (SAWs) produce an acoustic pressure  
48 gradient and streaming within a fluid, thus achieving the capability of actuating micro-/nano-  
49 particles inside. SAW-based devices have been intensively investigated in manipulating biological  
50 cells because of their versatility and being less-dependent on the acoustic properties of the  
51 microchannel material when compared to those made using BAWs<sup>28</sup>.

52 SAW devices are generally fabricated by patterning an interdigitated transducer (IDT)<sup>17</sup> onto  
53 piezoelectric substrate such as lithium niobate (LiNbO<sub>3</sub>). IDTs typically have two comb-shaped  
54 arrays of metallic electrodes, which are driven by radio frequency (RF) signals to produce SAWs.  
55 The fabrication process of SAW devices typically employs photolithography, which includes the  
56 following steps: 1. mask design and manufacturing; 2. spin-coating photoresist onto LiNbO<sub>3</sub>; 3.  
57 mask aligning for patterning with UV light; 4. metal layer deposition; 5. lift-off process to form  
58 the IDT<sup>17</sup>. The brittle<sup>29</sup> bulk LiNbO<sub>3</sub> substrate is vulnerable during manufacturing and operation.  
59 Furthermore, the SAW device made by photolithography is a one-off component, any modification  
60 will require to go through the entire aforementioned manufacturing processes again. It is also  
61 difficult to repair a damaged substrate (i.e., scratches). The facilities and skills required for making  
62 IDTs limit the use of SAW devices. To address these issues and simplify the process, the creation  
63 of shear-SAWs has been demonstrated on the surface of LiNbO<sub>3</sub> by stacking aluminum foil strips  
64 onto the substrate<sup>30</sup>. IDTs have also been created by pouring low-melting point metal into an IDT  
65 mold made by PDMS<sup>31</sup>. Superstrates have also been implemented on the conventional SAW  
66 devices to allow their reuse for different applications<sup>32</sup>.

67 Interdigital electrodes (IDEs), with a similar pattern as that in SAW devices, have also been  
68 fabricated on printed circuit boards (PCBs). They have been applied in various applications such  
69 as moisture sensing<sup>33</sup>, water level measurements<sup>34</sup>, electro wetting<sup>35</sup>, biosensing<sup>36</sup> and even cell  
70 manipulation<sup>37</sup>. A standard PCB laminate consists of a layer of thin copper foil and an insulating

71 layer typically laminated together with glass reinforced epoxy resin (FR4). Further choices for  
72 core materials are commercially available such as PET (Polyethylene terephthalate), flexible  
73 polyimide or Teflon. This allows versatility based on different applications. The fabrication of  
74 IDEs on the PCB by metallisation of the copper layer is routinely employed within the industry  
75 with a wide variety of gold or silver electroplating processes commercially available.

76 In this work, we demonstrated a novel SAW device fabrication technique done by mechanically  
77 clamping IDEs on the PCB to a LiNbO<sub>3</sub> wafer. This PCB-based SAW (PCB-SAW) device was  
78 characterised and benchmarked against an IDT with the same specifications made using the  
79 conventional photolithography process. The PCB-SAW device was used as an acoustic tweezer to  
80 actuate and pattern both polystyrene microspheres and cancer cells. The proof-of-concept  
81 demonstrated that the simple mechanical clamping technique could be applied as an alternative to  
82 the conventional photolithography, by transferring the photolithography effort in fabricating SAW  
83 devices to the mature PCB manufacturing industry.

## 84 **Methods and materials**

### 85 **Design and working mechanism**

86 The schematic illustration of the PCB-SAW device shown in Figs. 1a and 1b consists of six  
87 components: a base plate, a LiNbO<sub>3</sub> wafer, a PCB with a pair of patterned IDEs, a clamp, a pressure  
88 ring and a microchannel. The base plate supports the LiNbO<sub>3</sub> wafer and is bolted with the clamp  
89 to apply clamping force between the PCB and the LiNbO<sub>3</sub> wafer via the pressure ring. Once a  
90 proper clamping force is applied by fastening the four screws on the clamp, the pair of IDEs make  
91 good contacts to the LiNbO<sub>3</sub> wafer to couple RF signals that generate counter-propagating SAWs  
92 to form standing SAWs (SSAWs) between the two IDEs. The microchannel is bonded to the  
93 LiNbO<sub>3</sub> wafer at the middle between the two IDEs for handling fluid samples. Depending on the  
94 size of microparticles and other application parameters, the SAW wavelength can be customised  
95 by producing PCBs with alternative IDEs specifications.

96

### 97 **PCB-SAW fabrication and experimental setup**

98 The PCB was designed using the Eagle software (Autodesk, US) and manufactured externally  
99 (circuitfly.com). All the design files are accessible as supporting files of this work. The PCB design  
100 had a conventional IDT pattern for SAW devices with the wavelength of 200 μm, corresponding

101 to Rayleigh mode frequency of 19.9 MHz. This is based on that the speed of sound in the LiNbO<sub>3</sub>  
102 is 3,980 m/s. Each IDT consists of 40 pairs of 10 mm (aperture size) long finger electrodes. The  
103 manufactured single-sided PCB is shown in Fig. 2a. The thickness of the PCB laminate is 1.6 mm  
104 with the IDE layer thickness of 34.8 μm of copper. The IDEs and the buses are exposed without  
105 pasted solder mask. The PCB dimensions are 10 cm (L) × 10 cm (W) with a milled open window  
106 of 3.5 cm (L) × 1.5 cm (W) at the centre for accommodating the microchannel. Alignment markers  
107 (holes and lines) are present on the PCB to help align the microchannel and the LiNbO<sub>3</sub> wafer. A  
108 microscope was used to check the IDE manufacturing quality (Fig. S1). Two coaxial cables were  
109 soldered to the bus pads at the edges of the PCB for signal transmissions.

110 Before the assembly process, both the PCB IDEs and a 3-inch, 500-μm thick, 128-deg-rotated  
111 Y-cut X-propagation LiNbO<sub>3</sub> wafer were thoroughly cleaned using isopropyl alcohol (IPA) and  
112 inspected under a microscope. The pressure ring, clamp, and base plate were printed using a 3D  
113 printer (Ultimaker 2+ extended, Utrecht). The exterior dimensions of the PCB-SAW device are  
114 120 mm (L)×120 mm (W)×30 mm (H). Additionally, two localised pressers for focusing clamping  
115 force onto IDE region and a round holder for supporting the LiNbO<sub>3</sub> wafer, were also printed.

116 The assembly process is shown in Fig. 2b. The LiNbO<sub>3</sub> wafer was placed onto the round holder  
117 and its reference flat edge was aligned to be parallel with IDEs to ensure that the SAW generation  
118 was in the direction of the X direction of the LiNbO<sub>3</sub>. The PCB was then placed on the LiNbO<sub>3</sub>  
119 wafer with the IDEs facing down. The clamp was mounted to the PCB and bolted to the base plate  
120 by slightly fastening the four screws. The pressure ring was screwed into the clamp to provide  
121 localised force to the PCB via the localised pressers and then the four screws were fully tightened.  
122 There was an observation window on the base plate for light transmission during microscopic  
123 measurement. A PDMS microchannel with the channel dimensions of 200 μm (L) × 100 μm (W)  
124 × 60 μm (H) was bonded to the LiNbO<sub>3</sub> wafer using plasma treatment before the above assembly.  
125 Tubing was connected to the inlet and the outlet of the single channel. Fig. 2c shows the assembled  
126 PCB-SAW device.

127 To study the reliability of the assembly, the PCB-SAW device was thoroughly characterised by  
128 using *s*-parameters and power transmission test. Details of the electrical characterisation can be  
129 found in the Supplementary Information, in which the working frequency is identified and  
130 matching networks (MNs) are recommended to couple the power amplifier and the PCB-SAW  
131 device to maximise power transmission.

132

### 133 **PCB-SAW test with droplet actuation**

134 The device is purely integrated by mechanical clamping and the contact quality between the  
135 PCB and the LiNbO<sub>3</sub> wafer is associated with the clamping force produced by the bolt torque (Fig.  
136 2b). A droplet actuation test was performed to investigate the relationship between the clamping  
137 force and SAW generation indicated by droplet movement. The LiNbO<sub>3</sub> substrate was coated with  
138 a hydrophobic substance CYTOP™ (AGC Chemicals Europe), which was done by evenly  
139 distributing across the LiNbO<sub>3</sub> substrate<sup>38</sup>.

140 For the clamping test, the clamping force between the LiNbO<sub>3</sub> substrate and the PCB IDEs was  
141 increased by adjusting the torque of the M5 screw torque on top of the localised pressers. A digital  
142 torque screwdriver (5-50 cNm, Adema, Taiwan) with a digital display was used to apply and read  
143 the torque. The torque was converted to clamping force by  $F = \frac{T}{cD}$ , where  $F$ ,  $c$ ,  $D$  and  $T$   
144 correspond to clamping force (N), coefficient of friction, screw diameter (m) and torque (Nm),  
145 respectively. The standard value  $c$  for unlubricated steel is equal to 0.2. The readability of the  
146 digital torque screwdriver was 0.05 Nm, which allowed a minimum reading of the clamping force  
147 of 50 N. The VNA was used to monitor the real-time  $S_{11}$  while fastening the bolt. During each  
148 assembly, the clamping was adjusted so that the same minimum  $S_{11}$  value was achieved. This  
149 process facilitated establishing a correlation between the  $S_{11}$  and the clamping force, which allowed  
150 the use of  $S_{11}$  rather than the clamping force to guide the assembly.

151 Under each measured torque, a 1- $\mu$ L water droplet was pipetted onto the LiNbO<sub>3</sub> substrate 5  
152 mm away from the first finger electrode. Then an input power of 1.26 W was applied to the PCB-  
153 SAW device to actuate the droplet. The slight location variance of droplet initial positions in each  
154 test is insignificant as the SAW attenuation in the LiNbO<sub>3</sub> substrate is negligible<sup>39</sup>. Even though  
155 the droplet was placed in nearly identical location before actuation, a variation in speed of droplet  
156 transportation can be observed on both the devices. We hypothesise that this could have been  
157 caused by slightly uneven CYTOP coating, coating deterioration, slight contact angle variance,  
158 droplet volume variation, or a combination of these factors. Therefore, the droplet actuation by  
159 SAW was repeated five times before changing to another clamping force. A camera was used to  
160 capture the droplet moving and a calibrated software Tracker ([www.compadre.org/osp/](http://www.compadre.org/osp/)) was  
161 applied off-line to analyse the droplet velocity for indicating SAW amplitude. The captured droplet  
162 videos were analysed frame by frame using the leading edge of the droplet, as the reference to

163 determine the displacement of the same droplet. Any two consecutive frames could produce one  
164 velocity using the displacement multiplied by the framerate. Five consecutive frames after the  
165 droplet moved were used to get four velocities, which were averaged to get the mean droplet  
166 velocity. The pixel size and the frame rate of the camera system were 10  $\mu\text{m}$  and 60 fps,  
167 respectively, resulting in a velocity resolution of 0.6 mm/s, which was sufficient for capturing  
168 droplet movement.

169 To benchmark the performance of the PCB-SAW device with the SAW device made by  
170 standard photolithography<sup>1</sup>, another IDT made by the same  $\text{LiNbO}_3$  substrate using the  
171 conventional photolithography process in cleanroom was prepared using the identical geometry as  
172 the PCB-SAW device. The cleanroom-made IDT (CR IDT) was also coated with CYTOP™ for  
173 the droplet test.

174

### 175 **Sample preparations**

176 To demonstrate the PCB-SAW device capability in manipulating microparticles within droplets,  
177 a 3-4  $\mu\text{L}$  glycerol droplet (3 mm in diameter) was prepared on the  $\text{LiNbO}_3$  substrate and 20  $\mu\text{m}$   
178 polystyrene microspheres were pipetted into the glycerol droplet (concentration of  $\sim 18,000 / \mu\text{L}$ ).  
179 An input power of 0.2 W was used for manipulating the microspheres.

180 To demonstrate the PCB-SAW device in manipulating microparticles inside the microchannel,  
181 10  $\mu\text{m}$  polystyrene microspheres were mixed with a custom media at a volume ratio of 1:2.7. The  
182 custom media consisted of glycerol and phosphate-buffered saline (PBS) with a volume ratio of  
183 1:4.4, which was made to prevent particle deposition. Before sample introduction, the  
184 microchannel was flushed with bovine serum albumin (BSA) solution (water:BSA = 100:1, mass  
185 ratio) for 20 min at a flow rate of 20  $\mu\text{L}/\text{min}$ . The input power in this experiment was 0.5 W.

186 For cell manipulation, A5499 human non-small-cell lung carcinoma (NSCLC) cell lines were  
187 grown in Dulbecco's modified eagle media and supplemented with L-Glutamine (200 mM at 1:100  
188 dilution, Gibco), Penicillin/Streptomycin (10,000 U/mL at 1:100 dilution, Gibco), and 10% foetal  
189 bovine serum (FBS) in 75- $\text{cm}^3$  cell culture flasks until their density reached  $1 \times 10^7 / \text{mL}$ . The cells  
190 were harvested from the plastic surface by trypsinisation, and then concentrated by centrifugation  
191 (3500 rpm, 5 min) to  $2 \times 10^7 / \text{mL}$ . The input power was set to be  $\sim 1$  W in the experiment.

192

## 193 **Viability test**

194 There were three sample groups for viability test: (1) SAW-on Group, in which the NSCLC cells  
195 were continuously run through the PCB-SAW device for 5 minutes under the input power of ~1  
196 W and flow rate of 20  $\mu\text{L}/\text{min}$ . (2) SAW-off Group, in which the cells were running through the  
197 PCB-SAW device at the same flow rate and duration without applying SAW. (3) Control Group,  
198 in which the cells were kept in a steady tube on an ice bath for the same period of time.

199 Acridine orange (AO, 30  $\mu\text{g}/\text{mL}$ ) and di-amino-phenyl-indole (DAPI, 100  $\mu\text{g}/\text{mL}$ ) were mixed  
200 at the volume ratio of 3:10 to prepare an AO-DAPI solution for cell staining. For both SAW-on  
201 and SAW-off Group, 100- $\mu\text{L}$  sample in total was collected after 5 minutes, of which three 10- $\mu\text{L}$   
202 samples were taken out to mix with the AO-DAPI solution at the volume ratio of 5:1 to stain the  
203 cells. The three stained samples were then pipetted into three cell chambers on a cell counter slide  
204 for viability analysis using a cell counter (NucleoCounter® NC-3000™). For the Control Group,  
205 the same amount of the sample was taken for staining and viability test. All the tests were repeated  
206 three times.

## 207 **Results and discussion**

### 208 **Characterisation of the PCB-SAW device**

209 The average width and spacing of the finger electrodes on the PCB were measured to be 38.7  
210  $\mu\text{m}$  and 61.1  $\mu\text{m}$ , respectively (Fig. S1), which led to a SAW wavelength of 199.6  $\mu\text{m}$ . The MNs  
211 were designed for the PCB-SAW device, which managed to reduce the device's reflection  
212 coefficients to -18.4 dB and -21.4 dB (Fig. S2c) and improve the transmission coefficients to -11.9  
213 dB (Fig. S2e).

214 Under the unique clamping bonding of the PCB-SAW device, Fig. 3a shows the  $|S_{11}|$  and droplet  
215 velocity against the clamping force. Despite large variance of SAW amplitude indicated by the  
216 droplet velocity, the optimal clamping force of 50 N produced the minimum  $S_{11}$  of -46 dB and the  
217 maximum average droplet velocity of 24.4 mm/s. Further increase in the clamping force to the  
218 PCB-SAW device decreased the  $|S_{11}|$ , the SAW amplitude and its variance. The reduction of the  
219 droplet velocity at a higher clamping force could be a result of over compressing the piezoelectric  
220 material, thus resulting in reduced SAW amplitudes or higher power reflection. The use of the  
221 MNs improved the sensitivity of the  $S_{11}$  reading, which allowed to easily achieve an optimal  
222 clamping assembly by reading the real-time  $S_{11}$  spectrum.

223 Once the optimal state of the PCB-SAW device was achieved by applying the clamping force  
224 of 50 N, the  $S_{11}$  spectrum was compared with that of the CR IDT with the same specifications as  
225 shown in Fig. 3b. It can be observed that the minimum  $S_{11}$  for both the devices had a difference of  
226  $\sim 0.21$  MHz, which could be caused by the errors in the PCB manufacture and the parasitic  
227 capacitance and inductance introduced by the MN circuits.

228 Benchmarking the PCB-SAW device at the optimal state with the CR IDT in terms of actuating  
229 droplets under a range of input powers is shown in Fig. 3c. The CR IDT showed higher efficiency  
230 in converting the input power to SAW comparing with the PCB-SAW device. This is reasonable  
231 as the electrodes for the PCB-SAW device were mechanically clamped onto the piezoelectric  
232 substrate resulting in an imperfect signal coupling. This issue can be easily compensated by  
233 doubling input power to the PCB-SAW device. For example, operating the CR IDT at  $\sim 0.6$  W  
234 drives the droplet velocity of 20 mm/s, which can be achieved by the PCB-SAW device working  
235 at  $\sim 1.2$  W.

236

### 237 **Manipulation of microparticles**

238 SAW devices have been previously demonstrated in manipulating microparticles within  
239 droplets for sample mixing<sup>38,40</sup>. On the PCB-SAW device, a droplet sample containing polystyrene  
240 microspheres was placed at the centre between the two IDTs (Fig. 4a). When one of the IDTs was  
241 activated, a streaming pattern with two major vortices was observed (Fig. 4b, Video S1), which  
242 was in good agreement with the pattern formed on conventional SAW devices<sup>40</sup>. When both the  
243 IDTs were activated, a four-vortex streaming pattern was generated (Fig. 4c, Video S2), which  
244 again agreed with that produced on conventional SAW devices<sup>38</sup>. Each of the IDT in the tests was  
245 driven by an input power of 0.2 W.

246 Further tests using the PCB-SAW device as an acoustic tweezer were performed by introducing  
247 polystyrene microspheres into the PDMS microchannel. The acoustofluidic model of the PCB-  
248 SAW device was developed to study acoustic pressure distribution and predict the microparticle  
249 trajectories as shown in Fig. S5, which was adopted from conventional SAW device modelling<sup>25,26</sup>.

250 A polystyrene microsphere sample was injected into the microchannel. After an evenly  
251 dispersed pattern was formed within the microchannel (Fig. 5a), RF signals with the same phase  
252 ( $\Delta\phi=0^\circ$ ) were applied to both IDTs to produce SSAWs with the PNs located at the centre and near  
253 the two walls, which trapped microspheres to form three aggregation traces as shown in Fig. 5b

254 and Video S3. By applying a  $180^\circ$  phase difference ( $\Delta\varphi=180^\circ$ ) to the RF signal driving one of the  
255 IDTs, ANs were formed at the centre and near the two walls, resulting in four microsphere traces  
256 as shown in Fig. 5c and Video S4. Both these cases show good agreements with the simulation  
257 results (Fig. S5).

258

### 259 **Manipulation of cancer cells**

260 To validate the manipulation of cells and test the biocompatibility, the PCB-SAW device was  
261 filled by the NSCLC cell sample (Fig. 6a) and repeated the same operation for microspheres.  
262 Applying RF signals with  $\Delta\varphi=0^\circ$  and  $\Delta\varphi=180^\circ$  to the two IDTs resulted in the formation of three-  
263 cell column (Fig. 6b, Video S5) and four-cell column (Fig. 6c, Video S6), respectively. The results  
264 demonstrated that the PCB-SAW device can be used as an acoustic tweezer to manipulate and re-  
265 position cells controlled by changing RF signal phase.

266 The ability of the PCB-SAW device in preserving cell viability was tested using three sample  
267 groups, including Control, SAW-off and SAW-on. The results shown in Fig. 6d denote the  
268 viabilities of  $98.2\pm 0.8\%$  (average  $\pm$  SD),  $97.6\pm 1.2\%$  and  $96.9\pm 0.6\%$ , respectively. The analysis of  
269 variance showed no significant differences among these three groups ( $p = 0.166$ ).

### 270 **Conclusion**

271 In this paper, we demonstrated that the novel PCB-SAW device is capable of performing all  
272 the functions realised using the standard SAW devices. The PCB-SAW has the main advantages  
273 of easy fabrication and low-skill entry requirement. The systematic characterisation to the PCB-  
274 SAW device and the comparison with the standard SAW device confirm the new technique has  
275 similar ability in actuating droplets. The PCB-SAW device can also be used as an acoustic tweezer  
276 to pattern microspheres and cells in a controllable manner, while maintaining high cellular  
277 viability.

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