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1 **Large-scale fabrication of three-dimensional scaffold-based patterns of microparticles**
2 **and breast cancer cells using reusable acoustofluidic device**

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7 **Abstract:**

8 Spatial distribution of biological cells plays a key role in tissue engineering for reconstituting
9 the cellular microenvironment, and recently acoustofluidics have been explored as a viable tool
10 for controlling structures in tissue fabrication because of its good biocompatibility, low-power
11 consumption, automation capability, nature of non-invasive and non-contact. Herein, we
12 developed a reusable acoustofluidic device using surface acoustic waves for manipulating
13 microparticles/cells to form a three-dimensional (3D) matrix pattern inside a scaffold-based
14 hydrogel contained in a millimetric chamber. The 3D patterned and polymerized hydrogel
15 construct can be easily and safely removed from the chamber using a proposed lifting
16 technique, which prevent any physical damages or contaminations and promote the reusability
17 of the chamber. The generated 3D patterns of microparticles and cells were numerically studied
18 using finite element method, which was well validated by the experimental results. Our
19 proposed acoustofluidic device is a useful tool for *in vitro* engineering three-dimensional
20 scaffold-based artificial tissues for drug and toxicity testing and building organs-on-chip
21 applications.

22 **Keywords:** surface acoustic waves, acoustofluidics, microfluidics, 3D patterning, organs-on-
23 chips

24 _____

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1 **1. Introduction**

2 Tissue engineering is an interdisciplinary field which utilizes biotechnology and engineering
3 to develop artificial tissues [1, 2]. State of the art techniques in tissue engineering have recently
4 been hindered by some fundamental problems of maintaining viability, function and structure,
5 while achieving more accurate patterning architectures that similar to architectures of tissues
6 [2]. In scaffold-based tissue engineering, cells are seeded onto three-dimensional (3D)
7 scaffolds that provide a temporary 3D environment, and mechanical support for tissue growth
8 [3-5]. Cells in the tissues are highly sensitive to geometrical and mechanical cues from their
9 microenvironment [6-8]. Geometries in the microenvironment such as the position and
10 orientation of adjacent cells, cells density and their distributions play an important role on
11 cellular physiology, from intracellular organization to multicellular morphogenesis [9]. For
12 example, the lack of organized elastin in vascular grafts contributes to multiple failure
13 mechanisms [10]. In microfabrication, micropatterning techniques offer excellent control for
14 engineering of 3D structures of cells in tissue engineering scaffold [6, 11, 12]. Therefore, many
15 techniques have been developed to achieve the patterning of cells in the microenvironment
16 from mesoscale, e.g., using cell sheet, cell-laden hydrogel and 3D printing; to microscale e.g.,
17 microfluidics, using electrical stimulation, optical guiding, inkjet-based cell printing, and laser-
18 assisted printing [1, 9]. Among several approaches to achieve this, the acoustic-based methods,
19 especially surface acoustic waves (SAWs), has been rapidly developed as a fast, non-invasive,
20 biocompatible and contactless technique for manipulating and patterning of cells in
21 microfluidic channels [13-25]. The current designs of SAW devices require direct bonding of
22 chamber on a piezoelectric substrate which may causes cross-contamination, cell loss and
23 structure deformation. To overcome this issue, a reusable acoustic tweezers for disposable
24 SAW device by introducing a coupling liquid layer between a removable, independent

1 polydimethylsiloxane (PDMS)-glass hybridized microfluidic chamber and the device. The
2 transmission of acoustic waves from lithium niobite substrate into a superstrate has been
3 reported [26-29]. Although there is a reflection of the longitudinal wave at interfaces of
4 glass/liquid and lithium niobate/liquid, the transmission of acoustic power into the superstrate
5 is still sufficient to generate a Lamb wave [27]. This set-up has been applied for various lab
6 on a chip applications such as droplet manipulations, [30-34] and particle enrichment [35, 36].
7 In addition, we have previously shown that SAW devices are capable of patterning polymer
8 microparticles into three-dimensional matrix structures [15, 37], as well as precisely and
9 reliably controlling the 3D position of single microparticles and cells [38]. However, to date,
10 no studies have explored the use of the reusable SAW or acoustofluidic device for rapid 3D
11 patterning of biological objects in scaffold-based hydrogels contained in a millimeter-scale
12 microfluidic chamber.

13 In this study, we developed a reusable acoustofluidic device for manipulating microparticles
14 and biological cells into 3D matrix pattern in a scaffold-based collagen hydrogel using SAWs.
15 Type I collagen was used as the hydrogel scaffold owing to its popularity, ease of extraction
16 and adaptability [39, 40]. The rapid gelation of type I collagen is also suitable for restricting
17 the movement ability of 3D patterned structures. This hydrogel has been found widespread use
18 in 3D scaffold-based culture in tissue engineering, especially in 3D bioprinting [40-43]. In this
19 reusable acoustofluidic device, microparticles and cells are manipulated to form a 3D pattern
20 in the collagen hydrogel that looks like crystal-like structure. The 5 mm × 5 mm × 5 mm open-
21 top chamber has a capacity to contain the hydrogel solution up to 0.125 ml and can be scaled
22 to larger dimension (e.g. centimeter-scale). For the purpose of reusability, removal of the
23 chamber from the SAW device was achieved by using the coupling liquid for guiding acoustic
24 waves from the device to the chamber [26], while removal of the 3D polymerized, patterned
25 hydrogel construct by employing a buoyant force lifting technique. Using this way, the

1 hydrogel construct could be easily and safely removed from both chamber and device, along
2 with preventing any external physical damages and contaminations. Our developed device is
3 highly suitable for *in vitro* engineering 3D artificial tissues or integrating into organ-on-chip
4 platforms.

5 **2. Method**

6 **2.1. Device fabrication**

7 SAW device was fabricated by depositing the interdigital transducers (IDTs) which were
8 made of metal electrodes (Cr/Au, 10 nm/50 nm) on a 128° Y-cut lithium niobate (LiNbO₃)
9 substrate using standard photolithography and lift-off processes. The IDTs consisted of 40 pairs
10 of fingers with both width and space gap of 75 μm, corresponding to the SAW wavelength of
11 300 μm. A 5 mm × 5 mm × 5 mm (length × width × height) PDMS chamber (Sylgard 184
12 Silicone Elastomer; Dow Corning, USA) was prepared using a soft casting method into a
13 custom aluminum mold. A cover glass (22 mm wide × 22 mm long × 0.15 mm thick) was then
14 attached to the bottom of the chamber to form an open-top PDMS-glass chamber or an open-
15 top superstrate [26, 27]. The chamber has an open-top face that promotes penetration of culture
16 medium into the cell-hydrogel construct and for easily removal of gelled hydrogel construct.

17 **2.2. Preparation of biological cells and microparticles**

18 Michigan Cancer Foundation-7 (MCF-7) breast cancer cell line, purchased from the
19 American Type Culture Collection, were cultured in Dulbecco's Modified Eagle Medium
20 (Gibco; Thermo Fisher Scientific, USA), supplemented with 10% FBS and 1% antibiotic. The
21 cells were maintained to grow in an incubator (HERACELL VIOS 160i, Thermo Fisher
22 Scientific, USA) at 37°C and 5% CO₂ for three to four days until 70 to 80% confluence was
23 reached. They were then trypsinized using 0.05% trypsin and collected by centrifuging at 250

1 g for 5 mins. The cell pellet was re-suspended in complete media and counted using a
2 hemocytometer. The final concentration was adjusted to 4.68×10^6 cells/ml for the
3 experiments.

4 Two types of microparticle were used in the experiment i.e., non-functionalized polystyrene
5 microspheres (average size of 10 μ m, concentration of 4.55×10^7 microparticles/ml,
6 Polysciences, USA), and fluorescent polystyrene microspheres (average size of 10 μ m,
7 concentration of 1×10^6 microparticles/ml, Thermo Fisher Scientific, USA).

8 **2.3. Live/dead viability assay**

9 The cells were stained with the commercially available LIVE/DEAD™ Cell Imaging Kit
10 (Invitrogen™ by Thermo Fisher Scientific, USA). According to the manufacturer, Live Green
11 was mixed with the Dead Red and 1 mL of the mixture was added on the cell-hydrogel
12 construct. The construct was incubated for 30 mins at room temperature before being imaged
13 with a fluorescence microscope (Eclipse Ti-U, Nikon, Japan). The viability of the cells was
14 determined by counting the number of live and dead cells from captured fluorescent images.

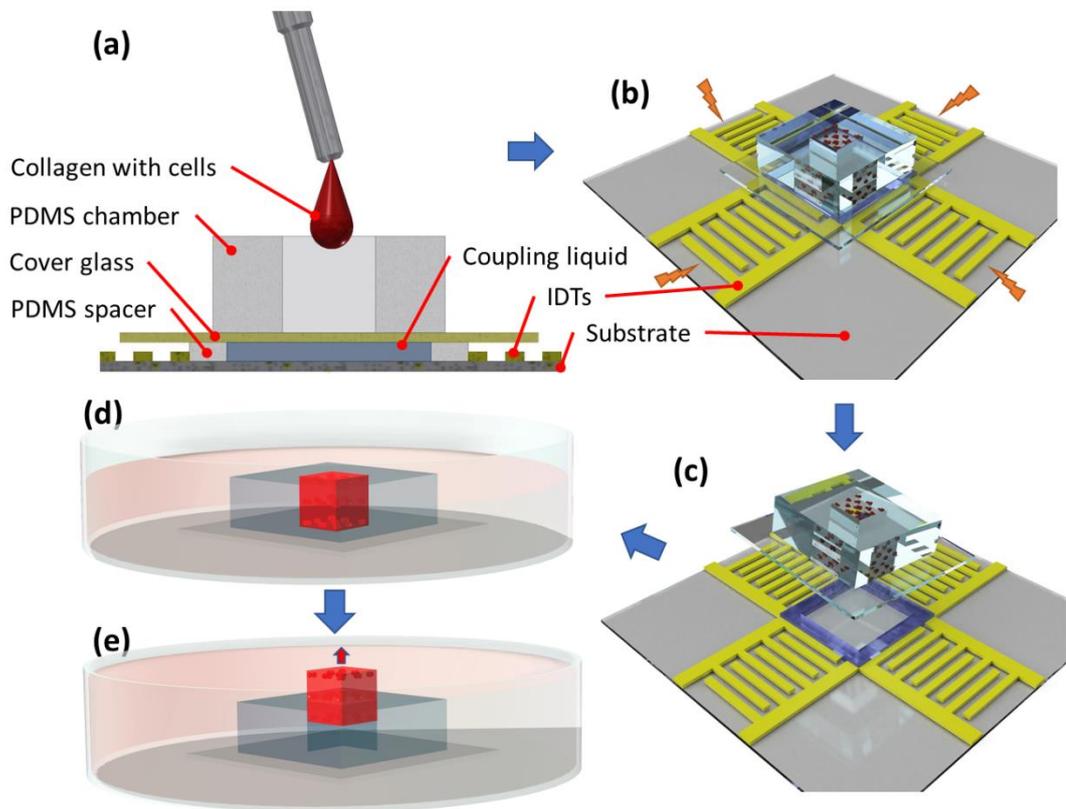
15 **2.4. Preparation of collagen solution**

16 Corning® Collagen, rat tail Type I (4.88 mg/ml, Corning Inc, USA) was used according to
17 the manufacturer's protocol. The collagen was diluted with 10X Phosphate-Buffered Saline
18 (PBS) and Deionized (DI) water. The mixture was then neutralized by adding 1N Sodium
19 Hydroxide (NaOH), making the final volume 0.5 ml. This was quickly followed by the addition
20 of 0.5 ml cell suspension and Live/Dead solution. The final cell concentration of cell and
21 collagen was 1.15×10^6 cells/ml and 0.815 mg/ml, respectively.

1 **2.5. Numerical method**

2 Patterning behavior of the mixed solution of collagen and microparticles within the chamber
3 was simulated. The numerical model was simplified to a two-dimensional rectangular domain
4 that viewed from the right side [15]. The simulation model simply solves the Helmholtz's
5 equations [44], together with the boundary conditions using the finite element method
6 integrated into the COMSOL software to obtain the first order acoustic pressure and the
7 acoustic radiation potential.

8 **2.6. Experimental set up**



9

10 **Figure 1** The schematic diagram of experimental setup and procedures. (a) A mixed solution
11 of hydrogel and cells was injected into an open-top PDMS-glass chamber, which was
12 previously placed on the SAW device with a coupling liquid at the gap between the chamber
13 and the device. A PDMS spacer was used to create space for containing the coupling liquid and

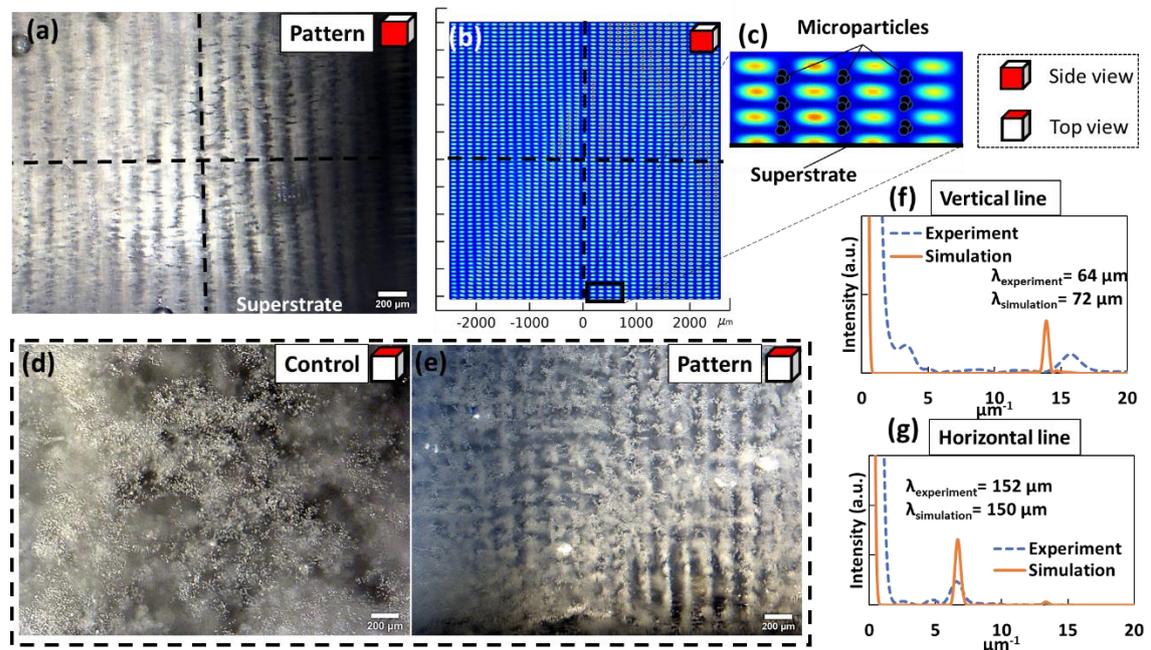
1 preventing it from leakage (b) The IDTs of SAW device were powered to perform 3D
2 patterning. (c) The chamber was taken off from SAW device. (d) The chamber was transferred
3 to a petri dish containing culture medium. (e) The 3D polymerized, patterned hydrogel
4 construct was removed from the chamber by dipping the entire chamber into the medium,
5 which based on buoyant force lifting principle.

6 The experimental setup and procedures are illustrated in **Figure 1**. Prior to the experiments,
7 the open-top PDMS-glass chambers were sterilized with 70 % ethanol solution for 2 hours and
8 then rinsed with Deionized (DI) water. The chamber was then placed on the SAW device with
9 the coupling liquid as shown in **Figure 1a**. The coupling liquid was used as an acoustic guide
10 for transmitting the acoustic waves from SAW device to the chamber [26]. A PDMS spacer
11 was used to create a gap for the coupling liquid while preventing the liquid leaks. The chosen
12 coupling liquid was 70% ethanol solution due to its low acoustic impedance, availability and
13 easy cleaning. A mixed solution of collagen and microparticles/cells was then injected into the
14 chamber (**Figure 1a**). Then, the SAW device was powered to perform 3D patterning of
15 microparticles/cells in collagen solution (**Figure 1b**). When the hydrogel was partially
16 polymerized after about 10 mins, the open-top PDMS-glass chamber was removed from the
17 device (**Figure 1c**) for imaging using fluorescence microscopy or for culturing in the incubator
18 (**Figure 1d**). The 3D polymerized, patterned hydrogel was removed from chamber by dipping
19 the entire chamber into a petri dish that contained medium liquid and letting the buoyant force
20 to lift it up (**Figure 1d, e**). Cell viability was evaluated after patterning for 1 hour and 24 hours.

21

1 3. Results and discussion

2 3.1. 3D patterns of microparticles in collagen hydrogel



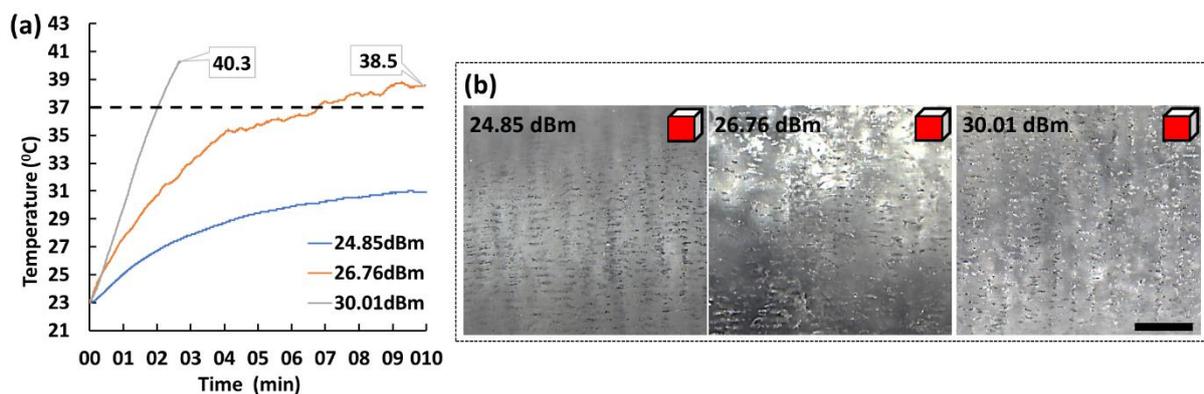
3
4 **Figure 2** Side views of (a) experimental pattern sample (scale bar: 200 μm) and (b) simulated
5 acoustic radiation potential. (c) Enlarged image of acoustic radiation potential and locations
6 where microparticles can be trapped. (d) and (e) are top views of control and pattern samples,
7 respectively (scale bar: 200 μm). (f) and (g) are 1D Fast Fourier transform (FFT) along the
8 vertical and horizontal dashed lines, respectively, that displayed in Figure 2(a) and (b).

9 The simulation model was first used to calculate the acoustic pressure field where the highest
10 and lowest acoustic pressure areas are alternatively distributed in 2D plane (**Figure S5** in
11 Supporting Information). This result was then employed to calculate acoustic potential field
12 (**Figure 2b**). The acoustic potential field explains the potential of the acoustic radiation force.
13 In the acoustic potential field, the acoustic radiation force is directed from the high-value
14 potential positions to low-value potential positions in three-dimensional space. Under this

1 force, the microparticles were relocated to the lowest potential positions (i.e., pressure node
2 positions) as shown in enlarged image of **Figure 2b**. By simulating the acoustic pressure and
3 the acoustic potential field within the chamber, the formation of microparticles into a 3D
4 pattern inside the hydrogel can be confirmed. To verify the simulation results, experiments on
5 SAW device were conducted to perform 3D patterning of polystyrene microparticles with
6 diameter of 10 μm in the collagen solution at a power of 24.85 dBm. Two samples with the
7 same volume of mixed solution of collagen and microparticles were prepared. One was placed
8 on the SAW device for generating 3D patterns and the other was left freely as a control sample
9 for comparison. After 10 minutes, both samples were polymerized. **Figure 2d** and **2e** are optical
10 microscopic images that show the top views of these two samples. Microparticles in the pattern
11 sample has regular distribution along two directions (i.e. vertical and horizontal directions).
12 Meanwhile, microparticles in the control sample has a random distribution. **Figure 2a** shows
13 the side view of the pattern sample which has the similar pattern to the simulation result as
14 shown in **Figure 2b**. In this experiment, an optical prism was applied to enable the side view
15 [15]. In structural organization of tissues, especially, patterning structure, spacing between
16 pressure nodes (i.e. trapped microparticles/cells) is the most important factor [45]. Therefore,
17 we have determined spacing of the 3D patterns from **Figure 2a** and **2b** by applying Fast Fourier
18 transform (FFT). **Figure 2f** and **2g** show the FFT for the directions along the vertical and
19 horizontal dashed lines, respectively, that displayed in **Figure 2(a)** and **2 (b)**. The results show
20 that the periodic spacing (half-wavelength) of the pattern along horizontal dashed line are
21 similar between experiment and simulation. Meanwhile, the periodic spacing along vertical
22 dashed line from simulation is higher than that in experiment. The reason for this difference
23 might be caused by the overlap of microparticles of two adjacent nodes. Another experiment
24 was conducted using fluorescent microparticles that enables better vision will be presented in

1 **Figure 4.** From the top and side views of pattern sample, it can be concluded that the
2 microparticles have been assembled into a 3D pattern.

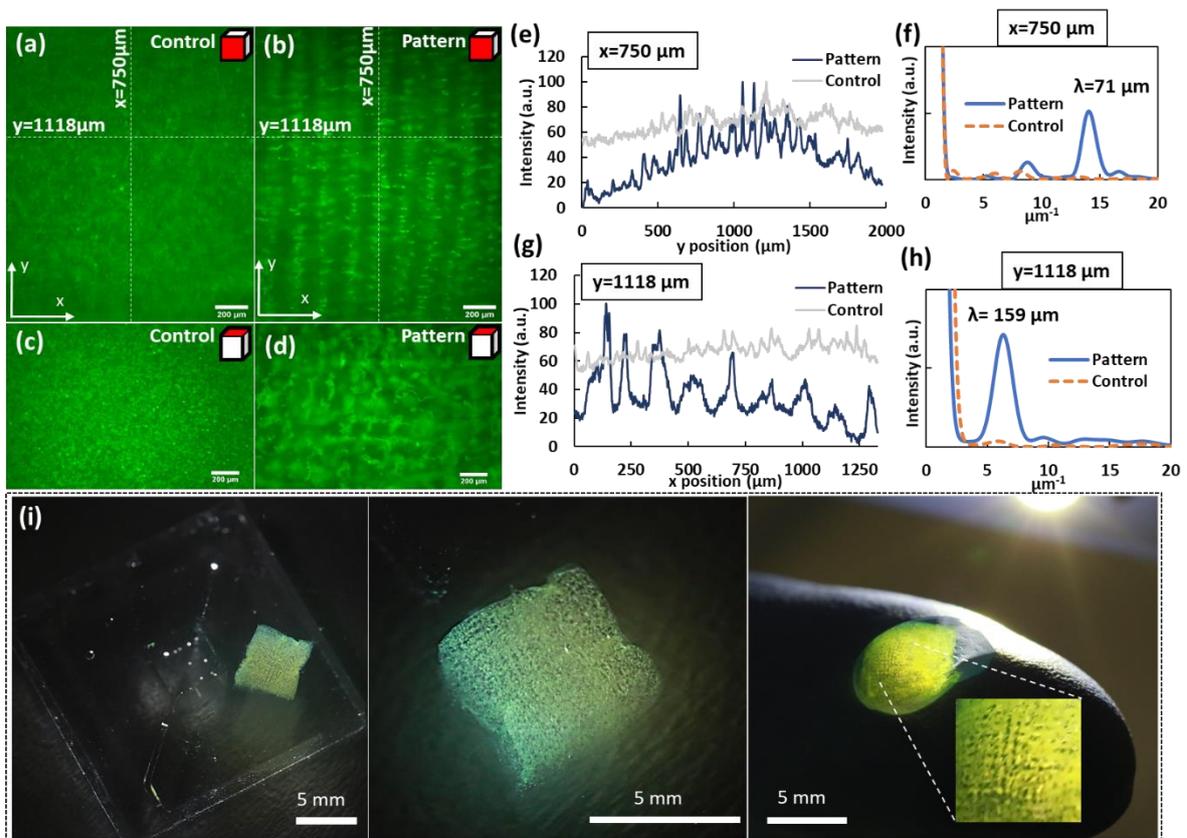
3 The formation mechanism of 3D pattern was thoroughly investigated in our previous
4 publication but with a smaller chamber (i.e., 1 mm in height) and PDMS top cover [15]. The
5 results were similar between the patterns in a 1 mm chamber and a 5 mm chamber in terms of
6 the distribution of nodes and the distances between the nodes. It could be noted that the 3D
7 patterns could be achieved even on a larger-scale chambers, which show the capability of
8 engineering large-size artificial tissues. The patterning structure, however, is not uniform at
9 some areas due to various reasons including the effect of second-order acoustic streaming,
10 variation of temperature along the depth since the heat source is from superstrate, the shrinkage
11 of hydrogel after polymerization, discrepancy of standing Lamb waves and deformation of top
12 free fluid surface. The open top surface helps on the removal of gelled construct and promote
13 the penetration of nutrient medium to the cells. We have tested the deformation of free fluid
14 surface at different power values (**Figure S3**). Thereby, the deformation of top free fluid
15 surface is tiny for power of 24.85 dBm which was used in experiments.



16
17 **Figure 3** (a) Measured temperature of the mixed solution of collagen and microparticles for
18 10 minutes at the power 24.85 dBm, 26.76 dBm and 30.01 dBm. (b) Patterned formations at
19 the power of 24.85 dBm, 26.76 dBm and 30.01 dBm, respectively.

1 The collagen type I forms a firm gel at the neutral pH value and a temperature of 37 °C [46].
2 In our experiment, once its pH is neutralized, the collagen solution can be polymerized when
3 its temperature is increased due to the heat generated from the IDTs of SAW device. This heat
4 plays a fundamental role in facilitating the gelling process. Since the rate of temperature
5 increase is highly influenced by the applied power of SAW device, the control of chamber
6 temperature is dependent on the control of the SAW power and time duration. At first, we
7 investigated the temperature changes of the mixed solution of collagen and microparticles at
8 different powers. **Figure 3a** shows the temperature changes at SAW powers of 24.85 dBm,
9 26.76 dBm, and 30.01 dBm for 10 minutes using a thermometer (t3000FC, Fluke, USA). It
10 can be seen that the higher power resulted in a higher increasing rate of temperature. However,
11 at the power of 26.76 dBm and 30.01 dBm, the temperature exceeded 37⁰C which may have
12 some negative influences on the cell viability. Moreover, a rapid increase of fluid temperature
13 could induce significant heat convection, thus resulting in convective fluidic flows. In addition,
14 since the SAW-induced acoustic streaming velocity is proportional to the SAW power [47-53],
15 higher powers can cause high-velocity acoustic streaming flows. These convective and acoustic
16 streaming flows could lead to the deformation of 3D patterned structures before the
17 polymerization occurred. The results in **Figure 3b** and **3c** show that the formation of 3D
18 patterns after polymerization at different values of SAW power. At the SAW power of 24.85
19 dBm, 3D patterned structure was still maintained and fully polymerized while the 3D patterned
20 structures at power of 26.76 dBm and 30.01 dBm were slightly deformed. After optimization,
21 we identified the suitable power value and duration to get the best performance were 24.85
22 dBm and 10 minutes, respectively. Because at this power, the solution temperature was keeping
23 below 37⁰C for preventing damage of the cells. To improve performance of the next-gen
24 devices, the solution temperature should be controlled by modulating drive of the SAW,
25 because the difference in the hydrodynamic and acoustic time scales permits one to run the

- 1 SAW device with a much decreased duty cycle to facilitate similar hydrodynamic phenomena
- 2 while at much less total acoustically driven heating [54].



3

4 **Figure 4** Side views (a and b) and top views (c and d) of the control sample (a and c) and

5 pattern sample (b and d), respectively (scale bar: 200 μm). (e) and (f) are intensity analysis and

6 1D FFT along the lines at $x = 750\mu\text{m}$ of both control and pattern samples, respectively. (g)

7 and (h) are intensity analysis and 1D FFT along the lines at $y = 1118\mu\text{m}$ of both control and

8 pattern samples, respectively. The intensity value ranges from 0 (minimum) to 255 (maximum).

9 (g) Removal of patterned hydrogel from the chamber. From left to right: the hydrogel construct

10 was placed onto the chamber in DI water after removal, a closer view of the removed hydrogel

11 construct with clear patterned structure, and the hydrogel construct was placed on a finger when

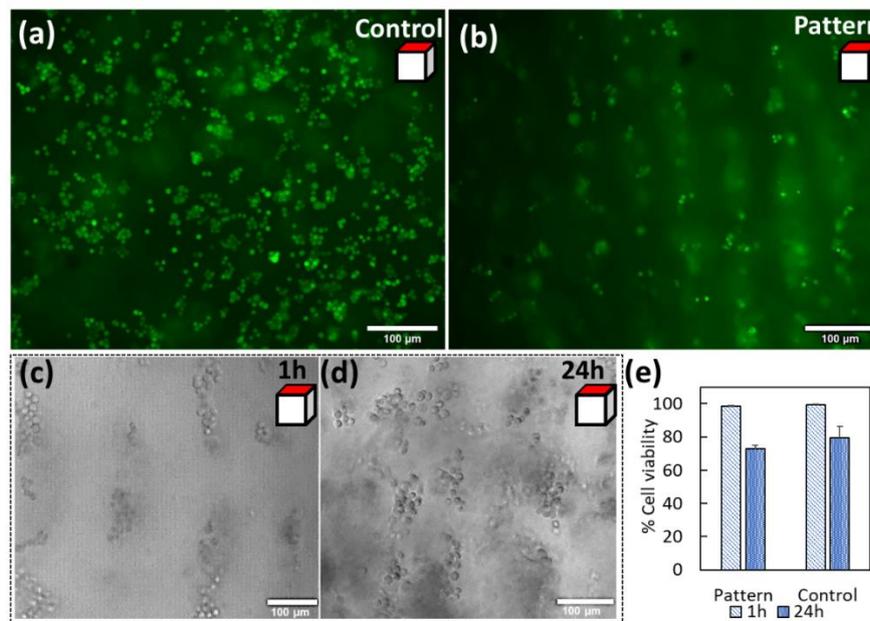
12 still maintained the patterned structure (scale bar: 5 mm).

1 When the mixed solution of fluorescent microparticles and collagen solution is patterned and
2 polymerized completely, the fluorescent images can reveal the accumulation positions of
3 microparticles (i.e., positions of pressure nodes) based on the peak of fluorescent intensity.
4 **Figure 4a to 4d** show the fluorescent images from side and top views of both pattern and
5 control samples. Meanwhile, **Figure 4e and 4g** show the graphs of fluorescent intensity
6 variations along the vertical lines crossing pressure nodes (i.e., $x = 750 \mu\text{m}$) and along
7 horizontal lines crossing pressure nodes (i.e., $y = 1118 \mu\text{m}$) of two samples. For the pattern
8 sample, the intensity along have regular peaks distribution. The intra- and inter-layer distances
9 of the pressure nodes were determined based on the periodic distribution of these peaks. An
10 analysis using FFT for the directions along the lines $x = 750 \mu\text{m}$ and $y = 1118 \mu\text{m}$ are shown
11 in **Figure 4f and 4h**, respectively. Their results show the periodic separation between two
12 nodes along vertical and horizontal directions are $71 \mu\text{m}$ and $159 \mu\text{m}$, respectively. These
13 values are close to those in simulation results presented in **Figure 2f and 2g** (i.e. $72 \mu\text{m}$ and
14 $150 \mu\text{m}$, respectively). In comparison to the control sample, the intensity lines are randomly
15 distributed without having regular peak distribution and the FFT analyses do not show any
16 significant peaks.

17 After polymerization, it is necessary to remove the hydrogel from the open-top PDMS-glass
18 chamber while ensuring the integrity of the 3D patterned structure. Herein, we proposed a
19 removing technique based on buoyant force lifting principle, which is also known as
20 Archimedes' principle. When the chamber was separated from SAW device and dipped into
21 the medium (**Figure 1d**), the liquid medium leaked inside the chamber resulting in a buoyant
22 force subjected to the hydrogel. Considering the gravity, since the density of hydrogel is
23 slightly lower than the density of medium, the hydrogel was then under a net upward force,
24 i.e., $F = Vg(\rho_M - \rho_H)$ where V is the hydrogel's volume, g is the gravitational acceleration,

1 $\rho_M = 1.000$ g/ml is the medium's density and $\rho_H = 0.991$ g/ml is the hydrogel's density. As a
2 result, the hydrogel can be levitated and removed from the open-top PDMS-glass chamber to
3 float into the medium. A spatula was used to assist separating parts of the hydrogel that stuck
4 to the chamber walls. **Figure 4g** shows a 3D patterned hydrogel construct of fluorescent
5 microparticles after being removed from chamber. After removal, the hydrogel construct could
6 be collected by the spatula or by removing all the liquid medium out. This removing technique
7 also helps to prevent any physical contacts or contaminations to the hydrogel construct, which
8 may damage the 3D patterned structure.

9 3.2. 3D patterns of MCF7 cells in collagen



10

11 **Figure 5** (a) and (b) Top view of control and pattern samples of live cells after staining,
12 respectively (scale bar: 100 μm). (c) and (d) Optical images of pattern sample after 1h and 24h,
13 respectively (scale bar: 100 μm). (e) Quantitative analysis of the cell viability of control and
14 pattern samples after 1h and 24h (plot as mean ± standard deviation).

1 We further performed 3D patterning of breast cancer cells in collagen hydrogel. The cells
2 were stained and mixed with collagen solution before being injected into the chamber. Two
3 samples were prepared, including one for patterning and one for control group. After patterning
4 using the SAW device, both of the samples were taken for imaging under the fluorescent
5 microscope. **Figure 5a** shows the top view of control sample where the cells are formed into
6 clusters with random shapes and sizes. Whereas the pattern sample is shown in **Figure 5b**
7 where most of clusters of cells were equally distributed. There are some faded clusters as they
8 are located at other layers of the 3D pattern. The hydrogel construct was then cultured in the
9 incubator for 24 hours to assess the cell viability. In **Figure 5d** and **5e**, we show the optical
10 images of cells patterning in 3D patterned hydrogel construct at 1h and 24h, respectively. The
11 cells are clearly aggregated at clusters which have a regular distribution. The decrease of both
12 samples' viability is caused by the toxicity of Live/Dead solution. A few dead cells in the
13 pattern sample may be attributed to the heat that transferred from the IDTs of SAW device.
14 Moreover, there is no significant difference between the pattern and the control samples'
15 viability at 1h and 24 h ($p\text{-value} > 0.01$, $p = 0.107$, Anova Two-Factor with Replication), which
16 proves that the 3D patterning using SAW device does not significant affect the viability of the
17 cells.

18 **4. Conclusion**

19 In this study, a reusable acoustofluidic device for constructing 3D scaffold-based patterns of
20 microparticles and cells in hydrogel was reported. The polystyrene microparticles and breast
21 cancer cells in collagen hydrogel were driven by the acoustic radiation force to form 3D
22 patterns in an open-top PDMS-glass chamber before being polymerized. The formation
23 mechanism of the 3D patterns was revealed from the simulation results which were in good
24 agreement with experimental results. The chamber is connected with the piezoelectric substrate

1 of SAW device via a coupling liquid, which promotes the ease of assembling and removal of
2 the chamber as well as the reusability of the SAW device. The 3D patterned, polymerized
3 hydrogel could be removed from chamber by using a lifting buoyant force technique, which
4 allows patterned construct in hydrogel to be further utilized. Therefore, this is useful tool for
5 applications in engineering *in vitro* 3D scaffold-based artificial tissues, especially, for drug and
6 toxicity testing and integrating into organs-on-chips platforms.

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