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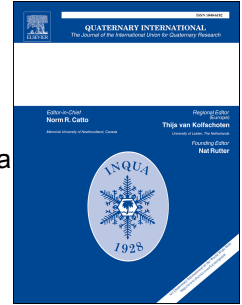
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Journal Pre-proof

Archaeological and environmental cave records in the Gobi-Altai Mountains, Mongolia

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1 **Archaeological and environmental cave records in the Gobi-Altai**
2 **Mountains, Mongolia**

3

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39 **Abstract**

40 Though hundreds of caves are known across Mongolia, few have been subject to
41 systematic, interdisciplinary archaeological surveys and excavations to understand
42 Late Pleistocene and Holocene environments. Previous cave excavations in
43 Mongolia have demonstrated their potential for preservation of archaeological and
44 biological material, including Palaeolithic assemblages and Holocene archaeology,
45 particularly burials, with associated organic finds. In other cases, cave surveys found
46 that stratigraphic deposits and archaeological materials are absent. The large
47 number of caves makes the Mongolian Altai Mountain Range a potentially attractive
48 region for human occupation in the Pleistocene and Holocene. Here we present the
49 results of an interdisciplinary survey of caves in four carbonate areas across the
50 Gobi-Altai Mountains. We report 24 new caves, some of which contain
51 archaeological material recovered through survey and test excavations. Most caves
52 presented limited sedimentation, and some were likely too small for human
53 habitation. Six caves showed evidence of palaeontological remains, mostly from
54 likely late Holocene and recent periods. The most notable anthropogenic findings
55 included petroglyphs at Gazar Agui 1 & 13. Gazar Agui 1 also contained lithics and a
56 bronze fragment. Tsakhiryn Agui 1 contained 31 wooden fragments that include an
57 unused fire drilling tool kit and items commonly found in association with medieval
58 burials. We observed that the caves remain in contemporary use for religious and
59 economic purposes, such as the construction of shrines, mining and animal
60 corralling. Water samples from the caves, and nearby rivers, lakes, and springs were
61 analysed for their isotopic compositions ($\delta^{18}\text{O}$, δD , $\delta^{17}\text{O}$, $^{17}\text{O}_{\text{excess}}$, d-excess) and the
62 data, combined with backward trajectory modelling revealed that the Gobi-Altai
63 region receives moisture mainly from western sources. These results form a baseline

64 for future archaeological, paleoclimate and palaeoecological studies about regional
65 seasonality and land use.

66

67 **Keywords** : Geomorphology; Speleology; Survey; Holocene; Archaeology; Water
68 stable isotopes

69

70

Journal Pre-proof

71 1. Introduction

72 Caves across Central Asia demonstrated an extraordinary preservation potential for
73 Pleistocene and Holocene deposits (Glantz et al., 2008; Bemmann and
74 Nomguunsüren, 2012; Buzhilova et al., 2017). Caves provide stable microclimatic
75 conditions and they are often more resistant to erosional processes compared to
76 open-air contexts, making them an ideal scenario to investigate human activities
77 (Straus, 1990), and climatological changes over time (Fairchild and Baker, 2012).

78
79 A series of key discoveries have been made in caves across Central Asia in recent
80 years. Caves such as Obi Rakhmat and Teshik Tash, in Uzbekistan, contained
81 skeletal elements demonstrating the presence of Neanderthals in eastern Eurasia. At
82 Denisova Cave in the Russian Altai, hominin fossils have been recovered, allowing
83 for proteomic analyses (including Zooarchaeology by Mass Spectrometry) (Brown et
84 al., 2016) and ancient DNA studies (Krause et al., 2007; 2010; Meyer et al., 2012)
85 including on sediments (Slon et al., 2017b). These studies led to the identification of
86 the Denisovans in the Altai (Krause et al., 2010; Meyer et al., 2012; Slon et al.,
87 2017a), as well as in the Qinghai Mountains in China (Chen et al., 2019; Zhang et
88 al., 2020).

89
90 While there has been progress with recovering intact Palaeolithic sites in Mongolia,
91 most recent investigations have been limited to open-air sites (Rybin et al., 2017;
92 2020; Khatsenovich et al., 2018; 2019; Zwyns et al., 2019; Marchenko et al., 2020),
93 where organic preservation is unfortunately low (Zwyns et al., 2014). A notable
94 exception for the recovery of hominin remains was a single, isolated skullcap at
95 Salkhit, demonstrating the presence of *Homo sapiens* in Mongolia at 32 ka cal BP,

96 with genetic information indicating Neanderthal and Denisovan ancestry (Devièse et
97 al., 2019; Massilani et al., 2020). Tsagaan Agui, in the Gobi-Altai Mountains of
98 Mongolia provides the earliest evidence of cave use, possibly dating as far back as
99 ~520 ka BP and up until 32 ka BP (Derevianko et al., 2000a). Given the long history
100 and density of stone tool assemblages at Tsagaan Agui, a repeated use of this cave
101 over time is clearly indicated. The lithic assemblages from Tsagaan Agui illustrate
102 that early and later Palaeolithic populations procured and made tools from a chert
103 outcrop located above the cave (Brantingham et al., 2000; Derevianko et al., 2004).
104 Faunal remains in the upper layers, dated to ~227ka BP (RTJI-804) – 33 ka BP (AA-
105 23159) and <0.931 ka BP (AA-26586), contained large and small animals
106 (Derevianko et al., 2000a), avian bones representative of 30 taxa, and ostrich
107 eggshell (Martynovich, 2002). The identification of three hominin species (i.e.,
108 Denisovans, *H. neanderthalensis*, *H. sapiens*) in the greater region, including their
109 mixed ancestries and overlapping occupations, has stimulated new explorations for
110 caves in the rest of Central and Eastern Asia (Li et al., 2018; Cuthbertson et al.,
111 2020; Iovita et al., 2020), raising interesting questions about the dispersal of *H.*
112 *sapiens* across Asia (Li et al., 2019; Zwyns et al., 2019).

113

114 The earliest archaeological examples of cave use in the Holocene of Mongolia come
115 from the rockshelter Chikhen Agui, located in the Gobi-Altai Mountains, where
116 deposits date to ca. 11-7 ka BP (Derevianko et al., 2003; 2008). Despite the limited
117 sedimentary record in the rockshelter, stone tools, ostrich egg beads, faunal
118 remains, and 44 hearths were reported (Derevianko et al., 2003), implying that the
119 shelter was used as a seasonal hunting station. Chikhen Agui contains a variety of
120 raw material not available in the vicinity of the site and that can likely be linked to

121 highly mobile seasonal groups. Other prehistoric examples of cave use are only
122 known from rock art, which throughout Mongolia have been typologically dated from
123 the Palaeolithic to the present (Terguunbayar and Ankhsanaa, 2019), with the
124 majority dating from the Bronze Age to the Iron Age (Terguunbayar and Ankhsanaa,
125 2019). Currently, apart from rock art and Chikhen Agui, little is known about cave
126 use during these later prehistoric periods.

127

128 The most frequent use of caves in Mongolia took place during the historic period,
129 mainly for human burials (referred to as “cave and crevice burials”) approximately
130 from the 5th century cal AD to the 19th century cal AD (Ahrens et al., 2015).

131 Interments are frequently found in the southern arid regions of Mongolia and usually
132 characterised by the burial of single individuals on the surface or in small rock
133 crevices and caves (with cave entrances sometimes blocked off) (Erdenebat, 2009b;
134 2016; Bemann and Nomguunsüren, 2012). Occasionally, interments include group
135 burials or even murder victims, such as in Hets Mountain Cave (Frohlich et al.,
136 2009). Such burials were first reported in the 1920s, and due to their remote
137 locations, many of these sites have only recently been discovered (Erdenebat,
138 2009b). Cave burials often demonstrate complex and intricate burial practices,
139 particularly in the choice of materials placed with the deceased. These burials
140 frequently incorporate wooden caskets made out of pieces from carts (Ahrens et al.,
141 2015), with wooden slats and/or threaded sticks as the base (Erdenebat and
142 Chunag, 2015). Later, 15-17th century examples of burials show different wood
143 structures such as ger lattices, like at Khuiten Khoshuu (Erdenebat and Bayar,
144 2004). While these practices are also common among open air stone covered
145 graves, the excellent wood preservation in dry cave burials makes them unique

146 (Erdenebat, 2016). The organic preservation is often excellent, and recovered items
147 include bows, quivers, arrows with feathers, musical instruments and saddles
148 (Törbat et al., 2009; Nomguunsuren et al., 2012; Ahrens et al., 2015). Clothing such
149 as *deels*, shoes and necklaces provide rare textile evidence of various time periods
150 (Erdenebat and Bayar, 2004; Erdenebat, 2014; Pearson et al., 2019). Skeletal
151 material frequently preserves skin, hair and bones of the deceased (Frohlich et al.,
152 2009), and provides opportunities for radiocarbon dating, and isotope and aDNA
153 analyses (Turner et al., 2012; Ahrens et al., 2015). The dry, stable, and largely
154 abiotic environment in caves across Mongolia offer a wealth of information about
155 burial practices in the past.

156

157 Central and southern Asian caves have been used extensively for religious
158 purposes, particularly for practice of Buddhism, since historical times. The Tibetan
159 form of Buddhism has been present in the Mongolian region for ~2000 years and has
160 varied in popularity among the populace, but it first became politically significant in
161 the 13th century AD under Kublai Khan (Bira, 2009). Caves are important locations
162 for Buddhists - Buddha spent much time meditating in caves, and they therefore
163 represent the ascetic and hermetic values espoused by him (Barnes, 1995). Cave
164 temples, such as those in nearby Magao, China, are frequently carved and mined
165 out (Monteith, 2017). However, in Mongolian Buddhist practices, natural caves occur
166 frequently as unmodified sections of monastery complexes (Charleux, 2003), or as
167 individual sacred caves denoted by ceremonial blue scarves (*khadags*) (Lundberg et
168 al., 2008). Buddhist activities in caves are recorded archaeologically in Mongolia,
169 with evidence of ceramics and birchbark texts found in the upper layers of Tsagaan
170 Agui (Derevianko et al., 2000a) and painted script on cave walls in Hurtsyin Agui

171 (Komatsu and Olsen, 2002). The total number of such examples in Mongolian caves
172 remains uncollated as they are geographically dispersed (Olsen, 2003).

173

174 In addition to archaeological information, caves host some of the best terrestrial
175 archives of past climatic and environmental conditions as their sedimentary deposits
176 (unconsolidated sediments or speleothems) remain largely protected from harsh
177 surface conditions (Fairchild and Baker, 2012). Speleothems (stalagmites,
178 stalactites, flowstones) that form from infiltrating water under vadose conditions can
179 be dated using U-series methods (Vaks et al., 2020). These create
180 palaeoenvironmental archives that offer detailed chronologies covering millions of
181 years as well as seasonal to centennial scale multi-proxy reconstructions of past
182 climatic and environmental changes (Fairchild and Baker, 2012; Baldini et al., 2021).

183

184 While speleothems are widely used in many parts of the world for environmental
185 reconstructions (Comas-Bru et al., 2020), there is a lack of speleothem-based
186 reconstructions in Mongolia. Although earlier work by Vaks et al. (2013) showed that
187 aridity limits speleothem deposition in parts of Mongolia, the wetter north-western
188 regions (e.g., near Khovsgol lake) are likely more conducive for speleothem
189 formation. Speleothem-based paleoclimate records routinely include stable oxygen
190 and carbon isotope ratios, which inform on regional moisture history (e.g., source,
191 amount, seasonal distribution) and local hydrological and ecological conditions (e.g.,
192 local aridity, soil and vegetation activity and composition). Combined with other
193 geochemical proxies (e.g., trace metals) and environmental monitoring, detailed
194 insights can be gained into past environmental changes and extreme events that
195 place the archaeological data into a wider ecological context. Monitoring of

196 environmental parameters, especially the isotopic composition of meteoric
197 (precipitation, river, cave) waters, is of vital importance for correct interpretation of
198 speleothem-based proxies (Lachniet, 2009; Breitenbach et al., 2015; Baldini et al.,
199 2021).

200

201 Given the potential of recovering cultural and environmental information from caves
202 in Mongolia, our team implemented an interdisciplinary archaeological survey and
203 testing programme in the Gobi-Altai region. The main goals of the project were to
204 locate caves and examine them for palaeoenvironmental information, as well as to
205 identify archaeological deposits for reconstructing the human occupation history of
206 the area. Here we report on visitation to 25 newly and formerly identified caves, with
207 descriptions of their geographical contexts and archaeological finds. We also discuss
208 the geomorphological history of the region, the taphonomic records of the caves, as
209 well as the environmental proxies that have a bearing on preservation conditions.

210

211 **2. Geographic background**

212 The geographic range of the present interdisciplinary cave study encompassed two
213 Mongolian *aimags* (provinces), Gobi-Altai (N 45.5°, E 95.5°) and Bayankhongor (N
214 46.1°, E 100.7°, Fig. 1). Major geographic features include the Khangai Mountains in
215 the north, the Gobi-Altai Mountains that run West-East through the centre of the
216 region, the Valley of the Gobi Lakes situated between both mountain ranges, and the
217 Gobi Desert to the south, making the entire region a 'basin and range' physiography
218 (Cunningham, 2013), with altitudes ranging between ca. 1100 and 3000 m above
219 sea level (a.s.l.).

220

221 The modern climate of the region is broadly characterized as arid cold steppe (BSk)
222 or desert (BWk) in the Köppen-Geiger classification (Peel et al., 2007). The region's
223 hydrology varies from a sub-humid north, to a semi-arid centre, and an arid south
224 (Endo et al., 2006). Precipitation is mainly derived from recycled moisture from
225 westerly circulation from western Eurasia and the North Atlantic realm (Li et al.,
226 2007; Burnik Šturm et al., 2017; Huang et al., 2017). Precipitation is highest in
227 summer (Burnik Šturm et al., 2017), Fig. 2), mainly in the form of thunderstorms and
228 hail storms (Lkhamjav et al., 2017). In recent decades the number of wet days and
229 heavy rainfall events has significantly increased (Endo et al., 2006). Average modern
230 temperatures range from ca. 20°C in July to -18°C in January (Fig. 2). During winter
231 much of the region is covered by snow, which is mostly deposited on north- and
232 leeward slopes. The region is largely unaffected by permafrost, except for isolated
233 patches and sporadic permafrost in the Altai Mountains (Lehmkuhl et al., 2003; Zhao
234 et al., 2010; Lehmkuhl, 2015). Micromorphological analysis of cryoturbated
235 sediments (Bertran et al., 2003), vestigial cryoturbated features such as bedrocks
236 and ice wedges (Owen et al., 1998), all suggest long periods of permafrost coverage
237 throughout the region during glacials, but permafrost absence during interglacials.

238

239 **2.1 Gobi-Altai Mountains**

240 The Gobi-Altai Mountains comprise the south-eastern extension of the Altai
241 Mountain Range (Fig. 1). The elevations in the region range from 1000-4000 m a.s.l.,
242 diminishing towards the southeast. The mountain ranges developed from the
243 ongoing India-Eurasian continental convergence as part of the Cenozoic Central
244 Asian orogenic system (Owen et al., 1999; Cunningham, 2013). The Gobi-Altai is
245 primarily characterised by the many faults along the East-West facing Bogd fault

246 system (Lamb and Badarch, 1997; Cunningham, 2013) that have constantly
247 reworked the underlying geology since the Cretaceous (Balescu et al., 2007), with
248 earthquakes as recent as 1957 (Ritz et al., 2003; Cunningham, 2013). These
249 earthquakes have cut and disturbed the alluvial fan terraces (Ritz et al., 2003), and
250 have also uplifted various plateaus and terrains around the Gobi-Altai to create the
251 formations that characterise its modern appearance (Owen et al., 1997; van der Wal
252 et al., 2020). The uplift of the Altai Mountains from the Miocene onward likely
253 diminished the influx of moisture from the western sources, thereby intensifying
254 aridification (Caves et al., 2014). Because of the faults, the underlying geology is a
255 complex mix of different base lithologies (Cunningham, 2013): sandstone, porphyritic
256 rhyolite, granite gneiss, quartz diorite, schist and others all exist in complex
257 mélanges with each other (Kröner et al., 2007). The highly dynamic geological and
258 climatic situation are not conducive to the formation and preservation of caves, and
259 only about 20 cave localities had been reported in the Gobi-Altai region (Avirmed,
260 1999). This situation is aggravated by a significant sampling bias, due to the difficult
261 access to this remote region.

262

263 Much of the vast terrain of the region does not contain karstifiable rocks and can be
264 excluded as potential hosts of significant caves (Fig. 3). Old and frequently
265 diagenetically overprinted sedimentary rocks, including limestones, marbles and
266 carbonate-bearing arenites, are found along SE-NW oriented ranges (south of
267 Jargalan) and more extensive patches in the centre (north of Altai) of the Zavkhan
268 Terrane (Bold et al., 2016), as well as the western (near Bulgan) section of the study
269 region. In this study, four areas (Tsakhiryn Nuruu, Aguin Nuruu, Saalit, and Gazar)
270 were thoroughly explored for caves.

271

272 The high-altitude regions of the Gobi-Altai hosts some permafrost, whereas the lower
273 altitude basins are permafrost-free. While the modern Gobi-Altai Mountains do not
274 contain glaciers, there are some in the Khangai Mountains (northeast of the study
275 region), as well as extensive glaciers in the main part of the Altai Mountains (Blomdin
276 et al., 2016). The Khangai also contains palaeoglaciers, which had their largest
277 extent during the local Last Glacial Maximum (LGM) ~40-35 ka BP (Rother et al.,
278 2014). The Gobi-Altai is characterised by a stark lack of glacial features and it has
279 been suggested that it only suffered intermittent glaciations (Ritz et al., 2003).
280 Recent research, however, revealed that although asynchronous to the global trend
281 of the LGM, glaciers formed in the region (Batbaatar et al., 2018). The same can be
282 said for the Khangai Mountains (Rother et al., 2014). The presence of seasonal
283 freshwater in mountain chains from melting glaciers and snow may have played an
284 important role in facilitating early pastoralism (Taylor et al., 2020). The water flow
285 from melting glaciers and snow patches and torrential rains supported the
286 development of the large alluvial fans at the boundary between the mountains and
287 the basins that characterise the present-day range (Owen et al., 1997). Most of
288 these alluvial fans formed during the late Quaternary and coincide with large climatic
289 shifts associated with glacial terminations, i.e., the transitions from cold/dry glacials
290 to warmer and wetter interglacials (MIS 5 & 3 & 1) (Owen et al., 1997; Vassallo et al.,
291 2005; 2011; Lehmkuhl et al., 2018; Malatesta et al., 2018; Klinge and Sauer, 2019).
292 During the LGM, vegetation trapped aeolian sediments in the high altitudes of the
293 Khangai and Gobi-Altai in concert with glacier formation, preventing the creation of
294 new alluvial fans (Lehmkuhl et al., 2018; Malatesta et al., 2018). These aeolian

295 sediments still cover most of the upper mountain but are currently being eroded and
296 transported towards the basins.

297

298 **2.2 Biogeography**

299 Mongolia sits within the Palearctic province, and as such, it has zoogeographic
300 affinities with both Europe and eastern Asia, a pattern that can be traced back to at
301 least the early Neogene (Wang et al., 2013). The faunas that inhabit central
302 Mongolia, and the Gobi-Altai in particular, have a limited faunal record relative to the
303 wetter forested ecosystems in the north of the country. The reduced faunal record is
304 largely limited due to local climatic, environmental, and geological conditions
305 (Batsaikhan et al., 2010). The Mio-Pliocene fossil record of Mongolia is moderately
306 well-understood although major Quaternary faunal units are absent so far (Wang et
307 al., 2013). While the Middle Pleistocene Nalaikhan Formation in northern Mongolia
308 has produced important microfaunal records (Erbajeva and Alexeeva, 2013),
309 Quaternary fossils from the Gobi-Altai have only been described informally in the
310 archaeological literature, with only extant species listed (Derevianko et al., 2000a;
311 2008).

312

313 Accumulation of skeletal remains in caves is often the result of biotic factors, with
314 many vertebrate deposits in caves of allochthonous origin. Major biotic agents are
315 cavernicolous species such as birds of prey or carnivorous mammals. Mongolia has
316 a high diversity of diurnal birds of prey (Vaurie, 1964), including many that frequent
317 caves and deposit pellets therein. Access to freshwater sources also play significant
318 roles in the biogeography of arid environments, particularly in endorheic basins
319 where most lakes are hyper-saline (Kaczensky et al., 2010; Payne et al., 2020).

320 Biogeography can strongly influence the likelihood of biotic accumulating agents
321 (Louys et al., 2017). In the Gobi-Altai, several species of carnivores frequent or use
322 caves, rock crevices, and burrows and might conceivably contribute to faunal
323 records of caves. Canids, such as the foxes *Vulpes corsac* and *V. vulpes*, feed on
324 small mammals, reptiles, and insects (Allen, 1938; Batsaikhan et al., 2010) and will
325 often leave bones outside their dens (Batsaikhan et al., 2010). The Gobi bear (*Ursos*
326 *arctos*) is also known to den in small caves, and its diet occasionally includes carrion
327 (Allen, 1938; Batsaikhan et al., 2010). Small carnivores, such as *Felis silvestris*,
328 *Otocolobus manul*, *Mustela eversmanni*, and *Martes foina* are also known to den
329 amongst rocks (Allen, 1938; Batsaikhan et al., 2010). Finally, *Panthera uncia*, the
330 snow leopard, hunts large prey (in addition to small mammals, birds, and insects)
331 and frequently dens amongst rocks or in caves (Munkhtsog et al., 2016).

332 3. Materials and methods

333 3.1 Stable water isotopes

334 Stable isotopes of water are a useful tool for tracing processes related to the
335 hydrological cycle, including moisture source history, convective dynamics, rainfall
336 amount and secondary evaporation (Clark and Fritz, 1997; Lachniet, 2009). With
337 recent analytical advancements, $\delta^{17}\text{O}$ and $^{17}\text{O}_{\text{excess}}$ of precipitation now complement
338 the traditional $\delta^{18}\text{O}$, δD , and deuterium excess (d-excess) measurements. The
339 advantage of measuring $^{17}\text{O}_{\text{excess}}$ is that it is less sensitive to temperature during
340 evaporation than other isotopic parameters (e.g., d-excess) and is seemingly a
341 robust tracer of relative humidity (RH) and precipitation formation processes (Luz
342 and Barkan, 2010; Kaseke et al., 2017). In wet climate regimes, where re-
343 evaporation of raindrops is minimal, $^{17}\text{O}_{\text{excess}}$ is linked to RH at the moisture source

344 (i.e. the ocean surface) (Uechi and Uemura, 2019). In drier climates, $^{17}\text{O}_{\text{excess}}$ is likely
345 overprinted by recycling effects, including re-evaporation of raindrops during rainfall
346 if sub-cloud humidity is low (Landais et al., 2010; Tian et al., 2019). This is especially
347 relevant for precipitation derived from continental moisture sources like in the case of
348 Mongolia. Thus, using the triple isotope approach might help to identify and
349 characterise the source and fate of moisture.

350

351 Although water stable isotopes are useful indicators of hydrological and atmospheric
352 dynamics (Lachniet, 2009; Breitenbach et al., 2010; Kostrova et al., 2019) there is a
353 dearth of data in Mongolia (Li et al., 2007; Yamanaka et al., 2007; Burnik Šturm et
354 al., 2015; 2017). During the field trip, we collected a total of 22 water samples from
355 rivers, springs, caves and precipitation for stable isotope analysis (SI Table 1).

356 Additionally, we measured river and dripwater temperatures (SI Table 2). Samples of
357 5 to 12 mL were collected in plastic vials and analysed for oxygen and hydrogen
358 ($\delta^{18}\text{O}$, $\delta^{17}\text{O}$, $^{17}\text{O}_{\text{excess}}$, δD , d-excess) isotopes using a cavity ring-down laser
359 spectroscopy (CRDS) Picarro L-2140i, interfaced with an A0211 high-precision
360 vaporizer (Picarro, Santa Clara, US) (Steig et al., 2014) at the University of Almeria,
361 Spain. Each sample was injected ten times into the vaporizer, which was heated to
362 110°C. Memory effects from previous samples were avoided by rejecting the first
363 three analyses. The results were normalized against Vienna Standard Mean Ocean
364 Water (VSMOW) by analysing internal standards before and after each set of ten to
365 twelve samples. To this end, three internal water standards were calibrated
366 previously against VSMOW and SLAP, using $\delta^{17}\text{O}$ of 0.0‰ and -29.69865‰,
367 respectively, and $\delta^{18}\text{O}$ of 0.0‰ and -55.5‰, respectively (Schoenemann et al.,
368 2013). The $^{17}\text{O}_{\text{excess}}$ [$\ln(\delta^{18}\text{O} \div 1000 + 1) - 0.528 * \ln(\delta^{17}\text{O} \div 1000 + 1)$], (Barkan and

369 Luz, 2005)] and d-excess [$\delta D - 8 * \delta^{18}O$, (Dansgaard, 1964)] parameters denote
370 deviations with respect to the global meteoric water line (GMWL). The $\delta^{18}O$, $\delta^{17}O$,
371 $^{17}O_{\text{excess}}$, δD , d-excess are given in permil units (‰), while $^{17}O_{\text{excess}}$ is given in per
372 meg (10^{-3} ‰). The ^{17}O -excess and d-excess were calculated for each injection using
373 the corrected $\delta^{17}O$, $\delta^{18}O$ and δD values, and the last seven injections were used to
374 calculate the mean values and analytical errors. The typical reproducibility (1 SD) of
375 the analyses is better than 0.03 ‰ for $\delta^{17}O$, 0.04 ‰ for $\delta^{18}O$, 0.7 ‰ for δD , 0.4 ‰ for
376 d-excess and 8 per meg for $^{17}O_{\text{excess}}$, based on repeated analysis of an internal
377 standard (n=7) along with the samples during the run. Such high precision for the
378 $^{17}O_{\text{excess}}$ determinations is due to the fact that isotope fractionations affecting oxygen
379 isotopes during the analysis are mass-dependent; thus, the analytical errors for $\delta^{17}O$
380 and $\delta^{18}O$ covary and cancel out (Steig et al., 2014), keeping the $^{17}O_{\text{excess}}$ value
381 virtually unaffected.

382

383 4. Results

384

385 4.1 Caves in the Tsakhiryn Nuruu (Limestone Mountains)

386 The Tsakhiryn Nuruu (Fig. 4) area is topped by the Ondoor-Tsakhir Mountain (2358
387 m) and drained by the Tsaagan Gol (Khuuray tsayran river in Soviet maps). The
388 study region covers ca. 10 km², of which we surveyed the section west of the S-N
389 running Tsaagan Gol. The peaks rise ca. 400 m above the river valley. Several
390 ephemeral rivers and creeks drain the mountain. The region is accessed via the
391 canyon that connects the villages of Khaliun and Tseel. Between these lies the
392 eponymous rock art site of Tsaagan Gol (Kwang-jin et al., 2010b).

393

394 **4.1.1 Tsakhiryn Agui 1**

395 Tsakhiryn Agui 1 (Fig. 5) has a large, triangular-shaped entrance at the top of a
396 massive debris cone. The cave formed in a carbonate breccia and it strikes SE-NW,
397 sloping towards the NW. The main geomorphic features of this cave are the
398 presence of massive breakdown blocks strewn over the entire length of the cave (ca.
399 36 m) and red calcite spar deposits near the entrance. The cave's orientation follows
400 a major fracture through the host rock. Tsakhiryn Agui 1 does not feature vadose
401 speleothems; the calcite spar is of phreatic origin.

402

403 We found thirty-one individual wood pieces (Fig. 6&7, Table 2) and one caprine horn
404 on the surface and in the fractures between the boulders, scattered alongside bird
405 bones, guano, and feathers. Two specific loci (Fig. 6D&E) contained most of the tool
406 pieces and a smaller locus held a caprine horn and a single wood piece, all located
407 in the upper right section of cave. There was hardly any sedimentation build up
408 within the cave, except between the boulders. Two small fragments of wood were
409 dated at the Oxford Radiocarbon Accelerator Unit (Table 3).

410

411

412

413 **4.1.2 Tsakhiryn Agui 4**

414 The Tsakhiryn Agui 4 (Fig. 8A) rockshelter is located near the top of the investigated
415 mountain range (Fig. 8B). It consists of a southeast oriented and ca. 4-5 m deep
416 main hall, with a smaller second deeper chamber, both with a floor of soft dusty
417 sediment. The maximum depth of the cave is 7-8 m. The air temperature in the inner
418 chamber was 12.8°C.

419

420 The excavation produced only natural clasts within a fine-grained unconsolidated
421 sedimentary matrix derived from the host rock, of possible aeolian origin. We found
422 no archaeological or faunal material. The bedrock of the rockshelter appeared at a
423 maximum depth of 40 cm.

424

425 **4.1.3 Irvesiin Agui**

426 Irvesiin Agui (Snow Leopard Cave, named after a sighting, Fig. 9) is located in the
427 southwestern slope of a small valley draining SE towards Tsaagan Gol. The cave's
428 two main passages are oriented NW-SE, sloping towards the entrance and the
429 valley. One of the passages has a total length of 39 m. The cave has a blocked
430 passage at its NW extremity and a window at the very top. During the survey we also
431 found evidence of occupation by *Panthera uncia* via excrement. The air temperature
432 was 15°C in the southern and 13°C in the northern passage. The higher temperature
433 in the southern passage is possibly due to better ventilation caused by the window
434 atop the cave.

435

436 The cave's origin is likely phreatic, with subsequent remodelling during a vadose
437 phase. Vadose conditions are evidenced by passage morphology, sediments, and
438 remains of a flowstone found at the bifurcation of the two passages near the NE end.
439 Two subsamples were collected from a ca. 13 cm thick flowstone sample (IA-18-1)
440 for U/Th dating (performed at the Geochronology laboratory at the Johannes-
441 Gutenberg University Mainz, Germany). Unfortunately, the sample is beyond the
442 range of the U/Th method (i.e., >400 ka) and the age could not be determined. This
443 sample consists of a layered translucent calcite with elongate crystal fabric,

444 intercalated with numerous brownish/yellowish layers (Fig. 9C). Thin section
445 microscopy reveals that the latter represent micritic particles that disrupt calcite
446 growth, likely deposited in the wake of flood events that delivered suspended detritus
447 in the cave passage.

448
449 Sub-millimetre size crystals (Fig. 9D) were found near the entrance and collected for
450 X-ray diffraction analysis (Section 4.5). These crystals consisted of potassium nitrate
451 (also known as saltpetre, which originates from the dung/guano in the cave) and
452 traces of quartz. The passages show little sedimentation and the surfaces (including
453 the flowstone) were covered by carnivore excrement and bone fragments.

454

455 **4.1.4 Tsakhiryn Agui 1b, 2, 3, 5**

456 Tsakhiryn Agui 1b is a small, ca. 5 m long cave north of Tsakhiryn Agui 1. The cave
457 opens on the northern side of the debris cone that leads to Tsakhiryn Agui 1 and
458 consists of a narrow passage strewn with rock debris and modern organic remains.
459 Tsakhiryn Agui 2 is another 5 m long and NE-oriented cave with a small window to
460 the northwest. The floor consists of rocks and sand, mixed with some animal
461 excrements and modern microfauna. Tsakhiryn Agui 3, also a ca. 5 m long, is found
462 in a SE-sloping layered limestone and seems to be the result of gravitational erosion
463 processes rather than true karstification. We sampled dripwater at the far northern
464 end. A large rockshelter, Tsakhiryn Agui 5, was found southwest of Tsakhiryn Agui 4
465 and at the same elevation. Like Tsakhiryn Agui 4, the cave is oriented SE, probably
466 due to the geological orientation of the surrounding limestone. With a NW-SE
467 extension of 14 m, the cave is larger than the others. The cave floor consists of rocks
468 and sediments, however the window in the middle section of the cave, and a steep

469 slope towards the exit suggest considerable and frequent sediment movement out of
470 the cave. During the study, the mean cave air temperature was 17.2°C, the ground
471 temperature was 13.5°C.

472

473 **4.2 Caves of Aguin Nuruu**

474 The Aguin Nuruu study area is located ca. 40 km west of the Tsakhiryn Nuruu region
475 and part of the larger Khar Azargaiin Nuruu (Black Stallion Range) (Fig. 1). The 2200
476 m high mountain range drains towards the north through the Urd Uliastayn Gol (with
477 its valley floor at ca. 1820 m altitude). The cave-bearing area is smaller than 4 km²
478 and is largely restricted to carbonate pillars at the highest sections of these
479 mountains; presenting therefore, limited potential for extended-use caves.

480

481 **4.2.1 Nuramt Tsakhir Agui**

482 Nuramt Tsakhir Agui (Powder Cave, Fig. 10) is located at 2092 m a.s.l. on the north
483 side of the W-E oriented ridge just north of Zuslan Gol. The cave consists of a
484 phreatically formed sub-horizontal passage with a total length of 11 m that slopes
485 northwards. The cave ends in loose breakdown and the floor consists of dry soft
486 sediment and larger host rock clasts. The air temperature inside the cave was
487 13.3°C. The cave has good ventilation due to the large entrance and open passage.
488 There is evidence of recent use by a carnivore and/or birds of prey, as evidenced by
489 the presence of a gnawed goat limb, feathers, and abundant scat.

490

491

492 The excavation produced no artefact finds, and bedrock was reached at a maximum
493 depth of 70 cm. The excavated sediment consisted of a single layer composed of

494 mechanically frost weathered angular limestone clasts in a fine aeolian silt matrix.

495 We recovered several fresh micromammal and bird elements through sieving.

496

497 **4.2.2 Khongil Tsakhir Agui**

498 Khongil Tsakhir Agui (Mountain Tunnel Cave, Fig. 11) was found in a limestone cliff

499 at 2025 m altitude. The cave is a ca. 63 m long complex maze and is easily visible

500 from the Zuslan Gol valley. The cave system includes several eroded cave passages

501 that belong to a single original cave system. The “main” entrance opens to the north,

502 where a Tibetan Buddhist mantra, *Om mani padme om*, (Fig. 11C) is etched into the

503 eastern wall. A small passage contained a votive miniature stupa (Fig. 11I). The

504 main passage is a through-cave that exits the hosting butte, but there are also

505 several side passages, including a longer tunnel towards the SE. The floor of the

506 main passage consists of a shallow sandy and pebble-rich sediment layer, while the

507 long SE-passage developed directly in the host rock. The entire system is a remnant

508 of an older and much more extensive phreatic system. All the smaller side caves

509 have sandy floors. The cave system contained a few bovid and horse skulls (Fig.

510 11D), and we found a fresh canid carcass in the southern part of the cave.

511 **4.3 Caves in the Gazar region**

512 The Gazar region is located north of the Altai Aimag centre and Taishir Soum (Fig.

513 2C). It forms part of the Zavkhan Formation in the larger Zavkhan Terrane (Bold et

514 al., 2016) and presents a more open and complex morphology. The terrain is a hilly,

515 treeless peneplain with altitudes between ca. 2000 m and 2500 m a.s.l., made of

516 extensive basins and ranges with cliffs. Where carbonate outcrops are present,

517 caves and grottoes are abundant. Water is only found in the river canyons (incised

518 30-100 m into the surface), a few intermittent creeks, the (now dammed) Zavkhan

519 Gol and seasonal Bayan Gol. Due to the difficult access, only a section of the ca.
520 150 km² large Gazar region was studied here.

521

522 **4.3.1 Gazar Agui 1**

523 Gazar Agui 1 is the only cave in the region with previously recorded coordinates, and
524 it is located along a road that connects the Altai Aimag centre with Jargalan Soum.

525 The cave is a horizontal passage with a wide, but relatively low entrance, currently
526 used as a sheep pen and shelter (Fig. 12). The entrance to the cave is shielded by a

527 wall made from cobbles of the cave arch exterior. The passage first follows a NW,

528 then a NNE direction, with dimensions continuously diminishing as we move further

529 away. Two cupolas at ca. 5 m and 13 m from the entrance allow standing space, but

530 otherwise the ceiling is uniformly low. Gazar Agui 1 is characterized by the presence

531 of thick dung and dust deposits. The goat dung is dried and used by herders as a

532 seasonal combustible. We collected a single sample of the dripwater from the

533 innermost passage. The cave air temperature was measured at 10.6°C.

534

535 Excavation of the cave (Fig. 12D&E), showed that the topmost 40 cm of sediment

536 (Layer 1) consisted of modern sheep and goat dung intentionally left to dry, a

537 common practice among nomadic pastoral Mongolia groups (Égüez and

538 Makarewicz, 2018). Layer 1.1 was characterised by two darker and greyish soils and

539 could be the result episodes of manure burning, similar to the Mediterranean

540 sequences known as *fumiers* (Angelucci et al., 2009). Radiocarbon samples from

541 the *fumiers* were dated as historic to recent (Table 4). The lowest level, Layer 2, is

542 1.4 m deep and composed of a dense matrix of cave spalls infilled with aeolian

543 sediment. Faunal remains, including teeth, were found in all layers and consisted

544 largely of micromammal and avian bones. We found a single flat corroded piece of
545 bronze in the lower fumier of Layer 1.1.

546

547 The lithic assemblage was small and composed of lamellar artefacts (n=5) found in
548 layer 1 and the upper part of layer 2 (Fig. 12C). The assemblage included two
549 microblade fragments made on black chert, two microblade fragments made on grey
550 chert and a single backed microblade fragment on grey chert.

551

552 **4.3.2 Gazar Agui 2 & 3**

553 A second noteworthy cave is Gazar Agui 2, which presents a large sediment cone
554 below its entrance. The entrance is located 12 m above the valley floor and a few
555 dozen meters east and below Gazar Agui 3. Inside we found micromammal bones,
556 likely deposited by birds of prey, and modern refuse. The cave follows a NW and
557 NE-ward trend, changing direction along major faults. It has a window above the
558 main entrance. The total length of this cave is 54 m and shows significant traces of
559 modern digging/mining. At about 10 m from the entrance, a ca. 2 m deep pit has
560 been dug. At this first pit, we measured an air temperature of 10°C, while at the end
561 of the cave it was 12.4°C. The sediment cone at the entrance was likely created by
562 the mining carried out on the original sedimentary infill (sand/silt).

563 Gazar Agui 3, located a few meters above Gazar Agui 2, shares its origin with the
564 latter. Both caves seem to have formed under phreatic conditions with calcite spar
565 formation, and subsequent burial in unconsolidated sandy sediment under vadose
566 conditions. The cave follows a northerly direction parallel to Gazar Agui 2 and
567 consists of a single upward-trending passage. The floor is made up of sand and
568 breakdown, from the collapse of the cave's roof where a 10 m x 8 m chamber had

569 developed. Beyond the collapsed area, the cave follows an increasingly narrow
570 passage upwards to the surface. A human-made tunnel joins the natural passage
571 from the west. At the exit of this abandoned mine, as well as in the deepest sections
572 of the cave, we found calcite spar crystals up to 10 cm in length *in situ* and broken.

573

574 **4.3.3 Gazar Agui 13**

575 Gazar Agui 13 is a rockshelter located relatively close to the south of Gazar Agui 1.
576 The rockshelter is formed on the side of an uplifted carbonate outcrop next to a small
577 valley with a herding pen. The shelter is only 6 m x 5 m but contains large amount of
578 soft sediment, which we excavated in a 1 x 1 m test pit (Fig. 13). The excavation
579 yielded abundant microfaunal remains, including a relatively complete bird skeleton
580 and fragmentary large mammal long bones. The taxa recovered included murids,
581 pikas, and small birds. Bone preservation varied greatly, but showed no signs of
582 burning. While some specimens appeared fresh, others exhibited staining which may
583 be indicative of greater antiquity, suggesting mixing of the deposit. As in Gazar Agui
584 1, the upper layer of sediment consists of sheep and goat dung.

585

586 **4.3.4 Gazar Agui 4-12**

587 Several smaller caves (Gazar Agui 4 to 12) were explored and surveyed. The rock
588 overlying the Gazar Agui 1 is riddled with smaller caves (including Gazar Agui 8, 11
589 and 12), indicating significant karstification. The orientation of these caves follows
590 the limestone bedding and fault lines in NE-SW or NW-SE direction, which are
591 consistent with that of the major tectonic features in the Gazar region. The caves
592 Gazar Agui 4, 5, and 6 are remnants of phreatic tubes developed in the same hill as
593 Gazar Agui 1 further south.

594

595 4.4 Caves in the Saalit region

596 Located in a low-lying outcrop amongst a steppe landscape (Fig. 2), Chikhen Agui is
597 a previously excavated rockshelter. A brief survey was carried out around the
598 surrounding hills of Chikhen Agui and the nearby spring. The survey yielded lithic
599 artefacts ranging from the early Upper Palaeolithic to the Bronze Age, which is
600 consistent with previously published information (Derevianko et al., 2003; 2008;
601 2015). The cherts vary between translucent patinated to opaque green or black
602 types. Alongside nondiagnostic flakes and fragments, a blade fragment and a
603 microblade, a side-scraper, a notched tool and two endscrapers were identified. It is
604 worth noting a carinated bladelet core characterized by two lateral bladelet
605 detachments on the carinated side and a flat platform created by a previous
606 detachment. The opposed surface shows a bladelet production surface, and an
607 attempt to rejuvenate the striking platform before discard. The other bladelet core is
608 typical of the Neolithic/Bronze Age with a flaking surface exploited by pressure
609 technique for the production of straight and regular bladelets and microblades. The
610 core convexity was maintained through the thinning of the distal side and the
611 preparation of a crest on the backside.

612

613 4.4.1 Saalit Agui 1

614 Saalit Agui cave is located in an adjoining outcrop, ~6 km away from Chikhen Agui.
615 Embedded within a tilted lenticular limestone, the cave has been investigated for its
616 ochre painted rock art (Derevianko et al., 1998; Vanwezer et al., 2020). Previous
617 surveys reported a core and lithic blade fragments (Derevianko et al., 1998). In
618 addition, the rock art displays several panels including anthropomorphs and “X”

619 symbols produced from ochre, which possibly date to the Mesolithic. Re-examination
620 of rock art at Saalit Cave took place during this fieldwork (Vanwezer et al., 2020), as
621 did further survey of the canyons around it. Much of the surrounding region is
622 composed of narrow winding ~3 m canyons and gullies, which most likely have
623 seasonal streams.

624

625 **4.4.2 Saalit Agui 2 & 3**

626 Saalit Agui 2, like Saalit Agui 1, is located in a gully, created in the uplifted boundary
627 between two intersecting uplifted geologies. It lies level with the bottom of the ravine
628 and it has most likely been eroded by fluvial processes. The cave is small, ~2 m³ and
629 filled with modern-day detritus.

630

631 Saalit Agui 3 is a rockshelter located at the top of one of the ravines. It is clear that
632 much of the roof and wall broke down, as there were many boulders and smaller
633 rocks along the escarpment. This was possibly a result of the combination of fault
634 movements and frost weathering. The inside is ~8 m wide, but only ~2 m deep, with
635 no sediment accumulation, despite the large amount of sediment on the escarpment
636 entrance.

637

638 **4.5 Stable water isotopes**

639 Water samples were collected from as many sites as possible in order to collate a
640 baseline dataset against which results from future studies (e.g., on soil carbonates,
641 speleothems or tooth enamel) could be compared to. This study provides not only
642 $\delta^{18}\text{O}$ and δD , but for the first time also $\delta^{17}\text{O}$ values in this region. Rain, river, spring
643 and dripwater $\delta^{18}\text{O}$ varies from -2.8 to -19‰, $\delta^{17}\text{O}$ from -1.5 to -10‰ and δD does

644 from -18.1‰ to -143.6‰ (SI Table 1). The $^{17}\text{O}_{\text{excess}}$ varies from 7 to 61 per meg and
 645 the d-excess from -13.2 to 17.6‰. The mean $\delta^{18}\text{O}$, $\delta^{17}\text{O}$, δD , d-excess and $^{17}\text{O}_{\text{excess}}$
 646 values (± 1 SD) of the rain water samples ($n=4$) are $-5.6\pm 3.4\%$, $-2.9\pm 1.8\%$, -
 647 $42.3\pm 20\%$, $2.4\pm 11.8\%$ and 20 ± 11 per meg, respectively; for spring waters ($n=12$)
 648 are $-11.8\pm 3.8\%$, $-6.2\pm 2.0\%$, $-88.0\pm 29.1\%$, $6.7\pm 4.6\%$ and 39 ± 15 per meg,
 649 respectively; and for drip waters ($n=6$) are $-7.1\pm 1.9\%$, $-3.7\pm 1.0\%$, $-56.1\pm 7.8\%$,
 650 $1.0\pm 14.1\%$ and 26 ± 6 per meg, respectively.

651

652 The expression of the Local Meteoric Water Line (LMWL) for $\delta^{18}\text{O}$ vs δD is $\delta\text{D} = 6.9$
 653 $\delta^{18}\text{O} - 5.6$ ($R^2=0.95$, $p<0.0001$) (Fig. 14), and for $\delta^{17}\text{O}$ vs $\delta^{18}\text{O}$ is
 654 $\delta^{17}\text{O}=0.53*\delta^{18}\text{O}+0.025$ ($R^2=1$). The $^{17}\text{O}_{\text{excess}}$ is negatively correlated with $\delta^{18}\text{O}$ across
 655 the entire dataset ($R^2=0.45$, $p<0.0006$) (Fig. 15) and there is no significant
 656 correlation between $^{17}\text{O}_{\text{excess}}$ and d-excess ($R^2=0.13$). When considering dripwater
 657 samples only, the negative correlation between $\delta^{18}\text{O}$ and d-excess is significant
 658 ($R^2=0.75$, $p<0.03$), while it is insignificant for $\delta^{18}\text{O}$ vs $^{17}\text{O}_{\text{excess}}$ ($R^2=0.25$, $p<0.32$). The
 659 river and dripwater temperatures vary from 5.6 to 21.2°C and 10.6 – 17.2°C,
 660 respectively. We find a tentative negative correlation ($R^2=0.4$, $p=0.05$) between river
 661 water temperature and altitude (995 to 2262 m a.s.l.). Such a link is not observed for
 662 dripwater, probably because the cave sites are located at very similar altitudes (2007
 663 to 2092 m a.s.l.), giving a too narrow data spread. The correlations between water
 664 temperature and all the stable isotope parameters are insignificant, except for
 665 $^{17}\text{O}_{\text{excess}}$ in dripwater, which shows a positive correlation with water temperature
 666 ($R^2=0.69$, $p=0.083$). A larger database would be required to fully confirm such
 667 relationships.

668

669 **5. Discussion**

670 **5.1 Environment and biogeography**

671 **5.1.1 Water Isotopes**

672 The water samples we collected north of the Gobi Altai mountains (SI Fig. 1) during
673 the 2018 survey fall very close to the GMWL and confirm the LMWL developed by
674 Yamanaka et al. (2007) for northern Mongolia (Fig. 14, SI Fig. 1). This agreement
675 helps reveal the processes that influence the isotopic signal of precipitation. Some
676 of the rain- and dripwaters fall slightly below the GMWL, indicating significant re-
677 evaporation during summertime rainfall events. Our results starkly contrast with data
678 from the Gobi Desert, southwest of the Gobi-Altai Mountains (SI Fig. 1), published by
679 Burnik Šturm et al. (2015, 2017). This dataset from the Gobi Desert has an intercept
680 of -23.9‰, well below the GMWL (intercept = +10‰, Fig. 14), typical for precipitation
681 in an arid region that is strongly affected by re- evaporation during and after rainfall
682 (Burnik Šturm et al., 2017). Open waters (including lakes, marshes and puddles, well
683 and spring samples) show lower d-excess and higher $\delta^{18}\text{O}$ values, suggesting that
684 they are more affected by secondary evaporation, while rivers are somewhat less
685 affected and closer to the regression line of the local precipitation.

686

687 The relatively high d-excess values (0 - +18 permil) in our dripwater, rainwater and
688 river waters from the northern side of the Gobi-Altai suggest that most samples are
689 derived from a source with high humidity (RH >70%) during evaporation (Clark and
690 Fritz, 1997). A few rain- and dripwater samples show very low d-excess values and
691 at the same time high $\delta^{18}\text{O}$ values, indicating secondary evaporation during/after

692 precipitation (Bershaw, 2018). These samples are also located below the LMWL
693 (Fig. 14). The effect of secondary evaporation on these samples is also evident in
694 the observed negative relationship between $\delta^{18}\text{O}$ and $^{17}\text{O}_{\text{excess}}$ (Fig. 15). Although
695 this trend is weak ($R^2 = 0.45$, $p = 0.0006$), all rain- and dripwater samples show high
696 $\delta^{18}\text{O}$ and low $^{17}\text{O}_{\text{excess}}$ values. River waters, on the other hand, show lower $\delta^{18}\text{O}$ and
697 higher $^{17}\text{O}_{\text{excess}}$ values, due to the integration of more winter derived runoff that is
698 less affected by secondary evaporation. The observed difference in the isotopic
699 composition of summer and winter precipitation can support future work on fossil
700 waters (e.g., trapped in speleothem fluid inclusions).

701

702 We combine $\delta^{18}\text{O}$ and d-excess of rainwater (Fig. 16) to tentatively differentiate
703 moisture sources and evaporation history for samples from Mongolia. We support
704 our interpretation with backward trajectories assessments of individual precipitation
705 samples using the Hybrid Single-Particle Lagrangian Integrated Trajectory
706 (HYSPPLIT) model (<https://www.ready.noaa.gov/HYSPLIT.php>, Stein et al., 2015).
707 Backward trajectories were run for 96 hours from the day a sample was collected,
708 with the start point being the sampling site. Trajectories originated at 1000, 2500 and
709 3500 m above ground. In Fig. 16 we discern two larger clusters, one with high d-
710 excess values ($> \text{ca. } -15\text{‰}$) and one with d-excess values $< \text{ca. } -15\text{‰}$, but with
711 similar $\delta^{18}\text{O}$ values. A third cluster comprises snow samples collected south of the
712 Gobi Altai mountains by Burnik Sturm et al. (2017). The first two clusters are
713 delineated by their geographic origin (SI Fig. 1) The upper cluster 1 comprises
714 samples collected northeast of the Gobi-Altai Mountains, whereas the lower cluster 2
715 includes samples from the hyper-arid Gobi Desert southwest of the Gobi-Altai.
716 These, and the snow samples of the left cluster 3 have been reported in Burnik

717 Sturm et al. (2017). The clusters are clearly separated even when only direct
718 precipitation samples are considered, and surface waters are ignored.
719
720 HYSPLIT backward trajectories (not shown) reveal that nearly all these samples
721 originated in the west. The upper cluster 1 includes precipitation sourced from the
722 Westerlies during spring and summer, when relative humidity at the source is higher
723 and d-excess is high, like in April and May 2003 (Fig. 16). The d-excess is then
724 lowered during secondary re-evaporation. The lower cluster 2 is also Westerlies
725 derived, but the distinctly lower d-excess values ($<-4\text{‰}$) point to different evaporative
726 conditions at the moisture source. Earlier work in continental environments (e.g.,
727 Bershaw et al., 2016; Bershaw, 2018) linked extremely low d-excess values with
728 recycling of water sourced from arid, highly evaporative closed-basin regions. Such
729 recycling of continental surface waters from arid Central Asia, and secondary
730 enrichment after precipitation in the Gobi Desert, would well explain the d-excess
731 values found in cluster 2. HYSPLIT analysis reveals that cluster 2 samples tend to
732 originate from continental westerly localities, but with higher variability of the source
733 region. Finally, cluster 3 includes all samples with the most negative $\delta^{18}\text{O}$ values and
734 d-excess values around -10‰ . These are all snow samples and, according to
735 HYSPLIT analysis, exclusively derived from the west.
736
737 Thus, the Gobi-Altai Mountain Range, reaching 3000 m a.s.l., acts as a geographic
738 divide that separates these two hydrological systems.
739

740 **5.1.2 Biotic seasonality**

741 Our isotope dataset demonstrates that moisture for caves and rivers is mainly
742 derived from westerly precipitation. These isotope results provide a baseline for the
743 reconstruction of current and past diets of fauna and people (Sponheimer and Lee-
744 Thorp, 1999). Precipitation and its seasonality also have important implications for
745 the vegetation and insect availability of the mountainous steppe, which are the main
746 sources of energy for the lowest trophic levels in the Gobi-Altai (Cheng et al., 2011;
747 Zhu et al., 2014). Freshwater and grass are both severely impeded by winter
748 freezing, precipitation and temperature changes (Munkhtsetseg et al., 2007), but it is
749 clear that groundwater plays also important role in the region (Murdoch et al., 2017).
750 Springs are a large continuous source of water, unlike the more seasonal and
751 variable (especially summer) precipitation. Large fauna is heavily dependent on both
752 of these water sources (Tumendemberel et al., 2015; Murdoch et al., 2017; Payne et
753 al., 2020). However, when water freezes, ungulates such as argali (Murdoch et al.,
754 2017), gazelle (Ito et al., 2013) and wild ass (Payne et al., 2020) migrate to areas
755 with liquid water sources. The same generally occurs with nomads and their
756 livestock, who migrate winter-spring/summer-autumn in search of pastures and
757 shelter (Fijn, 2011). Modern herders still use spring water sources in winter by
758 breaking the ice, providing water for wild animals (Murdoch et al., 2017).

759
760 Understanding past seasonality in humans and animals is difficult due to the lack of
761 baseline records and the complexities of interpreting isotope data from fauna. Our
762 initial water isotopes will help elucidate this, through comparison with archaeological
763 observations of isotope values. In general, hunter-gatherers follow seasonal
764 movements of large mammal migrations (ungulates in particular) which avoid dry,

765 and frozen regions. Larger birds of prey such as eagles and raptors migrate south to
766 the Himalayas and Korea during the winter (Vaurie, 1964; Batbayar et al., 2008;
767 Batbayar and Lee, 2017). Rodents and lagomorphs burrow and hibernate during this
768 period (Batsaikhan et al., 2010). Top level terrestrial carnivores such as snow
769 leopards, bears and wolves do not migrate, as they are particularly suited to cold
770 climates and den in caves (Batsaikhan et al., 2010; Munkhtsog et al., 2016). Former
771 glaciers and ice patches may have played a role in increasing the access to
772 freshwater at high altitude (Taylor et al., 2020). Our isotopic baseline may help
773 establish future research on the role of extinct glaciers and ice patches as sources of
774 water for humans and fauna. These seasonal variations in access to freshwater and
775 food had most likely a significant impact on the spatial distribution of past human
776 occupation of the mountainous regions of the Gobi-Altai.

777

778 **5.2 Site and cave formation**

779 Our surveys in the Gobi-Altai Mountains have shown three main contributors to the
780 life history and use of caves. The first agents to consider are abiotic
781 geomorphological processes that affect accumulation of sediments and minerals in
782 cave environments. The second contributor includes fauna occupying the caves
783 which may build up a moderate amount of debris. The third factor is human
784 presence, which has been continuous in the region from the Late Pleistocene and up
785 to the present.

786

787 **5.2.1 Geomorphological and natural formation processes**

788 Due to the extreme aridity of the Gobi-Altai, its karstic areas have developed much
789 slower compared to many other regions in the world. We found evidence of

790 extensive development of the caves below the water table; particularly in the Gazar
791 region. In the Aguin and Tsakhriyn Nuruu regions, however, erosion at their higher
792 altitudes has created a more dramatic landscape, in which the caves are presently at
793 the top of remaining limestone outcrops. With a slightly lower altitude, the Tsakhiryn
794 Nuruu region still demonstrates phreatic origins with the occurrence of calcite spar in
795 Tsakhir Agui 1 and vadose conditions at Irvesiin Agui, where its flowstone indicates
796 repeated periods of flooding. The age of the speleothem beyond the U/Th limit
797 suggests that this region has been arid for a long time.

798

799 At Gazar, the majority of the caves were dry and level with or near the groundwater
800 table and formed under semi-phreatic conditions. The calcite crystals at Gazar 2 and
801 3 attests to the presence of vadose conditions. Caves in the north are likely drier due
802 to a colder, more periglacial environment (Komatsu and Olsen, 2002). Much of the
803 water input is also seasonal, as during winter the freezing of the solutional cave walls
804 provokes the spalling of large angular clasts, as evidenced in Layer 2 of Gazar Agui,
805 and Nuramt Tsakhir Agui.

806

807 Sedimentation is low in many caves and this can be attributed to the orientation of
808 their opening. Sediment in the caves may originate from the glacial aeolian
809 sedimentation that occurred as a result of the drying of palaeolakes in the basins
810 below the Gobi-Altai lakes. These cave sediments are likely related to the aeolian
811 sediment covers found on the current mountain sides (Lehmkuhl et al., 2018), and all
812 of the south and southeast facing caves show moderate to significant sediment
813 deposition. Frost wedging likely produced the very common, smaller, angular clasts
814 observed in most of the excavations we conducted. A rarer, but likely more modern

815 cave deformation process is that of earthquakes and tremors that cause large
816 boulder collapses from the cave walls. Much of the region is subjected to 4.5-5.5
817 magnitude earthquakes (recorded 1900-2000) with occasional strong ones such as
818 the 8.1 magnitude in 1957 (Cunningham, 2013). These frequent seismic events likely
819 contributed to the large amount of debris that we see in Tsakhiryn Agui 1, Saalit Agui
820 3, and Gazar Agui 13 (where two faults are visible in the cave). It seems that many
821 of the geological and hydrological processes that created the caves in the Gobi-Altai
822 Mountains are no longer active to the same degree, suggesting that these caves are
823 relatively stable, barring catastrophic events such as earthquakes.

824

825 **5.2.2 Biogenic accumulations**

826 Most of the caves we explored, even those without sediment to excavate, presented
827 some evidence of faunal activity. In Tsakhiryn Agui and Nuramt Tsakhir feathers and
828 guano were scattered all over sediment and boulders. In the aforementioned caves,
829 we did not recover large bone accumulations. In Gazar Agui 13 we found a large
830 pellet with microfauna and recorded micromammal bones on the surface of many of
831 the caves. This mirrors the large accumulation of samples from Dinesman's (1989)
832 excavations. In the surveyed area of Irvesiin Agui, it was clear that extended *P. uncia*
833 occupation lead to the accumulation of saltpetre deposits. In other excavated caves,
834 such as Gazar Agui 1 and Nuramt Tsakhir Agui, avian and micromammal bones
835 were commonly recovered from sieves, similarly to the sieving at Tsagaan Agui
836 (Martynovich, 2002). These latter occurrences suggest that birds of prey created
837 these accumulations. In addition to fauna, several of the excavated caves presented
838 root systems, which suggest recent stabilisation of cave floors.

839

840 At Gazar Agui 1 we also found small carnivore bones. While the region records large
841 carnivores denning in caves, our excavations showed no carnivore accumulations of
842 ungulate bones or skeletal remains of large carnivores. Mongolia also lacks
843 durophageous rodents, which are known to produce large bone deposits in Europe,
844 Africa, and Southeast Asia (O'Regan et al., 2011; Louys et al., 2017). The lack of
845 specialised bone accumulating species in Mongolia, combined with the unfavourable
846 topographic conditions for significant sediment accumulation, most likely account for
847 the limited preservation of large bones in the caves.

848

849 **5.3 Human interactions with caves**

850 Apart from Chikhen and Tsagaan Agui, it appears that the use of caves has in
851 general been low. Our survey programme has demonstrated this point, recording
852 varied cave contexts with different natural and cultural depositional histories.

853

854 **5.3.1 Prehistoric**

855 In these newly explored caves of the Gobi-Altai region, Middle and Late Pleistocene
856 material could not be identified. Although archaic humans persisted in southern
857 Siberia into MIS 6 (Douka et al., 2019), their presence in Mongolia is limited to the
858 two archaeological cave sites of Tsagaan Agui (Derevianko et al., 2000a; 2000b)
859 and Chikhen Agui (Derevianko et al., 2008). Our survey in the surrounding hills of
860 Chikhen Agui confirmed that the cave site was attractive to human occupation over
861 many periods, given the recovery of early Upper Palaeolithic to the Bronze Age
862 lithics, consistent with earlier findings.

863

864 At Gazar Agui 1, fine chert was used for lithic manufacture, and entirely distinct from
865 Tsagaan Agui and Chikhen Agui. The density of material at Gazar Agui 1 is very low,
866 whereas the density of microblades and core surface sites and Chikhen Agui are
867 extremely high. Microblade production was present in Chikhen Agui, representing a
868 dominant method in the region from ca, 15-11 ka cal BP to the Bronze Age ca. 3 ka
869 cal BP (Janz et al., 2017). Based on the occurrence of microliths and the rock art at
870 Gazar Agui 1 (Vanwezer et al., 2020), human presence most likely correlates with
871 the Neolithic. The low density in comparison to other lithic assemblages, and
872 presence of only fragmented microblades suggests this was not a knapping location
873 and that the material found could represent discarded fragments from a brief
874 occupation.

875

876 Despite the lack of extensive prehistoric archaeological material in all of the caves, it
877 is clear that Gazar Agui 1 & 13 were used by human groups, as evidenced by the
878 presence of rock art (Vanwezer et al., 2020). The first signs of human occupation
879 were in the Neolithic or Bronze Age, as the petroglyphs differ from younger ochre
880 rock art sites (Vanwezer et al., 2020). We suggest that during the Neolithic to Bronze
881 Age, cave use was short, and was targeted for the production of rock art. Gazar Agui
882 1 is the only documented cave in Mongolia containing both petroglyph rock art and
883 Holocene archaeological materials.

884

885 On the basis of our survey, other cave sites show no signs of prehistoric
886 occupations, despite the fact that their elevated contexts near reliable freshwater
887 sources, could have made them suitable for occupation. However, their difficult
888 access may have posed a problem for most humans. In contrast, Chikhen-,

889 Tsagaan-, and Gazar 1-Agui are located on flat terrain with accessible entrances and
890 better visibility. High mountain caves likely suit pastoralists whose herding activities
891 bring them into those mountain valleys seasonally, whereas lowland caves provide
892 easily visible and accessible shelter for both hunter-gatherers and pastoralists.

893

894 Thus, while the Gobi-Altai is known for two iconic Palaeolithic cave sites, many of the
895 high-altitude caves in the region do not share the same archaeological density.

896 suggesting that Palaeolithic populations targeted more accessible locations,
897 repeatedly visiting them, without forays into sites in elevated topographic situations.

898 During the Bronze Age or later, populations began to briefly access higher caves,
899 producing rock art for symbolic purposes.

900

901 **5.3.2 Historic**

902 Of the caves surveyed, only Tsakhiryn Agui demonstrates evidence of historic use
903 through the recovery of wooden artefacts. The function of the majority of the wood
904 pieces is difficult to ascertain, as pieces are fractured and cracked to some degree
905 and present no evidence of intentional modification. Those artefacts that do (Fig. 7),
906 may be components of fire making kits (Jiang et al., 2018), particularly using the bow
907 drilling technique. Other items are similar to those found in cave burials with wood
908 remains. Dates on two of the wooden pieces suggest that the younger date (1117
909 ± 40 cal AD, OxA-40026) is likely the closest to deposition date, placing the material
910 in the medieval period. Or if the older date (251 ± 13 cal AD, OxA-40028) indicates a
911 separate earlier deposition, it could prove that there is reuse of Tsakhiryn Agui 1
912 over a 1000-year period.

913

914 Fire-making kits are not well known in Mongolian burials, and are largely limited to
915 fire-strikers and flint (Erdenebat, 2009a). Despite a lack of comparable wooden fire-
916 starting equipment from Mongolia, the Late Iron Age Yanghai cemeteries in China,
917 present numerous examples of wood drilling tools with similar features (Jiang et al.,
918 2018). That assemblage has hearths with similar prepared notches to help funnel
919 embers. Jiang et al. (2018) claim that the Yanghai tools are for hand drilling and that
920 recovered bows are more suitable for shooting. The bow fragment found at
921 Tsakhiryn Agui appears to be designed for drilling, as burials of the period commonly
922 have composite bows with arrows and quivers (Nomguunsuren et al., 2012; Ahrens
923 et al., 2015). It is conceivable that any of the curved branches could be used as
924 bows too, but most proper tools show working, such as the notches on the hearth
925 and the nock on the bow. Despite this preparation, and unlike the tools at Yanghai,
926 most of the pieces from Tsakhiryn Agui show no abrasion or charring. Particularly,
927 none of the hearths show use either. Therefore, it is likely that these fire drilling tools
928 form part of ceremonial assemblage or might have not had a chance to be used.

929

930 The other identifiable wooden items from Tsakhiryn Agui (Fig. 8) are more familiar
931 components of recorded Mongolian burials. For example, the beam is a
932 characteristic section of burials with cart parts (Miller, 2012; Ahrens et al., 2015), the
933 ger lattice (Erdenebat and Bayar, 2004), and a whip handle [similar examples found
934 at Tsagaan Khad and Ondor Khuren, see: Ahrens et al. (2015)] are common cave
935 burial goods. Because looting is a common issue, the best preserved cave burials
936 frequently present blocked off entrances to deny access to scavenging birds,
937 carnivores, and looters (Ahrens et al., 2015). A nearby 15-16th century burial,
938 Shandyn Amny Avst, lacks most of its skeletal elements (Kwang-jin et al., 2010a;

939 Bemmann and Nomguunsüren, 2012), likely because of the aforementioned
940 reasons. We recovered no skeletal elements in Tsakhiryn Agui – so, if the wooden
941 materials were once part of a burial, the burial would have been exposed. Despite
942 possible destruction caused by exposure, the wood material at Tsakhiryn Agui
943 reaffirms the impressive preservation environments of the caves in the Gobi-Altai.

944

945 The radiocarbon date of the wood to the medieval period, ceremonial nature of the
946 wood drilling tools, and the wooden artefacts commonly associated with Mongolia
947 cave burials suggest that the wood pieces found in Tsakhiryn Agui 1 are likely from a
948 disturbed medieval cave burial site.

949

950 **5.3.3 Recent**

951 Of the 25 caves examined, five demonstrate signs of modern or recent historic
952 usage. Buddhism returned to Mongolia in 1991 as one of the largest religious
953 practices (Bira, 2009), possibly reclaiming previously used caves. Khongil Tsakhir
954 Agui is clearly of importance to nearby herders. Their winter camp is located in the
955 cave valley, near a stupa. The Buddhist mantra written on the outside of one of the
956 entrances (Fig. 11C) is common throughout Mongolia, like in Alag Erdene in the
957 north of Mongolia (Komatsu and Olsen, 2002). The bovid and equid skulls found on
958 the cave floor (Fig. 11D), were likely placed as Buddhist offerings in concert with the
959 miniature stupa (Fig. 11I). This practice is common throughout Mongolia, particularly
960 with horse skulls, as a sign of respect to local spirits (Marchina et al., 2017). While
961 Khongil Tsakhir Agui, shows no evidence of recurrent usage, Buddhist temple's
962 Baishiya Karst Cave of the Tibetan Plateau (Chen et al., 2019) demonstrated the
963 possibility of overlapping cave use, with its Denisovan skeletal remains. Under the

964 right conditions, the same scenario could occur in the Mongolian caves. Due to the
965 extensive historic presence of Buddhism in Mongolia, modern and archaeological
966 Buddhist materials are widespread in caves.
967
968 Caprine faeces found in most of the caves surveyed indicate that they were used as
969 shelters by the animals. Evidence from Gazar 1 & 13 differ from modern land use
970 practices, as most recorded examples of corrals are open-air and made of wood,
971 wire or stone (Égüez and Makarewicz, 2018). In many caves (e.g., Saalit 3,
972 Tsakhiryn Agui 1) accumulations of goat droppings on the cave floors occur. In
973 Gazar Agui 1 & 13, however, concerted penning efforts were present and large
974 accumulations of dung were trampled and left to dry. Dried caprine dung is used
975 today in much of arid Mongolia as a form of fuel where wood resources are lacking
976 (Lkhagvadorj et al., 2013). These can be mass produced through corrals with
977 caprines (Égüez and Makarewicz, 2018) or collected as individual pieces from larger
978 animals (e.g. camels). At Gazar Agui 1 and 13, the use of this dung has likely
979 removed and reworked the upper layer of the aeolian sediments (Layer 2), as well as
980 earlier episodes of burning, thus the bronze piece and most of the lithic fragments
981 found are in reworked contexts. The deliberate modification of Gazar Agui 1 through
982 the creation of a wall from the cave spalls demonstrate an intentional investment
983 towards reuse of the cave. Static structures such as caves and corrals are more
984 likely to be revisited by seasonal nomads (Wright, 2016), and thus increase the
985 chances of finding dense and reworked stratigraphic archaeological assemblages.
986 Due to the extended history of pastoralist economic land use in Mongolia, it is likely
987 that other caves throughout the country demonstrate a similar penning behaviour.
988

989 The radiocarbon dates of the fumier suggest that the dung drying behaviours at the
990 cave are, at most, four centuries old. This method of penning occurs in other modern
991 herding regions of the world (Égüez et al., 2018). However, numerous examples of
992 penning and burning of dung also exist during the Neolithic to Iron Age of Europe
993 (Angelucci et al., 2009; Burguet-Coca et al., 2020). In Central Asia there are a few
994 past examples of cave corrals such as Chegirtke cave, Kyrgyzstan (Taylor et al.,
995 2018), and Denisova cave in the Russian Altai Mountains was used as a sheep
996 corral in the early Bronze Age (Derevianko and Molodin, 1994). While not
997 widespread, it could be possible that this behaviour is more common in the
998 mountainous regions of Mongolia and may have happened in the historic past.
999

1000 Other modern anthropogenic interactions with caves include excavations and mining
1001 for calcite spars, as evidenced in Gazar Agui 2 & 3. Informal 'ninja' mining is
1002 currently a frequent occurrence across Mongolia, and the result of widespread
1003 environmental problems, leading to heavy livestock losses and consequent poverty
1004 (Grayson et al., 2004). These small-scale operations, which have increasingly
1005 become privatised (Munkherdene and Sneath, 2018), have a common goal of finding
1006 precious ores, particularly gold, but other minerals are also being targeted.

1007 Organised 'legal' mining efforts led to the discovery of the only Pleistocene fossil, the
1008 Salkhit skull. Despite this, they failed to recover any other contextual information
1009 (Günchinsüren, 2007), and as such, little was known about the sample until recent
1010 bioarchaeological analyses (Devièse et al., 2019; Massilani et al., 2020). The large-
1011 scale disturbances caused by these mining processes are clear in open landscapes,
1012 but the effects on cave systems are less visible. At Gazar Agui 2 & 3, we observed
1013 extraction of sediments and the removal of calcite spars, which resulted in the

1014 disruption of possible palaeoenvironmental, palaeontological or archaeological
1015 information. Although mining can be the source of archaeological discoveries, it can
1016 also negatively impact karstic environments and the cultural heritage associated with
1017 them.

1018

1019 **6. Conclusions**

1020 Due to dramatic changes to the landscape in the Late Pleistocene and Early
1021 Holocene, there are still many unknowns regarding prehistoric land use practises.
1022 Although earlier surveys in northern and central Asia led to the discovery of caves
1023 with long stratigraphies containing archaeological materials, since then it has been
1024 difficult to identify additional caves with similar records. The dispersal of hominins
1025 throughout the Late Pleistocene in Northern Asia is still completely unknown. These
1026 targeted surveys provide contextual information for further exploration. Our survey
1027 team located, documented, and examined 25 caves from four regions in the Gobi-
1028 Altai Mountains in western Mongolia. We found that most caves do not contain
1029 Pleistocene records, which could suggest that hunter-gatherers preferred caves in
1030 low-lying steppe regions. The presence of Holocene archaeology suggests that
1031 pastoral seasonal behaviours were more suited to use mountainous caves. Cave
1032 burials and rock art represent the most common cave use in the study area. While
1033 indications of early hominin use are rare unlike the nearby Russian Altai, the
1034 landscape use of Holocene humans is evident at several caves. They provide a
1035 better understanding of the changing utility that caves provide over time in nomadic
1036 regions as cultural and economic locations. They also demonstrate the shared
1037 similarities in practices with nearby regions such as the Himalayas and Northern

1038 Asia, exemplifying the expanded interconnectivity and population density that occurs
1039 towards the Late Holocene.

1040

1041 Environmental data is present in many caves, and the isotopic data from
1042 precipitation, surface water and drip water contexts provide a baseline for
1043 contemporaneous water sources, atmospheric dynamics, and for future biotic and
1044 speleothem analyses. This will be fundamental to understand the importance of
1045 mountain water sources for past people. Climate research is frequently detached
1046 from the humans that form an intrinsic part of it (Beckage et al., 2020), thus, this
1047 interdisciplinary work marks our attempt to integrate these two lines of research.

1048

1049 As a result of our extensive cave survey, we can conclude that south and south-east
1050 oriented cave entrances are more likely to contain sediment layers thick enough to
1051 preserve fauna and archaeological materials that provide information about
1052 environmental and behavioural dynamics. The recovered wooden medieval tools are
1053 prime examples of biological preservation in this climate. The aridity and extreme
1054 seasonality of the Gobi-Altai region means that today, and likely in the past, people
1055 had to migrate during colder periods. Given the large number of undocumented
1056 caves in the Gobi-Altai Mountains, we suggest that further concerted exploration is
1057 worthwhile, especially for the Palaeolithic, in contexts at the interface of low-lying
1058 areas with palaeolakes, palaeorivers, and springs.

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1069

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1650 **Fig. 1.** Map of recorded Mongolian caves. The four red boxes mark regions surveyed
1651 as part of this paper: (A) Tsakhiryn Nuruu. (B) Aguin Nuruu. (C) Gazar region (D)
1652 Saalit region and Chikhen Agui. See Fig. 4 for insets

1653 **Fig. 2** Mean monthly precipitation and temperature at the Altai meteorological
1654 station. Both precipitation (P) and temperature (T) show strong seasonality, with
1655 maxima during summer. The percentiles ranges (colour shading) highlight
1656 pronounced interannual variability of summer rainfall and winter temperatures. Data
1657 source: <https://climexp.knmi.nl>, last access 17.04.2020.

1658
1659 **Fig. 3** Karstifiable rock types in the surrounding of the Gobi-Altai, with study regions
1660 discussed in the main text highlighted with red inserts. Geological information
1661 modified from Yanshin et al. (1989).

1662
1663 **Fig. 4.** Cave locations found through surveys and relevant water bodies. A. Gorge of
1664 Tsakhiryn Nuruu. B. Gorge of Aguin Nuruu. C. Gazar hills. D. Saalit caves and
1665 Chikhen Agui. Sites labelled are: 1. Tsakhiryn Agui 1, 2. Tsakhiryn Agui 1b, 3.
1666 Tsakhiryn Agui 2, 4. Tsakhiryn Agui 3, 5. Tsakhiryn Agui 4, 6. Tsakhiryn Agui 5, 7.
1667 Irvesiin Agui, 8. Nuramt Tsakhir Agui, 9. Khongil Tsakhir Agui, 10-22. Gazar Agui 1-
1668 13, 23. Saalit Agui 1, 24. Saalit Agui 2, 25. Saalit Agui 3 26. Chikhen Agui – See
1669 Table 1 for individual coordinates.

1670
1671 **Fig. 5** A. Plan, profile and section drawings of Tsakhiryn Agui. B. Large debris cone
1672 that leads to entrances of 3 caves (credit: S. Breitenbach). C. Entrance to Tsakhiryn
1673 Agui 1, with sampling gear for scale (credit: A. Kononov).

1674

1675 **Fig. 6** Organic finds from Tsakhiryn Agui. A. Fragmented wood beam (TSA-2018-
1676 200001), B. Possible wooden whip handle (TSA-2018-200011), C. Fragment of ger
1677 lattice (TSA-2018-200002), D. First locus, wood tools in cave floor crevice (8 cm lens
1678 cap for scale) E. Second locus, wood tools.

1679

1680 **Fig. 7** Organic finds from Tsakhiryn Agui. A. Fragment of bow drill (TSA-2018-
1681 200004). B. Unused fragmented of fire-starting hearth (TSA-2018-200006), notches
1682 are preparations to allow embers to fall into tinder C. Complete fire drill (TSA-2018-
1683 200020), flattened end goes onto the hearth to create friction, and sharpened end
1684 would be placed in handhold.

1685

1686 **Fig. 8** A. Plan and cross section drawings of Tsakhiryn Agui 4, Red rectangle marks
1687 the excavated area. B. Escarpment towards entrance of Tsakhiryn Agui

1688

1689 **Fig. 9** A. Plan and profile of Irvesiin Agui, with labelled sampling locations. B.
1690 Entrance to Irvesiin Agui. C. Speleothem (flowstone) deposit recovered from Irvesiin
1691 Agui. Translucent calcite layers, intercalated with brownish/yellowish detrital layers,
1692 are deposited sub-horizontally on brecciated reddish-white cave sediment. D. Crystal
1693 sand sample consisting of potassium nitrate

1694

1695 **Fig. 10** Plan and profile drawings of Nuramt Tsakhir Agui with red square outlining
1696 excavation area.

1697

1698 **Fig. 11** A. Cave plan and profile of Khongil Tsakhir Agui, with labels of specific
1699 photos and references. B. View of limestone cliff that contains Khongil Tsakhir Agui,

1700 with people at top for scale. C. View of entrance with Tibetan Buddhist mantra
1701 engraved on the left (white square). D. Skull of *Equus* sp. found in the main
1702 chamber. E. Main vertical tunnel of cave. F. One of southern entrances to cave. G.
1703 Divide between two tunnels. H. Two south facing circular windows. I. Miniature
1704 Buddhist stupa in smaller tunnel.

1705

1706 **Fig. 12** A. Cave plan and profile of Gazar Agui 1 with the excavation indicated in red.
1707 1-7 indicate the location of seven petroglyphs. B. View of Gazar Agui 1 entrance,
1708 ovicaprid dung floor, and the wall made of cave spall. C. Lithic findings from Gazar
1709 Agui 1. i. proximal microblade fragment. ii. medial microblade fragment. iii. backed
1710 microblade fragment. iv. medial microblade fragment. v. medial microblade fragment.
1711 D. Western section of trench, red dots denoting location of radiocarbon samples
1712 taken, white lines demarcate divisions of layers. E. Northern section of trench with
1713 samples taken and micromorphology sample.

1714

1715 **Fig. 13** Gazar Agui 13 cave profile and plan, with red rectangles indicating the
1716 excavated area and points indicating location of petroglyphs.

1717

1718 **Fig. 14** Overview of available stable isotope ($\delta^{18}\text{O}$ and δD) data from Mongolia.
1719 Samples presented here are consistent with those observed by Yamanaka et al.
1720 (2007) indicating a common history. See SI Fig. 1 for their geographic distribution.
1721 Data from south of the Gobi-Altai ranges (published by Burnik Šturm et al. 2015,
1722 2017), however, show distinct slopes and intercepts, pointing to very arid moisture
1723 sources affected by significant secondary evaporation. The Gobi-Altai region thus
1724 shows two distinct moisture dynamics.

1725

1726 **Fig. 15** The negative correlation observed between $\delta^{18}\text{O}$ and $^{17}\text{O}_{\text{excess}}$ in waters from
1727 NW Mongolia (this study) suggests that secondary evaporation during summer is
1728 traceable in the water isotope signal. Filled blue circles show river samples, open
1729 circles indicate rainwater samples, and filled orange circles show dripwaters. Errors
1730 indicate one standard error (1 SE) of the individual analyses.

1731

1732 **Fig. 16** Summary of $\delta^{18}\text{O}$ vs. d-excess data for Mongolian waters. Low $\delta^{18}\text{O}$ and
1733 high d-excess values are indicative of a high-humidity/low temperature moisture
1734 source. High $\delta^{18}\text{O}$ values and low d-excess values (<0) are indicative of increasing
1735 importance of secondary re-evaporation under very arid conditions. Very low $\delta^{18}\text{O}$
1736 values indicate winter snow delivered from western sources. Samples from north of
1737 the Altai are sourced from a more humid region and show much less secondary
1738 evaporation compared to those sampled southwest of the Gobi-Altai Mountain
1739 Range which originate from hyper-arid regions.

1740

1741 **Table 1.** Sites recorded in this paper

1742

1743 **Table 2.** Visual analysis of wood pieces from Tsakhiryn Agui 1

1744 **Table 3.** Radiocarbon dating of wood pieces Tsakhiryn Agui 1

1745

1746 **Table 4.** Radiocarbon dating of burned sediments from Gazar Agui 1

Site Name	Soum (district)	Longitude E (DD) WGS84	Latitude N (DD) WGS84	Elevation m a.s.l. (uncal)	Excavation	Breccia
Tsakhiryn Agui 1	Khaliun	96.28559	45.845921	1904		
Tsakhiryn Agui 1b	Khaliun	96.28559	45.845921	1890		
Tsakhiryn Agui 2	Khaliun	96.27474	45.849919	2007		
Tsakhiryn Agui 3	Khaliun	96.27557	45.849911	2024		
Tsakhiryn Agui 4	Khaliun	96.28183	45.854959	2054 x		
Tsakhiryn Agui 5	Khaliun	96.27914	45.853443	2056		
Irvesiin Agui	Khaliun	96.27684	45.853218	2083		x
Nuramt Tsakhir Agui	Khaliun	95.79398	45.878726	2092 x		
Khongil Tsakhir Agui	Khaliun	95.80328	45.878231	2025		
Gazar Agui 1	Taishir	96.2194	46.763898	2026 x		
Gazar Agui 2	Taishir	96.23888	46.692067	2085		
Gazar Agui 3	Taishir	96.23852	46.6921	2094		
Gazar Agui 4	Taishir	96.21839	46.76562	2060		
Gazar Agui 5	Taishir	96.21839	46.76562	2060		
Gazar Agui 6	Taishir	96.21839	46.76562	2060		
Gazar Agui 7	Taishir	96.22803	46.762741	2057		
Gazar Agui 8	Taishir	96.21956	46.764154	2053		
Gazar Agui 9	Taishir	96.21236	46.765564	2067		
Gazar Agui 10	Taishir	96.21236	46.765564	2078		
Gazar Agui 11	Taishir	96.21946	46.764151	2042		
Gazar Agui 12	Taishir	96.21946	46.764151	2042		
Gazar Agui 13	Taishir	96.20794	46.763367	2078 x		
Saalit Agui 1	Bayan-Ondor	99.04367	44.784024	2065		
Saalit Agui 2	Bayan-Ondor	99.04735	44.782081	2052		
Saalit Agui 3	Bayan-Ondor	99.04151	44.786055	2120		

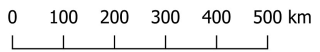
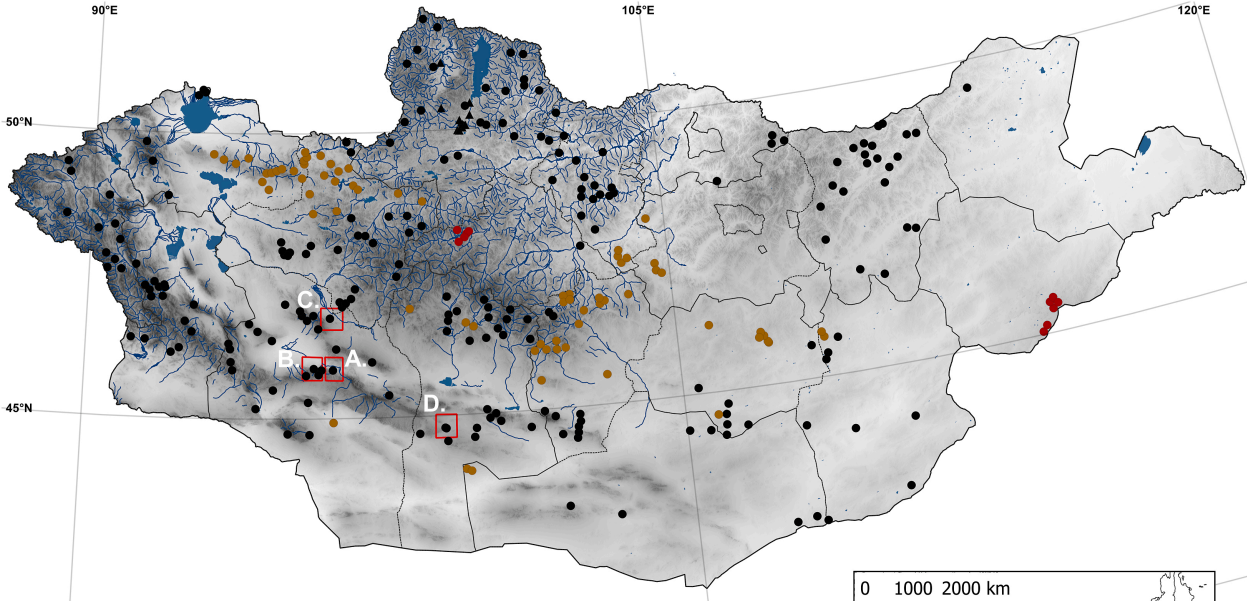
Project-ID	Material	Piece	Observations	Broken?	Break type and location
TSA-2018-200001	wood	beam	2 rectangular holes	yes	both ends and longitudinally
TSA-2018-200002	wood	ger lattice beam	3 circular holes	yes	proximal end
TSA-2018-200003	wood	branch	Distal end slightly sharpened, one branch	yes	proximal end
TSA-2018-200004	wood	bow drill	One end has a nock and a natural knot in centre	yes	one end broken
TSA-2018-200005	wood	board	Unused hearth	yes	longitudinal crack
TSA-2018-200006	wood	hearth	single side with prepared notches	yes	Both ends
TSA-2018-200007	horn (caprine)		3 circular holes (two lateral, one medial)	yes	crack on anterior side
TSA-2018-200008	wood	stick	Possibly drill, one sharpened tapered end	yes	one end broken
TSA-2018-200009	wood	stick	knot	yes	both ends
TSA-2018-200010	wood	curved stick	curved knot on one end, dulled other end	yes	one end broken
TSA-2018-200011	wood	whip	rounded end with hole, tapered and curved other end	no	
TSA-2018-200012	wood	curved stick		yes	both ends broken
TSA-2018-200013	wood	curved stick	rounded ends, several bends	yes	longitudinal crack
TSA-2018-200014	wood	stick	one end sharpened tapered	yes	one end
TSA-2018-200015	wood	drill	rounded end with blackened colour	yes	cracked rounded end, broken other end
TSA-2018-200016	wood	board	circular hole, pointed end	yes	crack by hole, pointed end blunted
TSA-2018-200017	wood	stick		yes	both ends
TSA-2018-200018	wood	stick		yes	both ends and section in middle
TSA-2018-200019	wood	stick	one side rounded	yes	one end broken, rounded end cracked
TSA-2018-200020	wood	drill	rounded end, another sharpened to taper, bark present	yes	latitudinal cut on tapered end
TSA-2018-200021	wood	stick	rounded end	yes	broken end, longitudinal cracks
TSA-2018-200022	wood	curved stick	rounded end, natural end	yes	longitudinal crack on rounded end
TSA-2018-200023	wood	drill	rounded end and sharpened tapered end	yes	small crack on rounded end
TSA-2018-200024	wood	stick	circular hole on one end	yes	fractured on both ends, longitudinal cracks on whole piece
TSA-2018-200025	wood	stick	rounded bulb end, singed on other end	yes	both ends broken
TSA-2018-200026	wood	stick		yes	both ends broken
TSA-2018-200027	wood	stick	Sent for C14	yes	broken in half longitudinally, both ends broken
TSA-2018-200028	wood	stick	Sent for C14, both ends singed	yes	broken in half longitudinally, both ends broken
TSA-2018-200029	wood	hearth	preliminary jagged pattern to create notches	yes	both ends broken, completely cracked
TSA-2018-200030	wood	stick	Rounded end, has bark	yes	one end broken
TSA-2018-200031	wood	board	socket on one end, circular hole	yes	longitudinal cracks
TSA-2018-200032	wood	hearth	notched preparations on one side, not all notches finished	yes	longitudinal crack, broken ends

Project-ID	Lab ID	BP	uncertainty	Cal AD (IntCal20)	uncertainty	probability (95.4%)	material
TSA-2018-200027	OxA-40026	966	±19	1040	±14	23.90%	wood
				1117	±40	71.50%	
TSA-2018-200028	OxA-40027	1760	±19	252	±14	20.60%	wood
				310	±40	74.90%	
	OxA-40028	1766	±19	251	±13	21.70%	wood
				310	±35	73.80%	










Journal Pre-proof

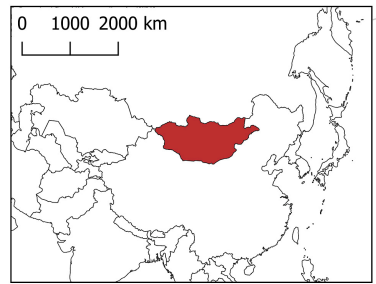
Project-ID	Lab ID	BP	uncertainty	cal AD (IntCal20)	uncertainty	probability (95.4%)	material
GZA-2018-100055	OxA-38642	1.11038*	±0.00263				burned soil
				1686	±20	15.30%	
				1750	±30	26.10%	
GZA-2018-100104	OxA-38701	148	±21	1807	±11	9.90%	burned soil
				1862	±30	23.90%	
				>1907		20.20%	
GZA-2018-100101	OxA-38773	1.04737*	±0.00241				burned soil
GZA-2018-100102	OxA-38774	1.03738*	±0.00237				burned soil

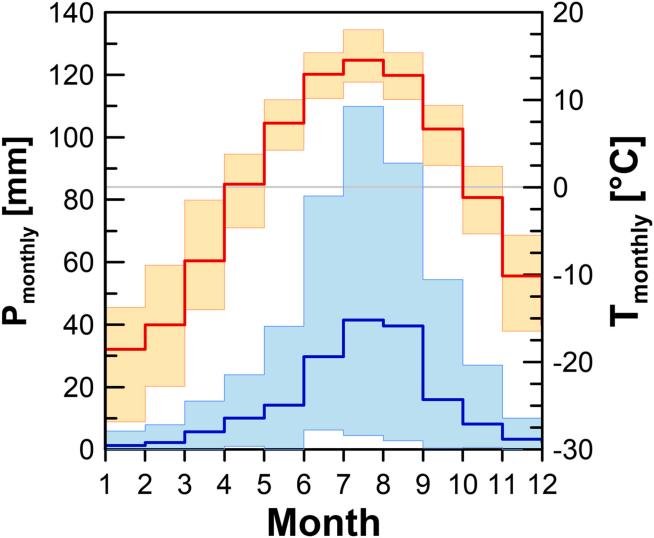
*modern date (post 1950) reported as F14C (fraction of modern)



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Projection: Asia North
Lambert Conformal Conic
Datum: WGS84

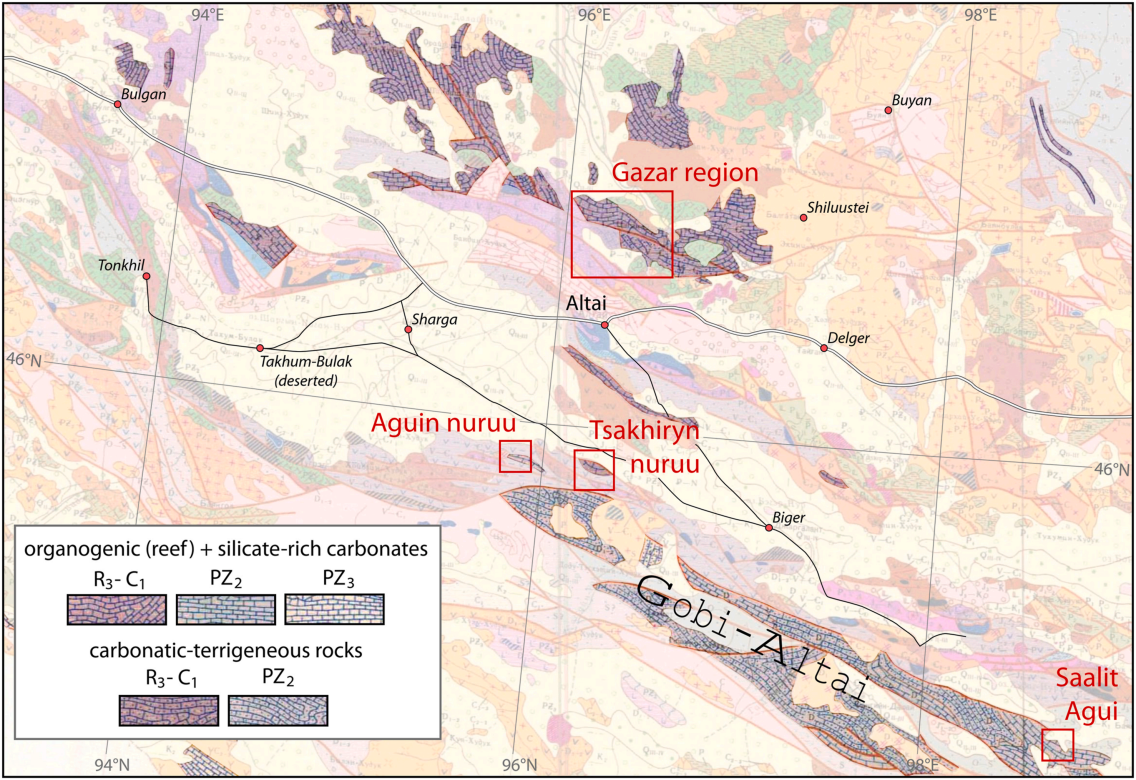
-  Lakes
-  Rivers
-  Province Borders
-  Areas surveyed
-  Komatsu & Olsen 2002
- Elevation**
-  500m a.s.l
4300
- Avirmed 1999**
-  Aeolian
-  Karstic
-  Lava

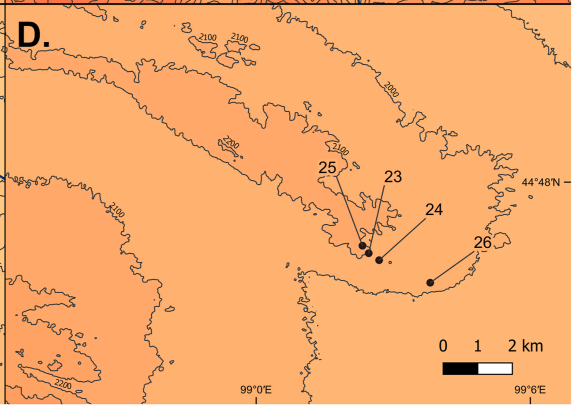
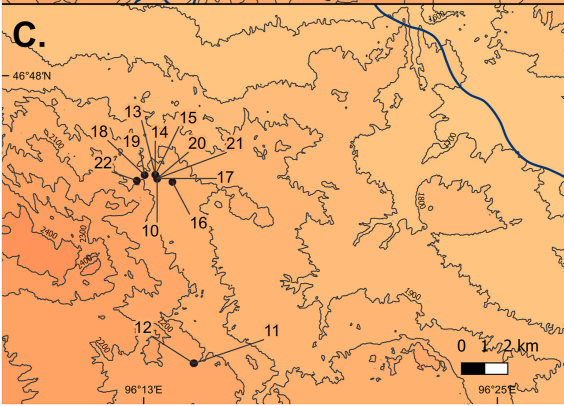
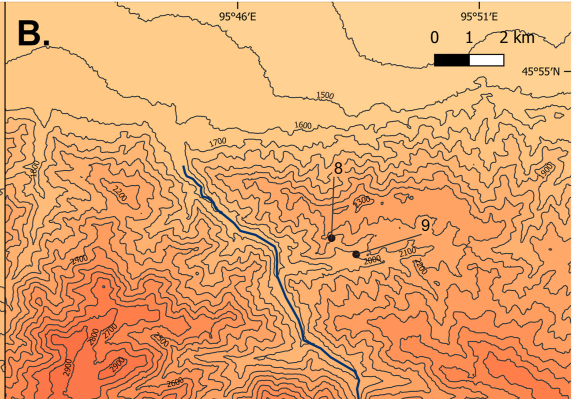
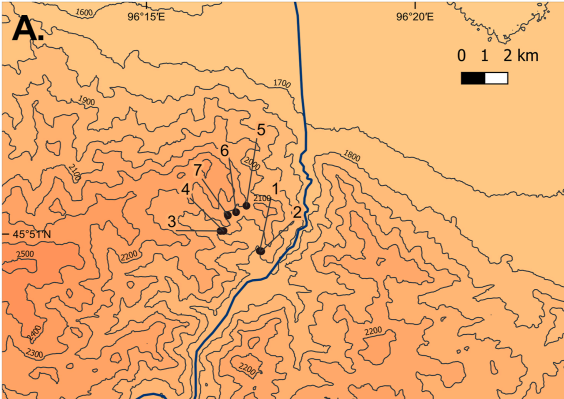




— P mean monthly — T mean monthly

■ ■ 2.5% & 97.5% percentile ranges



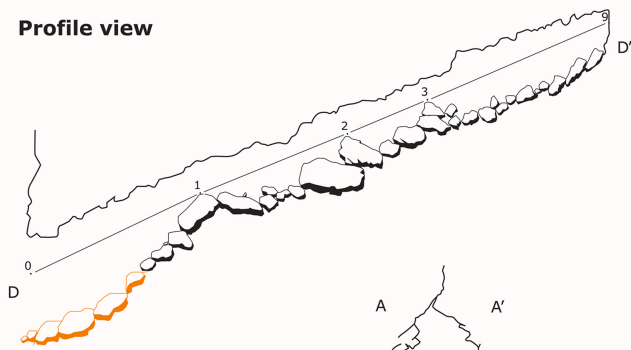
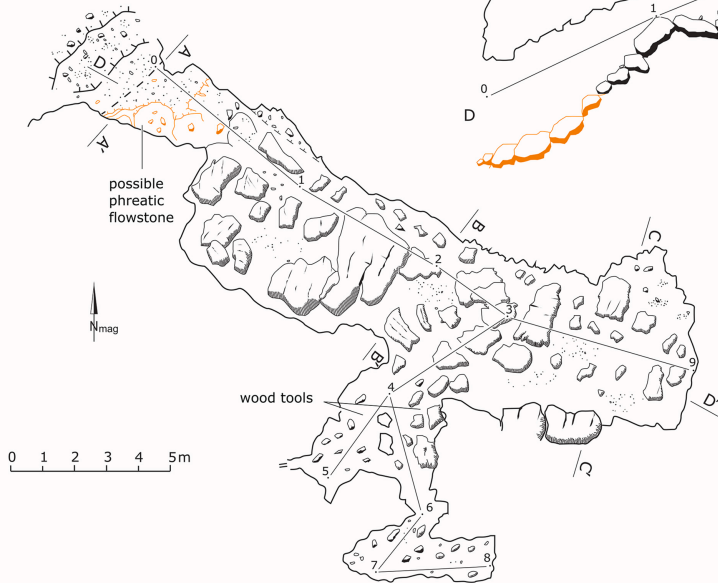


A. Tsakhiryn Agui 1

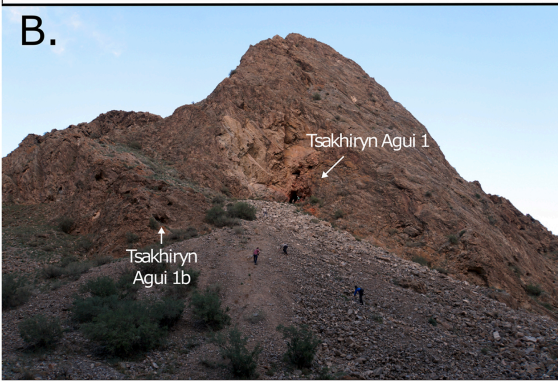
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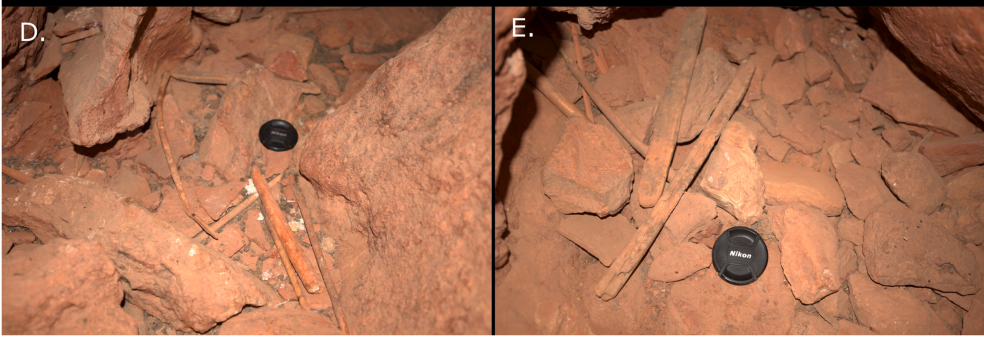
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Altitude: 1904 m a.s.l.

Plan view

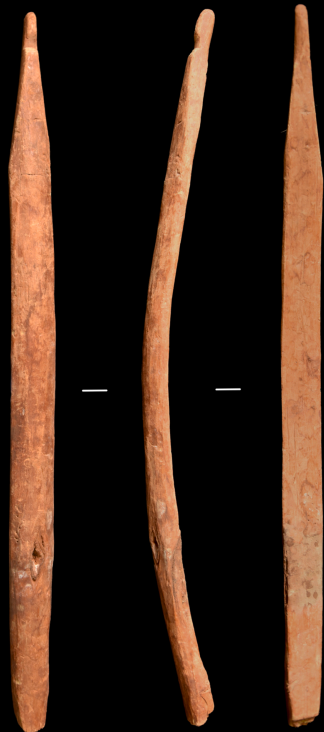


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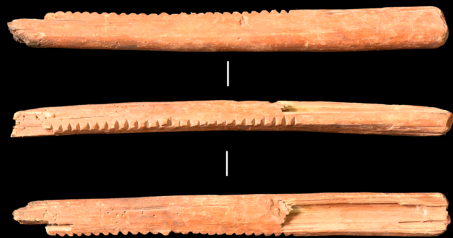




A.



B.



C.



Tsakhiryn Agui 4

Survey: 12.08.2018, S. Breitenbach & D. Sokolnikov

Instruments: Leica DistoX, Suunto inclinometer

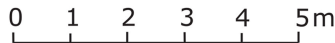
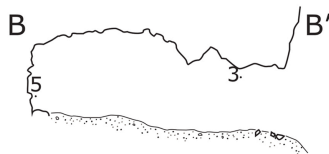
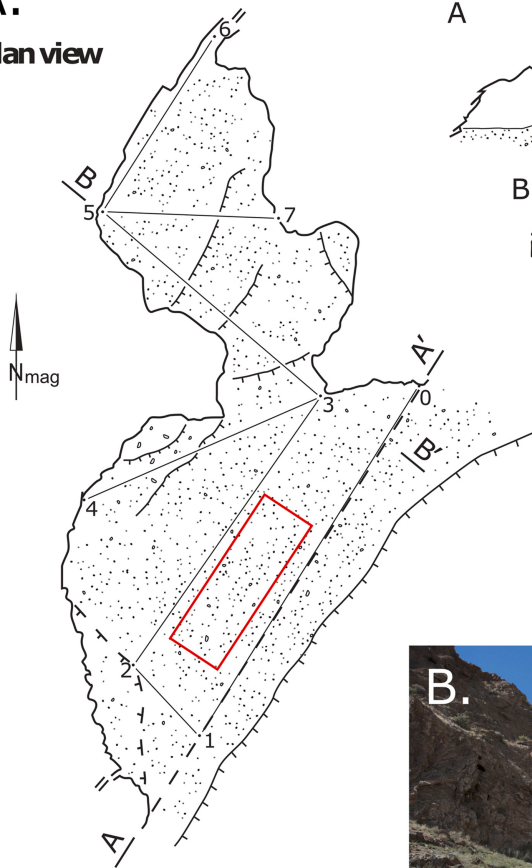
Drawing: 22.09.2018, S. Breitenbach, BCRA 4

Coordinates: N 45°51'17.859", E 96°16'54.587" ±10 m (WGS84)

Altitude: 2054 m a.s.l.

A.

Plan view



B.



Irvesiin Agui (Snow Leopard Cave)

A.

Survey: 15.08.2018, S. Breitenbach & D. Sokolnikov

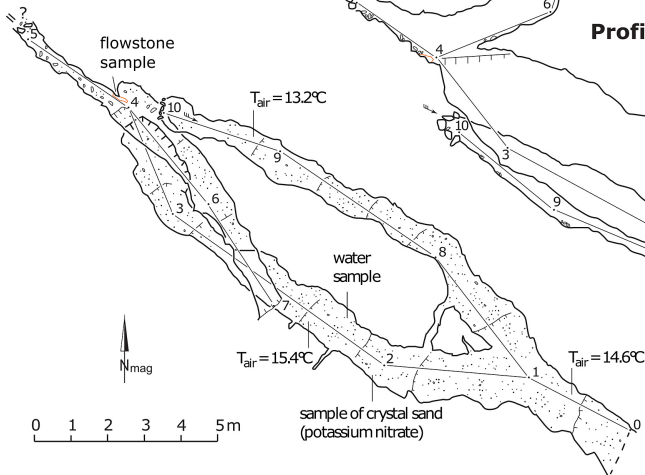
Instruments: Leica DistoX, Suunto inclinometer

Drawing: 22.09.2018, S. Breitenbach, BCRA 4

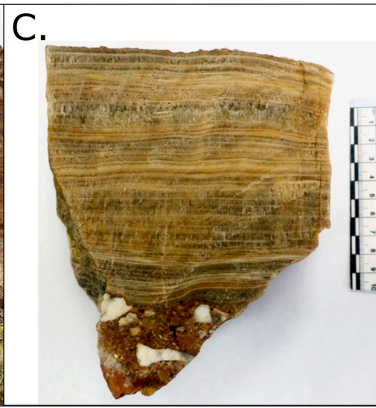
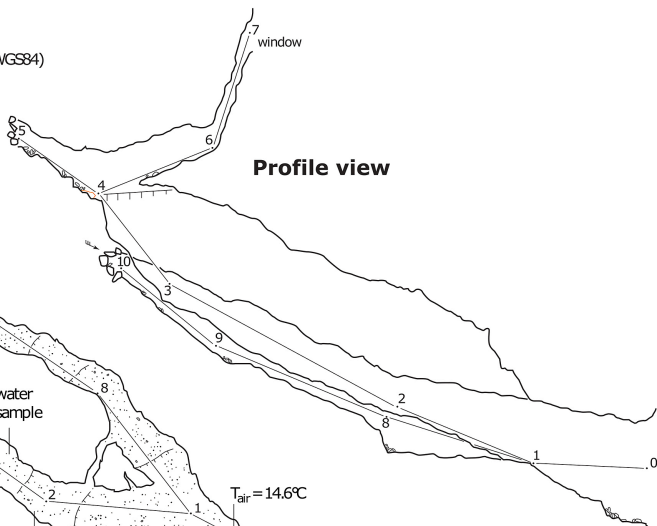
Coordinates: N 45°51'11.58"; E 96°16'36.63" ±3 m (WGS84)

Altitude: 2083 m a.s.l.

Plan view



Profile view



Nuramt Tsakhir Agui

Survey: 13.08.2018, S. Breitenbach

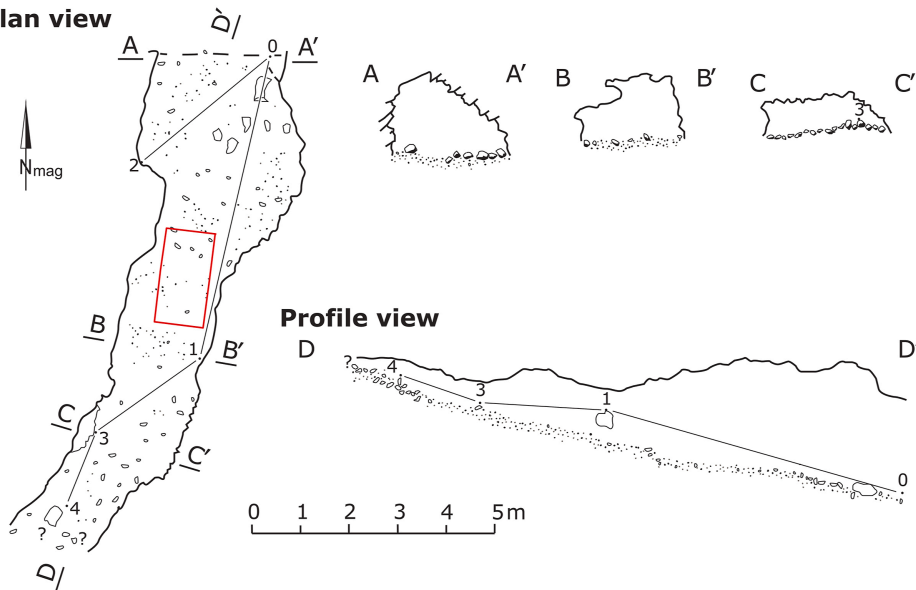
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Drawing: 22.09.2018, S. Breitenbach, BCRA 4

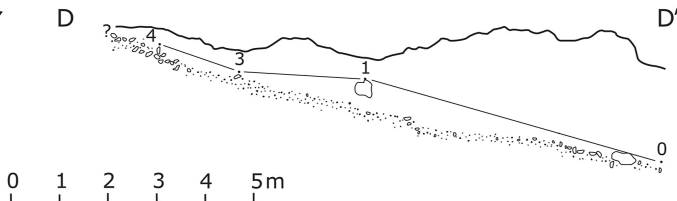
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Altitude: 2092.2 m a.s.l.

Plan view

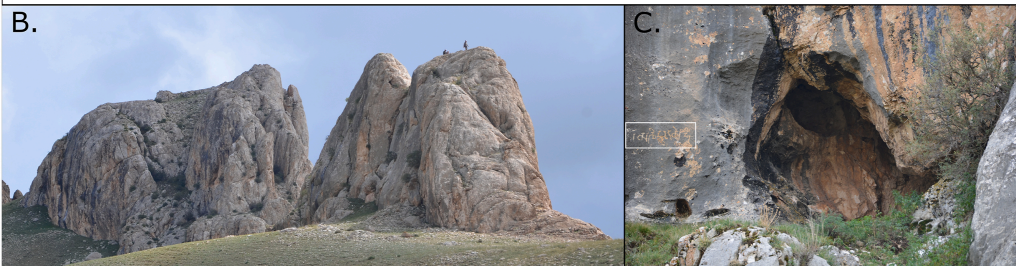
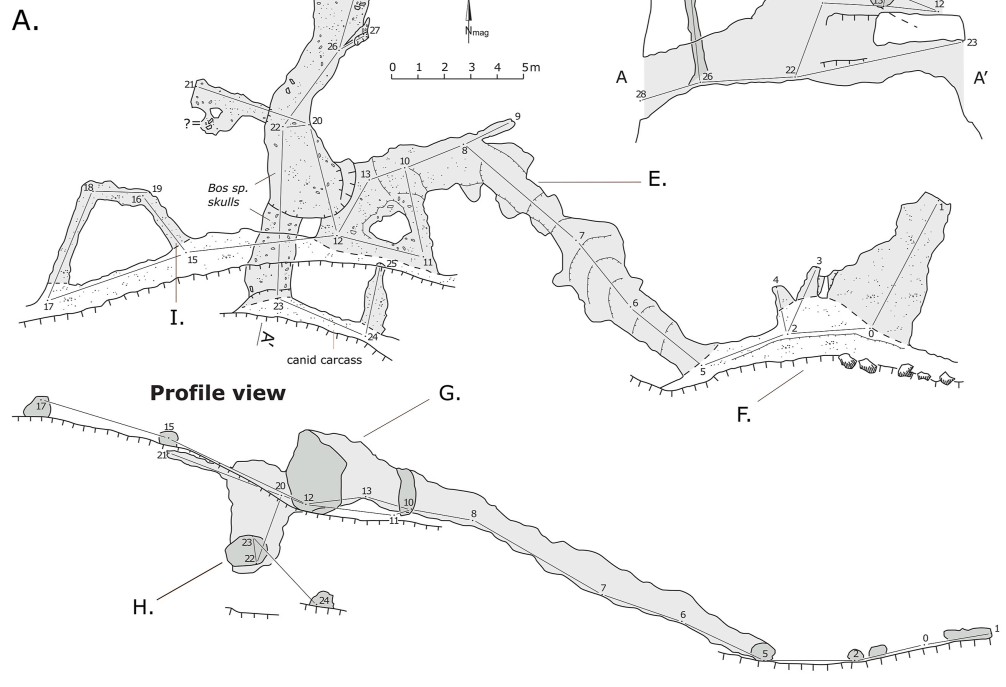


Profile view



Khongil Tsaikhir Agui

Survey: 14.08.2018, S. Breitenbach & D. Sokolnikov
Instruments: Leica DistoX, Suunto inclinometer
Drawing: 19.11.2018, S. Breitenbach, BCRA 4
Coordinates: N 45.878231°, E 55.803288° ±4 m (WGS84)
Altitude: 2025 m a.s.l.



Gazar Agui 1

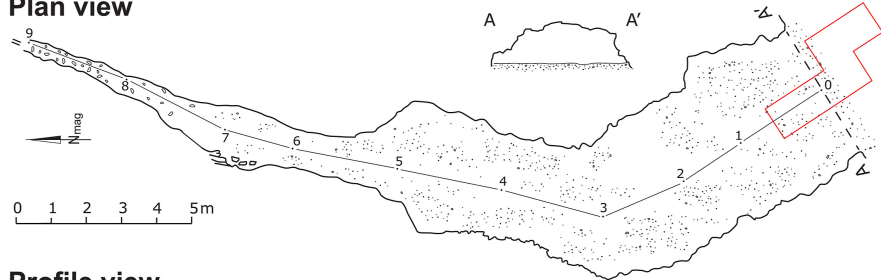
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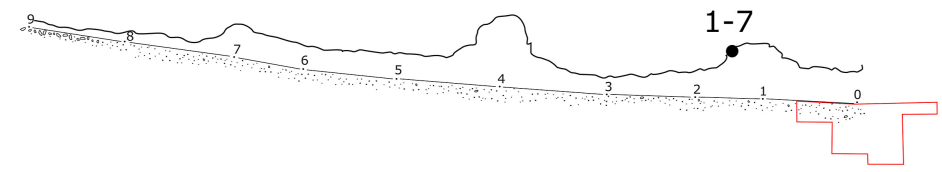
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A.

Plan view



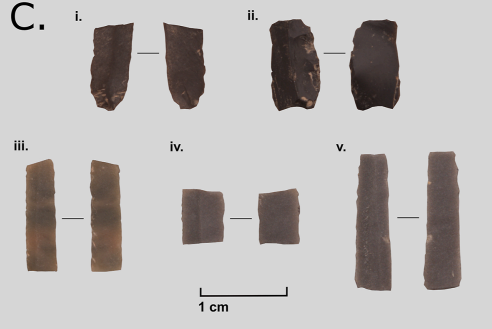
Profile view



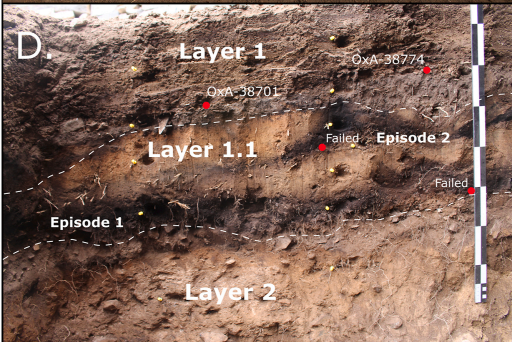
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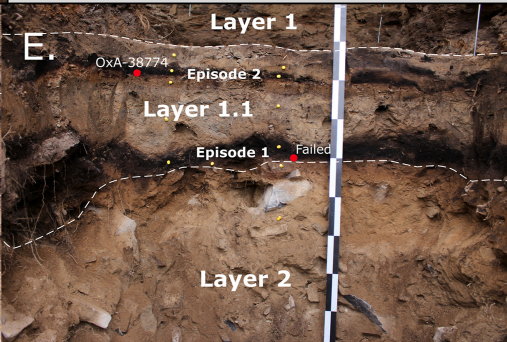
C.



D.

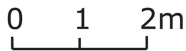
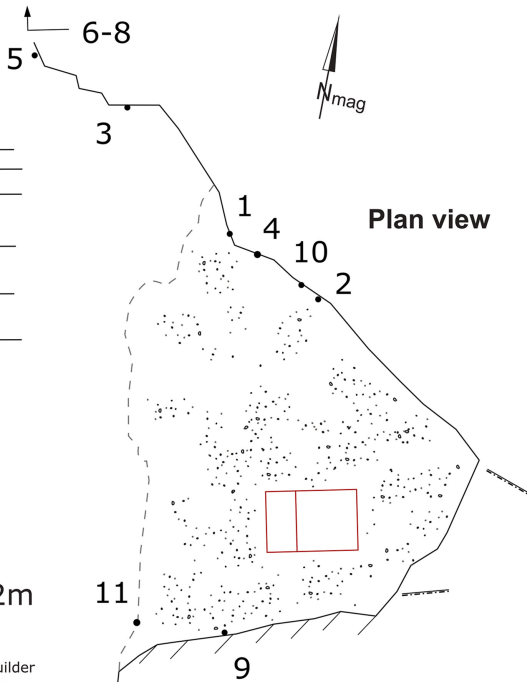
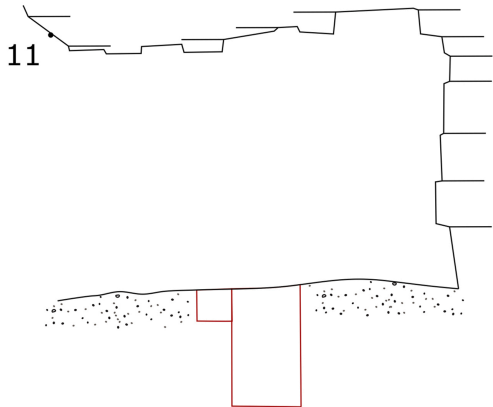


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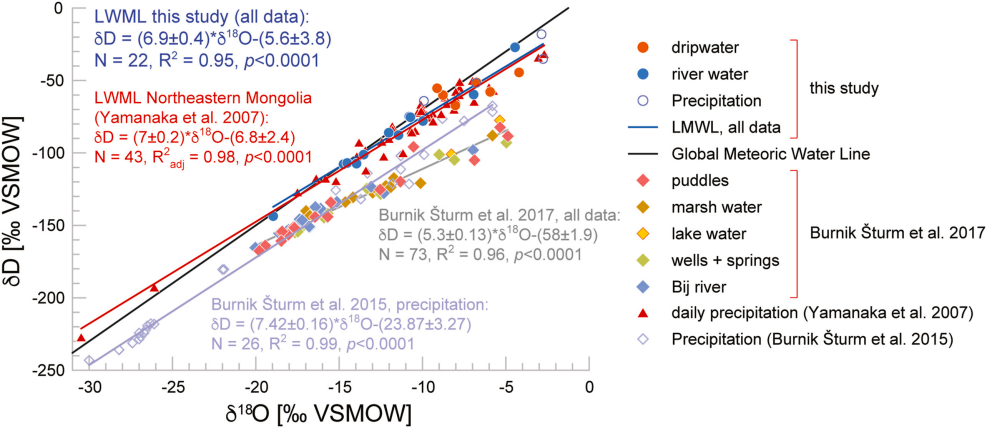


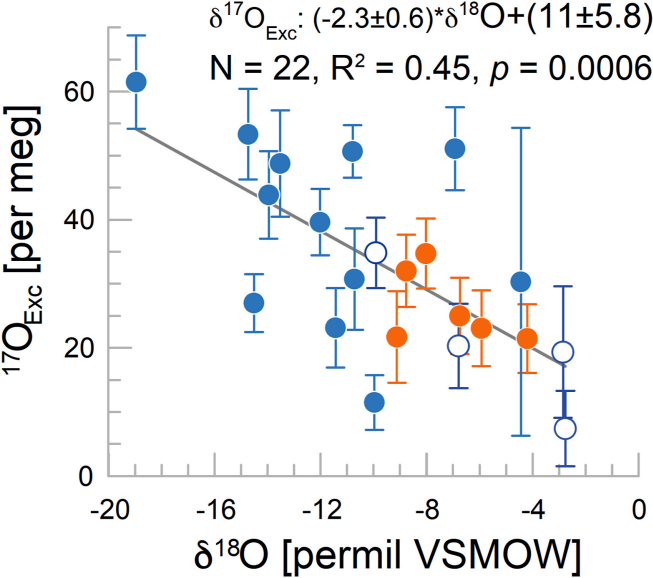
Gazar Agui 13

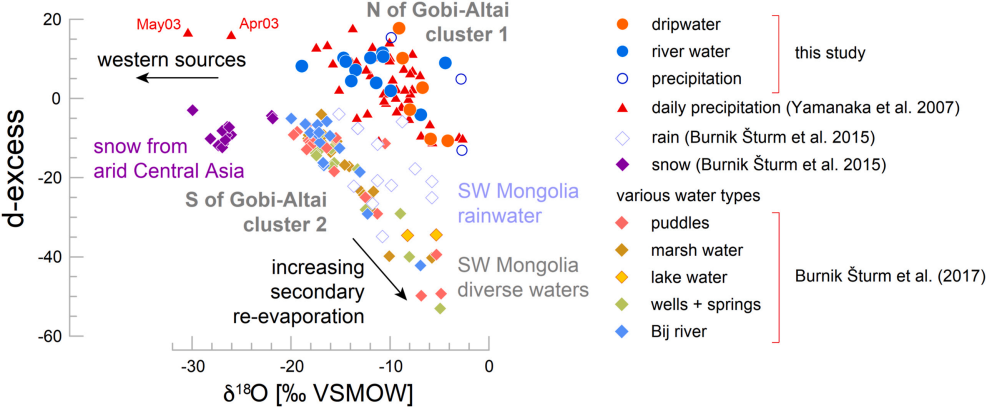
Profile view



Instruments: Leica Builder
Drawing: N. Vanwezer







Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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