

Northumbria Research Link

Citation: Mullaney, Michael, McHugh, Malachy P., Kwiecien, Susan, Ioviero, Neil, Fink, Andrew and Howatson, Glyn (2021) Accelerated muscle recovery in baseball pitchers using phase change material cooling. *Medicine and Science in Sports and Exercise*, 53 (1). pp. 228-235. ISSN 0195-9131

Published by: Lippincott Williams & Wilkins

URL: <https://doi.org/10.1249/mss.0000000000002447>
<<https://doi.org/10.1249/mss.0000000000002447>>

This version was downloaded from Northumbria Research Link:
<http://nrl.northumbria.ac.uk/id/eprint/43489/>

Northumbria University has developed Northumbria Research Link (NRL) to enable users to access the University's research output. Copyright © and moral rights for items on NRL are retained by the individual author(s) and/or other copyright owners. Single copies of full items can be reproduced, displayed or performed, and given to third parties in any format or medium for personal research or study, educational, or not-for-profit purposes without prior permission or charge, provided the authors, title and full bibliographic details are given, as well as a hyperlink and/or URL to the original metadata page. The content must not be changed in any way. Full items must not be sold commercially in any format or medium without formal permission of the copyright holder. The full policy is available online: <http://nrl.northumbria.ac.uk/policies.html>

This document may differ from the final, published version of the research and has been made available online in accordance with publisher policies. To read and/or cite from the published version of the research, please visit the publisher's website (a subscription may be required.)

Accelerated muscle recovery in baseball pitchers using phase change material cooling

Michael J Mullaney ^{1,2}

Malachy P McHugh ^{1,4}

Susan Y Kwiecien ^{1,4}

Neil Ioviero ³

Andrew Fink ²

Glyn Howatson ^{4,5}

¹ Nicholas Institute of Sports Medicine & Athletic Trauma, Lenox Hill Hospital

² Mullaney & Associates Physical Therapy

³ Kean University

⁴ Department of Sport, Exercise and Rehabilitation, Northumbria University

⁵ Water Research Group, North West University, Potchefstroom, South Africa

Corresponding Author:

Malachy P. McHugh PhD

Nicholas Institute of Sports Medicine & Athletic Trauma

MEETH a division of Lenox Hill Hospital

210 East 64th St.

New York, New York 10065

212-434-2714

212-434-2687 fax

mchugh@nismat.org

1 **ABSTRACT**

2

3 **Purpose:** The purpose of this study was to document recovery following a pitching performance
4 and determine if prolonged post-game phase change material (PCM) cooling of the shoulder
5 and forearm accelerates recovery. **Methods:** Strength, soreness and serum creatine kinase (CK)
6 activity were assessed prior to, and on the two days following pitching performances in 16
7 college pitchers. Pitchers were randomized to receive either post-game PCM cooling packs on
8 the shoulder and forearm, or no cooling (control). PCM packs were applied inside compression
9 shirts and delivered cooling at a constant temperature of 15°C for 3 hours. Strength was
10 assessed for shoulder internal rotation (IR), external rotation (ER), empty can test (EC) and grip.
11 **Results:** Total pitch count was 60±16 for 23 PCM cooling games and 62±17 for 24 control
12 games (P=.679). On the days following pitching IR strength (P=.006) and grip strength (P=.036)
13 were higher in the PCM cooling group versus control. One day after pitching IR strength was
14 95±14% of baseline with PCM cooling versus 83±13% for control (P=.008, effect size *d* 0.91) and
15 107±9% versus 95±10% for grip strength (P=.022, effect size *d* 1.29). There was a trend for
16 greater ER strength with PCM cooling (P=.091, effect size *d* 0.51). The EC strength was not
17 impaired after pitching (P=.147) and was therefore unaffected by PCM cooling (P=.168).
18 Elevations in soreness and CK were not different between treatments (Treatment by Time CK
19 P=.139, shoulder soreness P=.885, forearm soreness P=.206). **Conclusion:** This is one of the first
20 studies to document impairments in muscle function on the days following baseball pitching,
21 and the first study showing a novel cryotherapy intervention that accelerates recovery of
22 muscle function in baseball pitchers following a game.

23 **Key Terms:** Cryotherapy, hand-held dynamometer, creatine kinase, soreness

24

25 **Introduction**

26 Considering the significance of pitching to success in baseball, and the importance
27 placed on the number of days between starts, it is surprising that there is a dearth of research
28 on recovery in pitchers. The research on recovery on the days after a pitching performance is
29 limited to a few studies with small samples (6-10 subjects)(1,2,3,4,5). Three of the five studies
30 examined soreness (2,3,5), two studies examined blood markers of muscle damage and/or
31 inflammation (2,5), two examined MRI indices of muscle swelling (1,3), and only one study
32 examined strength (4). Since strength measures provide a better quantification of exercise-
33 induced muscle damage than blood markers or soreness indices (6), it is surprising that there
34 are not more studies documenting strength recovery after pitching. There is even less research
35 on recovery strategies for baseball pitchers which is surprising, considering the marked strength
36 loss evident immediately after a pitching bout (7). Yanagisawa et al compared the effects of
37 post-game icing, versus light exercise, versus the combination of icing plus light exercise, on
38 strength and soreness one day after seven pitchers threw 98 pitches on three separate
39 occasions (4). Light exercise and the combination of ice and light exercise provided some
40 apparent benefit, but ice alone did not. However, the sample size was insufficient to make
41 meaningful conclusions on the potential benefits of the recovery interventions. There are a few
42 studies in the literature examining the effects of cryotherapy on indices of recovery between
43 innings in baseball pitchers targeted at maintaining performance (8,9,10). Ice applied to both
44 the shoulder and elbow between innings has been shown to attenuate the decrease in pitching
45 velocity, increase velocity without jeopardizing accuracy, increase the overall amount of work
46 done (22% more pitches), as well as decrease ratings of perceived exertion and facilitate

47 subjective recovery (8,9). These results are of limited relevance to the present work given that
48 an intervention intended to repress fatigue during a game is not immediately relevant to
49 recovery on the subsequent days.

50 Despite the fact that post-game icing of the shoulder and elbow has been in common
51 practice for years there is no good supporting science specific to its application for recovery in
52 baseball pitchers. Research on cold water immersion provides some indirect evidence in
53 support of post-game icing in baseball. For example, repeated cold water immersions of the
54 upper arm after eccentric exercise of the elbow flexors accelerated recovery of motion and
55 reduced creatine kinase (CK) levels, a blood marker of damage (11). Additionally, in an animal
56 model prolonged direct cooling to muscle following a closed soft tissue injury reduced
57 proliferation of the injury (12). By contrast, intermittent topical cooling over a 72-hour period
58 delayed recovery following bouts of eccentric exercise and in an animal model of muscle crush
59 injuries icing impaired tissue repair (13,14).

60 The goal of post-exercise cryotherapy interventions is to reduce the proliferation of
61 tissue disruption. Repeated post-exercise ice treatments may be more beneficial than a single
62 treatment but in practice are inconvenient as the athlete must be relatively stationary during
63 the treatment and typically needs to remain in the athletic training room for proper
64 reapplication of ice. Recently post-exercise cooling using phase change material (PCM) cooling
65 packs worn inside compression shorts has been shown to accelerate recovery after eccentric
66 exercise in recreational athletes and after games in professional soccer players (15,16). The
67 PCM packs in these studies froze at 15° C and maintained this temperature for at least three
68 hours. These interventions provide marked reductions in intramuscular temperature and allow

69 the athlete to leave the training room while the treatment continues (16). The fact that the
70 packs are at 15° C means that there is little to no risk of cold-induced injury. Thus, the
71 combination of safety and practicality make PCM cooling an attractive recovery intervention for
72 athletes.

73 The purposes of this study were twofold. The first purpose was to examine the indices of
74 recovery following baseball pitching, specifically examining strength recovery since only one
75 prior small sample study has documented strength recovery in pitchers (4). The second
76 purpose was to examine the effectiveness of post-game PCM cooling on indices of recovery in
77 pitchers. Based on prior work it was hypothesized that PCM cooling would accelerate recovery
78 of muscle function (15,16).

79

80 **Methods**

81 *Participants*

82 Sixteen male, NCAA Division III collegiate baseball pitchers (age 21.2±1.2; height
83 1.85±0.06 m; body mass 85±13 kg; 5 freshmen, 5 sophomores, 2 juniors, 4 seniors) volunteered
84 to participate in this study. All participants were injury free for >6 months, cleared for full
85 pitching participation by athletic training staff, and remained injury free for the duration of the
86 study. Prior to participation, pitchers were informed of the procedures and provided written,
87 informed consent. The institutional research ethics committee, in line with the Declaration of
88 Helsinki, approved all procedures.

89

90 *Experimental Design*

91 Upper extremity strength, soreness of the shoulder and forearm, and serum CK were
92 assessed prior to, and on each of the two days following a pitching performance. On days of
93 data collection, data were obtained prior to any physical activity initiated by the pitchers. The
94 order of data collection remained the same throughout the data collection period. Pitchers
95 were randomized to receive either 1) PCM cooling packs to the shoulder or shoulder and
96 forearm or 2) no cooling (control) after a pitching performance. Data were collected in the
97 NCAA sanctioned fall season (September) and the NCAA sanctioned pre-season
98 (January/February). Since the flexible microsphere filling in the PCM pack applied at the elbow
99 was a novel material made available following the initial data collection period (fall season),
100 they were only applied in the spring pre-season. As a result, grip strength and forearm soreness
101 were only assessed in the spring pre-season data collection period.

102 All pitchers were on a prescribed number of innings for a given outing and threw a
103 minimum of 45 pitches to a maximum of 90 pitches, depending on the stage of their
104 progression established by the coaching staff. Eight pitchers were tested on 4 different
105 occasions, all with 2 PCM cooling and 2 control outings each. Six pitchers were tested on 2
106 occasions, each with a PCM cooling and a control outing. One pitcher was tested on one
107 occasion and received the PCM cooling treatment. One pitcher was tested on two occasions
108 and received the control treatment both times.

109

110 *Upper Extremity Strength Measures*

111 Shoulder strength tests were performed using a hand-held dynamometer (Lafayette
112 Instruments, Lafayette, IN). This dynamometer has a sensitivity of 0.1 kg and was calibrated

113 before testing according to the manufacturer's recommendation. The validity and reliability of
114 testing upper extremity strength with hand-held dynamometers have been well documented
115 and the instrument has been used successfully in testing strength in professional, college and
116 high school pitchers (7,18,19,20). The same tester performed all hand-held dynamometry
117 strength tests and had over 20 years of experience making these specific measurements on
118 baseball pitchers. All upper extremity manual strength tests were performed as break tests with
119 the hand-held dynamometer force being applied proximal to the wrist joint. The average of 2
120 repetitions in each strength test was recorded for empty-can (EC), internal rotation (IR) and
121 external rotation (ER). Tests were excluded as invalid if any pitcher reported pain during
122 strength testing.

123 The EC test was performed in sitting without back support, with the arm at 90° of
124 abduction and 30° anterior to the frontal plane with full glenohumeral IR. The pitcher stabilized
125 himself by holding the seat with his nondominant arm during the test. The EC test position is
126 thought to evaluate supraspinatus muscle strength (7,18,21). Shoulder IR and ER tests were
127 performed with the subject in the supine position. Pitchers were placed with the shoulder in
128 90° of abduction (in neutral rotation) and elbow flexed at 90°. The dynamometer was placed
129 on the dorsal or volar side of the wrist during the ER or IR test, respectively (7).

130 Grip strength measurements were taken in a standing position using a hydraulic hand
131 dynamometer (Jamar, Performance Health, Warrenville, IL). Pitchers were instructed to have
132 their shoulder adducted and neutrally rotated, elbow flexed at 90° and forearm in neutral
133 position during the grip test. Pitchers were instructed to squeeze the dynamometer as hard as
134 they could (isometric test).

135 Based on repeated measures of IR, ER, EC and grip strength on the nondominant arm of
136 college pitchers (7) the relative minimal detectable changes were 16% for IR, 11% for ER, 13%
137 for EC and 6% for grip strength.

138

139 *Subjective Soreness Evaluation*

140 On all three testing occasions, pitchers were asked to rate their current “shoulder” and
141 “forearm” soreness on a scale of 0 to 10. A ranking of 0 indicated “no soreness” and 10
142 indicated “extreme soreness”.

143

144 *Serum CK Measure*

145 All blood samples were performed within the team facilities and obtained prior to any
146 activity initiated by the participants. Thirty μL of capillary blood was obtained from the fingertip
147 of the ring finger of the participant’s glove hand, for the enzymatic measurement of CK
148 concentration. The fingertip was cleaned with 95% ethanol then allowed to dry completely
149 before an automatic lancet device was used to draw blood from the finger. The first drop of
150 blood was removed with cotton wool to prevent the sample from being contaminated with
151 ethanol. A 30 μL pipette (Microsafe Tubule, Safe-Tec Clinical Products, Pennsylvania, USA) was
152 used to collect the sample. The capillary blood sample was then immediately dispensed out of
153 the pipette onto a CK test strip (Reflotron CK, Roche Diagnostics, Mannheim, Germany) and
154 analyzed (Reflotron® Plus System, Roche Diagnostics, Basel, Switzerland).

155

156 *Phase Change Material Application*

157 Immediately following baseball activities, two rigid polyurethane PCM packs (4.5 in x 12
158 in; Glacier Tek LLC, Minneapolis, MN) frozen at 15°C were placed directly on the skin over the
159 shoulder inside a compression shirt (IntelliSkin Foundation Tee, Newport Beach CA). One PCM
160 pack was oriented on the anterior region of the shoulder complex, covering portions of the
161 pectoralis, anterior and middle deltoid (Figure 1). The second pack, of the same size, was
162 oriented on the posterior region of the shoulder complex covering portions of posterior deltoid,
163 supraspinatus muscle belly and lateral portions of the infraspinatus muscle (Figure 1). A third
164 pack, different from the first two PCM packs because it was flexible and made of a nylon
165 material (4 in x 11 in; PureTemp LLC, Minneapolis, MN), was placed over the medial elbow and
166 held in place with a graduated calf compression sleeve (Musetech, TN) to maintain its
167 orientation. The PCM administered to the medial elbow was oriented proximal to the medial
168 epicondyle and covered the flexor mass of the forearm (Figure 1). The flexible PCM packs were
169 more suitable to applying across a joint because they could be conformed to the body part. The
170 urethane PCM packs were rigid when frozen so were more suited to applying to flat areas. The
171 urethane packs weighed 1 pound each; the nylon pack weighed 1.5 pounds.

172 Pitchers were instructed to leave the sporting venue and proceed with their post-game
173 activities while continuing to wear the PCM cooling packs for 3 hours before removing them.
174 Pitchers were contacted via text message two times over the course of the 3-hour application
175 to verify both the orientation and the continued frozen state of the PCM. All participants were
176 compliant with the 3-hour application.

177

178 **Statistics**

179 Force in each of the strength tests was expressed as a percentage of baseline in order to
180 remove the effect of inter-individual variation in shoulder and forearm strength. The effect of
181 postgame PCM cooling on strength, soreness and CK levels was assessed using treatment (PCM
182 vs. control) by time (Pre, Day 1 post, Day 2 post) mixed model analysis of variance. Since not all
183 pitchers had both treatments with matching numbers of pitches, treatment was applied as a
184 between-subjects factor. Where there was a significant treatment effect, or treatment by time
185 interaction, differences between treatments, or within groups, at any particular time interval
186 were assessed using Bonferroni corrections for planned pairwise comparisons. Prior to
187 employing ANOVAs, normality of distribution of all data sets were verified using the Shapiro-
188 Wilk test. Creatine kinase values were not normally distributed and were log transformed, after
189 which normal distribution was verified. Mauchly's test of sphericity was used to assess
190 assumptions of sphericity and, where necessary, Greenhouse-Geisser corrections were applied
191 to tests of within-subjects time effects. Cohen's *d* effect sizes are reported with 95% confidence
192 intervals for treatment effects.

193 Baseline strength values were compared between the first and subsequent baseline
194 measures to assess for potential learning effects with the strength tests. Most pitchers had
195 previously performed the shoulder tests in routine preseason and post-season testing, but none
196 had performed the grip test. If baseline values varied significantly for a particular test treatment
197 order was added as a covariate to the ANOVA.

198 In order to assess the effect of pitch count on strength loss, soreness and CK activity,
199 responses in the control condition were compared for outings where pitchers threw a low pitch
200 count defined as <55 pitches (46 ± 2 , $n=12$) versus outings where pitchers threw a high pitch

201 count defined as >70 pitches (78 ± 7 , $n=12$). These analyses were performed with pitch count
202 (low vs. high) by time (Pre, Day 1, Day 2) mixed model ANOVA.

203 Statistical analyses were performed using SPSS v.21 (IBM, Armonk, NY, USA). Mean \pm SD
204 are reported in the tables and results section while Mean \pm SE are reported in the figures. A P-
205 value of less than 0.05 was considered statistically significant.

206 The study was powered to detect a difference in strength loss between PCM cooling and
207 control. Based on the variability in IR and ER strength loss in college pitchers tested
208 immediately after pitching a game (7) it was estimated that with 25 PCM cooling games versus
209 25 controls there would be 80% power to detect a 15% difference in percent strength loss
210 between treatments at $P < .05$ (e.g. 5% strength loss with one treatment compared with 20%
211 strength loss with a different treatment would be a 15% difference). Importantly, the strength
212 tests from which the sample size estimate was made were performed by the same tester
213 performing the tests in the present study. The detectable difference for EC strength loss was
214 estimated to be 10%. The reported variability in post-game grip strength loss was much smaller
215 and with 12 PCM cooling and 12 control games it was estimated that there was 80% power to
216 detect an 11% difference in percent strength loss between treatments at $P < .05$ (7).

217

218 **RESULTS**

219 Total pitch count was not different between 23 PCM cooling games (60 ± 16) and 24
220 control games (62 ± 17 ; $P = .679$). Additionally, total pitch count was not different between the 11
221 PCM cooling games (74 ± 9) and 13 control games (78 ± 7 ; $P = .219$) in which flexible PCM was
222 applied to the forearm in addition to the regular shoulder PCM packs.

223

224 *Effect of PCM Cooling on Strength*

225 Over the two days following pitching there was no loss of IR strength in the PCM
226 treatment condition ($P=.127$) while there was marked IR strength loss for the control condition
227 (Time effect $P<.001$; Treatment by Time $P=.007$; Fig. 2). Internal rotation strength was not
228 significantly below baseline on either day after pitching in the PCM cooling treatment (Day 1:
229 $95\pm 14\%$, $P=.184$; Day 2: $100\pm 13\%$, $P=.999$), but was below baseline on both days for control
230 (Day 1: $83\pm 13\%$, $P<.001$; Day 2: $92\pm 12\%$, $P=.006$). Recovery of IR strength was greater in the
231 PCM cooling condition versus the control condition on the first day after pitching (95% vs. 83%,
232 $P=.008$, effect size d 0.91 95% CI 0.54-1.28).

233 After pitching there was ER strength loss in both the PCM cooling ($P=.003$) and control
234 conditions ($P<.001$). However, ER strength loss tended to be less for the PCM cooling condition
235 versus control (Treatment effect $P=.091$, effect size d 0.51 95% CI 0.19-0.83, Treatment by Time
236 $P=.174$; Figure 3). ER strength was significantly reduced below baseline only on day 1 for PCM
237 cooling treatment ($93\pm 9\%$ of baseline; $P=.002$) and was below baseline on both days for the
238 control condition (day 1: $86\pm 13\%$, $P=.002$; day 2: $91\pm 12\%$, $P=.004$).

239 Following pitching there was no loss in EC strength after the PCM cooling treatment
240 ($P=.803$; day 1: $100\pm 7\%$, day 2: $101\pm 12\%$) and marginal strength loss after the control condition
241 ($P=.05$; day 1: $95\pm 12\%$, day 2: $99\pm 10\%$), with no clear difference between PCM cooling and
242 control conditions (Treatment effect $P=.168$; Treatment by Time $P=.214$).

243 There was a learning effect for grip strength such that baseline grip strength was 9%
244 higher ($P=0.045$) on the second occasion on which pitchers were tested. Thus, baseline values

245 for the initial treatment condition may have underestimated grip strength and thereby
246 underestimated subsequent strength loss. Regardless of treatment condition, on the two days
247 after pitching the first trial strength averaged 104% of baseline compared with 96% of baseline
248 after the second trial. For the 24 games in which grip strength was measured, PCM cooling was
249 the first treatment after 6 games and the second treatment after 5 games, while the control
250 condition was first after 7 games and second after 6 games. Thus, treatment order was well
251 balanced. However, to control for any potential confounding effects treatment order was
252 added to the ANOVA as a covariate. On the days after pitching grip strength was higher with
253 PCM cooling versus the control condition (Treatment effect $P=.027$, Treatment by Time $P=.025$;
254 Fig. 4). One day after pitching grip strength was greater in the PCM treatment group ($106\pm 10\%$
255 of baseline) than in the control condition ($95\pm 10\%$; $P=.022$, effect size d 1.29 95% CI 0.88-1.69).

256 The absolute strength values (Table 1) showed significant treatment by time effects for
257 IR ($P=0.006$) and grip strength ($P=0.039$) with no effects for ER ($P=0.208$) or EC strength
258 ($P=0.112$).

259

260 *Soreness*

261 Pitchers reported shoulder soreness on the days after pitching for both the PCM
262 ($P<.001$) and control conditions ($P<.001$). The soreness response was not different between
263 treatments ($P=.947$, Treatment by Time $P=.885$; Table 2). Shoulder soreness was highest one
264 day after pitching but remained elevated above pre-game values on day 2.

265 Forearm soreness was elevated for both the PCM ($P=.001$) and control conditions
266 ($P=.002$) and was not different between treatments ($P=.134$, Treatment by Time $P=.206$; Table

267 2). Forearm soreness was elevated above pre-game values one day after pitching but no longer
268 on the second day.

269

270 *Serum CK Activity*

271 Data for serum CK were collected for 21 of 24 control games and 18 of 23 PCM cooling
272 games due to unavailability of the CK instrumentation on some days. Over the two days
273 following pitching CK_{log} increased in both the PCM condition (P=.016) and control condition
274 (P<.001), with no difference between treatments (P=.549, Treatment by Time P=.139; Table 3).

275

276 *Effect of Pitch Count on Markers of Muscle Damage*

277 Surprisingly, strength loss was not different between low and high pitch count groups
278 (IR: P=.996, ER: P=.645, EC: P=.887). Similarly, CK_{log} values and shoulder soreness values were
279 not different between low and high pitch counts (P=.773, P=.233, respectively).

280

281 **DISCUSSION**

282 The purpose of this study was to assess recovery of strength, soreness and serum CK
283 following a pitching performance and to determine whether recovery can be accelerated by
284 providing prolonged post-game cooling to the shoulder and forearm. The results indicate that
285 significant muscle damage occurs in collegiate level pitchers after throwing an average of 60
286 pitches and that recovery is incomplete two days after pitching. The results also indicated that
287 recovery of strength was accelerated when 3 hours of cooling was applied, but PCM did not
288 impact soreness or the CK response.

289

290 *Muscle Damage Response to Pitching*

291 In the present study strength loss and soreness in the dominant upper extremity, and CK
292 fluctuations, were used as markers of muscle damage. Strength loss was the primary outcome
293 measure because it is objective and specific to the demands of pitching. Soreness is subjective
294 and CK measures can fluctuate if the athlete exercises other body parts strenuously as part of
295 team conditioning. One study that previously examined strength loss on the days after pitching
296 tested shoulder IR, ER and abduction strength one day after seven pitchers each threw 98
297 pitches (4). In their study IR and ER strength were highly variable and were not significantly
298 different from baseline one day after pitching (averaged <10% strength loss)(4). Abduction
299 strength was more consistent between players hence it was significantly reduced one day after
300 pitching, but strength was less than 10% below baseline. There was comparably greater
301 strength loss in the control condition of the present study. Strength loss one day after pitching
302 was 17% for IR and 14% for ER. Both Yanagisawa et al and the present study used a hand-held
303 dynamometer to assess strength; however, Yanagisawa et al used a “make” test to assess
304 isometric strength while the present study used a “break” test (4). Tester experience with hand-
305 held dynamometry for these tests, and within this athlete population, is very important. In the
306 present study, the tester had 20+ years of strength testing baseball players.

307 The lack of EC strength loss on the days after pitching is consistent with a previous study
308 in college pitchers in which there was no significant EC strength loss immediately postgame (7).
309 Immediate postgame EC strength was $6\pm 13\%$ of baseline in the previous study compared with
310 $5\pm 12\%$ one day after pitching for the control condition in the present study. There was also

311 good agreement for IR and ER strength between the prior study on acute postgame fatigue and
312 the present study on strength loss on the days after pitching. Postgame strength loss for IR and
313 ER was $18\pm 19\%$ and $11\pm 19\%$, respectively compared with $17\pm 13\%$ and $14\pm 13\%$ for the control
314 condition one day after pitching in the present study (7). It is also notable that postgame
315 fatigue in grip strength was $4\pm 9\%$ compared with $5\pm 10\%$ one day after pitching for the control
316 condition in the present study (7). The consistency in these findings is surprising considering
317 that an average of 99 pitches were thrown in the prior study while in the present study an
318 average of 62 pitches were thrown (7).

319 Shoulder soreness one day after pitching in the control condition (3.2) was comparable
320 to values for college pitchers reported by Yang et al (3.5) but values two days after pitching
321 were much lower in the present study (1.8) compared with Yang et al (3.0) (5). Three days after
322 pitching soreness values were close to baseline (1.0) (5). The difference in soreness two days
323 after pitching likely reflects the number of pitches thrown (present study: 62 vs. Yang et al
324 2016: 105) (5); indicating that the greater pitch volume might prolong resolution of soreness
325 without increasing peak soreness. Potteiger et al reported somewhat lower soreness (2.0) one
326 day after 98 pitches and values close to baseline two and three days after pitching (2). By
327 contrast, Yanagisawa et al reported greater soreness one day after 98 pitches (6.0) (4).
328 However, participants in the Potteiger et al study completed an 18-day training regimen prior
329 to pitching (2). On the other hand, Yanagisawa et al did not record data on subsequent days
330 and their soreness assessment was a motion test as opposed to the general assessment made
331 in the other studies, so direct comparison may not be appropriate (4). Similar to the pitchers in

332 the preseason data collection period of the present study, Lazu et al showed no correlation
333 between pitch volume and soreness in collegiate pitchers during a fall season (22).

334 The CK response in the present study was similar to prior studies that examined CK
335 response in baseball pitchers, where CK peaked one day after pitching with lower values on
336 subsequent days (2,5). Creatine kinase was elevated above baseline two days after 105 pitches
337 (5) but only on one day after 62 pitches in the present study. The CK response to damaging
338 exercise is highly individualized with high and low responders (23). Considering that baseball
339 pitching is a multisegmental kinematic chain activity, the CK values following baseball pitching
340 are not indicative of the muscle damage to the pitching arm alone but encompass systemic
341 muscle damage. An additional issue confounding the CK response in the present study was that
342 all pitchers were involved in conditioning exercises in addition to the pitches required for study
343 completion. Thus, the CK values reflect muscle damage occurring from activities in addition the
344 pitches necessary for this study. In-season CK responses may be different than those reported
345 in the present study since pitchers are more likely to be well rested prior to games and a
346 greater number of pitches would be thrown in games in the regular NCAA season.

347

348 *PCM Cooling Intervention*

349 Phase change material cooling improved IR strength and grip strength on the days after
350 pitching with a trend toward improving ER strength. These benefits for strength recovery are in
351 agreement with previous studies examining the effect of PCM cooling to the thighs after
352 damaging quadriceps eccentric exercise and soccer matches (15,16,17). The lack of a significant
353 effect of PCM cooling on ER strength may have been due to the orientation of the PCM packs.

354 The PCM pack on the posterior shoulder was above the spine of the scapula and may have
355 more directly affected the temperature of the supraspinatus as opposed to the infraspinatus
356 (Fig. 1). There was no loss of EC strength in the control condition; therefore, cooling of the
357 supraspinatus could not have impacted strength recovery. The anterior PCM pack covered
358 much of the pectoralis muscle and thus there was a likely benefit for IR strength. The elbow
359 PCM pack covered most of the anterior aspect of the forearm including the medial elbow and
360 thus there was a likely benefit for grip strength. The effect of PCM cooling on grip strength may
361 have been confounded by an apparent learning effect whereby pitchers performed the test
362 better on the second occasion (one day after pitching) regardless of the treatment condition.
363 Thus, strength losses were less for the first condition tested because the initial test may not
364 have represented a true maximal effort. Therefore, the true effect of PCM cooling on grip
365 strength is best assessed by the comparison between treatments at a given time point as
366 opposed to the changes versus baseline. One day after pitching the difference in grip strength
367 loss between PCM cooling (106% of baseline) and control (95% of baseline) was 11%,
368 representing a large effect size (1.29). A similarly large effect size (0.91) was seen for IR strength
369 one day after pitching (PCM cooling 95% of baseline, control 83% of baseline, difference 12%).

370 The lack of effect from PCM cooling on soreness may be due to the low soreness values
371 reported by all pitchers throughout the study duration. The benefits of PCM cooling for
372 soreness in professional soccer players were not apparent until the second day after a game,
373 when soreness was 6.3 for the control condition and 4.6 for PCM cooling. Comparably, the
374 soreness values two days after pitching (shoulder: PCM cooling 1.7, control 1.8; forearm: PCM
375 cooling 1.5, control 0.9) were much lower than two days after a soccer match. Although

376 speculative, the pitchers participating in the present study were competing for a roster spot,
377 and as a result they may have underreported their level of soreness.

378 CK elevations on the days after pitching were unaffected by postgame PCM cooling.
379 These findings are in agreement with the only other study to have previously measured CK
380 when examining the effectiveness of PCM for recovery following eccentric exercise (24). In both
381 studies a small volume of muscle was exposed to the PCM cooling. Perhaps exposure to a
382 cryotherapy modality that exerts a cooling stimulus to more of the body would have a greater
383 effect on reducing CK. Cold water immersion involves cooling multiple muscle groups at once.
384 However, a meta-analysis indicated only a small effect of cold-water immersion on recovery of
385 CK (25).

386

387 *Limitations*

388 With respect to the damage response to pitching it is difficult to quantify the exact
389 number and intensity of pitches thrown on a given day because different players warm up
390 differently before throwing in a game and have differing number of pitches in the bullpen. It
391 has been estimated that in high school baseball pitch counts underestimate the actual number
392 of pitches thrown by over 40% (26). In the present study it was not possible to quantify the
393 number of warm up pitches. However, this is the first study to examine the muscle damage
394 response to pitching in actual games. Previous studies examining the muscle damage response
395 used game simulations and while this allows a precise pitch count, the data in the present study
396 are more ecologically valid for in-game responses (2,3,4,5). Additionally, the sample sizes in
397 these previous studies ranged from 6-10 while in the present study the damage response was

398 measured in 16 pitchers in 24 control games and 23 games with a recovery intervention
399 (2,3,4,5). This is the largest muscle damage study in baseball pitchers to date.

400 Grip strength was assessed to represent the pitching stress on the muscles that can
401 dynamically stabilize the medial elbow. In this regard, the flexor pronator mass is thought to
402 provide dynamic stability to the medial elbow (27). However, a wrist flexion strength test may
403 be a better test of flexor pronator mass strength and the potential for protection against medial
404 elbow valgus stress. Specifically, wrist flexion fatigue (7.5% decrease in strength) has been
405 shown to increase ultrasound measured medial elbow joint space with application of a valgus
406 stress (28).

407 While PCM cooling can dramatically reduce muscle temperature and markedly improve
408 strength recovery after damaging exercise, a limitation in this prior work is that the packs, when
409 frozen, are solid and not conformable to joints (15,16,17,24). Thus, in the present study the
410 packs did not conform as well with the shoulder as they did when placed over the anterior
411 thighs in previous studies. A somewhat more conformable version of the PCM packs became
412 available during the study and allowed the additional application on the forearm and elbow for
413 the winter preseason data collection. These packs may prove more effective in providing more
414 uniform cooling to the shoulder muscles in future applications. Alternatively, smaller PCM packs
415 with smaller individual PCM cells are available and are more conformable to joints. However,
416 the melt time is dependent on the size of the PCM cell, and packs designed for joints with
417 smaller cells melt rapidly. The goal with using PCM cooling to accelerate recovery from stressful
418 and damaging exercise is to provide prolonged cooling while allowing the athletes to continue
419 their activities of daily living. The so-called secondary injury response after stressful exercise

420 develops over several hours (29). Providing a prolonged continuous cooling intervention during
421 this period is hypothesized to maximize the recovery benefits when compared to shorter
422 duration interventions such as cold-water immersion or icing.

423 An additional limitation was that the control group did not receive icing to the shoulder
424 or forearm. Although icing is a common practice in baseball, the team studied here did not
425 routinely use post-game icing on their pitchers. Therefore, the choice was made to have the
426 control condition what the routine practice was, and no player received post-game icing in this
427 study. It is unknown if a 20- or 30-min post-game icing intervention would have a beneficial
428 effect on recovery. It is noteworthy that all the pitchers in this study provided positive feedback
429 on the comfort of the post-game PCM cooling intervention and adopted it as routine practice
430 for the competitive NCAA season.

431 Finally, the use of a between-subjects analysis with a data set that has mostly, but not
432 exclusively, within-subjects comparisons is problematic because the subjects are not all
433 independent. However, in a within subjects model the samples were not correlated for
434 between treatment comparisons of the primary dependent variables (strength loss). Thus,
435 there was sufficient independence to warrant a between-subjects analysis.

436

437 *Future Directions*

438 Future studies should investigate responses to pitching full games with a higher pitch
439 count than were reported here. Although it was recently reported that one session of PCM
440 cooling does not inhibit the naturally occurring adaptive response to exercise, it remains known
441 whether accelerating recovery with PCM cooling over multiple exercise sessions, such as in a

442 baseball season, impacts subsequent performance or injury risk (23). Both areas warrant
443 examination.

444

445 *Conclusions*

446 This is the largest study to date examining indices of recovery on the days after a
447 baseball pitching performance. Prolonged PCM cooling protected against strength loss in
448 shoulder IR and grip strength but did not affect CK levels or soreness. This is one of the first
449 study to document impairments in muscle function on the days following baseball pitching, and
450 the first study showing a novel intervention that accelerates recovery of muscle function in
451 baseball pitchers. The effect of PCM cooling of the medial elbow and forearm on grip strength
452 recovery is very encouraging considering the role the wrist flexors play in dynamic stability of
453 the elbow.

454

455 *Clinical Relevance*

456 Phase change material cooling packs placed in compression garments provide a practical
457 and effective means of delivering prolonged post-game cooling to the pitching shoulder and
458 arm.

459

460 *Acknowledgement*

461 The results of this study are presented clearly, honestly and without fabrication,
462 falsification or inappropriate data manipulation. The results of this study do not constitute
463 endorsement by ACSM.

464 The authors would like to acknowledge the Kean University Athletic department and
465 baseball program for their efforts in coordinating this project. There was no funding associated
466 with this project or conflicts of interest in the products that were used. The authors purchased
467 the commercially available rigid polyurethane PCM packs that were applied to the shoulder.
468 The flexible nylon covered packs that were applied to the elbow were donated by PureTemp
469 LLC, Minneapolis, MN as they were not yet commercially available.
470

471 REFERENCES

- 472 1. Pexa BS, Ryan ED, Hibberd EE, Teel E, Rucinski TJ, Myers JB. Infraspinatus cross-sectional
473 area and shoulder range of motion change following live-game baseball pitching. *J Sport*
474 *Rehab.* 2019;28:236-242.
- 475 2. Potteiger JA, Blessing DL, Wilson GD. Effects of varying recovery periods on muscle
476 enzymes, soreness and performance in baseball pitchers. *J Athl Training.* 1992;27(1):27-31.
- 477 3. Yanagisawa O, Nitsu M, Takahashi Y. Magnetic resonance imaging of the rotator cuff
478 muscles after pitching. *J Sports Med Phys Fit.* 2003;43(4):493-499.
- 479 4. Yanagisawa O, Miyanaga Y, Shiraki H, et al. The effects of various therapeutic measures on
480 shoulder range of motion and cross-sectional areas of rotator cuff muscles after baseball
481 pitching. *J Sports Med Phys Fitness.* 2003;43(3):356-66.
- 482 5. Yang S, Wang C, Lee S, et al. Impact of 12-s rule on performance and muscle damage of
483 baseball pitchers. *Med Sci Sport Exerc.* 2016:2512-2516.
- 484 6. Hyldahl RD, Hubal MJ. Lengthening our perspective: morphological, cellular, and molecular
485 responses to eccentric exercise. *Muscle Nerve.* 2014: 155-70.
- 486 7. Mullaney MJ, McHugh MP, Donofrio TM, Nicholas SJ. Upper and lower extremity muscle
487 fatigue after a baseball pitching performance. *Am J of Sport Med.* 2006; 33(1):108-113.
- 488 8. Bishop SH, Herron RL, Ryan G, Katica CP, Biship PA. The effect of intermittent arm and
489 shoulder cooling on baseball pitching velocity. *J Strength Cond Res.* 2016;30(4):1027-1032.
- 490 9. Verducci FM. Interval cryotherapy and fatigue in university baseball pitchers. *Res Q Exerc*
491 *Sport.* 2001;72(3):280-287.

- 492 10. Warren CD, Szymanski DJ, Landers MR. Effects of three recovery protocols on range of
493 motion, heart rate, rate of perceived exertion, and blood lactate in baseball pitchers during
494 a simulated game. *J Strength Cond Res.* 2015;29(11):3016-3025.
- 495 11. Eston R, Peters D. Effects of cold water immersion on the symptoms of exercise-induced
496 muscle damage. *J Sports Sci.* 1999;17(3):231-238.
- 497 12. Schaser KD, Disch AC, Stover JF, et al. Prolonged superficial local cryotherapy attenuates
498 microcirculatory impairment, regional inflammation, and muscle necrosis after closed soft
499 tissue injury in rats. *Am J Sports Med.* 2007;35:93-102.
- 500 13. Tseng CY, Lee JP, Tsai YS, et al. Topical cooling (icing) delays recovery from eccentric
501 exercise-induced muscle damage. *J Strength Cond Res.* 2013;27(5):1354-61.
- 502 14. Takagi R, Fujita N, Arakawa T, Kawada S, Ishii N, Miki A. Influence of icing on muscle
503 regeneration after crush injury to skeletal muscles in rats. *J Appl Physiol.* 2011;110(2):382-8.
- 504 15. Kwiecien SY, McHugh MP, Howatson G. The efficacy of cooling with phase change material
505 for the treatment of exercise-induced muscle damage: pilot study. *J Sports Sci.* 2018;36(4):
506 407-413.
- 507 16. Clifford T, Abbot W, Kwiecien SY, Howatson G, Mchugh MP. Cryotherapy reinvented:
508 application of phase change material for recovery in elite soccer. *Int J Sports Phys Peform.*
509 2018;13:584-589.
- 510 17. Kwiecien SY, McHugh MP, Goodall S, Hicks KM, Hunter AM, Howatson G. Exploring the
511 Efficacy of a Safe Cryotherapy Alternative: Physiological Temperature Changes from Cold
512 Water Immersion vs Prolonged Phase Change Material Cooling. *Int J Sports Physiol Perform.*
513 2019;8:1-26.

- 514 18. Magnusson SP, Gleim GW, Nicholas JA. Shoulder weakness in professional baseball pitchers.
515 *Med Sci Sports Exerc.* 1994;26:5-9.
- 516 19. Donatelli R, Ellenbecker TS, Ekedahl SR, Wilkes JS, Kocher K, Adam J. Assessment of shoulder
517 strength in professional baseball pitchers. *J Orthop Sports Phys Ther.* 2000;19:125-159.
- 518 20. Tyler TF, Mullaney MJ, Mirabella MR, Nicholas SJ, McHugh MP. Risk factors for shoulder and
519 elbow injuries in high school baseball pitchers: the role of preseason strength and range of
520 motion. *Am J Sports Med.* 2014;42(8):1993-9.
- 521 21. Tadedo Y, Kashiwasguchi S, Endo K, Matsuura T, Sasa T. The most effective exercise for
522 strengthening the supraspinatus muscle: evaluation by magnetic resonance imaging. *Am J*
523 *Sports Med.* 2002;3:374-381.
- 524 22. Lazu A, Love S, Butterfield T, English R, Uhl T. The relationship between pitching volume and
525 arm soreness in collegiate baseball pitchers. *Int J Sports Phys Ther.* 2019;14(1): 97-106.
- 526 23. Baird MF, Graham SM, Baker JS, Bickerstaff GF. Creatine-kinase- and exercise-related
527 muscle damage implications for muscle performance and recovery. *J Nutr Metab.* 2012;1-
528 13.
- 529 24. Kwiecien SY, O'Hara DJ, McHugh MP, Howatson G. Prolonged cooling with phase change
530 material enhances recovery and does not affect the subsequent repeated bout effect
531 following exercise. *Eur J Appl Physiol.* 2020;120(2):413-423.
- 532 25. Leeder J, Gissane C, van Someren KA, Gregson W, Howatson G. Cold water immersion and
533 recovery from strenuous exercise: a meta-analysis. *Br J Sports Med.* 2012;46(4):233-240.
- 534 26. Zaremski J, Zeppieri G, Jones D, et al. Unaccounted workload factor; game-day pitch counts
535 in high school baseball pitchers-an observational study. *Ortho J Sports Med.* 2018;6(4):1-7.

- 536 27. Park MC, Ahmad CS. Dynamic contributions of the flexor-pronator mass to elbow valgus
537 stability. *J Bone Joint Surg Am.* 2004;86-A(10):2268-74.
- 538 28. Millard N, DeMoss A, McIlvain G, Beckett JA, Jasko JJ, Timmons MK. Wrist Flexion exercise
539 Increases the Width of the Medial Elbow Joint Space During a Valgus Stress Test. *J*
540 *Ultrasound Med.* 2019 Apr;38(4):959-966.
- 541 29. Armstrong RB, Warren GL, Warren JA. Mechanisms of exercise-induced muscle fibre injury.
542 *Sports Med.* 1991;12:184-207.
- 543
- 544

545 Table 1. Absolute values for strength measures (Newtons, mean±SD)

	BASELINE		DAY 1		DAY 2		Treatment x Time
	Treatment	Control	Treatment	Control	Treatment	Control	
IR	212±33	229±47	200±38	191±52	211±42	210±46	P=0.006
ER	197±27	199±22	182±26	172±30	187±30	181±25	P=0.173
EC	147±21	151±22	147±21	142±19	148±23	148±22	P=0.112
GRI P	537±85	559±82	568±76	532±10 7	559±75	539±99	P=0.049

546 Figure 1: Shoulder and elbow/forearm PCM applications are shown in grey. Two rigid PCM
 547 packs applied at the shoulder were held in place by a compression shirt. One flexible PCM pack
 548 applied at the elbow was held in place by a compression sleeve.

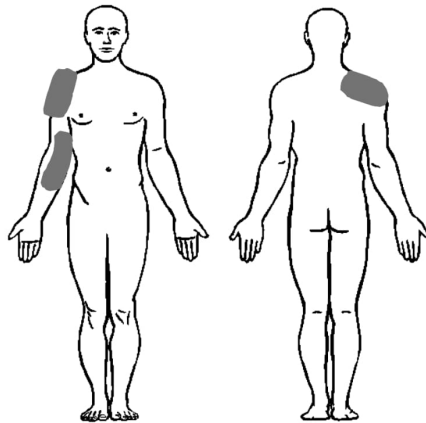


Figure 1

549

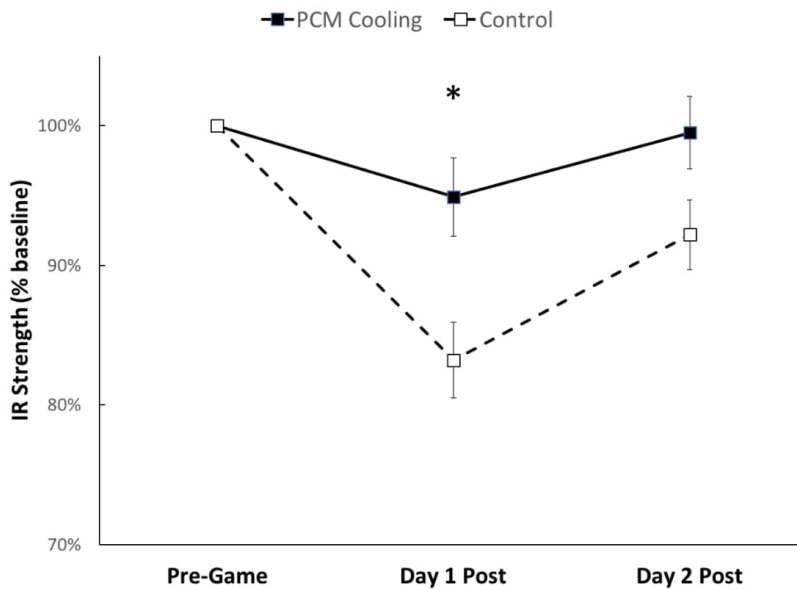


Figure 2

550 Figure 2: IR strength as percentage of baseline for PCM cooling and control conditions. Time
 551 effect $P < .0001$, Treatment effect $P = .006$, Treatment by Time $P = .007$. * Strength greater in PCM
 552 cooling condition versus control $P = .008$.
 553

554 Figure 3: ER strength as percentage of baseline for PCM cooling and control conditions. Time
555 effect $P < .0001$, Treatment effect $P = .091$, Treatment by Time $P = .174$.

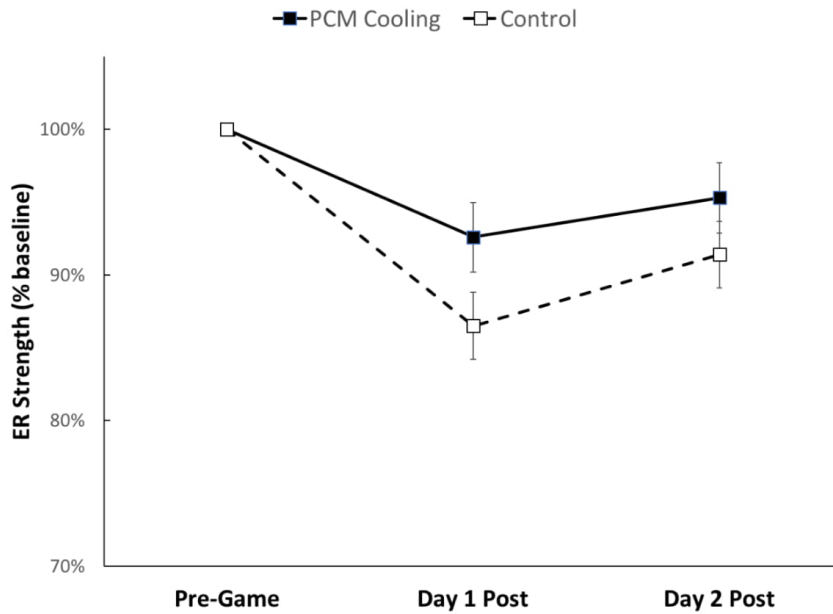


Figure 3

556
557
558

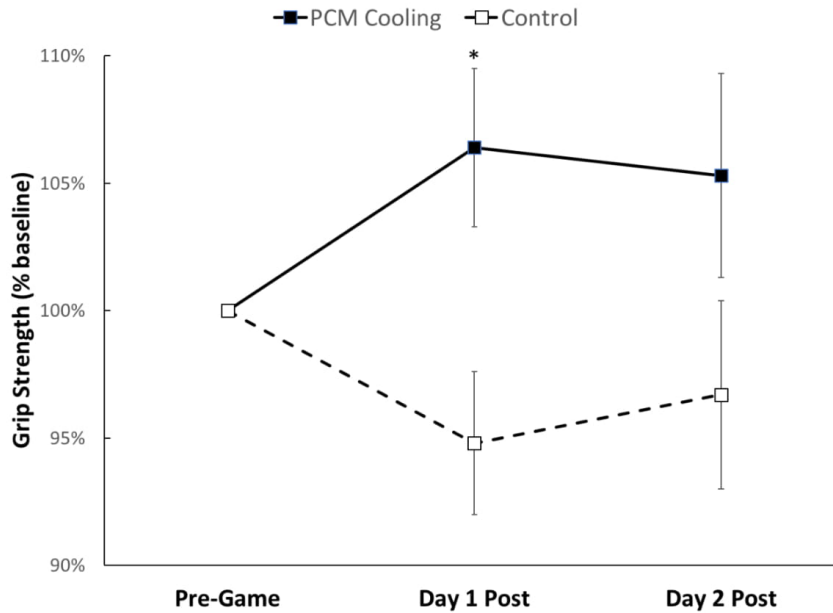


Figure 4

559 Figure 4: Grip strength as percentage of baseline for PCM cooling and control conditions. Time
560 effect $P = .904$, Treatment effect $P = .036$, Treatment by Time $P = .031$. * Strength greater in PCM
561 cooling condition versus control $P = .022$.
562