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ARTICLE

Advances in design engineering and merits of electron transporting layers in perovskite solar cells

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Metal-halide perovskite has become one of the most promising photovoltaic materials for thin-film solar-cell applications, mainly due to its excellent visible light capture capability, large diffusion coefficients and long diffusion lengths of charge carriers (both electrons and holes). The reported power conversion efficiency (PCE) of laboratory produced perovskite solar cells (PSCs) has exceeded 25%. However, this value was only achieved for devices of less than 0.1 cm² dimensions, and decreases significantly with the increase in areas (for example, down to 11.6% for a device area of ~ 800 cm², showing the issues with the scalability). In the PSCs devices, electron transport layer (ETL) has to perform two essential functions, which are related: (i) to collect and transfer charges after the injection of electrons from perovskite light harvester; and (ii) to block the backflow of holes, thus realizing effective charge separation and suppressing charge carrier recombination. However, there are several critical issues associated with the ETLs which limit the further development of the PSCs. For example, the experimentally obtained open-circuit voltages in PSCs are typically much lower compared to the theoretical voltage limit with their optical bandgaps between 1.59 and 1.63 eV. This is mainly attributed to inefficient charge transports in the PSCs due to inhomogeneous charge accumulation and serious interfacial recombination at the interfaces between the ETL and perovskite active layer. We scan recent developments of ETLs in the PSCs, and discuss design methodologies, mechanisms, processing and characterization of new types of ETLs. High power conversion efficiency with large open-circuit voltages/photo-currents could be achieved through designing of materials and microstructures of high-quality ETLs with a high electron mobility, high electrical conductivity and pinhole-free film morphology. It is crucial to balance the charge diffusion processes in the respective charge collective layers of the PSCs as the interfaces between the ETLs and perovskite along with their interfacial structures play key roles to achieve a new solid-state mesoscopic solar cell optimized open circuit voltage and output photocurrent.

Introduction

Organic-inorganic hybrid lead halide perovskite solar cells (PSCs) have received extensive attention recently, mainly because the output power conversion efficiency (PCE) has been increased rapidly from 3.9% in 2009 to 25.2% in 2019.^{1,2} These organic-inorganic

hybrid lead perovskites have a general formula of ABX₃ with an octahedral structure (as illustrated in Figure 1a), where the component A, generally forming the cube unit cell, represents CH₃NH₃⁺ (MA), HC(NH₂)₂⁺ (FA) or Cs⁺ cation; whereas the component B, which is located in the centre of the cube unit cell, presents Pb²⁺ or Sn²⁺; and the component X, generally located at surface centres of the cube unit cell of A, represents halogen ions such as Cl⁻, Br⁻ or I⁻.^{3,4}

Depending on the light incidence, the PSC devices can be broadly divided into either n-i-p or p-i-n architectures, where n- and p- refer to n-type and p-type charge carrier transporting materials, and i refers to the perovskite optical absorption layer, respectively. This definition is also based on the fact whether the electron transporting layer (ETL) or the hole-transporting layer (HTL) is designed to contact with the transparent conductive substrates. For example, in a conventional planar PSCs device, an ETL can be deposited on the transparent-conductive oxide (TCO) layer such as fluorine-doped tin oxide (FTO) or indium tin oxide (ITO) which will be functioned as the cathode, then a perovskite layer is prepared on top of this ETL using spin-coating or vacuum evaporation processes. This is then followed by the successive deposition of a HTL and a metal electrode, such as Au or Ag, to form an n-i-p structured

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device (Figure 1b).⁵⁻⁹ Whereas in an inverted PSC design, the positions of ETL and HTL are simply exchanged (see Figure 1c).¹⁰⁻¹⁴

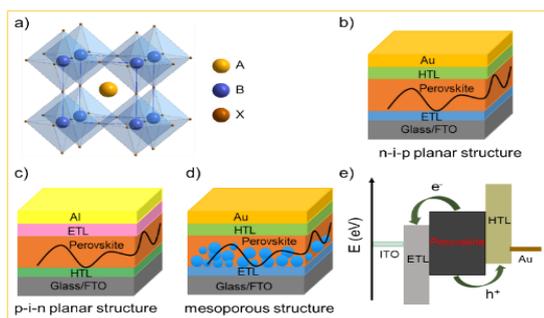


Figure 1. a) Illustration of crystalline structure of perovskite ABX_3 , A: MA^+ or FA^+ cation, B: Pb^{2+} cation, X: Cl^- , Br^- or I^- anion. Typical perovskite solar cells with b) n-i-p structure, c) p-i-n structure, d) mesoporous structure. e) Energy level scheme for transporting direction of electron and hole carriers in n-i-p perovskite solar cells.

Compared to the planar n-i-p structured PSCs, the mesoporous PSCs utilize a compact TiO_2 layer formed on FTO or ITO substrates and then additional mesoporous TiO_2 layer is deposited as both ETL and structural scaffold.¹⁵⁻¹⁷ Afterwards, the perovskite layer is deposited to form the absorber layer followed by fabrication of the HTL (2,2',7,7'-tetrakis-(*N,N*-di-*p*-methoxyphenylamine)-9,9'-spiro-bifluorene (spiro-OMeTAD) or poly[bis(4-phenyl) (2,4,6-trimethyl-phenyl)amine] (PTAA) and metal electrode (Figure 1d). A special feature for the mesoporous structured PSCs is that the mesoscopic transport layer offers large contact areas and interfacial roughness for perovskite layer deposition. Consequently, different outcomes of photocurrent hysteresis and output photovoltage for the planar and mesoporous structured PSC devices can be realized.

One of key figures of merits for the PSC technology is that the devices can be fabricated using low-temperature solution-processed methods such as spin-coating and screen-printing, which could be easily mass-produced in industry. Upon irradiated by sunlight, the electron-hole pairs (i.e., excitons) are generated in the light-harvesting perovskite layer. After separation of electron-hole pairs (induced by thermal energy due to a low exciton binding energy), the photo-generated electrons are transported to the conduction band of ETL, and holes are transported to the highest occupied molecular orbital (HOMO) energy level of HTL, which are then collected by cathode and anode, respectively (in Figure 1e).^{18,19}

1.1 Brief development of perovskite solar cells

The organic-inorganic metal halide perovskite materials ($MAPbI_3$) were firstly utilized as both sensitizer and light absorber in solar cells by Miyasaka et al. in 2009,²⁰ though with a low photoelectric PCE of 3.8%. In 2011, Park et al. utilized $MAPbI_3$ perovskite quantum dots (size of 2~3 nm) as the pigment in sensitized solar cells, achieving a high PCE of 6.5%.²¹ They reported that the light absorption coefficient of the $MAPbI_3$ nanocrystals is one order of magnitude higher than that of the conventional dye, thus they could be effectively used in solid-state sensitized solar cells. In this type of solar cell, a much thinner TiO_2 layer (~2 μm) is widely used. More importantly, a new solid-state mesoscopic solar cell has been developed which can prevent liquid electrolytes from dissolving perovskite, and thus improve its stability.²² In 2012, Park et al.

reported ~10% efficiency for perovskite-based solid-state solar cells by adopting small molecular spiro-OMeTAD as the HTL to replace the iodide-based liquid electrolyte.²³ Furthermore, Snaith et al. reported that utilization of Al_2O_3 mesoporous layer as the scaffold for the PSCs could achieve much faster electron transports with the perovskite layer, if compared with that using the n-type TiO_2 with the perovskite layer.²⁴ Later, a simplified planar structured PSC has been successfully developed with the help of the bipolar carrier transport property of perovskite compounds.²⁵

Figure 2 summarizes the key development milestone for the PSCs. For the $MAPbI_3$ perovskite film, a sequential deposition *via* a two-step dip coating process was developed as one of effective methods to achieve efficient solution-processed photovoltaic cells with a good reproducibility.²⁶ Park et al. found that the deposition procedure (e.g., one-step²⁷ and two-step coating methods²⁸) of the perovskite layer changes not only electron lifetime but also film morphology, which plays a critical role on device performance. Afterwards, the PCE for small-area perovskite devices (~0.1 cm^2) has increased rapidly to above 25% within 10 years. However, when the device size increases up to ~800 cm^2 , the PCE of PSCs is decreased to 11.6%.²⁹ which is much severe than that of silicon-based solar cells (~26.7%).^{30, 31} This indicates that the scalability is a crucial issue for the successful commercialization of the PSCs.

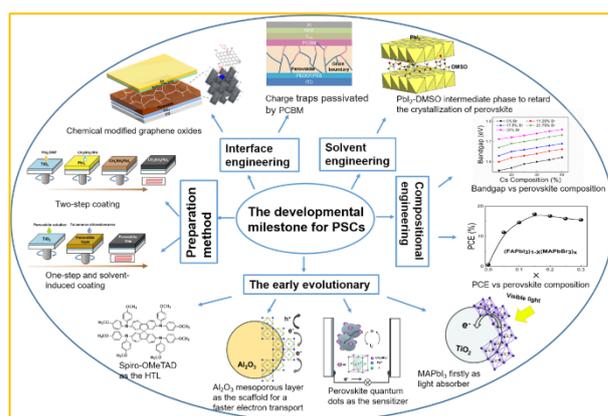


Figure 2. Illustration of the developmental milestones for perovskite solar cells, modified from refs. 24, 29, 38, 42 and 44.

In order to enhance the PSC's stability in operational conditions and reduction in production costs, various key factors controlling the charge carrier collections within the charge transport layers and their contacts to the absorber layers have been extensively investigated.¹⁹ In order to achieve their high efficiencies, the PSCs inevitably require an optimized carrier transport pathway that leverages all of the device layers and their corresponding interfaces. In this regard, interface engineering³²⁻³⁴ has become an effective strategy to tune the optoelectronic properties of the solar cell devices. So far, different methods, such as Lewis base passivation³⁵ and fullerene passivation,³⁶ have been demonstrated to significantly improve solar cell efficiency. For example, the PbI_2 (Lewis acid) tends to have strong interactions with those sulfur, oxygen, or nitrogen contained polar aprotic solvents (Lewis base)³⁷, including dimethyl sulfoxide (DMSO),³⁸ *N,N*-dimethylformamide (DMF)³⁹ and *N*-methylpyrrolidone⁴⁰.

Although MAPbI₃ has been widely used as an active layer in the PSCs, it has some disadvantages such as unstable crystalline phases under high humidity and within the solvents. These problems could be solved by using the solvent engineering methods. For instance, a mixed solvent of γ -butyrolactone and DMSO leads to extremely uniform and dense perovskite layers via a CH₃NH₃I-PbI₂-DMSO intermediate phase, and thus enables the fabrication of solar cells with a remarkably increased PCE of 16.2% and without photocurrent hysteresis.⁴¹ Absorber based on Cs_{0.25}FA_{0.75}PbI_{2.40}Br_{0.60} was reported to have a PCE of 17.5% with both good stability and reproducibility.^{42,43} Seok et al. applied the compositional engineering methodology to develop a (FAPbI₃)_{0.85}(MAPbBr₃)_{0.15} perovskite solar cell and achieved a high PCE of 19.0%, which would bring the balance between electron and hole transports within the perovskite layer.⁴⁴

1.2 Charge transport in perovskite solar cells

Figure 3a presents a scheme of heterojunction formed among the ETL/perovskite layer/HTL, and Figure 3b shows the representative development progress of ETLs in the n-i-p architecture. The ETL (usually highly doped n-type metal oxides, donated as n⁺) must possess a high electron concentration to conduct the electrons to the cathode with a small voltage drop. Under a given bias voltage across the device terminals, the electrical current through the ETL/perovskite and perovskite/HTL contact interfaces must be the same as those of the rest of device. Therefore, the competition between charge carrier recombination and charge transport is critical for the PSC devices which have a typical total thickness of about sub-micrometre. It becomes critical on how to further reduce charge carrier recombination at interfaces between ETL/perovskite, and choose the best contact electrode for the PSC devices.

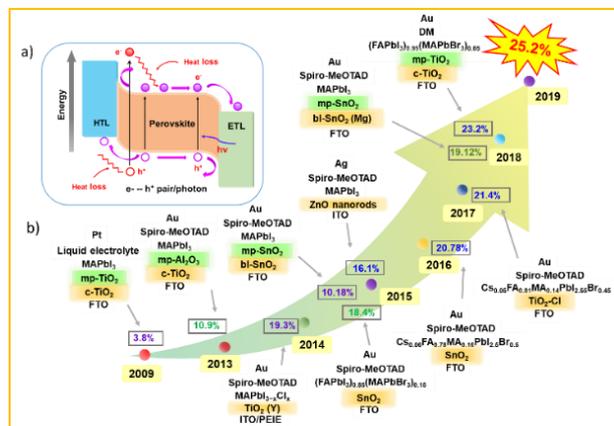


Figure 3. a) Schematic diagram and energy band of interfaces among electron transport layer (ETL)/perovskite/hole transport layer (HTL). b) The representative progress of ETLs in the n-i-p architecture.

Highly efficient PSCs generally possess a common feature of two interfaces, e.g., ETL/perovskite interface and HTL/perovskite heterojunction interface, both of which enable efficient charge separation and collection. Figure 4 illustrates various charge carrier kinetics at these two interfaces, including charge transfer, charge carrier collection and charge carrier recombination. The desirable processes include: (1) photo-excitation in perovskite; (2) electron transfer to the ETL; and (3) hole transfer to the HTM (or, equivalently, electron transfer from the HTM to the perovskite). The undesirable

processes include: (4) bulk recombination of photo-generated species; (5) back charge transfer at the interfaces of TiO₂, and (6) charge transfer at the HTM with the perovskite; (7) charge transfer between TiO₂ and the HTM (this may occur if perovskite is absent in some areas, for example, when nanoparticles or voids are present). For achieving a high performance of PSCs, the processes (4)-(7) must be operated on much slower timescales than charge generation and extraction processes (1)-(3). The interface recombination (non-radiative process) occurs at the ETL/perovskite or perovskite/HTL interface, which also is often called the free-carrier recombination (bimolecular recombination) and can be experimentally verified by investigating the slope of V_{oc} versus light intensity. For efficient planar PSC devices, a slope of lower than 1 kT/q (being 0.0256 dec⁻¹ at room temperature) has been frequently observed.⁴⁵

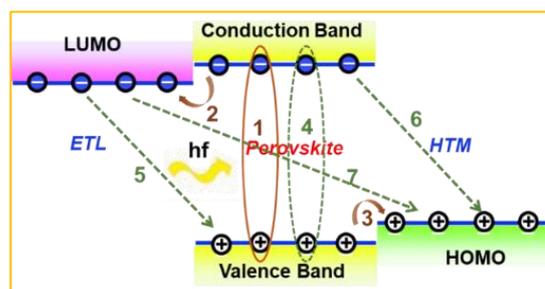


Figure 4. Diagram for charge transfer, collection and recombination of planar PSC devices with structure of ITO/ETL/MAPbI₃/HTM/Au. Photon exciton (1), charge transfer (2 and 3), collection and recombination (4,5,6,7) steps takes place at the interfaces. The thick green and thin red arrows respectively indicate the processes desirable for energy conversion and those associated with losses. hf, photon energy.

The ETLs in PSC devices play important roles in collecting and transferring charge carriers after the injection of electrons from perovskite active layer, more importantly, realizing effective charge separation and suppressing charge carrier recombination. An inefficient charge transport could cause inhomogeneous charge accumulation and serious interfacial recombination at the ETL/perovskite interface. Therefore, it is highly desirable to design and fabricate the ETLs with a high quality in order to guarantee an efficient charge transport in the layer and thus effective photovoltaic performance of devices.

Indeed, each layer (its crystallinity and quality) and the interfacial properties between different layers are critical to achieving highly efficient perovskite solar cells.⁴⁶⁻⁴⁹ This can be significantly documented with several critical progresses on perovskite devices and the relative topics.^{20,23} This article covers the cutting-edge research areas, recent development, critical issues and future directions of the ETL materials for PSC applications, with a focus on the following major topics: the functions and requirements of ETL, and the techniques utilized in characterization of the ETL. Herein, we briefly discuss the recent advances in design methodology, mechanism and characterization of ETLs. We also analyse ETL material properties including their composition and morphology. We believe readers can also obtain these interesting and informative knowledge from different sources in this community.⁵⁰⁻⁵³

2. Functions and requirements of electron transport layer

Recently, there are extensive studies to search for effective ETLs to be used in planar PSC devices to further increase charge carrier transport. The main reason is that the V_{oc} values (in the range of ~ 1.0 - 1.2 V) of the most PSC devices made from organic-inorganic halide lead perovskites are not large enough compared to the typical optical bandgap (between 1.59 and 1.63 eV) of the light absorber. The open circuit losses in the PSCs are mainly due to the recombination occurring in the perovskite bulk layer, and at either the perovskite/transport layer interfaces or the transport layers. Furthermore, the ETL plays critical roles in increasing power conversion efficiency and life-time of the devices. Usually, such charge carrier contact layers are composed of metal oxides nanocrystals, such as ZnO, TiO_x , or doped metal oxides, such as Cl-doped TiO_2 (in Figure 3b).⁵⁴ A reliable method for producing large-area ETLs is one of pre-requisites for successful commercialization of the PSC technology.

The mechanism for charge transfer through metal oxide semiconductor nanocrystalline particles and surface charge carrier recombination is illustrated in Figure 5a, along with a charge injection-accumulation-diffusion model for charge transfer dynamics of those semiconducting nanocrystals, as shown in Figure 5b. It is clear that defect states in these nanocrystals play a critical role on the charge transport process (capture and release processes). Due to the influence of trap-detrapping from defect states, the conductivity of nanocrystals is generally lower than that of the crystalline film. Therefore, electron transport and conductivity mechanisms in metal oxide nanocrystals will significantly influence the efficiency of energy photo conversion in such types of photovoltaic devices.

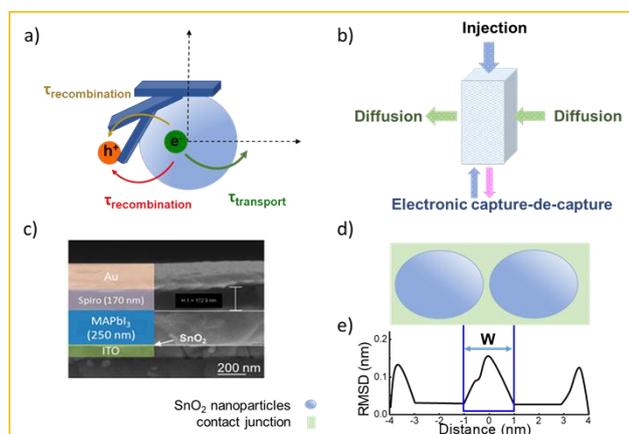


Figure 5. a) Schematic illustration of electronic accumulation-diffusion model on a nanocrystalline particle. b) Effect of defect states in nanocrystalline materials on charge transport. c) The cross-sectional scanning electron microscope (SEM) image of planar ITO/SnO₂/perovskite/spiro-OMeTAD/Au device. d) Contact junction (green) of nanocrystalline SnO₂ nanoparticles (blue). Molecular dynamics simulation results of two ~ 4 nm particles. e) Average atomic root-mean-squared deviation (RMSD) relative to SnO₂ particles in figure d. c) Reproduced with permission from Ref. 55. Copyright 2017 American Chemical Society.

A nanocrystalline metal oxide film can be modelled as a network of junctions formed from the connected nanoparticles. Figure 5c shows a cross-section scanning electron microscope (SEM) image of a typical planar ITO/SnO₂/MAPbI₃/spiro-OMeTAD/Au PSC device. The charge transport layer thickness is ~ 40 nm.⁵⁵ A fluctuation-induced tunnelling conductivity (FITC) mechanism has been proposed for the charge transport in nanoporous metal oxides such

as TiO₂ and SnO₂, and the charge transport in these films is strongly dependent on the properties of the junctions linking these nanoparticles.⁵⁶ The FITC model describes contact junctions of these nanoparticles as effective potential energy barriers for transferring electrons, owing to the intrinsic disorder of the semiconductor material between the nanocrystalline particles (Figures 5d and 5e).

For photovoltaic device applications, the mobility of electrons in the ETL must be high enough to increase the electronic conductivity. Electron mobility (μ_e) can be expressed using the equation 1:

$$\mu_n = \frac{e\tau}{m_e^*} \quad (1)$$

where m_e^* is the electron effective mass, τ is the average free time and e is the elementary charge. The electron mobility is proportional to the electron free time, being correlated with the material properties and the mechanisms of carrier scattering.⁵⁷ Electric conduction of semiconductors is directly proportional to the number of free electrons in the conduction band, *e.g.*,

$$\sigma = nDE \quad (2)$$

where σ is the electrical conductivity, n is the concentration of free electrons based on the Boltzmann relation, D is the diffusion coefficient of electrons, and E is the electric field. The relationship between electron mobility (μ) and diffusion coefficient (D) can be defined by Einstein Equation.⁵⁸ An increase of either charge carriers (n) or mobility (μ_n) can increase the electrical conductivity of the ETLs. One can roughly estimate the electron conductivity and mobility required for the ETL in efficient PSCs. The electrical conductivity could be quantified as $\sigma = (d \times J_{sc})/V$, with the voltage drop (V) equal or less than the thermal voltage V_T .¹⁴⁶ Taking a theoretical value J_{sc} of 25 mA cm^{-2} for the PSC with a bandgap of 1.6 eV¹⁴⁷ and the thickness of 40 nm (d) for the ETL, the calculated σ should be larger than $4 \times 10^{-6} \text{ S cm}^{-1}$. Furthermore, the electron mobility can be estimated according to $\sigma = q\mu_n N_D$, where N_D is the doping density of donor and q is the elementary charge. Based on literature, the charge N_D is estimated as $\sim 1.0 \times 10^{18} \text{ cm}^{-3}$ for most of efficient solar cell devices¹⁴⁸, therefore, the electron mobility μ_n can be estimated to be higher than $\sim 2.5 \times 10^{-5} \text{ cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$.

High-quality ETLs should have the following properties: (1) a suitable lowest unoccupied molecular orbital (LUMO) energy level to match with the conduction band energy of perovskite materials, together with good capabilities for electron injection and transportation at the interfaces; (2) high electron mobility ($> 2.5 \times 10^{-5} \text{ cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$), high electrical conductivity ($> 4 \times 10^{-6} \text{ S cm}^{-1}$) and photochemical stability under ultraviolet light; (3) conformal, uniform and pinhole-free film morphology with a low root-mean-square roughness.⁵⁹ It should be noted that the above criteria for the parameters are for the optimised and highly efficient perovskite photovoltaic devices with low series resistance and high shunting resistance.

A suitable ETL should be also optically transparent to guarantee maximum light absorption by the perovskite layer in the n-i-p PSC. This hinders the choice of suitable materials. For examples, some organic materials might have problems with good transparency. The most popular inorganic ETLs are transition metal oxides such as TiO₂,⁶⁰ ZnO,⁴⁶ and SnO₂.⁶¹ Despite the PSC devices using a TiO₂ ETL have achieved a relatively high efficiency (*e.g.*, above 20%), this metal oxide exhibits some drawbacks such as low electronic

conductivity and charge transport.^{62, 63} Hence, ZnO and SnO₂, well known for their higher electron mobility (bulk mobility: 205-300 cm² V⁻¹ s⁻¹⁶⁴ and 240 cm² V⁻¹ s⁻¹⁶³, respectively) than that of TiO₂ (bulk mobility: 1 cm² V⁻¹ s⁻¹⁶³), have emerged as promising ETLs. The least but not the last, stability of the ETL in the PSC devices under operation conditions is also critical for the commercialization of this technology. During the operation of PSCs, ETLs should effectively transport electrons from light absorption layers and prevent hole migration in the direction of electron migration.

3. Characterization techniques utilized for ETL in perovskite solar cells

3.1 Ultrafast spectroscopy characterization

There are several methods available to characterize the charge carrier collection dynamics related to the ETLs such as the electron transport in this layer and interfacial charge carrier recombination at the ETL/perovskite junction. Nanosecond transient absorption spectroscopy (ns-TAS) has been used in order to understand charge injection at ETL/perovskite interface. For example, photobleaching (PB) negative and photoabsorption (PA) positive peaks of the MAPbI_{3-x}Cl_x based PSCs are usually observed at around 760 and 500-600 nm, respectively. The PB negative peak located at 760 nm can be linked to the band gap or exciton transition of MAPbI_{3-x}Cl_x film, whereas the PA positive peak at about 500-600 nm is attributed to the absorption of transient species.^{45,65} When the MAPbI_{3-x}Cl_x was coated on ETL substrate, an obvious decrease of the peak intensity (i.e., bleaching) was observed at about 760 nm.³³ The kinetic decay of photo-bleaching features can be described using a single exponential function, which has a time constant τ_{TAS} being related to the excited-state decay in the perovskite layers. This phenomenon well supports the result obtained from the steady-state PL quenching experiments, which confirms that the ETL contributes to the exciton transition or charge extraction process from the MAPbI_{3-x}Cl_x film.⁶⁶

Electron transport in the nano-crystalline metal oxide ETL can also be characterized using intensity modulated photocurrent spectroscopy (IMPS).⁶⁷ Using small sinusoidal modulation optical signals, the IMPS could help analysis of transient photoelectric current and transient photovoltage response curves, as well as the characteristic parameters related to carrier transport dynamics such as electron diffusion coefficient and electron lifetime.⁶⁸ This enables the study of electronic transport under steady state conditions for the photocurrent flow and occupation of electronic states.

3.2 Electronic impedance spectroscopy characterization

Electronic impedance spectroscopy (EIS) is a powerful tool to characterize the electronic and electrical processes in the PSCs, including charge extraction, diffusion and recombination in a thin layer or in the whole device.⁶⁹ As an example, typical impedance spectra of a PSC using device structure of FTO/compact TiO₂/mesoporous TiO₂ (MAPbI₃)/spiro-OMeTAD/Au at different bias are shown in Figure 6.

In this measurement, the injected electrons from the FTO/TiO₂ compact contact are transported through mesoporous TiO₂, then diffuse within the CH₃NH₃PbI₃ and spiro-OMeTAD, eventually reach the metal counter electrode (Figure 7a). Frequency analysis showed three distinct frequency ranges (e.g., a high-frequency one at

hundreds kHz, a medium-frequency one at hundreds Hz, and a low-frequency one less than Hz). As shown in Figure 7b, the first arc feature in the high frequency of the Nyquist plot is assigned to the spiro-OMeTAD/Au electrode interface and/or ETL/FTO interface (usually for the bias > -0.85 V). It should be noted that this arc would disappear if the interface is Ohmic contact, thus the presence of an arc indicates the formation of a Schottky junction at this interface. The second arc in the intermediate frequency range is assigned to the interfacial recombination at the ETL/perovskite interface and perovskite/HTL interface, which usually exponentially increases as the bias is decreased. These processes on both interfaces are sometimes difficult to be distinguished due to their similarity. In this frequency region, a Warburg impedance feature might show up which is linked to hole transports (Figures 6a and 6b). Instead, a linear Warburg impedance feature appears in the middle frequency range when the bias is decreased from -0.75 to -0.5 V. (Figures 6c and 6d). This particular feature arises from an increase in the electron resistance of (mesoscopic) TiO₂ thin film due to decrease of electron concentration upon decreasing the forward bias. The gradual appearance of charge diffusion in semiconducting materials is correlated with the semi-conductive properties of both the HTL and ETL used in the PSCs. This is a typical behaviour for semiconducting materials when the electronic Fermi level is far from the conduction or valance band energy.⁷⁰ In this case, the charge carrier transport resistance can be used to obtain the electron/hole diffusion coefficient and mobility based on the three-channel transmission line impedance model (Figure 7c and 7d).⁷¹ The third arc observed at the low frequency range corresponds to the charge transport in the perovskite layer, such as ion migration. It should be noted that a typical impedance spectroscopy in Figure 7b is usually observed in the PSCs using a mesoporous charge transport layer. The features of charge transport in selective layers for the planar PSCs, in which very thin ETLs are used (i.e., low electronic resistance), become invisible by suitably tuning the bias during characterization using the impedance spectroscopy.

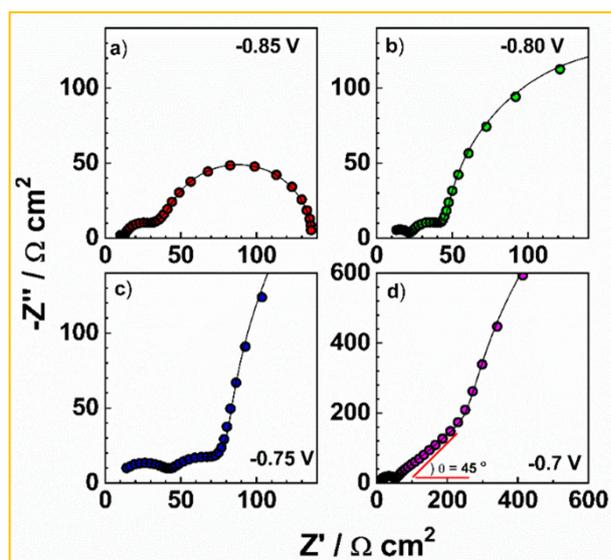


Figure 6. Impedance spectra of a PSC with FTO/compact TiO₂/mesoporous TiO₂(MAPbI₃)/spiro-OMeTAD/Au structure using mesoporous TiO₂ (~ 20 nm diameter, 800 nm thickness) film as photo-anode filled with MAPbI₃, obtained in

dark conditions with different bias: a-d) Nyquist plots (-0.85, -0.8, -0.75, and -0.7 V, respectively).

The properties of electron transport in the ETL and hole transport in the HTL can be obtained by fitting the impedance spectroscopic data of ITO/TiO₂/MAPbI₃/spiro-OMeTAD/Au device. For example, using the EIS, we have estimated the hole mobility of a spiro-OMeTAD layer to be about $6 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, higher than that of electron mobility of TiO₂ ($\sim 8 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$).^{72,73} Snaith et al. pointed out that the hole mobility of spiro-OMeTAD is higher than electron mobility of TiO₂ through testing the conductivities of the in-plane 'hole-only' and 'electron-only' devices based on the TiO₂ and the spiro-OMeTAD, respectively. The hole conductivity is approximately three times higher than the electron conductivity, with the hole and electron mobilities to be $3 \times 10^{-4} \text{ cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$ and $0.8 \times 10^{-4} \text{ cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$ for spiro-OMeTAD and TiO₂, respectively.⁷⁴ The effective diffusion coefficient of electrons for TiO₂ nanocrystal decrease with the illumination intensities. At the meantime, the diffusion length is shorter than the film thickness. Results show that a reduction of electron transport resistance (for example, by reducing the film thickness, or by increasing the electronic mobility and/or free number of electrons) can significantly improve the PSC device's performance.⁷⁵ This is realized through tuning of the structural and electronic properties at atomic level of the ETL for thin film perovskite devices with a total thickness of about 600 nm. However, it is a huge challenge to integrate thin ETL films with other materials into one single electronic device, within a large area and with a sufficient yield. Additionally, the stability issues of PSC devices might not be caused by the charge transporting layers.

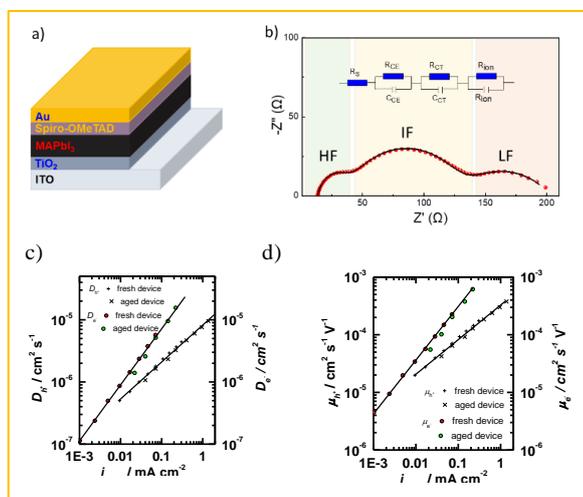


Figure 7 a) A PSC using device structure of ITO/TiO₂/MAPbI₃/spiro-OMeTAD/Au. b) A typical impedance spectroscopy (Nyquist plot) of this device and the corresponding equivalent circuit, in which HF indicates high frequency, IF indicates intermediate frequency, and LF indicates low frequency. c) and d) The diffusion coefficient and the mobility of hole (left coordinate) and electron (right coordinate).

3.3 Transient decay technology

Transient photo-voltage/photo-current decay (TPV/TPC) measurements can provide useful information on charge transfer properties of the dye-sensitized solar cells, organic solar cells and

PSCs.^{76,77} For the PSC devices, there might have different schematic diagrams of electron energy levels based on the device structures. For example, Green et al. suggested an energy-band diagram as shown in Figure 8a,⁷⁸ which was based on electron-transfer processes in perovskite nanoparticles and the HTL/perovskite/TiO₂ device.⁷⁹ Figure 8b shows a modified version of the band diagram for the commonly used structure of PSC devices in which the electron affinities and band bending in the ITO and SnO₂ ETL have been omitted.

Similar to EIS measurements, it is impossible for the transient measurements to provide the information about chemical properties of charge carrier species and interfacial recombination. However, the dominant electron transfer process in the device can be experimentally determined by tuning the charge collective layer. This is actually quite useful not only for the transient measurement but also for impedance characterization. For example, by tuning the charge collective layer in the PSC devices, it is highly possible to distinguish the contribution from either ETL or HTL. Figures 9a and 9b illustrate the transient photovoltage decay and transient photocurrent decay measurement principles and the corresponding voltage decay signals for the PSC devices.⁸⁰

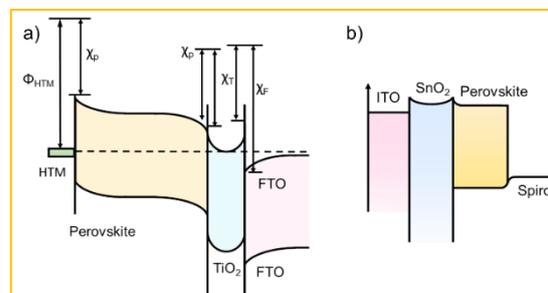


Figure 8 a) Energy-band diagram deduced from the vacuum energy levels. χ_p , χ_T and χ_F represent the electron affinities of the perovskite, TiO₂ and FTO layers, respectively, and Φ_{HTM} represents the work function of the HTM layer. (Modified from ref. 78.) b) The simplified energy-band diagram for the planar structured PSC.

The idea of photo-transient measurement is to use a light transient of small amplitude to probe the device that is under an equilibrium condition, and then monitor the decay kinetics. In practice, this can be achieved by using a short-pulsed laser as a probe to generate a voltage perturbation about the V_{oc} of the cell under the white bias light produced by an array of white light emitting diodes and measuring the voltage decay process thereafter (in an open circuit condition). Specifically, a bias lamp is served for the PSC to maintain bias irradiation with a steady state voltage, V_0 (Figure 9b (1)). Incident red light is superimposed with the previous white light under the open-circuit condition, which boosts voltage, $V = V_0 + \Delta V$, (Figures 9b (2) and (3)). The red light is turned off at $t=t_0$. Then the photovoltage begins to decay, and returns to the steady state (Figure 9b (4)). In this case, all the charge carriers generated by the light pulse are assumed to be recombined. When it is excited with a short pulse of light (as short as nano-seconds for the measurement of the PSC devices), the photo-generated charges are extracted on the electrodes, thus resulting in a current which can be detected using an oscilloscope in form of voltage across a resistor (in a short circuit condition). In this case, all the charge carriers generated by the light pulse are assumed to be collected. Transient photocurrent decay

measurements are generally conducted under a short circuit condition and provide information about extractable charges, charge recombination and density of states.

In order to precisely obtain the carrier transport parameters based on the photocurrent/photovoltage decay measurements, it is critical to consider the energy band bending effect at the junctions in the PSC devices, i.e., in short circuit or open circuit situations. Figure 10 shows the electron energy levels of conduction band and valence band during the transient decay measurements, in which the fermi levels for electron in the ETL (and for hole in the HTL) and in perovskite layer are equal at an open circuit condition for photovoltage decay measurement. However, there is a band-bending for the electron energy levels in the ETL, HTL and perovskite layers in case of a short circuit condition. The fermi levels for electron in the ETL and holes in the HTL for the case in an open circuit condition are different with those in a short circuit case. Therefore, either a bias of voltage or current is needed to apply onto the device to offset the photovoltage/photocurrent produced by steady-state illumination during the transient photovoltage/ photocurrent decay measurements. When using this transient decay technique to characterize the PSCs, the bi-exponential decay trends of transient photovoltage and transient photocurrent can often be observed for the signals of electron/hole transport or recombination lifetimes (Figure 9c and 9d).

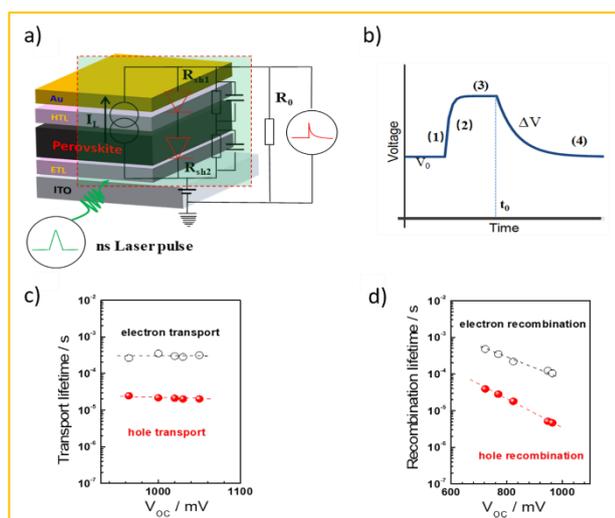


Figure 9. a) and b) The working scheme of transient photovoltage/photocurrent decay measurement. b) shows transient photocurrent decay data for an example device. c) Transport lifetime and d) recombination lifetime results from using bi-exponential fitting the transient photocurrent decay and transient photovoltage decay, respectively.

Combining the energy-band diagram shown in Figure 10 and the ambipolar transport properties of perovskite, we suggested electron/hole transport or recombination lifetimes are the key characteristics of electrons and holes at different time scale.⁸¹ For example, the electron and hole diffusion lengths were reported to be 177 nm and 813 nm for the FAPbI₃ (FA = HC(NH₂)₂), whereas a mixed-ion perovskite (MAPbI_{3-x}Cl_x) has shown an opposite situation, which were 1069 nm and 1213 nm for electrons and holes, respectively.⁸² This indicates that electrons and holes are transported at different rates in this ambipolar material and they have different surface recombination velocities. This is easily understood because the

ambipolar material (e.g., perovskite), electron transporting layer (SnO₂ or SnS₂) and hole transporting layer (spiro-OMeTAD) all possess different electron affinities as discussed above. This can result in different charge transport properties and thus have different charge transfer lifetimes and recombination lifetimes.⁸³ Therefore, by performing transient photovoltage/photocurrent decay characterization in sub-nanosecond scales at an open-circuit/short circuit, the charge carrier recombination lifetime (τ_n) and transport lifetime (τ_{tr}) at ETL and at HTL for electron and hole can be empirically evaluated by fitting the obtained transient signals.^{84, 85}

The mobilities of electrons and holes for the ETL and HTL are different due to the differences in their surface states, which is dependent on the materials used in the system. For instance, for the PSC devices with the ETL using SnO₂ or TiO₂ and HTL using spiro-OMeTAD doped with Li salt (lithium bis(trifluoromethanesulfonyl) imide) and TBP (4-tert-butylpyridine), the hole mobility was found to be slightly higher than that of electron.⁸⁶ The transient photocurrent decay signals can be fitted bi-exponentially, and a shorter transport lifetime which corresponds to the hole and a longer transport lifetime to the electron could be easily obtained. The recombination lifetime could be also caused by the differences in the numbers of carriers during their recombination. We reported that the short lifetime was related to the charge carrier recombination at the perovskite/spiro-OMeTAD interface, whereas the long lifetime to the charge confined at the perovskite/ETL interface.⁸⁷ The charge carrier diffusion coefficient can be calculated according to equation 3:

$$D_n = \frac{d^2}{C_1 * \tau_{tr}} \quad (3)$$

where d is film thickness, D_n is carrier diffusion coefficient, τ_{tr} is transport time, c is a constant of 2.35, correlated to the charge conduction mechanism. A hopping mechanism, rather than a band conduction mechanism, would usually take place in the nanocrystalline semiconducting films if the perovskite materials are considered having the defect intolerant properties. The τ_{tr} values of both the electrons and holes can be obtained from the transient photocurrent decay measurement, separately. The diffusion lengths of electrons and holes can then be evaluated according to the equation 4:

$$L_n = \sqrt{D_n * \tau_n} \quad (4)$$

where L_n is carrier diffusion length.

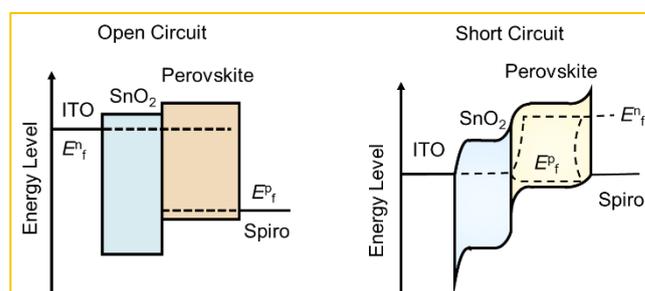


Figure 10. Electron energy levels at open circuit (left) for the transient photovoltage decay measurement and at short circuit (right) for the transient photocurrent decay measurement on a PSC using SnO₂ and spiro-OMeTAD as ETL and HTL. The dotted lines indicate the fermi level of electron and hole in the perovskite layer.

4. Advanced technologies in ETLs for PSCs

4.1 Design rules for ETLs

The specific design rules of ETLs for improving photovoltaic parameters is discussed in this section. Firstly, the J - V curve (in light and dark conditions) of heterojunction PSCs can be described using:⁸⁸

$$\ln\left(J_{sc} - J - \frac{V}{R_{sh}}\right) = \frac{q}{(m_1 + m_2)KT} (V + JR_s) + \ln J_0 \quad (5)$$

where R_s and R_{sh} are the series resistance and shunt resistance, respectively. m_1 and m_2 are diode ideality factor of ETL/perovskite and perovskite/HTL two p-n junctions, respectively. R_s , R_{sh} , J_0 and m can be numerically obtained by simulating the J - V curves (both in light and dark) of the PSCs. We have considered a typical PSC using an active layer with a bandgap of ~ 1.6 eV. The theoretical maximum V_{oc} and J_{sc} of such solar cells, calculated using the detailed balance model (S-Q limit), are 1.32 V and 25 mA cm⁻², respectively.¹⁴⁷ The working devices generally achieve a V_{oc} value of 1.1 V, and a J_{sc} value of 22 mA cm⁻² according to most reports. In addition, the series resistance under illumination conditions could be estimated: $R_{s, light} = (V_{oc, theory} - V_{oc})/J_{sc} = (1.32V - 1.1V)/22 \text{ mA cm}^{-2} = 10 \Omega \cdot \text{cm}^2$. In most the cases, the dark series resistance R_s amounts for half of the light series resistance $R_{s, light}$, thus the R_s value is estimated to be $\sim 5 \Omega \cdot \text{cm}^2$.¹⁴⁹ A smaller R_s value below $5 \Omega \cdot \text{cm}^2$ is beneficial for obtaining higher values of V_{oc} and FF, which is in accord with the reports in literature.¹⁵⁰ The ionic-covalent nature of hybrid halide lead perovskites leads to interactions with the ETL with functional groups. For example, doping or chemical modifying the interface between perovskite crystal and ETL could be contributed to efficient electron extraction and electron transport, thus to an enhanced J_{sc} .

Secondly, the V_{oc} values of PSCs depend on exciton dissociation efficiency, charge transport and the effective density of states according to equation 6:⁸⁹

$$V_{oc} \propto \frac{E_{gap}}{q} - \frac{AkT}{q} \ln\left(\frac{(1-P)\gamma N_c^2}{PG}\right) \quad (6)$$

where γ is the Langevin recombination constant, P the dissociation probability of a bound electron-hole pair into free charge carriers, G the generation rate of bound electron-hole pairs, N_c the effective density of states, A the exponential factor. Therefore, for the ETL, it is necessary to reduce the interfacial charge accumulation by enabling a long electron diffusion length and a balanced charge transport. As a result, this allows for less non-radiative recombination opportunities at the ETL/perovskite interface and could be contributed to the augmented V_{oc} :

$$V_{oc} = \frac{E_g}{e} - \frac{KT}{e} \ln \frac{N_c N_v \beta}{G} \quad (7)$$

where G equals the non-radiative recombination rate.⁹⁰

Thirdly, the fill factor of the PSCs is mainly affected by the series resistance R_s of device and thus a low value of R_s is necessary to eliminate the potential charge loss at high bias voltages and achieve a high FF.^{91, 92} As for the ETL, it is critical to form a smooth surface with less defects, in order to reduce the charge losses and maintain R_s smaller than $5 \Omega \cdot \text{cm}^2$. Consequently, a high value of FF could be obtained along with a high PCE > 20%. Theoretical analysis based on Shockley-Queisser efficiency limit showed that the FF for efficient single junction device can reach as high as $\sim 90\%$ at room temperature.⁹³

4.2 Electron transport materials in PSCs

Recently, great effort has been made to obtain a high PCE by modifying the ETLs or designing new electron transport materials. In

2015, Liu et al. introduced a self-assembled Silane monolayer between the TiO₂ and CH₃NH₃PbI₃, achieving optimized interface band alignments and enhanced charge lifetime. The Silane monolayer helps tune the interfacial electronic structures and passivate the recombination process, and thus a high efficiency of 12.7% was obtained for a TiO₂/SAM/CH₃NH₃PbI₃-based mesoscopic solar cell prepared using a sequential deposition method.⁹⁴ In 2016, Zuo et al. reported that deposition of various self-assembled monolayers with different functional groups onto the SnO₂ surface resulted in chemical interactions within the perovskite layer. The surface trap states were suppressed and the charge transfer at the perovskite/SnO₂ was enhanced due to the decreased work function and improved interfaces between perovskite and SnO₂. The device's efficiency was increased up to 18.8%, showing a 10% improvement compared to that without using the self-assembled monolayer.⁹⁵ The above work highlights the importance of surface modification and chemical interactions at the perovskite/ETL interfaces, which paves the way for further optimizing ETL development for the PSCs.

TiO₂ has been used as an ETL due to its suitable energy levels of conduction band minimum (CBM ~ 3.9 eV) and valence band maximum (VBM ~ 7.2 eV).⁷⁵ One of major disadvantages for the TiO₂ ETL is its high processing temperature, which is associated with high costs and unsuitability for flexible PSCs. The electron recombination in TiO₂ ETL is also quite significant due to its inferior electron mobility than that of the perovskite, thus resulting in the unbalanced charge transfer and consequently poor performance and low efficiency. Moreover, it also suffers from low conductivity and carrier accumulation owing to its numerous trap states.^{96, 97}

SnO₂ has been regarded as a good ETL material with its advantages including low chemical reactivity and photocatalytic activity,⁹⁸ a wider band gap of ~ 3.8 eV⁹⁹, a higher mobility^{100, 101} and a deeper conduction band (-4.3 eV)¹⁰² than those of TiO₂, which in principle should facilitate more efficient electron transfer from perovskite. However, most of the devices based on low-temperature solution-processed SnO₂ were reported to suffer from serious photocurrent hysteresis which makes it difficult to determine their real PCEs.^{103, 104}

The optoelectronic properties of n-type semiconducting ZnO, such as a suitable conduction band energy level (~ -4.17 eV for the CBM), large optical bandgap of 3.3 eV, high electronic mobility and high transmittance, is promising for applications in PSCs.¹⁰⁵⁻¹⁰⁷ In addition, the low-temperature processed ZnO nanocrystalline thin films are favourable for flexible devices.⁶⁴ Nevertheless, ZnO could cause decomposition of organometal halide perovskites which may impede its large-scale application in the PSCs.⁶³

Nb₂O₅ is a wide bandgap n-type semiconductor with a good chemical stability, an excellent optical transmittance and a higher CBM (-4.33 eV)¹⁰⁸ than that of TiO₂, which has been applied as ETLs of the PSCs.^{109, 110} For example, Feng et al. deposited amorphous Nb₂O₅ ETL for large-area rigid PSCs and flexible planar PSCs using an e-beam evaporation method, obtaining PCEs of 18.59% and 15.56%, respectively.¹¹¹ Moreover, other binary metal oxides, such as WO_x^{112, 113} and In₂O₃^{114, 115}, and ternary metal oxides, such as Zn₂SnO₄^{116, 117}, BaSnO₃^{118, 119} and SrTiO₃^{120, 121}, have been proposed for high-efficiency PSCs as listed in Table 1.

Based on equation 2, the free electron concentration is dependent on the charge trapping-de-trapping process *via* defects in

nanocrystals metal oxides.¹²² Therefore, an attenuated electron conductivity for most of the conductive metal oxide nanocrystals are usually observed due to the existence of defects inside. Consequently, several strategies have been proposed to increase the conductivity by increasing the number of free electrons and/or diffusion coefficients by doping and alloying engineering.¹²³ For example, doping SnO₂ and TiO₂ ETLs with metal cations (V³⁺, Al³⁺,

Mg²⁺, In⁺) has significantly increased the diffusion coefficient to the range of 10⁻⁵-10⁻⁴ cm²·s⁻¹ and thus has enhanced the electric conductivity of ETLs to ~10⁻⁴ S·m⁻¹.¹²⁴⁻¹²⁷

Table 1. Electronic properties of ETLs for PSCs.

ETL	CBM [eV]	VBM [eV]	Mobility [cm ² V ⁻¹ s ⁻¹]	Film conductivity S·cm ⁻¹	Reference
TiO ₂	-4.1	-7.3	1 (bulk)	1.1×10 ⁻⁵	62 ^c , 63 ^b , 75 ^a
SnO ₂	-4.3	-7.9	240 (bulk)	10 ⁻³	101 ^c , 102 ^{a, b}
ZnO	-4.17	-7.47	205-300 (bulk)	1.32 × 10 ⁻³	64 ^b , 105 ^a , 106 ^c
Nb ₂ O ₅	-4.33	-7.79	0.2 (bulk)	2.053 × 10 ⁻⁵	105 ^b , 108 ^a , 109 ^c
WO _x	-4.38	-8.22	10-20 (bulk)	3.4×10 ⁻³	112 ^{a, b, c}
In ₂ O ₃	-4.30	-8.15	20 (bulk)	2.49 × 10 ⁻⁴	114 ^{a, b, c}
Zn ₂ SnO ₄	-4.33	-7.94	10-15 (bulk)	1.1 × 10 ⁻²	116 ^{a, c} , 117 ^b
BaSnO ₃	-3.91	-7.01	300 (bulk)	10 ⁻⁴	118 ^{a, b, c}
SrTiO ₃	-3.65	-6.90	5-8 (bulk)	—	105 ^b , 120 ^a
SnS ₂	-4.24	-6.54	7.85×10 ⁻⁴ (film)	7.17×10 ⁻⁴	58 ^{a, b, c}
1-benzyl-3-methylimidazolium chloride	-4.32	-8.91	1.0 × 10 ⁻³ (film)	—	128 ^{a, b}
TDTP	-4.03	-5.44	4.6×10 ⁻³ (film)	—	130 ^{a, b}
PCBM	-4.0	-6.0	2×10 ⁻³ (film)	—	131 ^{a, b}
TPE-PDI4	-3.87	-5.92	1.0×10 ⁻³ (film)	—	131 ^{a, b}
N-PDI	-3.72	-6.05	—	0.8×10 ⁻⁵	132 ^{a, c}
PFN-2TNDI	-3.84	-5.57	—	—	143 ^a

The labels of a, b and c correspond to the references of energy levels (CBM and VBM), mobility and film conductivity, respectively.

Ionic liquids (IL) have also been introduced into PSCs as ETLs or interface modifiers due to their good thermal and electrochemical stability, high electrical conductivity and carrier mobility. For example, Yang et al. used solid state ionic-liquid (ss-IL), 1-benzyl-3-methylimidazolium chloride) as the ETL, prepared using a low-temperature solution process.¹²⁸ The hydrophilic nature of ss-IL facilitates the formation of high-quality perovskite films with reduced electron trap-state densities. The flexible PSC has achieved a record PCE of 16.09%. Meanwhile, they developed 1-butyl-3-methylimidazolium tetrafluoroborate IL to tailor the surface properties of TiO₂, including smoothening TiO₂ surfaces, reducing work function of TiO₂, and improving electron mobility.¹²⁹ After modification by the IL, the device PCE was increased to 19.62%, and

the J-V hysteresis was completely eliminated due to significantly reduced traps and balanced charges.

Recently, many organic small molecules have been developed as the ETLs for highly efficient PSC devices. Comparing with their inorganic counterparts, the organic ETLs offer fascinating flexibility of chemistry to react with perovskite compounds and low processing temperature for device fabrication. For example, Gu et al. proposed an n-type sulfur-containing azaacene (TDTP) as the ETL to replace the PCBM in an inverted PSC.¹³⁰ The sulphur species inside the molecular structures was identified to dramatically improve the interfacial interactions between the ETL molecules and perovskite layer through formation of S-I or S-Pb chemical bonds, thus displaying a high electron mobility of 4.6×10⁻³ cm²·V⁻¹·s⁻¹ and passivating the

perovskite surface, which enhances the PCE up to 18.2% (Figure 11a). Jiang et al. synthesized a three-dimensional perylene diimide (PDI)-based molecules (TPE-PDI4) with a high electron mobility of $1.0 \times 10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. When the TPE-PDI4 was used as the ETL in their study, the obtained PSCs achieved a high PCE of 16.29% (Figure 11b). Moreover, when used as a thin interfacial layer, the PSCs based on TPE-PDI4 could achieve an efficiency of 18.78%.¹³¹

Besides, a few studies have fully demonstrated the overall advantages of using these organic ETLs, including adjustability of optoelectronic properties by structural changes, availability of multi-defined structures, easy purification and synthesis in material processing, as well as batch-to-batch reproducibility and flexibility. For example, Zhang et al. introduced an amino-substituted perylene diimide derivative (N-PDI) ETL into the PSCs.¹³² The interactions between the amino groups of N-PDI and Sn (IV) of FTO substrate have found to accelerate the surface electron extraction and passivate the surface trap states of $\text{MAPbI}_{3-x}\text{Cl}_x$ film by using the N-PDI (Figure 12c). Owing to the significant reduction of electron trap density by passivation, a smaller capacitance was obtained from the response in the intermediate frequency region in the EIS results for the N-PDI based device. This decrease in capacitance results in a higher V_{OC} value and boosts the J_{SC} and FF for N-PDI-based devices. As a result, an excellent PCE of 17.66% on FTO substrate was achieved.¹³²

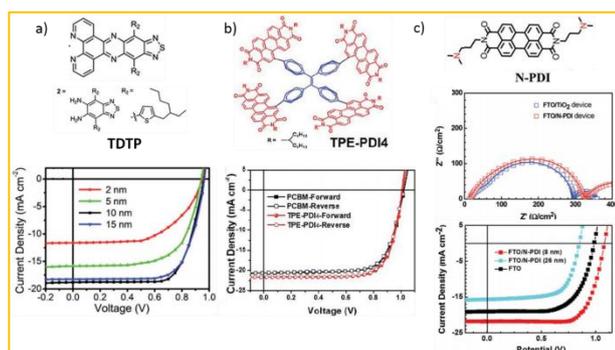


Figure 11. a) Molecular structure of TDTP and J - V curves of PSCs with different TDTP thicknesses.¹³⁰ b) Chemical structure of TPE-PDI4 and forward/reverse scans of PSCs using TPE-PDI4 and PCBM as ETLs, respectively.¹³¹ c) Molecular structure of N-PDI, EIS curve of PSCs with different ETLs was performed at around V_{OC} under illumination with an LED array emitting white light, the applied voltage of 0.9 V is given, and J - V curves of the perovskite solar cells based on FTO/N-PDI with different thicknesses and the control device based on the FTO substrate.¹³² Reproduced from Ref. 130, 131, 132 with permission from the Royal Society of Chemistry.

In addition, double-layer ETLs are also used to further improve the charge extraction and passivate the surface defects of perovskite. For example, $\text{TiO}_2/\text{SnO}_2$ bilayer ETLs can reduce the leakage current and the charge recombination compared with single ETL of either TiO_2 or SnO_2 .¹³³ Wang et al. found [6,6]-phenyl-C61-butyrac acid (PCBA) could partially fill the surface trap states of SnO_2 and passivate the interfaces between SnO_2 and perovskite in the SnO_2/PCBA -based bilayer PSCs.¹³⁴ Moreover, ZnO/fullerenes bilayer ETL could efficiently hinder the reactions between ZnO and perovskite during annealing ($>100^\circ\text{C}$), thus improving the device's stability.¹³⁵

The electron selective layers of perovskite solar cells are also critical for achieving good photovoltaic properties.¹³⁶ Despite exhibiting a low electron mobility for the a- SnO_2 (generally prepared using a sol-gel method), its suitable electronic energies combined

with high transparency ($E_{\text{gap}} > 4 \text{ eV}$) and uniform substrate coverage make it an excellent ETL candidate for the low-cost and large-scale fabrication of organo-halide lead PSCs and other organic photovoltaics. Figure 12 outlines for the design features and development trend of ETLs for the large area and flexible PSCs, including the energetics and work function tunability between the ETL and perovskite layer, the method for low-temperature fabrication of various function layers, and the strategies for efficient charge extraction and transport via two-dimensional materials or surface passivation with organic functional groups for instance.

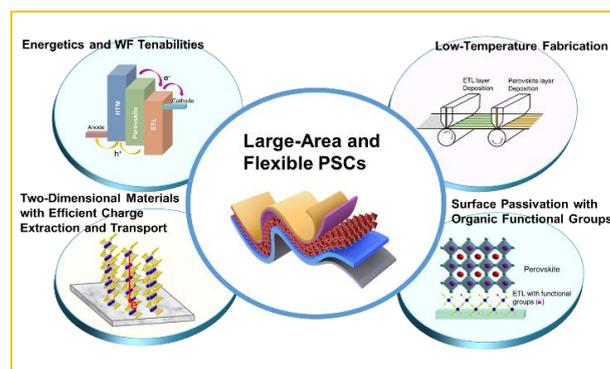


Figure 12. Outlines for the design features and development trend of ETLs for the large area and flexible PSCs, including the energetics and work function tunability between the ETL and perovskite layer, the methods for low-temperature fabrication of various function layers, and the strategies for efficient charge extraction and transport via two-dimensional materials or surface passivation with organic functional groups for instance.

For example, Shin et al. reported a low-temperature ($<180^\circ\text{C}$) solution-processed SnO_2 ETL prepared using a spin-coating method, which showed good antireflection, suitable band edge positions, and high electron mobility.¹³⁷ As a result, the planar PSC made using this layer has achieved a PCE of 17.21% with high V_{OC} value of 1.11 V and a high J_{SC} value of 23.27 mA cm^{-2} under the reverse voltage scan. Yang et al. applied amorphous TiO_2 (am- TiO_2) as the ETLs, which can be prepared at room temperature using a magnetron sputtering method.¹³⁸ The film exhibited favourable transmittance and fast electron extraction and transport. The large-area ($>10 \text{ mm}^2$) and flexible PSCs based on am- TiO_2 achieved a PCE of 15.07%. Li et al. recently introduced a homogeneous carbon quantum dots (CQDs) into the nanocrystal TiO_2 solution to form a high quality ETL for efficient PSCs (Figure 13a).⁶⁶ Notably, these CQDs exhibited excellent light harvesting ability and act as an electron reservoir, thus leading to a significant improvement of efficient charge carrier extraction and injection between the TiO_2 and perovskite layers in PSCs. When the amount of CQDs added was 10 wt.%, the planar heterojunction PSC devices achieved a PCE as high as $\sim 19\%$ (Figure 13b). This is mainly attributed to the improvement of electron mobility, electron extraction ability (Figure 13c), and good match of energy levels between ETL and perovskite active layer by the adding these CQDs. All of these have led to remarkable increases on both the values of J_{SC} and V_{OC} .

The electronic conductivity of SnO_2 can also be increased via doping moderate level of graphene quantum dots (GQDs).¹³⁹ Therefore, photo-generated electrons transferred from the GQDs to SnO_2 could effectively fill electronic traps and thus improve the

device's PCE from 17.91% to 20.31% with the significantly reduced hysteresis. A further thermal treatment of dispersions of GQDs and SnO₂ has found to improve the film coverage and reproducibility.¹⁴⁰

Zhao et al. reported the usage of electronic conducting graphene to form the graphene/SnO₂ composites, which was then used as an ETL in the PSC (Figure 13d).¹⁴¹ The van der Waals interactions were generated between perovskite material with graphene, which were proved to be able to fix the octahedral [PbI₆]⁴⁻ at the perovskite/ETL interface. After optimization, a high PCE of 20.2% and a high FF of 82% have been achieved by using FA_{0.75}MA_{0.15}CS_{0.1}PbI_{2.65}Br_{0.35} perovskite and the optimized amount of graphene of 5 v/v%. This good performance has been attributed to the enhancement of electron mobility, extraction ability, and the reduced carrier recombination (Figure 13e).

Yang et al. mixed ethylene diamine tetra-acetic acid (EDTA) into SnO₂ aqueous colloidal dispersion to prepare an EDTA-complexed SnO₂ (E-SnO₂) ETL.¹⁰⁰ Compared to that of SnO₂, this E-SnO₂ showed a smaller contact angle with water, thus resulting in the reduced Gibbs free energy for the nucleation of perovskites and lowered surface energy for its growth. All these resulted in the production of high-quality perovskite films. Moreover, the EDTA modification increased the electron mobility by three times, suppressed hysteresis, and improved the Voc value due to an improved energy level alignment against perovskite. A rigid PSC and a flexible PSC with the E-SnO₂ as the ETLs achieved PCEs of 21.60% and 18.28%, respectively.

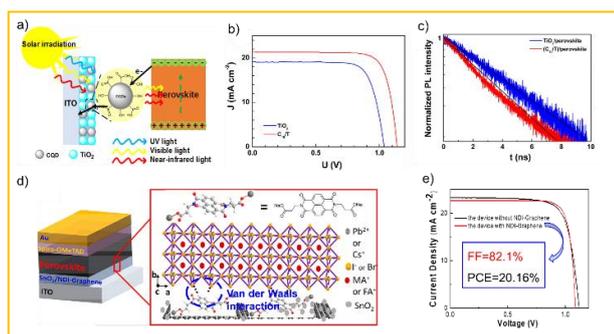


Figure 13. a) Schematic illustration of the working mechanism for the perovskite with CQD-doped TiO₂ as the ETL. b) *J*-*V* curves of PSCs based on ITO/C10/T and the control device based on the ITO/TiO₂ substrate. c) Time-resolved PL decay transients for TiO₂/perovskite (black), CQD-doped TiO₂/perovskite (red) films. d) Schematic architecture of planar perovskite solar cells.⁶⁶ The expanded scheme shows the chemical structure of NDI and the bonding condition of NDI-graphene with perovskite films. e) *J*-*V* curve (reverse scan) of device based on SnO₂-5% G ETL.¹⁴¹ a) and b) and c) Reproduced with permission from Ref. 66. Copyright 2017 American Chemical Society.; d) and e) reproduced from Ref. 141. Copyright 2018 American Chemical Society.

Gong et al. reported to use F-doped SnO₂ (F:SnO₂) nanocrystals as the ETL in an n-i-p planar PSCs (Figure 14a), and the device's *V*_{OC} values could be tailored by gradually changing the band offset at the interface of perovskite active layer and ETL. The up-shifts of the Fermi level of new ETL by doping of F⁻ were reported to be around 68 and 95 meV, which are beneficial for free electron extraction and transport. The built-in potential at the ETL/perovskite heterojunction interface was estimated to be 1.06 V, which can effectively decrease carrier recombination and increase the *V*_{OC}. Consequently, the n-i-p planar PSCs using this bilayer ETLs have achieved a PCE of 20.2% with

a high *V*_{OC} of 1.13 V (Figure 14b).¹⁴² Zhao et al. recently demonstrated a new strategy of utilizing a two-dimensional SnS₂ as the ETL material for high-efficiency PSCs *via* a self-assembly stacking deposition method (Figure 14c). The large-scale two-dimensional multilayer SnS₂ sheet structure promoted a heterogeneous nucleation over the perovskite precursor film. The intermolecular Pb-S interactions between perovskite and SnS₂ could passivate the interfacial trap states, which suppresses charge carrier recombination and thus facilitates electron extraction for balanced charge transport at interfaces between electron transporting layer/perovskite and hole transporting layer/perovskite. Based on this design, a PCE value of 20.12% was realized for the 2D ETL based PSCs, along with a high *J*_{SC} of 23.55 mA·cm⁻² and an impressive *V*_{OC} value of 1.161 V (Figure 14d).⁵⁸ The proposed SnS₂ ETL has many advantages including the simple and convenient process, controllability, and enhanced contacts for charge transfer.

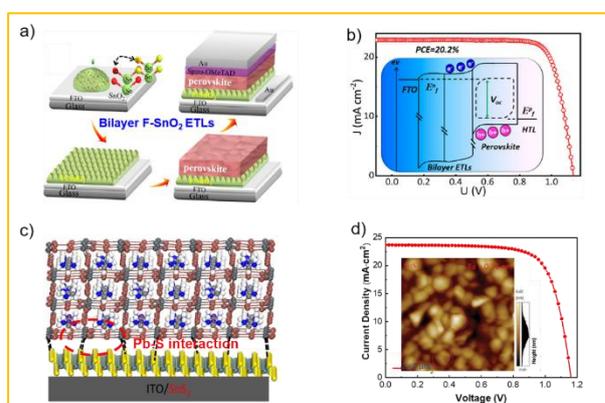
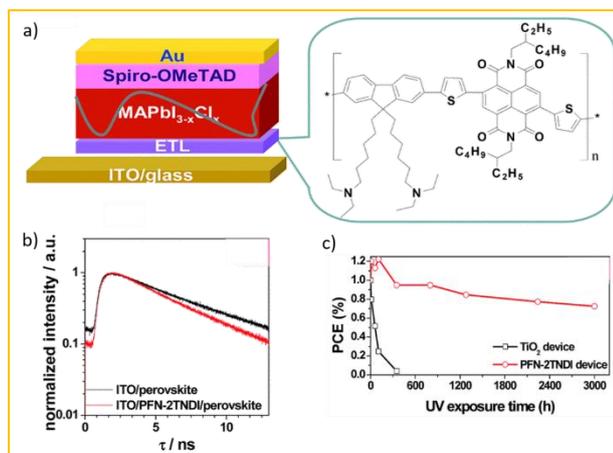


Figure 14. a) Flowchart of fabrication process. b) *J*-*V* curves of PSCs based on bilayer ETL, and inset is Quasi-Fermi level splitting of the bilayer ETLs based devices at open circuit under illumination.¹⁴² c) Illustration of the perovskite films deposited on the SnS₂ substrate. d) *J*-*V* curves (reverse scan) of devices A (SnO₂ as ETL) and device B (SnS₂ as ETL), respectively, and the inset shows AFM images of 2D multilayer SnS₂ film.⁵⁸ a) and b) Reproduced with permission from Ref. 116. Copyright 2018 American Chemical Society.; c) and d) Reproduced with permission from Ref. 58. Copyright 2018 Wiley.

Polymer semiconductors possess many appealing features, some of which make them as potentially good candidates for the ETL, such as simple process, adjustable energy levels and excellent optical and electrical properties. Generally, a semiconductor oxide can be conveniently dispersed in an organic phase to modify the surface area effect, volume effect and the quantum size effect, and thus will lead to low carrier recombination rates. For example, Li et al reported a copolymer PFN-2TNDI with a conjugated structure as the ETL in PSCs (Figure 15a).¹⁴³ The work function of the ITO was reduced to 3.81 eV after the deposition of PFN-2TNDI (5 nm), thus facilitating formation of an ohmic contact and efficient charge transfer and extraction at the ETL/MAPbI_{3-x}Cl_x interface (Figure 15b). The PFN-2TNDI device showed a good performance with a PCE value of 16%. More importantly, in addition to the low temperature processability offered by the polymer ETL, the devices based on the polymer ETL

showed significantly enhanced photostability against UV irradiation (Figure 15c).

Figure 15. a) The n-i-p structured planar heterojunction PSCs using the PFN-2TNDI electron transport layer. b) Time-resolved PL decay of MAPbI_{3-x}Cl_x films on the ITO



and ITO/PFN-2TNDI. c) The evolution of PCE as a function of testing time under UV illumination for the PFN-2TNDI- and TiO₂-based PSCs. The devices were stored under exposure to UV in a N₂-filled glove-box during testing.¹⁴³ Reproduced from Ref.143 with permission from the Royal Society of Chemistry.

5. Conclusion and outlook

In this paper, we have comprehensively reviewed recent advances of ETLs based on organic and inorganic structures for highly efficient PSCs (PCE>20%). As discussed above, the specific design methodologies of ETLs have been used to improve photovoltaic parameters. Various properties (including electrochemical properties and surface morphology properties) of the ETLs have been exploited to enhance the performance of PSCs. An efficient ETL should process high electron mobility, high electrical conductivity, uniform and pinhole-free film morphology and suitable LUMO energy levels, which should match with those of the perovskites. Besides, ultrafast spectroscopy characterization, electronic impedance spectroscopy characterization, and transient decay technology could provide critical information on carrier dynamics including charge extraction, diffusion and recombination related to the ETLs. With the help of these characterization techniques, significant enhancement of PCEs has been realized by designing new electron transport materials or modifying the ETLs. Metal oxides, for example TiO₂, SnO₂, and ZnO, are the most widely used ETLs. Further tailoring of their electrical properties can be realized by doping and alloying engineering or optimizing the surface of ETLs with lower defect density and forming a better contact with perovskites by introducing extra components. In addition, some organic molecules have been developed as electron transport materials.

Despite the rapid advances, further optimization of ETLs are critically desired in order to further improve the device's efficiency and stability for their successful commercialization. For the inorganic metal oxide-based ETLs, their components, synthetic or deposition methods, and their post processes could be further optimized, for examples, to lower the annealing temperature for crystallization or to optimise morphology for the enhancement of electron transfer.

For the organic ETLs, attention should be laid on the purity and stability under the heating treatment.

As the PSCs have already achieved an excellent PCE values over 25%, there should have further investigations for controlling factors and methods for the scaling up of large-area and flexible PSCs. First, it is well-known that the composition of the ETLs can largely impact the design of scalable deposition.²⁹ Developing the appropriate ETLs in order to meet the stringent requirements for large-area modules has become one of crucial research topics. The ETLs should not only have high mobility, high conductivity, smoothness and compact coverage of film morphology and suitable energy level, but also be easily produced at a low-temperature (< 150 °C) in order to be compatible with the standard industrial processes as well.¹⁴⁴

Secondly, scalable deposition process should be capable of controlling nucleation and growth of perovskites, which demand advanced equipment and harsh production conditions.¹⁴⁵ Strategies could be adopted to reduce fabrication technology requirements. For example, the surface energy and structure of the ETLs could be tailored by functionalizing them with surface-organic groups. Novel two-dimensional ETLs could also be designed in order to control the crystallization kinetics and film quality of perovskites.

Finally, PSCs fabricated using current scalable fabrication methods, including roll-to-roll production, doctor-blade coating and spray-coating, often show low PCEs and poor stability. To overcome such issues, hydrophobic groups such as fluorine and carbon nanotube can be introduced into electron transport materials, which will not only improve the long-term stability of the PSCs against water, oxygen, light and heat, but also passivate surface defects of the ETLs to enhance the photovoltaic performance.

Conflicts of interest

There are no conflicts to declare.

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