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Experimental and normalized sensitivity based numerical analyses of a novel humidifier-assisted highly efficient indirect evaporative cooler

Muhammad Ahmad Jamil¹, Ben Bin Xu¹, Laurent Dala¹, Muhammad Sultan², Lin Jie³ and Muhammad Wakil Shahzad^{1,4*}

¹Mechanical & Construction Engineering Department, Northumbria University, Newcastle Upon Tyne, NE1 8ST, United Kingdom

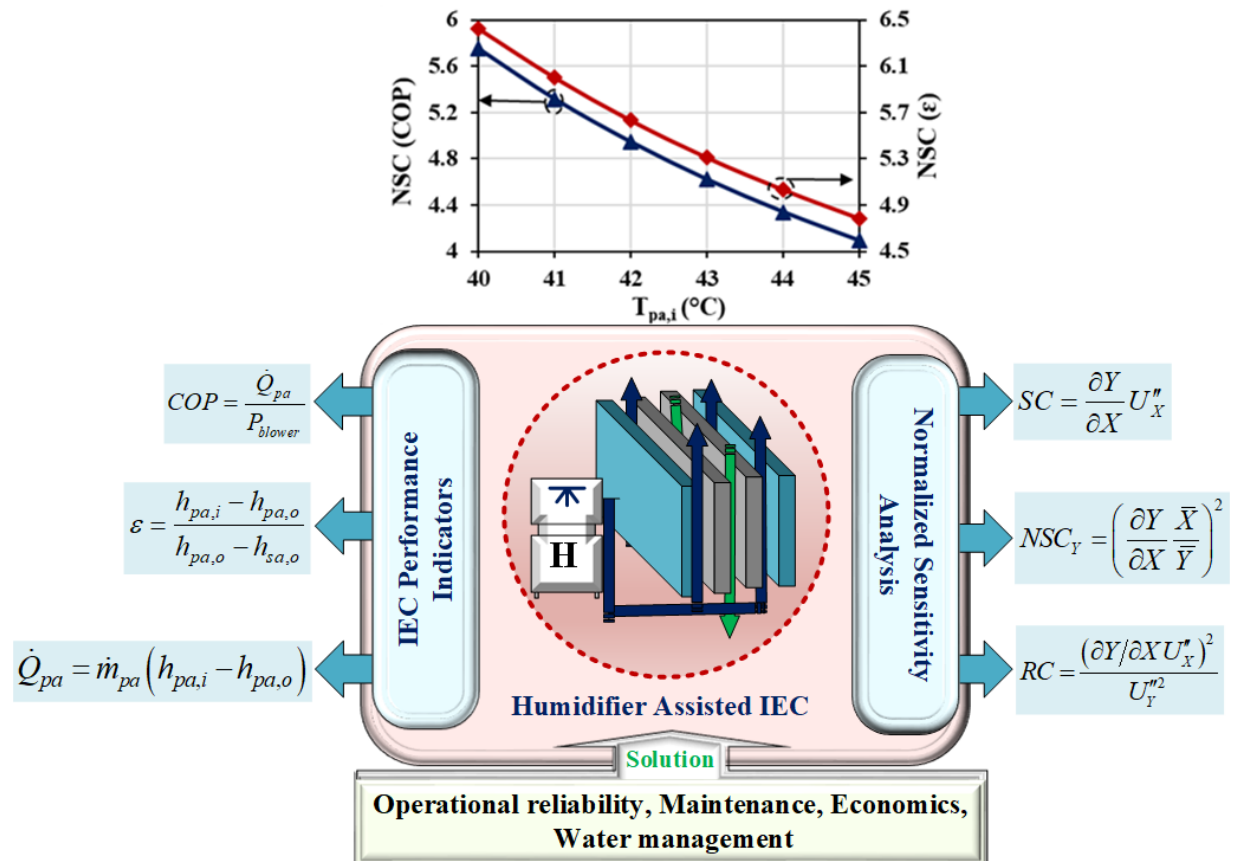
²Department of Agricultural Engineering, Bahauddin Zakariya University, Bosan Road, Multan 60800, Pakistan

³Department of Engineering Science, University of Oxford, Oxford OX1 3PJ, United Kingdom

⁴Water Desalination and Reuse Centre, King Abdullah University of Science & Technology, Thuwal 23955-6900, Saudi Arabia.

*Corresponding author: muhammad.w.shahzad@northumbria.ac.uk

GRAPHICAL ABSTRACT



ABSTRACT

Indirect evaporative cooling technology has emerged as an energy-efficient, low-cost, and sustainable alternative to conventional air conditioning systems for space cooling. This is because of its significant (40-50%) energy-saving potential compared to ventilation, vapor compression cooling, and desiccant cooling systems. The current paper presents a novel humidifier-assisted regenerative indirect evaporative cooler that eliminates the use of hydrophilic surfaces within the system and mitigates the fouling propensity and water management issues. A generic cell of the proposed system is fabricated and tested for different operating scenarios along with the uncertainty propagation analysis. Thereafter, a normalized sensitivity analysis is performed to identify the most influential parameters on the cooler performance. The experimental data shows an effective cooling performance with a temperature drop of 20 °C of outdoor air and cooling capacity of 175 watts of 1800 mm x 300 mm generic cell. The cooling coefficient of performance was calculated as 44 and maximum effectiveness of 83.82 % for the proposed configuration. The sensitivity analysis reveals scaling trends of the coefficient of performance in the following order of primary air inlet temperature > primary air outlet temperature > primary air velocity and the cooler effectiveness as secondary air outlet temperature > primary air inlet temperature > primary air humidity > primary air outlet.

Keywords: Indirect evaporative cooler (IEC), space cooling, humidifier assisted IEC, normalized sensitivity analysis.

1. Introduction

The efficient (i.e., low energy, environment friendly, and economical) air conditioning is becoming inevitable with the challenges from global warming, growing population, hikes in energy prices, and concerns about environmental degradations [1,2]. Air conditioning (AC) consumes 40% of commercial and 36% of residential buildings to maintain a comfortable working and living environment as shown in **Figure 1**. By 2050, the AC energy is expected to be tripled as compared to 2019 and accordingly the AC units will ramp to 5.6 billion. This hike in the AC market means 10 AC units will be sold every second in the next 30 years [3–7].

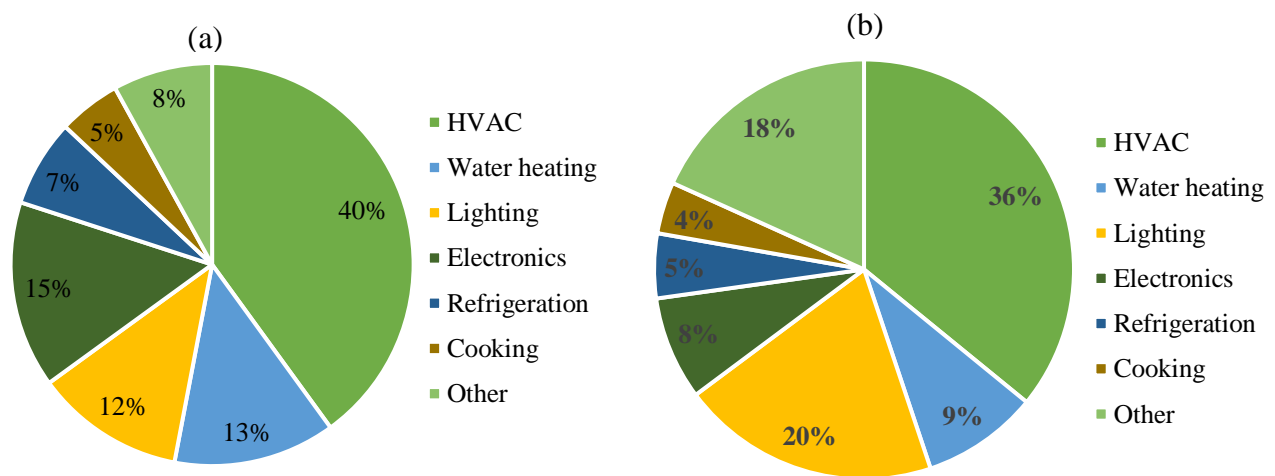


Figure 1. Energy consumption distribution (a) commercial buildings, and (b) residential buildings [5–7].

These high energy requirements are equally contributed by the excessive use (in domestic, commercial, and industrial sectors) as well as endogenous inefficiency of conventional air conditioning systems [8,9]. The first chiller was invented by William Carrier in 1902 and since then it has only reached the coefficient of performance (COP) from 4-5 as shown by ASHRAE classifications in **Figure 2** [10].

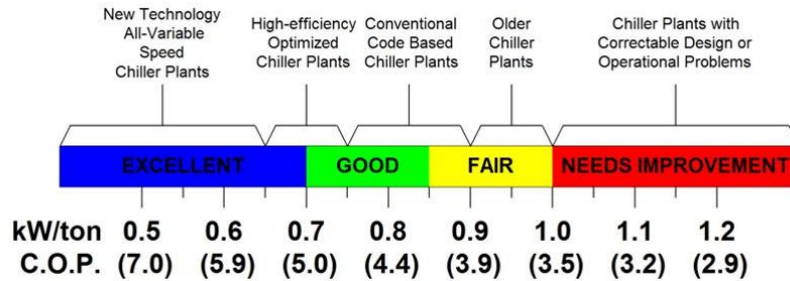


Figure 2. Classifications of AC performance defined by ASHRAE [10].

The prime reason for the low energy efficiency is the simultaneous handling of sensible and latent loads which poses rigid thermal and pressure lifts across the compressor to maintain a finite heat transfer rate [11,12] coupled with the low performance of refrigerant compressors, only 14% efficiency level [13]. Because of these temperature limitations, no significant improvement in the energy efficiency of traditional cooling systems has been achieved for the past few decades [14,15]. Apart from being energy-intensive, these systems also involve chemical-based refrigerants and are responsible for high carbon emissions [16]. Therefore, it is needful to develop an efficient, sustainable, and economical system to cope with rising cooling demands [17].

Non-conventional air-conditioning systems such as indirect evaporative cooling (IEC) technology have attained significant attention in recent times [18,19]. It utilizes the evaporative potential of air to produce cooling and water as a refrigerant [20]. It de-couples the latent and sensible cooling loads and offers flexibility to optimize each process individually [21]. The IEC differs from the conventional direct cooling devices e.g., cooling towers and swamp coolers in which air experiences changes in both the temperature and humidity ratio [22]. In IEC systems, the supply air flows through the dry channels which is being cooled by the air in parallel wet channels (with moist air) separated by a nonporous surface that allows only heat transfer [23,24]. Besides standalone systems, the IECs have also shown significant energy-saving potential upon integration with conventional cooling systems [25–29]. For instance, the integration of IECs with mechanical cooling systems can take 50-75% of the cooling load [30–32], increase COP by 9-12% [33] thus saving energy by 55-60% [34–36]. Therefore, various configurations of IEC based on flow arrangement, air injections, and water distributions have been proposed and tested by researchers [37,38]. A critical review of some recent studies on IEC is presented in **Table 1**.

Table 1.

A comprehensive review of the latest IECs studies.

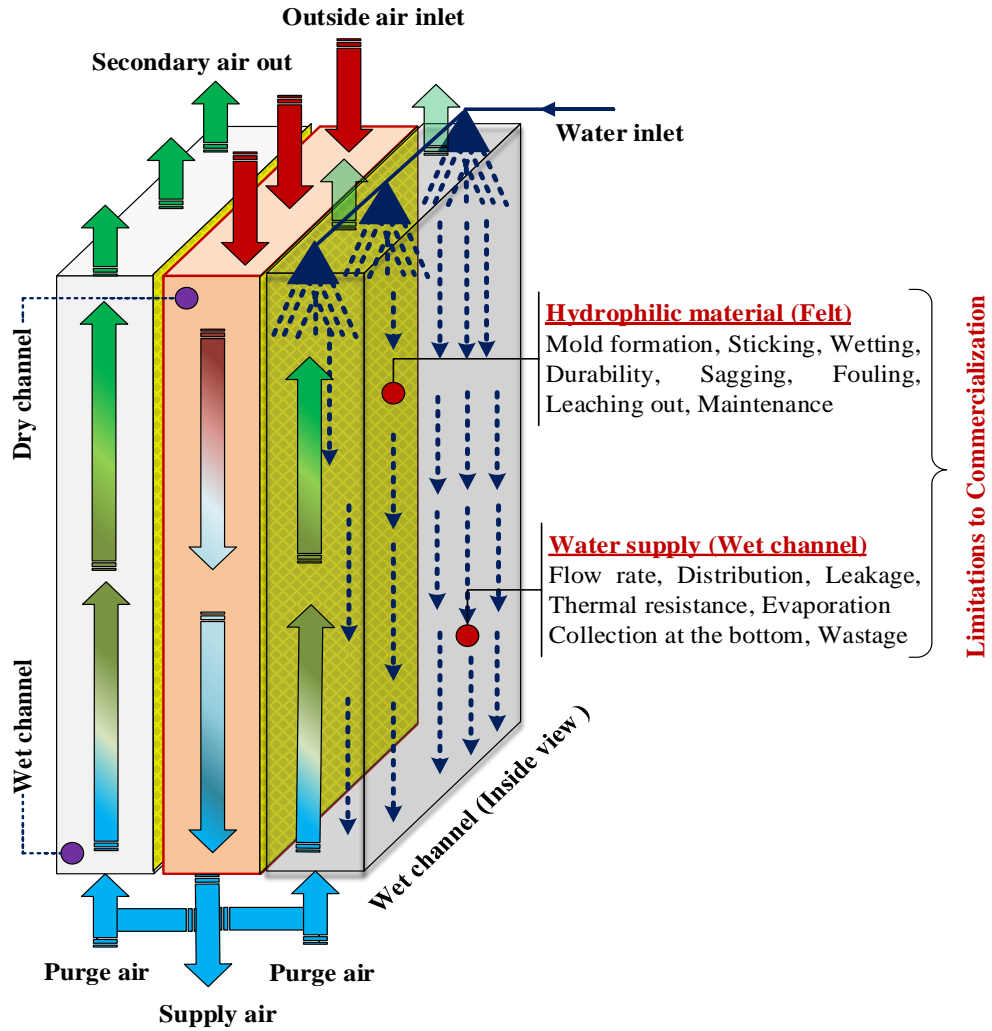
Study	Study type	Objective	Performance parameter	Remarks
Sun et al. [39]	Experimental	Effect of nozzle and spray strategy (porous ceramic IEC).	$\eta_{wb} = 70\text{-}135\%$, $\Delta T_{pa} = 5\text{-}15^\circ\text{C}$	The spiral nozzle has optimal water coverage. The best spray strategy is the 10–12s spraying period and 1 min intermittent period.
Rajski [40]	Numerical	Effect of heat pipes integration with a gravity-assisted IEC.	$\eta_{wb} = 70\text{-}105\%$, $\Delta T_{pa} = 6\text{-}15^\circ\text{C}$, COP = 20-45	Inlet air conditions significantly affect the cooler performance and the suggested AFR is 0.3- 0.5
Antonellis et al. [41]	Experimental	Effect of plate geometry on surface wettability.	$\eta_{wb} = 40\text{-}50\%$, $\Delta P = 50\text{-}250$ kPa	Plate geometry influences surface wettability and the best plate protrusion is reticulate.
Shahzad et al. [42]	Experimental	Effect of multipoint injection of working air.	COP = 37-78 (for only cooling)	The multipoint air injection improves performance by 3-4°C
Zheng et al. [43]	Experimental and numerical	Effect of primary air condensation using FEM.	$\eta_{wb} = 83\%$ (no cond.), $\eta_{wb} = 50\%$ (cond.)	Higher primary air humidity increases water consumption, heat transfer but decreases η_{wb} .
Meng et al. [44]	Experimental	Effect of dry channel condensation.	$\eta_{wb} = 48$ to 80%	Thermal resistance due to condensation is non-negligible and it decreases η_{wb} .
Lv et al. [45]	Experimental	Effect of thermal resistance of condensate film thickness.	For $T_{pa,i} = 30\text{-}35^\circ\text{C}$, $\delta_{film} = 0.085\text{-}0.17$ μm	An increase in primary air temperature increases the condensate film thickness.
Chen et al. [46,47]	Numerical	Effect of primary air condensation.	$\eta_{wb} \leq 90\%$, $Q = 140$ kW/kg, $C_{enlargement} = 4.5$	The most influential parameters on the η_{wb} are cooler Height > channel gap > AFR.
Guilizzoni et al. [48]	Experimental	Effect of water flow direction (top/side) and plate coatings.	$\eta_{wb} = 78\text{-}84.4\%$ (Top) and 57.3-69.6% (Side)	Wettability and η_{wb} of novel hydrophilic lacquer are higher than standard epoxy coating
Guo and Zheng, [49]	Experimental	Effect of sensible and latent heat transfer conditions.	$\eta_{wb} = 50\text{-}66\%$, COP = 7 – 20 $\eta_{lat} \geq 45\%$, $\eta_{th} \geq 130\%$	The only COP can accurately measure IEC performance under all operating conditions.
Comino and Adana [50]	Numerical	Feasibility of IEC for net-zero energy building applications.	$\Delta T_{pa} = 16.28$ °C, $Q_{cooling} = 8303$ W, COP = 21	Regenerative IEC is recommended for low-cost space cooling
Antonellis et al. [51]	Experimental	Effect of water nozzles and airflow arrangements in IEC	$\eta_{wb} = 82\text{-}84\%$ (horizontal), $\eta_{wb} = 48\text{-}66\%$ (bottom)	Top and horizontal water flow arrangement gives the highest η_{wb} due to water distribution.
Antonellis et al. [52,53]	Experimental and numerical	IEC operating under data center operating conditions	$\eta_{wb} = 50\text{-}85\%$, $\eta_{db} = 62\%$, $\eta_{sa} = 20\text{-}62\%$ (saturation)	$\Delta T_{pa} = 6^\circ\text{C}$ (hot and humid), $\Delta T_{pa} = 17^\circ\text{C}$ (cold and dry)

Wang et al. [54]	Experimental	Effect of porous ceramic tubes on IEC.	$\eta_{wb} = \leq 40\%$. COP = 20-34.9, Energy saving = 95%	η_{wb} improved with the increase of AFR with optimal value 0.9.
Duan et al. [55]	Experimental and numerical	Stud of a novel compact regenerative IEC.	$\eta_{wb} = 96-107\%$, $Q = 3.9-8.5$ kW, EER = 10.6-19.7	The cooling capacity per unit volume is 62-108% higher than the conventional coolers.
Duan et al. [56]	Experimental	Effect of corrugated sheets.	$\eta_{wb} = 55-106\%$, EER = 2.8 to 15.5	η_{wb} and EER of proposed cooler are 31% and 40% higher than the conventional.
Ahmad et al. [57]	Experimental	Effect of different controlled environmental conditions.	$\eta_{wb} = 84-96\%$, $\eta_{dp} = 58-67\%$, EER= 7.1-55.1	EER is directly proportional to the wet-bulb depression.
Lee and Lee [58]	Experimental	Effect of finned channels.	$\eta_{wb} = 118-122\%$, $\eta_{dp} = 75-90\%$	The maximum cooling capacity is achieved at a 30% purge air ratio.
Lin et al. [59]	Experimental and numerical	Effect of dehumidification of primary air on IEC.	$\eta_{wb} = 125\%$, (low ω) $\eta_{wb} = 86\%$ (moderate ω)	Dehumidification of the supply air improved $Q_{cooling}$, and energy efficiency by 70% - 135%
Kabeel et al. [60]	Experimental	Effect of internal baffles, condenser, and a thin coating	$Q_{cooling} = 224.7$ and 490.3 kW (for proposed IEC)	Proposed IEC with precooling, baffles, and coating has 35.4-54.2% higher cooling capacity
Chua et al. [61]	Numerical	Modified LMTD for IEC	$\eta_{wb} = 82-90\%$	The best configuration is cross-flow of primary air with counter-flow of secondary air and water.
Current study	Experimental and numerical	Proposal and investigation of a novel humidifier assisted highly efficient regenerative IEC	Experimental: COP, η_{wb} , Normalized sensitivity coefficient and relative contribution coefficient for different input parameters	Focused to achieve a system with low manufacturing, operation, and maintenance costs, low energy, water consumption, and high heat transfer characteristics.

η_{wb} : wet bulb effectiveness, EER: energy efficiency ratio, Q: heat transfer rate, COP: coefficient of performance, ω : humidity ratio g/kg, δ : thickness

1 The critical review suggests that the indirect evaporative coolers can significantly reduce the
2 energy consumption of space cooling as a standalone system as well as a pre-cooler for
3 conventional cooling systems. However, despite significant efforts, these are still at the
4 development stage because of design and operational limitations. The major among them are high
5 manufacturing cost, peeling-off of wicking material, fouling of porous hydrophilic surfaces (wick
6 material) due to warm and humid environment, water management in the wet channel, leakages,
7 and resistance to orthogonal heat transfer due to the number of resistances including
8 nonconducting wick material. A conventional regenerative type of IEC including main
9 components, flow directions, and associated limitations is presented in **Figure 3**. The system
10 proposed in this study satisfactorily addresses these issues by eliminating the wick material and
11 rearranging the humidification system compared to conventional IECs. The proposed system is
12 characterized by ease of manufacturing, operation, and maintenance, low energy and water
13 consumption, and high heat transfer characteristics.

14 The current paper presents, a detailed experimental and an advanced normalized sensitivity-
15 based analysis of the proposed system. This sensitivity analysis provides a closer insight into the
16 response of performance parameters against input parameters. Therefore, it can identify the most
17 influential parameters to improve system performance and future designs. It is also important to
18 emphasize that the normalized sensitivity analysis composed in this study allows one-to-one
19 comparison of parameters whose magnitude can vary significantly on a common platform which
20 cannot be achieved in the conventional simple derivative based sensitivity coefficients. For this
21 purpose, firstly, the experiments are conducted at assorted operating conditions to estimate
22 effectiveness, cooling loads, and coefficient of performance. Secondly, the experimental data is
23 analyzed numerically to investigate the uncertainty propagation in different measured variables.
24 Lastly, a normalized sensitivity analysis is performed to estimate the influence of different input
25 parameters on the performance of the proposed IEC. The sensitivity analysis model presented in
26 the study can be used for a rigorous design of IECs as well as other related systems.



27

28

Figure 3. Conventional regenerative IEC parts, working, and limitations.

29

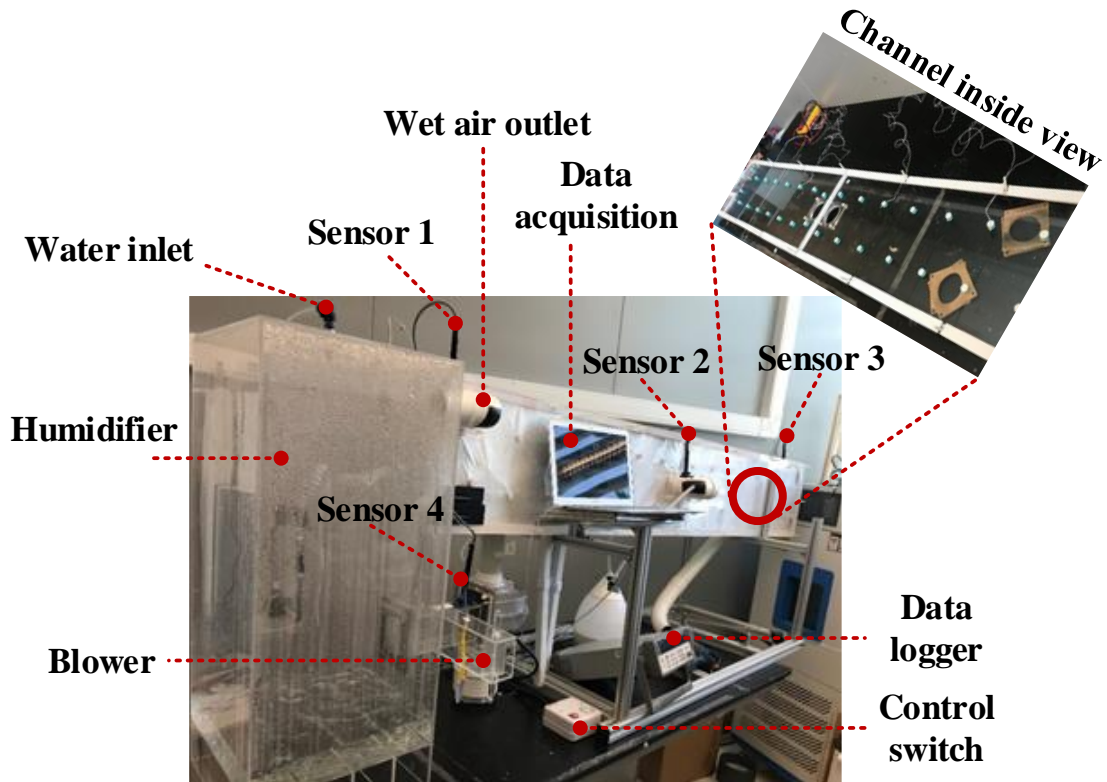
30 2. Materials and methods

31 2.1. System description

32 The proposed system consists of a novel Maisotsenko or M-cycle [62] based regenerative type
33 indirect evaporative cooler generic cell as shown in **Figure 4**. The process flow diagram presented
34 in **Figure 5** of the system shows that the wet channel (secondary) air is humidified in a separate
35 humidifier after purging from the dry (primary) channel at the outlet which is the main novelty
36 compared to existing IEC systems. In the humidifier, water is sprayed through a fine mist nozzle
37 which increases the RH of the air ~100% thus reducing its temperature to wet-bulb (T_{wb}). This cool
38 and humid air is supplied to the wet channel. The dry and wet channels are separated by a thin
39 (0.025 mm thick) Aluminum foil which promotes orthogonal heat transfer between the channels.
40 A blower attached at the dry channel outlet creates induced draft flow in the dry channel and forced
41 draft in the wet channel thus reducing the pressure losses and energy consumption. The
42 psychrometric chart for the proposed system is presented in **Figure 6**.

43 For continuous monitoring and data acquisition, a three-point sensor (FH400) measuring
44 velocity, dry bulb temperature, and wet bulb temperature is used. The sensor is installed at the
45 outdoor air duct, supply air duct, return air duct (refer to Sensor 1-4 in Figure 4) and the relative
46 humidity at all these points is calculated using the dry bulb and wet bulb data. Moreover, it is
47 important to emphasize that, during experimentation, the humidity of outdoor air is controlled and
48 maintained at (0.010 kg/kg) using a dehumidifier. Therefore, the experimentation allows the study
49 of purge air ratio on the system performance independently.

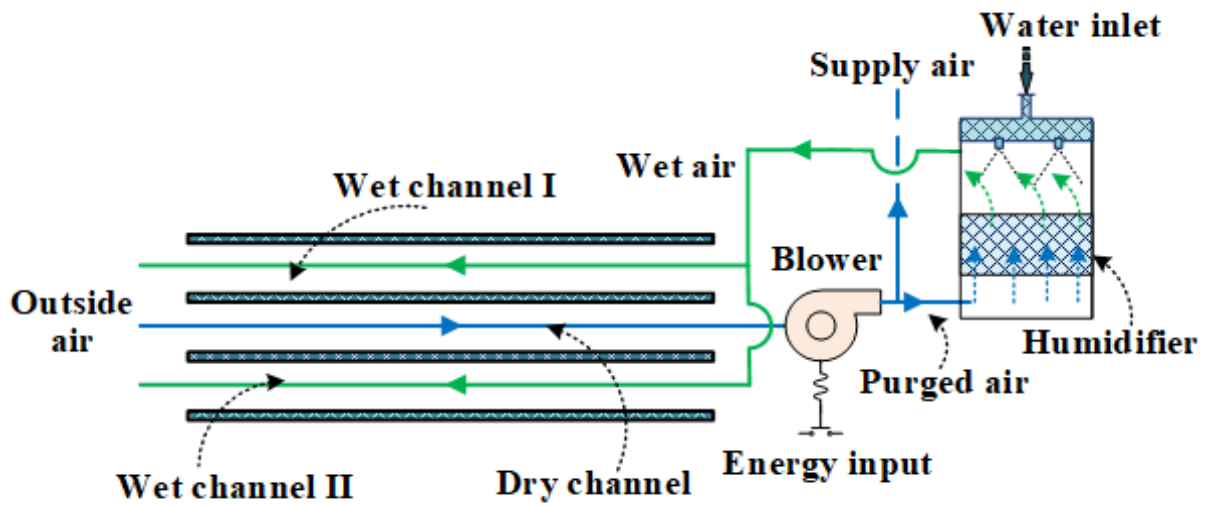
50 Meanwhile, it is worth mentioning that the proposed IEC can satisfactorily address the major
51 limitations in the conventional systems i.e., high manufacturing cost, peeling-off of wick material,
52 fouling of porous hydrophilic surfaces, water management in the wet channel, leakages, and
53 resistance to transverse heat transfer due to nonconducting wick material. These issues are resolved
54 by replacing the wick material with a high conductivity thin aluminum foil and placing the
55 accessory components like a humidifier and water supply outside the cooler where these can be
56 easily accessed without opening the channels. Therefore, the new cooler is characterized by ease
57 of manufacturing, operation, and maintenance, low energy and water consumption, and high heat
58 transfer characteristics. The design and operational data of the proposed IEC generic cell are
59 presented in **Table 2**.



60

61

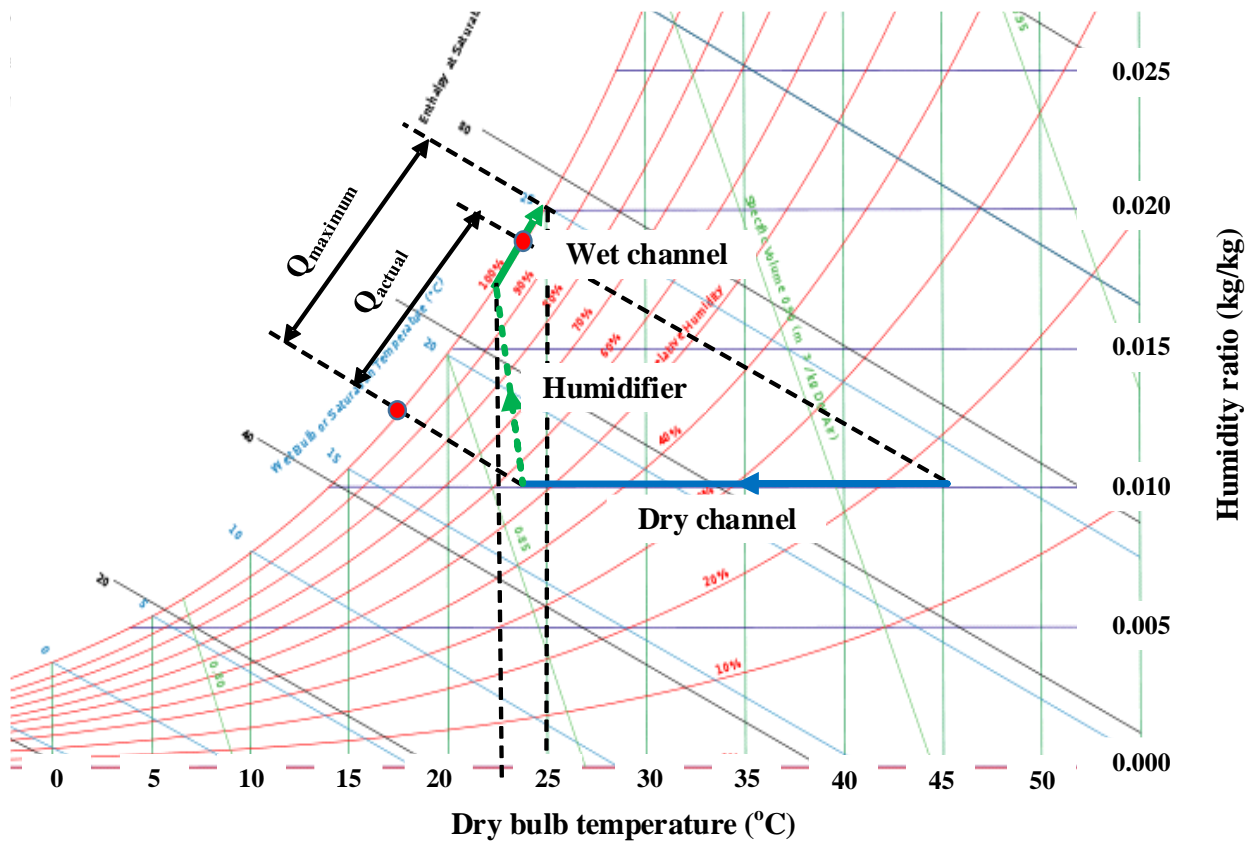
Figure 4. Generic cell experimental setup.



62

63

Figure 5. Process flow diagram of proposed IEC.



64
65

Figure 6. Psychrometric description of proposed IEC.

66

Table 2. Design and operational parameters of the proposed generic cell.

Parameter	Value
Generic cell effective length, L , mm	1800
Generic cell effective width, W , mm	280
Separator Aluminium foil thickness, δ , mm	0.025
Dry and wet channels height (spacing), H , mm	5
Purge air ratio, r , %	35, 45, 55
Outdoor air temperature, °C	27, 33, 38, 43
Outdoor air humidity, ω , kg/kg	0.010
Outdoor air velocity, m/s	5.18
Wet air velocity in the wet channel, m/s	1.7, 2.25, 2.8

67

68 2.2. Performance indicators

69 The performance of indirect evaporative coolers is defined in terms of different parameters
70 such as cooling capacity (Q), coefficient of performance (COP), wet bulb efficiency (η_{wb}), and
71 cooler effectiveness (ε). The description of these parameters is given below.

72 The cooling capacity indicates the heat extracted from the primary/dry air in the dry channel
73 and is calculated as,

$$74 \quad \dot{Q}_{pa} = \dot{m}_{pa} (h_{pa,i} - h_{pa,o}) \quad (1)$$

75 The coefficient of performance (COP) is calculated on the cooling load and the power input
76 i.e., the energy consumption of the blower.

$$77 \quad COP = \frac{\dot{Q}_{pa}}{P_{electric}} \quad (2)$$

78 The wet-bulb effectiveness is calculated based on the primary air temperatures and secondary
79 air wet-bulb temperature. As, ideally, the lowest temperature of outlet primary air is equal to the
80 wet-bulb temperature of inlet secondary air so, the wet-bulb efficiency can give values greater than
81 100% which is not justified thermodynamically [44].

$$82 \quad \eta_{wb} = \frac{T_{pa,i} - T_{pa,o}}{T_{pa,i} - T_{sa,wb,i}} \quad (3)$$

83 In this regard, a more appropriate measure of cooler effectiveness (without involving >100%
84 misconceptions) is the cooler effectiveness defined based on the enthalpies. These enthalpies are
85 calculated as a function of temperature, pressure, and humidity ratio.

$$86 \quad \varepsilon = \frac{h_{pa,i} - h_{pa,o}}{h_{pa,o} - h_{sa,o}} \quad (4)$$

87 Some other parameters that are calculated for detailed analysis include flow area, Reynolds
88 number, Nusselt number, heat transfer coefficient, and pressure drop in the channels. Because of
89 the simple arrangement, the correlations developed for flow in parallel plates/ rectangle can be
90 employed as given below.

91 The effective number of plates are calculated by neglecting the outside plates which do not
92 contribute to the heat transfer as

$$93 \quad N_{eff} = N_{total} - 2 \quad (5)$$

94 The channel flow area is calculated using channel spacing and width of the plate,

95
$$A_{ch} = H \times W \quad (6)$$

96 The heat transfer area of a single plate is given below which can be multiplied by the effective
97 number of plates for the total area.

98
$$A_{sp} = L \times W \quad (7)$$

99 The hydraulic diameter is given as,

100
$$D_h = \frac{4A_{ch}}{2(H+W)} \quad (8)$$

101 The Reynolds number is given as 1.

102
$$Re = \frac{V \times \rho \times D_h}{\mu} \quad (9)$$

103 Then the Nusselt number is calculated using the appropriate correlation of the form:

104
$$Nu = C_1 Re^{C_2} Pr^{C_3} \quad (10)$$

105 Where, C_1 , C_2 , and C_3 depend upon the geometric and flow configurations. One such common
106 relation used is the Dittus-Boelter empirical equation which is given as $Nu = 0.023 Re^{0.8} Pr^{1/3}$ [63].

107 This Nu is used to calculate the heat transfer coefficient in each channel which is then used to
108 calculate the overall heat transfer coefficient as given below.

109
$$\frac{1}{U} = \frac{1}{\lambda_{pa}} + \frac{1}{\lambda_{sa}} + \frac{\delta}{k_{plate}} \quad (11)$$

111 Similarly, the pressure drop is calculated on each side using the below-given equation:

112
$$\Delta P = 4f \times \frac{L \times N_{eff}}{D_{hyd}} \times \frac{G}{2\rho} \quad (12)$$

113 Where G is the mass velocity in kg/m²s [63].

114

115 2.3. *Uncertainty propagation analysis*

116 This analysis is conducted to estimate the error propagation in the response/calculated value
 117 of the output parameters (i.e., Q , η_{wb} , COP , ε etc.) due to the uncertainties in the measured values
 118 of the input parameters (e.g., T , V , ω etc.). The detailed specifications of the instruments used in
 119 the current system are presented in **Table 3**. It is also worth mentioning that the uncertainty in the
 120 response variable is calculated using the uncertainties in independent variables. For this purpose,
 121 all input parameters are modeled as a sum of their nominal value (\bar{X}) and the uncertainty (U'_x)
 122 about the nominal value as given below [64].

123
$$X = \bar{X} \pm U'_x \tag{13}$$

124 The corresponding uncertainty in the response variable Y due to U'_x is calculated as [65],

125
$$U'_Y = \frac{dY}{dX} U'_x \tag{14}$$

126 For a multi-variate function $Y = Y(X_1, X_2, \dots, X_N)$, the U'_Y uncertainty in Y due to uncertainties
 127 in X is given by the root sum square product of the individual uncertainties computed to the first-
 128 order accuracy as [66],

129
$$U'_Y = \left\{ \left(\frac{\partial Y}{\partial X_1} U'_{x_1} \right)^2 + \left(\frac{\partial Y}{\partial X_2} U'_{x_2} \right)^2 + \dots + \left(\frac{\partial Y}{\partial X_N} U'_{x_N} \right)^2 \right\}^{\frac{1}{2}} \tag{15}$$

130

131 **Table 3.** Details of sensors and specifications installed in the IEC test cell.

Parameter	Sensor specification	Accuracy
Humidity	Humidity temperature dew point meter Company: Fisher Scientific Measuring range: 10 to 100%	$\pm 0.5\%$
Temperature	Thermistors Company: OMEGA Measuring range: 0 to 80°C & 0 to 100°C	$\pm 0.15^\circ\text{C}$
Air velocity	Thermal flow probe air velocity meter Company: Testo (model:425) Measuring range: 0 to 20 m/s	$\pm 0.03 \text{ m/s}$

132

133

134 2.4. Sensitivity analysis

135 The sensitivity analysis provides a closer insight into the response of performance parameters
 136 against input parameters [67]. It can be used to identify future work directions to improve system
 137 performance by targeting the most influential parameters. It is important to emphasize that the
 138 procedure for sensitivity analysis is nearly the same as that of uncertainty analysis with the only
 139 difference that the uncertainty term U' is replaced by the perturbation term U'' . The value of
 140 perturbation is selected thus, Eq. 2, 3, and 4 take the form [68].

141
$$X = \bar{X} \pm U''_X \quad (16)$$

142
$$U''_Y = \frac{dY}{dX} U''_X \quad (17)$$

143
$$U''_Y = \left\{ \left(\frac{\partial Y}{\partial X_1} U''_{X_1} \right)^2 + \left(\frac{\partial Y}{\partial X_2} U''_{X_2} \right)^2 + \dots + \left(\frac{\partial Y}{\partial X_N} U''_{X_N} \right)^2 \right\}^{\frac{1}{2}} \quad (18)$$

144 It is important to mention that each partial derivative term in Eq. 18, represents the sensitivity
 145 coefficient (SC). It indicates the sensitivity of response parameters to a small change in the
 146 respective independent parameter [69]. However, a more appropriate and reliable method of
 147 presenting the sensitivity analysis results is through dimensionless Normalized Sensitivity
 148 Coefficients (NSC) [70]. It allows a one-to-one comparison of parameters whose magnitude can
 149 vary significantly [71]. These dimensionless NSC terms are calculated by normalizing the
 150 uncertainties in Y and X by their nominal values. After normalization, the general form of
 151 sensitivity equation in terms of NSC and normalized uncertainty (NU) is given as [72].

152
$$\frac{U''_Y}{\bar{Y}} = \left[\sum_{i=1}^N \left(\overbrace{\left(\frac{\partial Y}{\partial X_i} \frac{\bar{X}_i}{\bar{Y}} \right)^2}^{NSC} \right) \left(\overbrace{\left(\frac{U''_{X_i}}{\bar{X}_i} \right)^2}^{NU''_{X_i}} \right) \right]^{1/2} \quad (19)$$

153 For each response parameter, the above general equation can be modified in terms of independent
 154 parameters. Such as, for wet bulb effectiveness η_{wb} , the above equation can be given as:

155

156

$$\frac{U''_{\varepsilon}}{\bar{\varepsilon}} = \left[\left(\frac{\partial \varepsilon}{\partial T_{pi}} \frac{\bar{T}_{pi}}{\bar{\varepsilon}} \right)^2 \left(\frac{U''_{T_{pi}}}{\bar{T}_{pi}} \right)^2 + \left(\frac{\partial \varepsilon}{\partial T_{po}} \frac{\bar{T}_{po}}{\bar{\varepsilon}} \right)^2 \left(\frac{U''_{T_{po}}}{\bar{T}_{po}} \right)^2 \right]^{1/2} + \left[\left(\frac{\partial \varepsilon}{\partial T_{si}} \frac{\bar{T}_{si}}{\bar{\varepsilon}} \right)^2 \left(\frac{U''_{T_{si}}}{\bar{T}_{si}} \right)^2 + \left(\frac{\partial \varepsilon}{\partial T_{so}} \frac{\bar{T}_{so}}{\bar{\varepsilon}} \right)^2 \left(\frac{U''_{T_{so}}}{\bar{T}_{so}} \right)^2 \right]^{1/2} \quad (20)$$

157 Besides NSC, the other important parameter that predicts the dominant perturbation/
 158 uncertainty contributors is the Relative Contribution (RC) [71]. It combines the sensitivity
 159 coefficients with the actual perturbation/uncertainty and is given as the square of the product of
 160 SC and perturbation/uncertainty, normalized by the square of the uncertainty in the response
 161 variable [72].

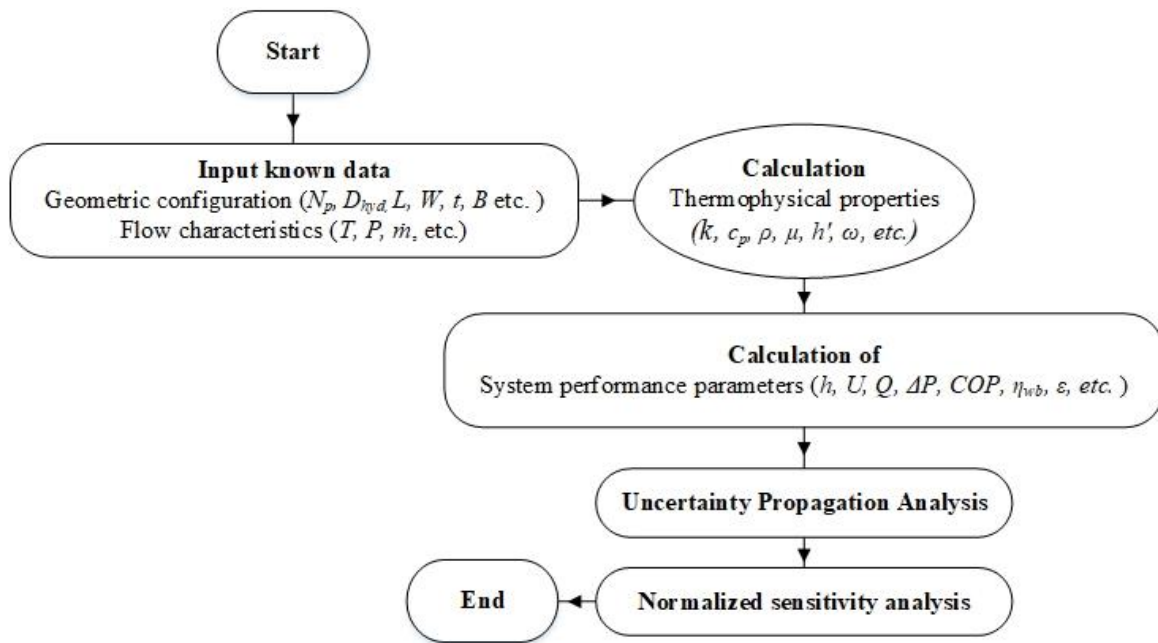
162

$$RC = \frac{\left(\frac{\partial Y}{\partial X_i} U''_{X_i} \right)^2}{U''_Y^2} \quad (21)$$

163 **3. Solution strategy and assumptions**

164 The above-mentioned set of equations for thermodynamic, uncertainty and sensitivity analyses
 165 are solved using an EES-based numerical code. In the first step, the input data from the
 166 experimental setup consisting of velocity, temperatures, and geometric parameters are provided.
 167 Then the thermophysical properties at terminal points of the dry and wet channels are calculated
 168 as a function of temperature, pressure, and humidity ratio using EES library routine-AirH₂O. The
 169 values of COP and effectiveness calculated from the code are validated with the experimental
 170 results. Thereafter, the code is employed to study the effect of different input parameters on the
 171 performance parameters. The analysis is based on the following assumptions: (a) steady-state
 172 operation, (b) insignificant longitudinal heat conduction, (c) uniform heat transfer coefficients, and
 173 (d) no thermal energy source or sink in the cooler section. The solution flow chart is presented in
 174 **Figure 7.**

175



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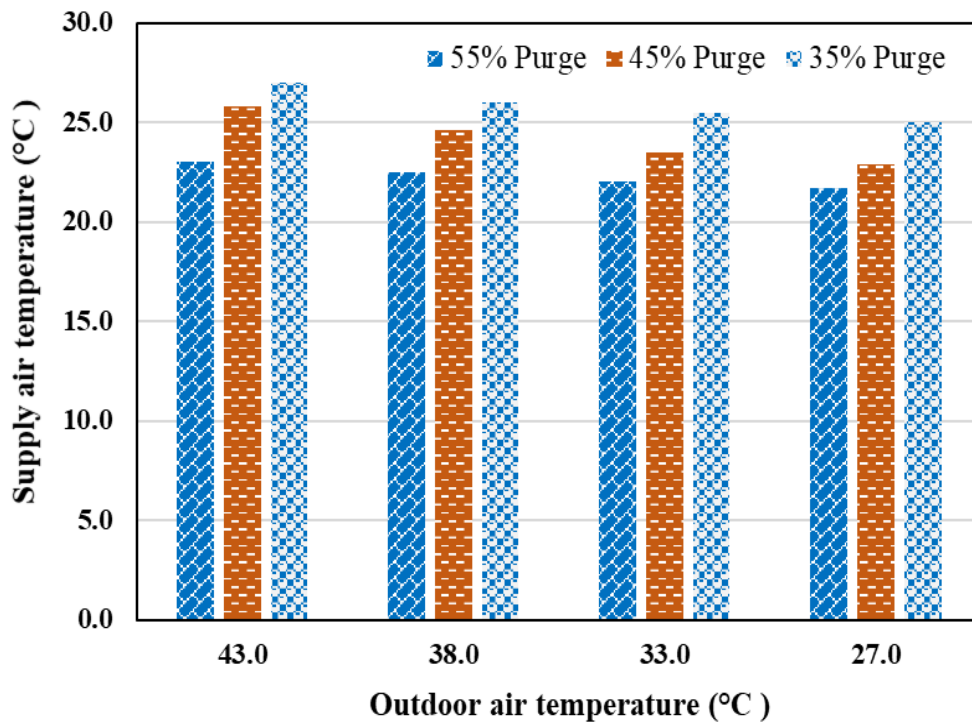
Figure 7. Solution flow chart.

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179 **4. Results and discussion**

180 *4.1. Experimentation*

181 The proposed generic cell is fabricated and tested over a range of operating conditions in
182 terms of purge air ratio and outdoor air temperature. The effect of operational parameters is studied
183 on the performance of IEC generic cell in terms of supply air temperatures, cooling capacity,
184 coefficient of performance, and cooler effectiveness. **Figure 8** shows that an increase in purge air
185 ratio decreased the supply air temperature because of the high heat transfer rate at higher flow rates
186 in the wet channel. Moreover, it is important to mention that the IEC is a passive machine, and its
187 performance is directly controlled by the outdoor air humidity and purge air ratio. Since in the
188 current analysis, the outdoor air humidity is maintained at 0.010 kg/kg using a dehumidifier,
189 therefore the performance is governed by purge air ratio only. So, the analysis showed that the
190 cooler performs better at higher purge air ratios producing low supply air temperatures.



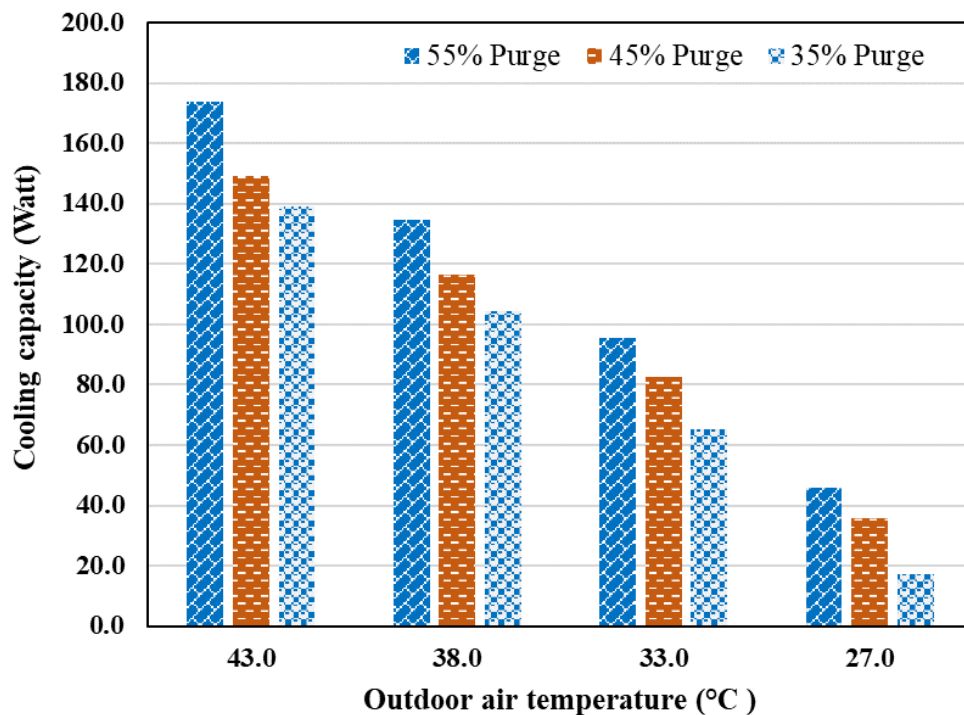
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Figure 8. Effect of outdoor air temperature on the supply air temperature.

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194 **Figure 9** presents the effect of outdoor air temperature and purge air ratio on the cooling
195 capacity of the generic cell. It is observed that the maximum cooling capacity of ~175 watts is
196 achieved at the highest outdoor air temperature (i.e., 43 °C) and purge air ratio (i.e., 55%). At the
197 same purge air, the cooling capacity decreased to 140-watt, 129-watt, 99-watt, and 41-watt as the
198 outdoor air temperature reduced to 38 °C, 33 °C, and 27 °C, respectively. Meanwhile, the cooling
199 capacity also decreased with decreasing the purge air ratio due to the low heat extraction rate from
200 the dry channel. It is important to emphasize that any cooling capacity can be achieved by stacking
201 the required number of generic cells based on the capacity of a single cell at the specified operating
202 conditions. However, the optimal purge air ratio and outside air temperature should be selected for
203 minimum investment.



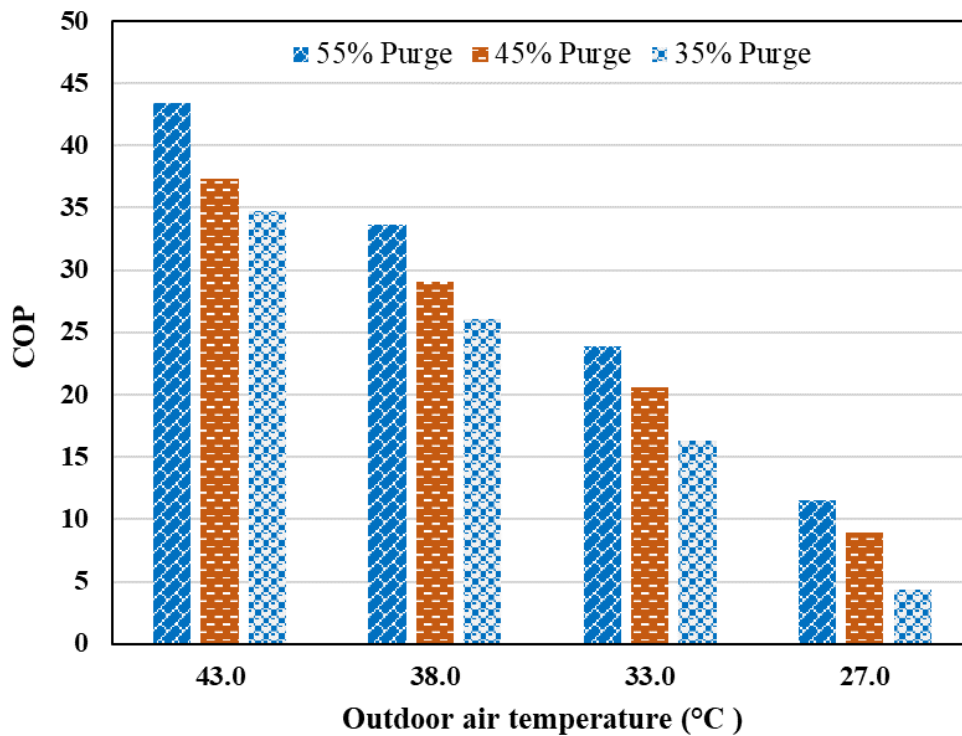
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Figure 9. Effect of outdoor air temperature on the cooling capacity.

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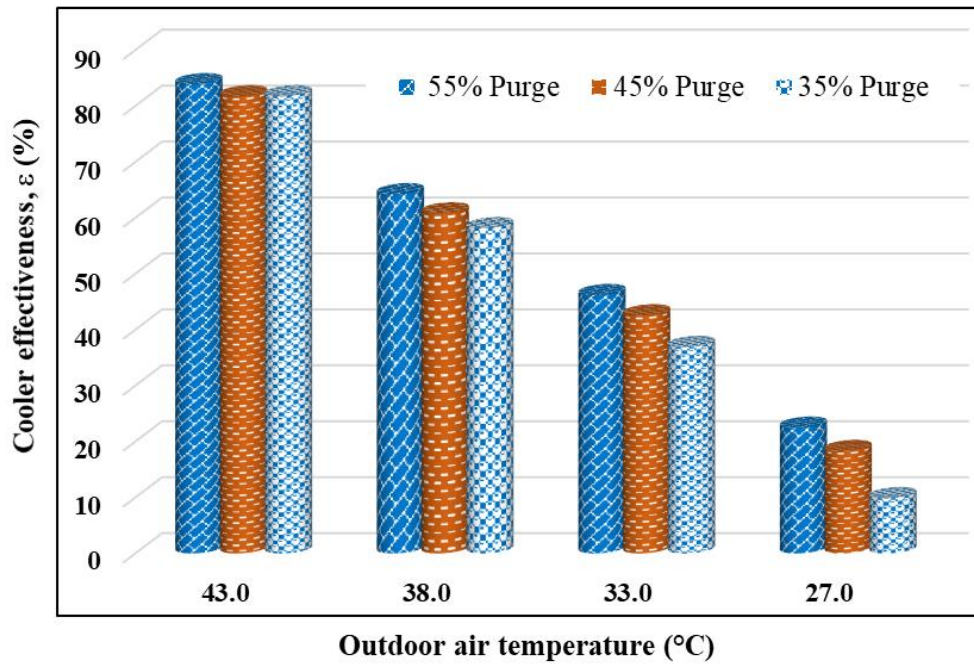
207 The variation in the coefficient of performance against purge air ratio and outdoor air
208 temperature is presented in **Figure 10**. It is worth mentioning that the COP presented here is only
209 for cooling and does not involve any dehumidification process. Therefore, the COP values
210 appeared to be high i.e., up to 43-44. It is observed that the highest performance of the cooler is
211 achieved at the maximum outdoor air temperature and the purge air ratio. It is also seen that the
212 COP decreased significantly ~20-30% with a decrease in the outdoor air temperature by 5-6 °C at
213 the same purge air. A similar trend with slightly different percentage reduction can be observed
214 for other purge air ratios. This significant decrease in the COP values is due to the decrease in the
215 desired output i.e., heat transfer rate from the primary air as the blower power (desired input) is
216 taken constantly i.e., 4-Watt. Meanwhile, it is also important to note that integration of the low
217 COP dehumidification process (depending upon sensible heat ratio normally 1 for thermally driven
218 and 3 for MVC system) with the cooler will significantly reduce the overall COP to 10-15.
219 However, this COP is still significantly higher than the conventional air conditioning systems
220 (COP 4-5). A similar trend can be observed for cooler effectiveness against purge air ratio and the
221 outdoor air temperature as illustrated in **Figure 11**. The maximum cooler effectiveness is
222 calculated to be 83.82% at the highest outdoor air temperature and purge ratio.



223

224

Figure 10. Effect of outdoor air temperature on the coefficient of performance.



226

227

Figure 11. Effect of outdoor air temperature on the cooler effectiveness.

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Even though supply air temperature varied from 22-25°C with various purge air ratios, still the system can maintain a comfortable environment as presented by Victor Olgyay and Givoni showed in **Figure 12** [73–76].

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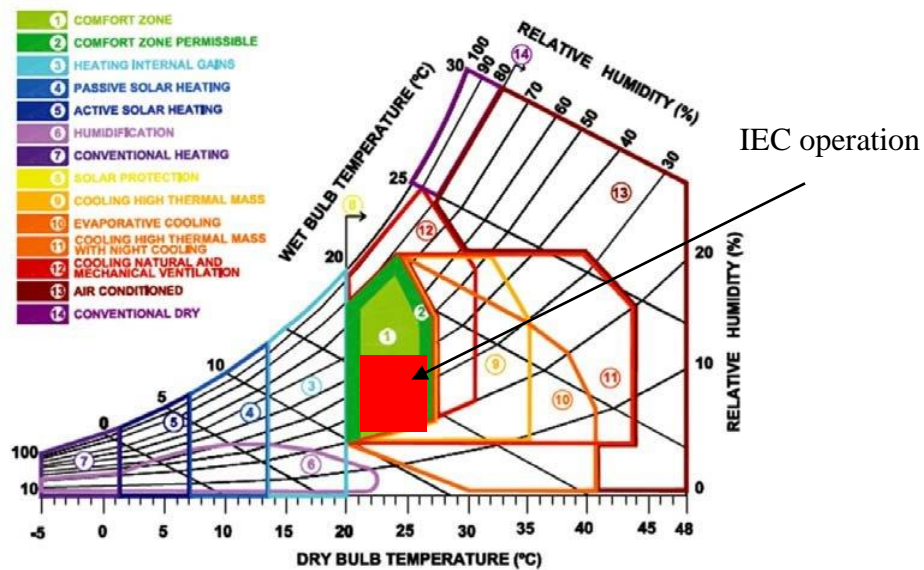
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Figure 12. Comfortable zones on psychrometric chart presented by Givoni in 1969 [73–76].

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242 4.2. *Uncertainty propagation analysis*

243 The uncertainty propagation studied over an appropriate range of input parameters is
244 presented in **Figure 13**. It is observed that the total uncertainty propagation in the calculated
245 parameters i.e., COP is ± 0.5151 , ε is ± 1.702 , η_{wb} is ± 0.8959 and Q is ± 0.00206 which is $< 2\%$
246 different than measured parameters. Moreover, no significant variation is observed over the range
247 of each parameter. As shown in Figure 4 (a-c), the uncertainty in ε varied marginally from
248 ± 0.5181 to ± 0.582 for COP from ± 0.3104 to ± 0.3054 and η_{wb} from ± 0.08522 to ± 0.05194
249 when $T_{pa,i}$ varied from 40 to 45 °C. Meanwhile, the uncertainty in COP due to inaccuracy of
250 velocity meter is ± 0.2553 and does not vary with changing V_{pa} values.

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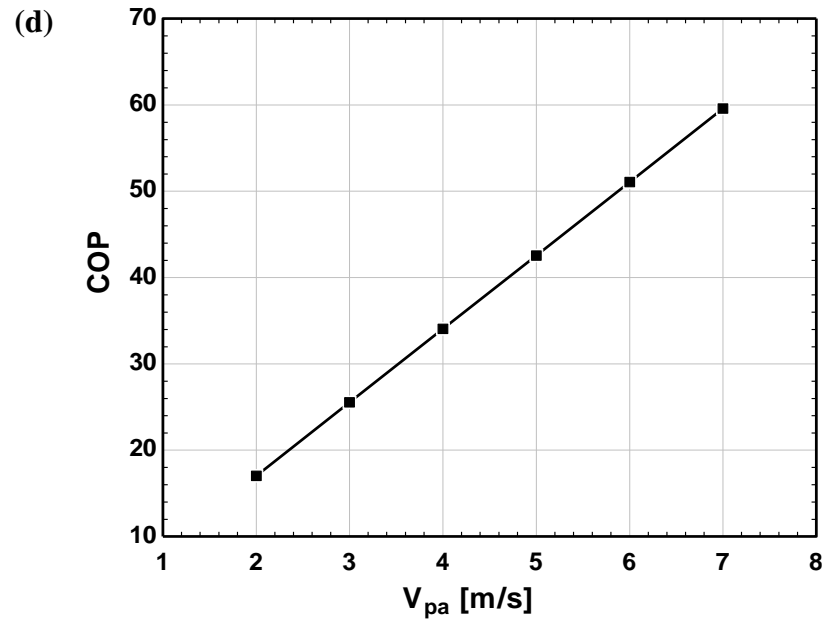
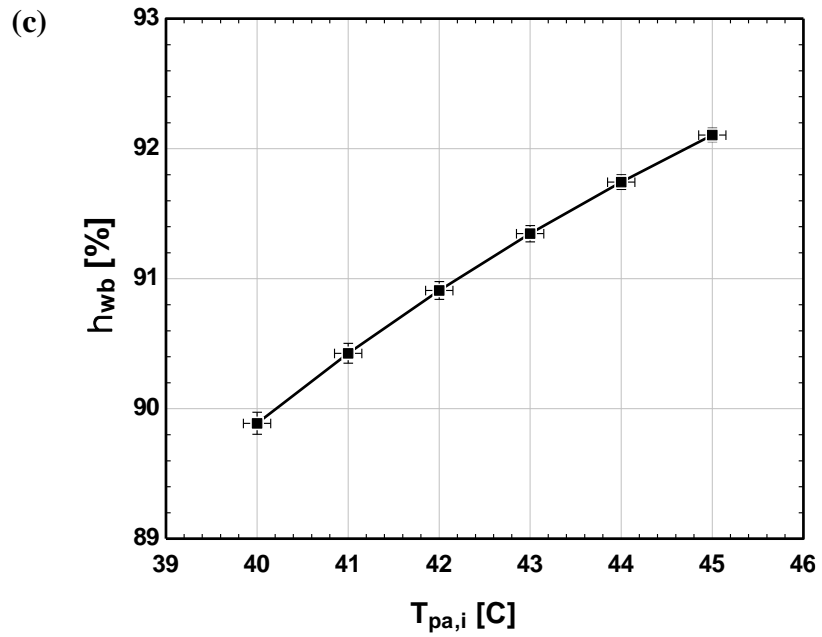
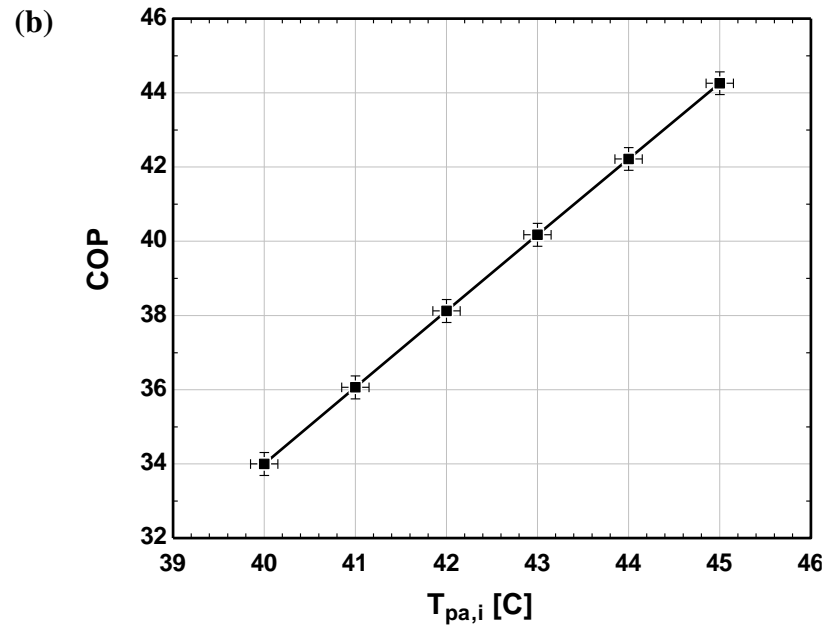
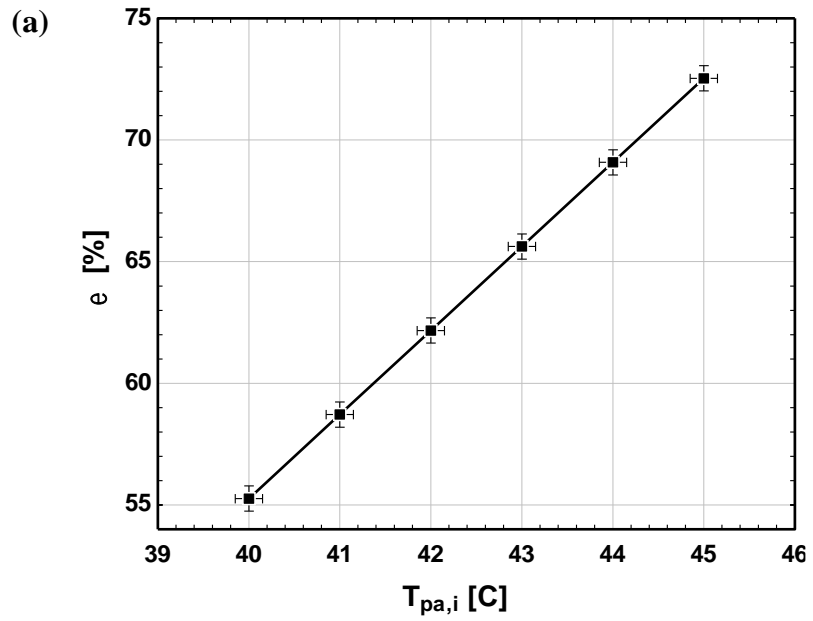


Figure 13. Uncertainty propagation in (a) ϵ versus $T_{pa,i}$, (b) COP versus $T_{pa,i}$, (c) η_{wb} versus $T_{pa,i}$, and (d) COP versus V_{pa} .

4.3. Sensitivity analysis

4.3.1. Preliminary indications

The findings of sensitivity analysis are presented in the form of sensitivity coefficient (SC), Normalized Sensitivity Coefficients (NSC), Relative Contribution (RC), and finally sorting the parameters in descending importance ($\Gamma \downarrow$). In this regard, **Table 4** presents the sensitivity of COP on different input parameters i.e., primary air inlet/outlet temperatures ($T_{pa,i}/T_{pa,o}$), secondary air inlet/outlet temperatures ($T_{sa,i}/T_{sa,o}$), primary/secondary air velocity (V_{pa}/V_{sa}), and humidity ratio of primary air (ω_{pa}). It is observed that the COP is the most sensitive to $T_{pa,i}$ with NSC = 4.285 followed by $T_{pa,o}$, and V_{pa} with NSCs of 1.396, and 0.999, respectively. The other parameters are almost insensitive to COP, however, their NSCs follow the order as $\omega_{pa} > T_{sa,i} > V_{sa} > T_{sa,o}$. While the RC follows the order as $T_{pa,o} > T_{pa,i} > V_{pa}$, and other parameters have a negligible contribution.

Similarly, the sensitivity of cooler effectiveness (ε) to different operational parameters is presented in **Table 5**. The most influential parameter in this regard is observed to be the $T_{sa,o}$ with NSC of 14.1 followed by $T_{pa,i}$, ω_{pa} , $T_{pa,o}$ with NSCs of 4.59, 0.766, and 0.098, respectively. While the other parameters are almost insignificant in the calculation of ε . In case of wet bulb effectiveness (η_{wb}) the sensitivity of the parameters follow the order as $T_{pa,o} > T_{sa,i} > T_{pa,i}$ with respective NSCs of 1.306, 0.949, and 0.0286 (refer **Table 6**). While the cooling load (Q_{pa}) is the most affected by $T_{pa,i}$ followed by $T_{pa,o}$, and V_{pa} , as demonstrated in **Table 7**.

Table 4. The sensitivity of the coefficient of performance (COP) to different parameters.

Variable	U_x''	\bar{X}	SC	NSC	RC (%)	$\Gamma \downarrow$
$T_{pa,i}$	1 °C	45	4.145	4.285	45.60	$T_{pa,i}$
$T_{pa,o}$	1 °C	24	4.748	1.396	52.23	$T_{pa,o}$
$T_{sa,i}$	1 °C	22.2	3×10^{-32}	7×10^{-33}	3.4×10^{-31}	V_{pa}
$T_{sa,o}$	1 °C	25.7	0	0	0	ω_{pa}
V_{pa}	1 %	5.2	0.195	0.999	2.154	$T_{sa,i}$
V_{sa}	1 %	2.86	1.2×10^{-35}	6×10^{-35}	1.3×10^{-34}	V_{sa}
ω_{pa}	1 %	0.01	1.12×10^{-06}	5×10^{-6}	1.2×10^{-5}	$T_{sa,o}$

Table 5. The sensitivity of effectiveness (ε) to different parameters.

Variable	U''_x	\bar{X}	SC	NSC	RC (%)	$\Gamma \downarrow$
$T_{pa,i}$	1 °C	45	11.93	4.592	9.498	$T_{sa,o}$
$T_{pa,o}$	1 °C	24	0.900	0.098	0.716	$T_{pa,i}$
$T_{sa,i}$	1 °C	22.2	0	0	0	ω_{pa}
$T_{sa,o}$	1 °C	25.7	112.36	14.10	89.46	$T_{pa,o}$
V_{pa}	1%	5.2	4.8×10^{-35}	1.2×10^{-71}	3.8×10^{-35}	V_{pa}
V_{sa}	1%	2.86	0	0	1.3×10^{-34}	$T_{sa,i}$
ω_{pa}	1%	0.01	0.403	0.766	0.321	V_{sa}

Table 6. The sensitivity of wet bulb efficiency (η_{wb}) to different parameters.

Variable	U''_x	\bar{X}	SC	NSC	RC (%)	$\Gamma \downarrow$
$T_{pa,i}$	1 °C	45	0.119	0.0286	0.336	$T_{pa,o}$
$T_{pa,o}$	1 °C	24	19.23	1.306	53.89	$T_{sa,i}$
$T_{sa,i}$	1 °C	22.2	16.34	0.949	45.77	$T_{pa,i}$
$T_{sa,o}$	1 °C	25.7	0	0	0	$T_{sa,o}$
V_{pa}	1%	5.2	0	0	0	ω_{pa}
V_{sa}	1%	2.86	0	0	0	V_{pa}
ω_{pa}	1%	0.01	0	0	0	V_{sa}

Table 7. The sensitivity of cooling load (Q_{pa}) to different parameters.

Variable	U''_x	\bar{X}	SC	NSC	RC (%)	$\Gamma \downarrow$
$T_{pa,i}$	1 °C	45	6.6×10^{-5}	4.287	45.60	$T_{pa,i}$
$T_{pa,o}$	1 °C	24	7.6×10^{-5}	1.397	52.24	$T_{pa,o}$
$T_{sa,i}$	1 °C	22.2	0	0	0	V_{pa}
$T_{sa,o}$	1 °C	25.7	0	0	0	ω_{pa}
V_{pa}	1%	5.2	3.1×10^{-6}	1.001	2.155	$T_{sa,i}$
V_{sa}	1%	2.86	0	0	0	$T_{sa,o}$
ω_{pa}	1%	0.01	1.8×10^{-11}	5.7×10^{-6}	1.2×10^{-5}	V_{sa}

4.3.2. NSC parametric study

After a detailed preliminary identification of the most influential input parameters in terms of NSC and RC, the variation in NSCs over a range of respective parameters are studied. **Figure 14** shows the variation in NSC of the COP and ε against inlet temperature of primary air keeping other parameters constant. It is observed that the values COP and ε is increased by increasing the inlet temperature of primary air because of the difference between the inlet and outlet temperature increases. However, the combined effect of all other constant parameters and perturbation causes the NSC of COP and ε to decrease by increasing the inlet temperature of primary air as shown in **Figure 15**. Therefore, it indicates that the sensitivity of COP and ε over $T_{pa,i}$ decreases at higher values.

Figure 16 shows the variation of NSC of the COP and η_{wb} against the outlet temperature of primary air keeping the other operating parameters constant. It is seen that the values of COP and η_{wb} decreased due to a decrease in the difference between the inlet and outlet temperature of primary air. However, the overall impact of constant quantities and perturbation causes to increase in the NSC of COP and η_{wb} . It means that the COP and η_{wb} will be more sensitive to the $T_{pa,o}$ at its higher values. Similarly, **Figure 17** illustrates the variation of NSC of effectiveness (ε) against the humidity ratio of primary air (ω_{pa}). It is observed that the values ε increased by increasing ω_{pa} because the difference between inlet and outlet enthalpies of primary air is increased. The overall impact of other quantities and constant perturbation lead to an increase in the NSC of ε with increasing ω_{pa} . It suggests that at higher values of ω_{pa} , ε will be more sensitive to the primary air humidity. Some similar trends can be observed for NSCs of effectiveness, wet bulb efficiency, and cooling load against primary and secondary air inlet and outlet temperatures as illustrated in **Figures 18-20**.

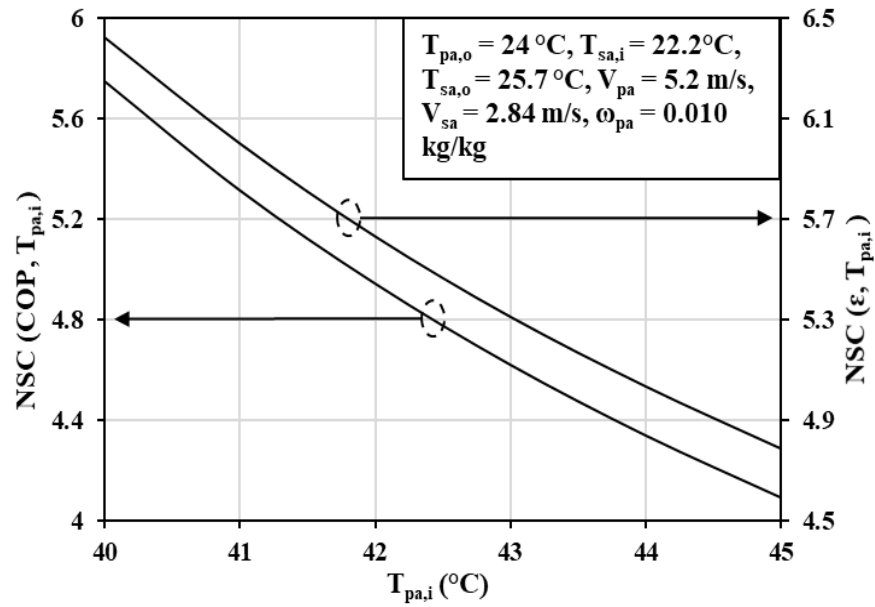


Figure 14. Variation in NSC of the COP and ε against $T_{pa,i}$.

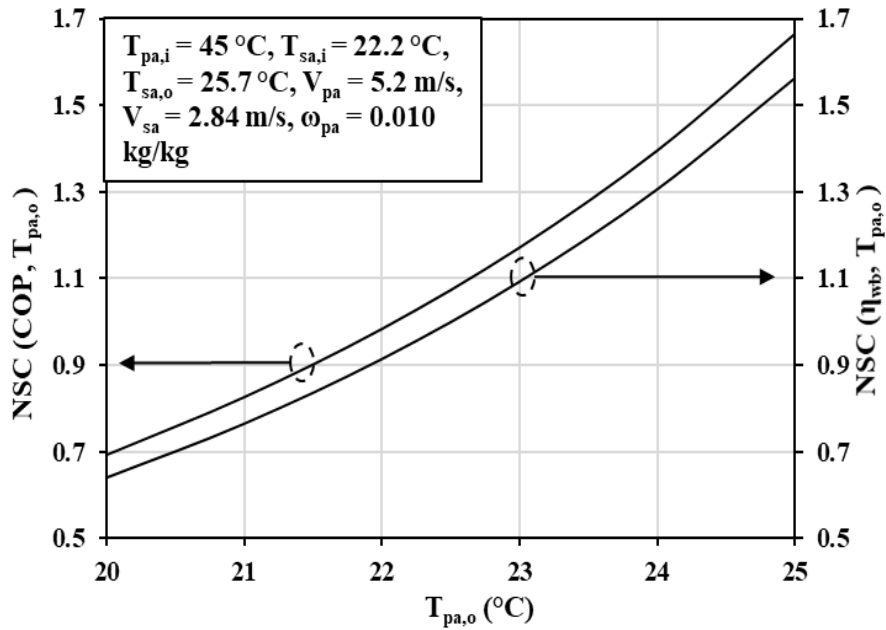


Figure 15. Variation in NSC of the COP and η_{wb} against $T_{pa,o}$.

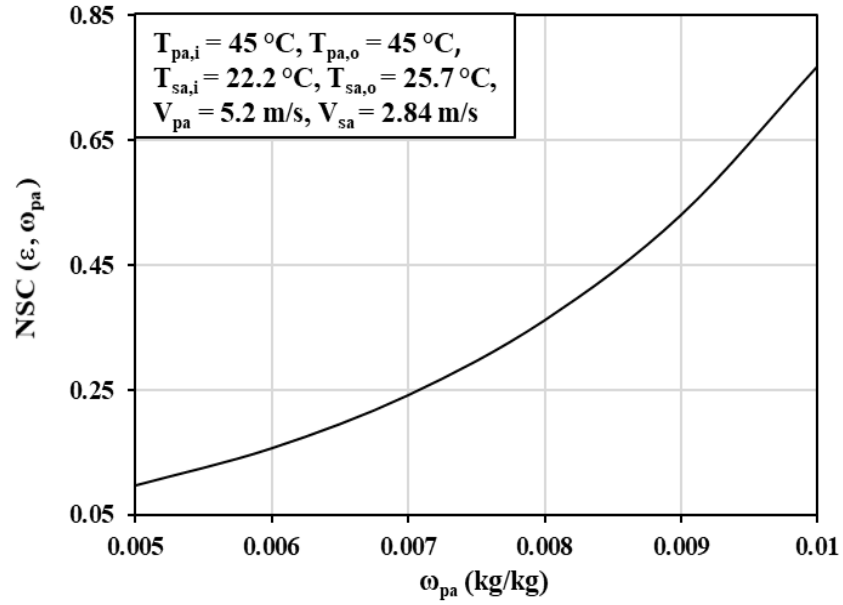


Figure 16. Variation in NSC of ϵ against ω_{pa} .

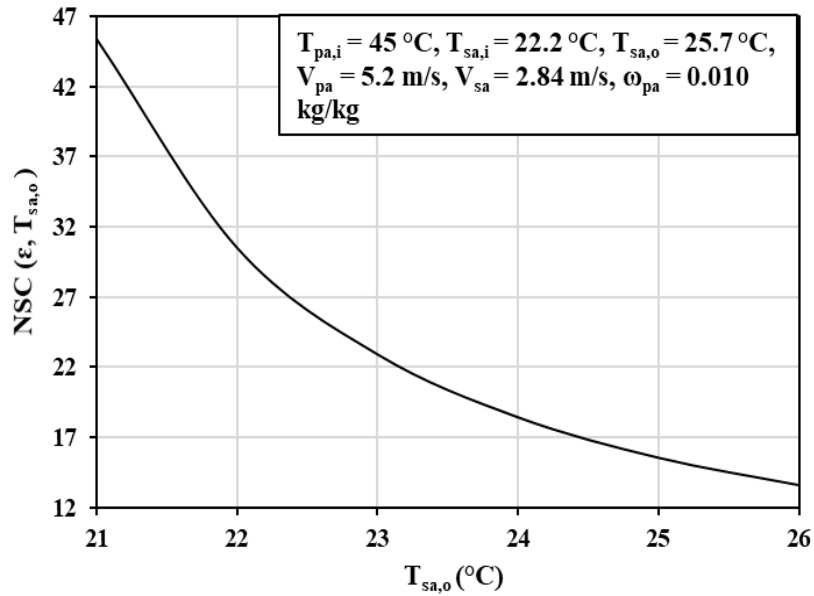


Figure 17. Variation of NSC of in ϵ against $T_{sa,o}$.

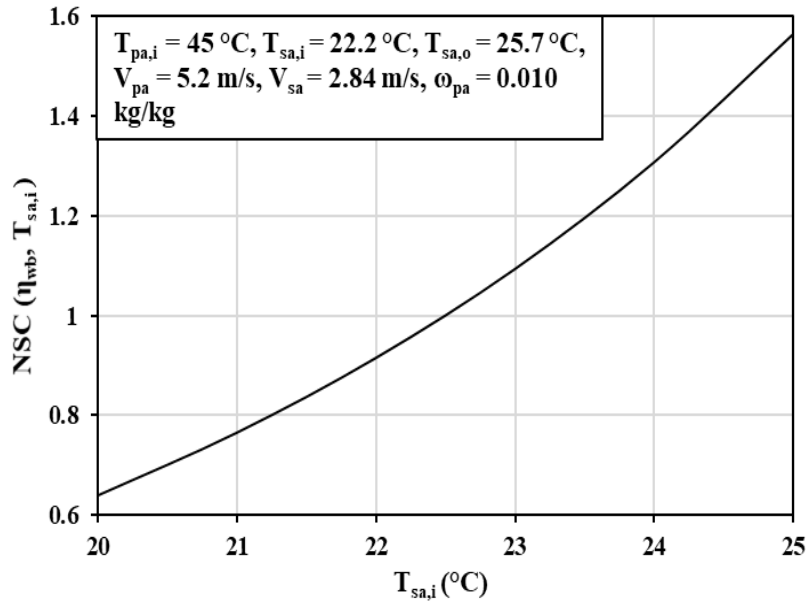


Figure 18. Variation of NSC of η_{wb} against $T_{sa,i}$.

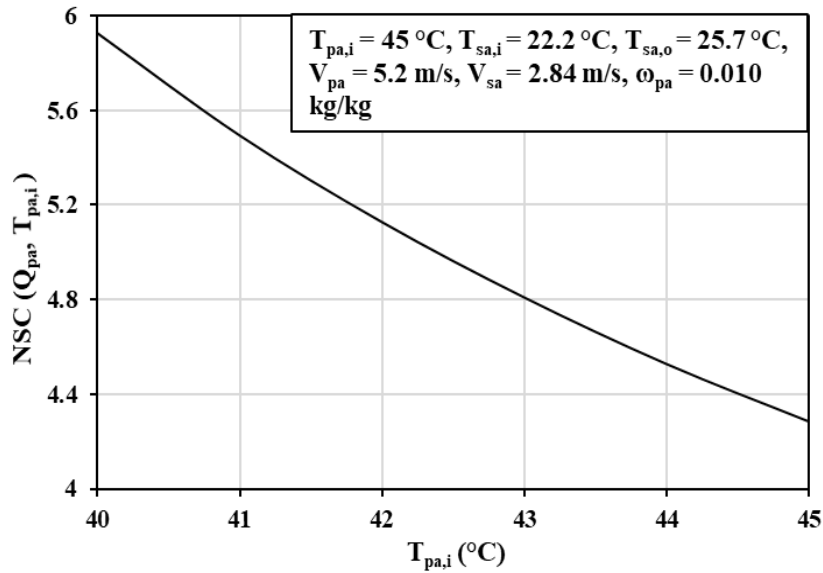


Figure 19. Variation in NSC of cooling load against $T_{pa,i}$.

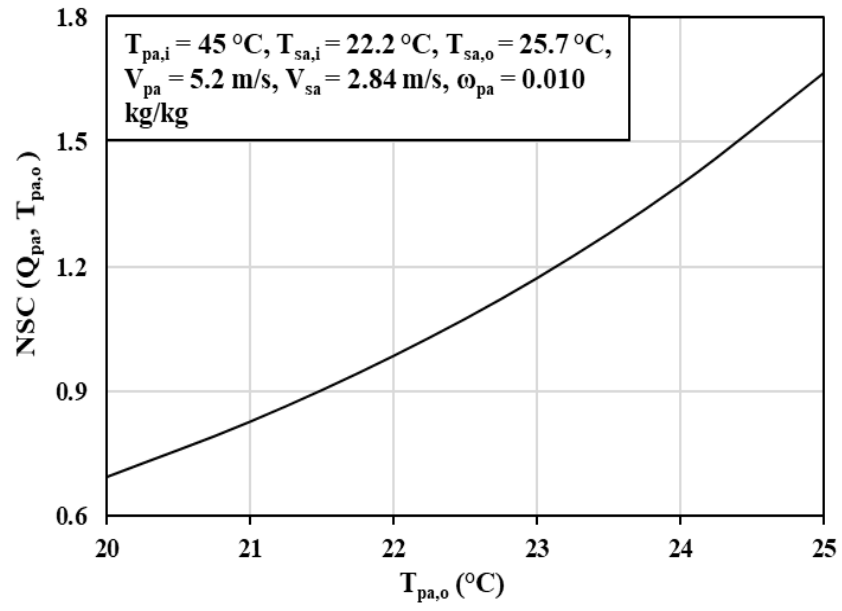


Figure 20. Variation in NSC of cooling load to $T_{pa,o}$.

5. IEC development status and future roadmap

The status of indirect evaporative cooling technology highlighting major types, applications, performance indicators, limitations, and future roadmap is summarized in **Figure 21**. The assessment of existing systems suggests that despite significant studies, several limitations hinder the commercial-scale development of IEC systems. Major among these included operational reliability, large area requirements, and high manufacturing and maintenance cost. The proposed system reasonably addressed these by eliminating the wicking material that reduced the maintenance issues as well as increased the heat transfer rate. Moreover, the water showering process is carried out in a separate humidifier which reduced the water consumption, leakage issues, and liquid film thermal resistance in the heat transfer process. Moreover, the effectiveness of the proposed cooler is comparable to the existing systems (85-90%).

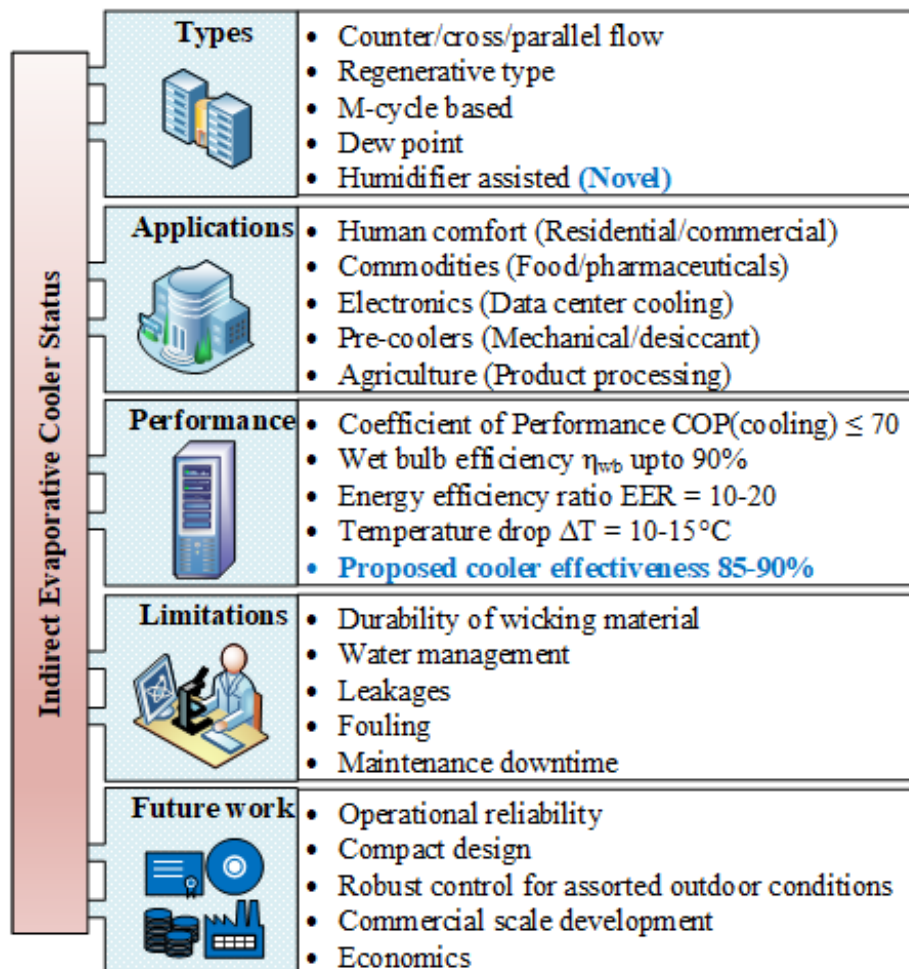


Figure 21. IEC development status and future roadmap.

6. Conclusion

A novel regenerative type of indirect evaporative cooler is proposed, fabricated, and tested at assorted operating conditions. The main objective of the system is to overcome the major limitations in the conventional IECs that include high water wastage, reliability of wicking material, the thermal resistance of water film, fouling, and high maintenance cost. The performance of the cooler is measured in terms of supply air temperature, cooling capacity, coefficient of performance, and effectiveness. Thereafter, a normalized sensitivity analysis is conducted to investigate the influence of important input parameters on these performance parameters. The major findings of the study under-considered operating conditions are as follows.

- The proposed IEC can satisfactorily address the major limitations in the conventional IECs by replacing the wick material with a high conductivity thin aluminum foil and placing the accessory components like a humidifier and water supply outside the cooler where these can be easily accessed without opening the channels.
- The lowest supply air temperature is achieved at the highest outdoor air temperature and purge air ratio because of the evaporative potential of air which is maximum at higher temperatures.
- The maximum cooling capacity of the cooler is recorded as ~175 watts at the highest outside air temperature of 43 °C and purge air ratio of 55%. The cooling capacity decreased to 140-watt, 129-watt, 99-watt, and 41-watt as the outside air temperature reduced to 38 °C, 33°C, and 27 °C, respectively at the same purge air ratios. Meanwhile, the cooling capacity also decreased with decreasing purge air ratio due to the low heat extraction rate from the dry channel.
- The maximum COP (for cooling only) is calculated as high as ~43-44 which decreased significantly (~20-30%) with decreasing outdoor air temperature by 5-6 °C at the same purge air ratio. The overall COP (cooling +dehumidification) is expected to hover around 10-15. The maximum cooler effectiveness is 83.82% which is also at the highest outdoor air temperature.
- The sensitivity analysis reveals that the coefficient of performance is sensitive to the operating parameters in the following order $T_{pa,i} > T_{pa,o} > V_{pa}$ and the cooler effectiveness as $T_{sa,o} > T_{pa,i} > \omega_{pa} > T_{pa,o}$.

- The parametric analysis showed that the sensitivity of COP and ε decreased against increasing primary air inlet temperature, that of COP and η_{wb} increased against increasing the primary air outlet temperature and that of ε increased against primary air humidity ratio. These variations in sensitivity coefficients are because of the overall impact of other quantities and constant perturbations.

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Nomenclature

A_{ch}	channel flow area, mm ²
A_{sp}	single plate heat transfer area, mm ²
D_h	hydraulic diameter, mm
f	friction factor
G	mass velocity, kg/m ² s
H	channel height, mm
h	enthalpy, j/kg
k	thermal conductivity, W/mK
L	length, mm
N	number of plates
P	pressure, pa
Pr	Prandtl number
Q	cooling capacity/heat transfer, W
Re	Reynolds number
r	purge ratio, %
T	temperature, °C
V	velocity, m/s
W	width, mm

Subscripts

i	inlet
lat	latent
o	outlet
pa	primary air
sa	secondary air
th	thermal
wb	wet bulb

Greek letter

Δ	change in quantity
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$\Gamma \downarrow$	descending importance
δ	film thickness, μm
ω	humidity ratio, kg/kg
μ	viscosity, kg/ms
ε	effectiveness
ρ	density, kg/m^3
λ	heat transfer coefficient, $\text{W/m}^2\text{K}$

Abbreviations

AC	Air conditioning
COP	Coefficient of performance
EES	Engineering equation solver
EER	Energy efficiency ratio
HVAC	Heat ventilation and air conditioning
IEC	Indirect evaporative cooler
NSC	Normalized sensitivity coefficient
RC	Relative contribution
RH	Relative humidity, %
SC	Sensitivity coefficient

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: