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# 1 Science Forum: Sex differences and sex bias in human circadian 2 and sleep physiology research

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40 **Abstract**

41 Growing evidence shows that sex differences impact many facets of human biology. Here we  
42 review and discuss the impact of sex on human circadian and sleep physiology, and we  
43 uncover a data gap in the field investigating the non-visual effects of light in humans. A  
44 virtual workshop on the biomedical implications of sex differences in sleep and circadian  
45 physiology then led to the following imperatives for future research: (1) design research to be  
46 inclusive and accessible, (2) implement recruitment strategies that lead to a sex-balanced  
47 sample, (3) use data visualization to grasp the effect of sex, (4) implement statistical analyses  
48 that include sex as a factor and/or perform group analyses by sex, where possible, (5) make  
49 participant-level data open and available to facilitate future meta-analytic efforts.

## 50 **Introduction**

51 Despite marked sex differences in many aspects of human physiology and behaviour,  
52 biomedical research continues to be disproportionately biased towards the male sex. For  
53 example, women made up only 25% of participants in landmark trials for congestive heart  
54 failure and 19.2% of participants for studies in antiretroviral treatment of HIV [1]. Such a  
55 skewed evidence base leads to disparities in clinical and non-clinal research applications, and  
56 weakens the impact of science-based policies and translational outcomes.

57 This sex bias or 'sex data gap' – whereby data mainly come from male individuals – has  
58 recently received widespread attention [1], with policy advisers [2], funders [3, 4] and  
59 publishers [5, 6] pushing for better inclusivity in research regarding sex. Embracing these  
60 new practices should improve translational outcomes and scientific efficiency, but this will  
61 require a two-pronged tactic that both strengthens forces for change and weakens barriers in  
62 the field [7]. The problems that allow sex bias to emerge are multifaceted and closing the data  
63 gap will require solutions to be bespoke for each research community.

64 Here, we explore the sex data gap in the context of human circadian physiology and sleep  
65 research. The field focuses on the temporal organization of physiology and behaviour at a  
66 daily scale, including rest-activity cycles, diurnal changes in hormone levels and cognitive  
67 performance, and the non-visual effects of light. We first describe primary findings on sex  
68 differences in circadian physiology and sleep. Next, we discuss the sex data gap in circadian  
69 and sleep research based on an analysis of over 150 papers on the non-visual effects of light,  
70 and finally we outline recommendations emerging from a virtual workshop on the biomedical  
71 implications of sex differences in sleep and circadian physiology (held in June 2020).

72 While we distinguish between gender identity (how individuals and groups perceive  
73 themselves e.g. men, women, non-binary,) and sex (the biological attributes that distinguish  
74 organisms as female, male or intersex) we note that these terms are often used  
75 interchangeably and wrongly in the literature [8]. Yet, in biology, sex describes differences in  
76 sexual characteristics that go beyond reproductive functions. Furthermore, we acknowledge  
77 that there is very little to no research about intersex individuals within circadian physiology  
78 and sleep, constituting an important gap. Addressing it may contribute to better granularity  
79 and understanding of sex-differentiated biological mechanisms and responses. When  
80 reporting on results from the literature, we use the terms used by the researchers in these

81 studies, as we are unable to know whether participants were asked about their sex or their  
82 gender.

83

#### 84 **Sex differences in sleep and circadian physiology**

85 Human circadian and sleep physiology features well-established sex differences: for instance,  
86 circadian timing is phase-advanced (earlier) in female compared to male individuals, as seen  
87 in the core body temperature minimum and evening rise in melatonin [9, 10]. Female  
88 individuals also have a shorter circadian period of the temperature and melatonin rhythms  
89 [11], and larger amplitude of the melatonin rhythm [10]. Furthermore, sex differences exist  
90 in chronotype, the circadian continuum of early ('larks') to late ('owls') diurnal preference  
91 [21], such that more male individuals are late types than females [12-15]. With regard to  
92 sleep, female individuals have an earlier timing of sleep, longer sleep duration and more  
93 slow-wave sleep [13, 16].

94 More recently, sleep regularity – the day-to-day consistency in sleep timing and duration –  
95 has emerged as an important factor in health [17]. Irregular sleep is associated with  
96 cardiovascular disease [18], inflammation [19], metabolic disorders [20-22], mental health  
97 conditions [23, 24], and cognitive impairment[25]. The data on sex differences are mixed  
98 with reports ranging from no sex differences [26-28] to more irregular sleep in female [29-  
99 31] or in male individuals [32, 33]. Chronotype may account for these inconsistencies as  
100 'owls' tend to be more irregular sleepers [11, 12]. Indeed, in re-visiting three published  
101 datasets [14, 34, 35], more male individuals were found to be irregular sleepers than females  
102 when both were a later chronotype.

103 Finally, while data remain sparse, the adverse health effects of sleep irregularity itself may  
104 also differ between the sexes. To the best of our knowledge, only one study examined this  
105 question [32], finding that variability in sleep duration was significantly associated with  
106 weight gain in male but not female students. Overall, despite the far-reaching health  
107 implications, sex differences in sleep and circadian physiology remain under-researched.

108

#### 109 ***Impact of sex differences in sleep and circadian physiology in a non-clinical setting.***

110 Perhaps the most observable effect of sex differences in sleep and circadian physiology in a  
111 non-clinical setting is in shift work, a ubiquitous facet of modern society. Shift workers  
112 (approximately 50% of which are women) account for about a third of the workforce in North

113 America and Europe, [36]. Women have higher injury rates during night work than men,  
114 despite the injury rates between men and women being similar in day workers [37]. The  
115 physiological mechanisms underlying this difference remains unclear, partly due to a lack of  
116 research on the female circadian system. An exception is a recent study on sex differences in  
117 the effects of acute sleep deprivation on alertness [40]. This work showed that women in the  
118 follicular phase of their menstrual cycle had more sleep loss-related alertness failure than  
119 men, whereas there were no differences between women in the luteal phase and men [38].  
120 This powerful influence of sex hormones and the menstrual cycle in female individuals  
121 highlight the pressing need to consider sex differences in biomedical research.

122

123 ***Impact of sex differences in sleep and circadian physiology in a clinical setting.*** Evidence is  
124 converging that sex differences in sleep and circadian phenotypes play a role in medical  
125 conditions and should therefore be considered in medical treatments and interventions. The  
126 emerging field of chronotherapeutics or chronotherapy [39-41] focuses on medical treatment  
127 approaches that incorporate a patient's circadian phase, or at least time of day, into the  
128 treatment regime. Here, we highlight a key therapeutic area, cancer treatment, in which sex-  
129 specific differences in underlying circadian mechanisms affect outcomes.

130

131 Sex and age profoundly impact chemotherapy efficacy and tolerability. Female patients are  
132 more susceptible than their male counterparts to the side effects of widely used anticancer  
133 drugs [42-44] [45], and they can experience more frequent and severe toxicities from  
134 chemotherapy protocols due to sex differences in pharmacokinetics and pharmacodynamics  
135 [46]. Across the 24-hour cycle, the molecular circadian clock rhythmically controls drug  
136 bioactivation, detoxification and transport while the circadian timing system as a whole  
137 regulates drug absorption, distribution, metabolism and excretion [47]. In experimental  
138 models, this results in strong circadian changes in the tolerability and efficacy of over 50  
139 anticancer medications, indicating that timing is a critical factor [47, 48]. A study examining  
140 colorectal cancer – the third cause of cancer deaths worldwide – showed that the intravenous  
141 delivery of the drug 5-FU leucovorin (5-FU-LV) at a constant rate resulted in circadian  
142 changes in drug concentration in plasma [49, 50]. Most importantly, female patients had  
143 reduced 24-hour mean and circadian amplitude of the 5-FU body clearance compared to their  
144 male counterparts [51]. Furthermore, peak delivery at 1pm or 4pm for oxaliplatin (another  
145 anticancer drug) and at 1am or 4am for 5-FU-LV proved to be least toxic by up to six-fold in

146 male patients, whilst optimal timing was located six hours later in female patients [52]. Thus,  
147 optimal drug timing and optimal drug doses can differ according to sex [63]. While the  
148 underlying mechanisms appear to involve sex differences in molecular clock function, their  
149 links with chrono-pharmacological determinants prompt further investigation.

150

### 151 **The sex data gap in sleep and circadian physiology**

152 The sex data gap exists both in in vivo [67] and in vitro research [68] and is apparent even in  
153 diseases that predominantly affect women [20]. Critically, the sex gap is not just restricted to  
154 inclusion at the experimental design stage: researchers frequently ignore sex as a factor in the  
155 analysis, even when males and females are included in the study [7].

156 Apart from vision, light plays a critical role in regulating physiology and behaviour via its  
157 influence on the circadian system. These effects are mediated by a multi-component  
158 photoreceptor system consisting of rods, cones and intrinsically photosensitive retinal  
159 ganglion cells in the eye that transmit information to the circadian clock via the  
160 retinohypothalamic tract.

161 To ascertain whether there is a sex data gap in sleep and circadian physiology research, we  
162 focused on the non-visual effects of light on human physiology and behaviour – including  
163 how it suppresses melatonin and shifts the circadian system. This topical research area has  
164 applicability in lighting standards, regulations and guidelines [53, 54], and various efforts are  
165 underway to incorporate scientific data from this research area into building  
166 recommendations. This highlights a pressing need to understand sex bias in this field.

167 A preliminary literature search identified 545 papers, which were evaluated against a list of  
168 exclusion criteria (see *Methods* for full details), yielding a total of 180 articles. In this specific  
169 analysis, we focused on the reported sex of participants, although in many instances the terms  
170 sex and gender were used interchangeably. Each paper was then reviewed by a single  
171 reviewer to determine if participant sex and numbers were reported, and where possible, the  
172 proportion of female participants was calculated. Of the papers assessed, 14 (7.77%) did not  
173 give sufficient information on the sex breakdown of participants for this to be determined. In  
174 the remaining 166 articles, females comprised an average of 33.9% of the sample. Seven  
175 papers reported studying exclusively female participants, while 56 papers reported studying  
176 only males. Figure 1 shows the proportion of female study participants as a function of the  
177 publication year, calculated from the per-sex participant sample sizes. We conducted

178 binomial tests to investigate the possibility of deviations from a balanced distribution of  
179 sexes, finding a large proportion of studies using only male volunteers. Interestingly, for later  
180 years, there were fewer female-only studies (but also fewer studies in total). While this may  
181 represent a shift towards more sex-inclusive recruitment, it also means that large parts of the  
182 cited literature are based on imbalanced participant samples.

183 Next, we examined studies that exclusively involved male or female participants (n=63). Of  
184 these, only eleven (17.5%) provided text to justify this sample choice. For studies with only  
185 male participants the justifications included female physiology being subject to confounding  
186 factors such as menstruation (n=3), research into the other sex being unnecessary due to  
187 previously published observations (n=3), the study involving a sex-specific condition (n=2),  
188 not being able to recruit females with a specific genetic polymorphism (n=1), the study being  
189 a case study (n=1), and the study being conducted in a location (field station) with only male  
190 staff (n=1). We found some evidence that the number of females increased over time, with  
191 publication year and proportion of female participants being correlated ( $r(164)=0.17$ ,  
192  $p=0.02895$ ). Interestingly, the total sample size correlates with the fraction of female  
193 participants in a given study ( $r(164)=0.3$ ,  $p=0.00008$ ; Spearman's correlation): larger studies  
194 seem to recruit more balanced samples.

195 In summary, we find a sex data gap in the literature on the non-visual effects of light, which  
196 needs to be considered in current efforts to translate research findings in the 'real world'. A  
197 detailed analysis of a larger set of research articles is ongoing [55].

198 ***Misconceptions underlying sex bias.*** One of the important aspects of an experimental design  
199 is to simplify a complex world to generate a testing space where cause and effect can be  
200 isolated. This approach is necessary to generate 'doable problems', allowing researchers to  
201 better understand the mechanisms that underlie a biologically intricate world [56]. In animal  
202 research, this simplification has led to studying one sex and strain in one batch, an approach  
203 supported by an interpretation of the 'Reduce' element of the 3R ethical framework.  
204 Historically, this has been conceived as a requirement for minimizing the number of animals  
205 in a single experiment, thus encouraging researchers to generate a narrow testing space  
206 before extrapolating and generalizing the results. Male animals were consistently selected  
207 due to the belief that the sex hormone cycle in females would lead to greater variability in the  
208 data, which would then require a larger number of female animals to achieve the same  
209 statistical power [7]. A recent meta-analysis looking at 9932 traits found that the variability



210 seen in female mice was not greater than for male mice – and in some cases was less – yet the  
211 legacy remains [57].

212 A related misconception is that studying both males and females requires the sample size to  
213 be doubled. Indeed, the analysis is not conducted independently for each sex; rather a  
214 regression analysis is used to explore the variation in the outcome variable of interest after  
215 accounting for effect of sex. Another benefit is that this approach also includes a statistical  
216 test for whether the treatment effect depends on sex. As regression analysis does not pool the  
217 data, the variance introduced by sex is accounted for, and the sensitivity for a treatment effect  
218 is minimally impacted by the inclusion of two sexes. The statistical test for the main  
219 treatment effect reveals the average treatment effect across the two sexes, and the interaction  
220 term shows how the treatment effect differs for the two sexes. The power for the main effect  
221 will be impacted when the treatment effect goes in the opposite direction for the sexes  
222 (crossed effect) but then the power to detect an interaction will increase. Biologically, crossed  
223 effects are rare, as shown in a large study assessing the prevalence of sexual dimorphism  
224 [58]. In these situations, the treatment effect must be estimated for each sex individually. This  
225 potential situation may appear concerning to some, but it simply provides more evidence for  
226 the need to study both sexes to avoid misunderstanding biology. Notably, the ongoing  
227 misconceptions about including female individuals in research have become part of the  
228 implicit scientific practice, and they are passed on to future generations of researchers. To  
229 curtail this, we point to the National Institutes of Health (NIH) [guidelines](#) which stipulate that  
230 male and female sexes should be included. Furthermore, rather than automatically powering  
231 to test for an interaction, we suggest that the average treatment effect represents both sexes,  
232 and a sex-disaggregated analysis would reveal possible large differences.

233 Sometimes, researchers propose studying one sex at the time, but it is important to collect  
234 data on both male and female individuals simultaneously to test how the treatment interacts  
235 with sex. If data is collected independently for the two sexes, it becomes impossible to  
236 determine whether differences in estimate emerge due to sample variation or because the  
237 effect depends on sex.

238 A common pushback is that other sources of variation, such as age, should be considered:  
239 why should sex be the variable that is prioritized? Conducting an experiment means  
240 simplifying a complex biological world that features many sources of variation into a testing  
241 space, before generalizing the findings to reach broader conclusions. In biomedical research,  
242 the target population will be, on average, 50% male and 50% female, and it is becoming clear

243 that variations between male and female physiology extend beyond hormonal differences.  
244 Therefore, as a rule, sex should be the first variable to be included to significantly increase  
245 generalizability – except, as discussed in the NIH guidelines, for cases such as the study of  
246 sex-specific conditions or phenomena.

247

## 248 **Understanding the research landscape and identifying opportunities for** 249 **change**

250 In a three-part virtual workshop held in June 2020, the authors of this paper explored  
251 practices, barriers, and challenges in designing and executing inclusive research in circadian  
252 physiology and sleep research. [All materials from the workshop](#), including the recordings and  
253 [the programme](#), are available under the CC-BY license [59-61].

254 The workshop series comprised three 90-minute sessions held a week apart and included  
255 invited talks as well as interactive sessions. The workshop was advertised through a range of  
256 channels, including Twitter, the [UK Clock Club listserv](#), and the personal networks of the  
257 organisers and speakers. A total of 275 participants registered for the entire workshop. Across  
258 the three workshops, between 38 and 94 attendees participated in the interactive sessions,  
259 with approximately four out of five participants being researchers (82 out of 94 in Workshop  
260 1, 47 out of 60 in Workshop 2 and 31 out of 38 in Workshop 3).

261 We used the web platform [Mentimeter](#) to implement polling amongst participants as well as  
262 open-ended questions. Prior to participating in the interactive sessions, attendees were  
263 informed that their responses would be used for write-up and published as a peer-reviewed  
264 article. Attendees were free to not participate in the interactive sessions. No personal data  
265 were collected as part of the interactive Mentimeter sessions. We combined yes/no, ranking  
266 and open-ended questions throughout the interactive sessions to vary the response modality.  
267 The results discussed below were selected from the results, which can be viewed in full on  
268 the [Open Science Framework page](#). The number of responses to individual questions varied  
269 somewhat due to dropout during the interactive session as well as a time-limited response  
270 window; the total number of responses in the participatory parts are given on the bottom  
271 right-hand corner of the Materials document.

272

273 ***Workshop 1: Understanding differences.***

274 In the first workshop, we explored sex as a variable in research. In an interactive polling  
275 segment following this workshop, only 58% of respondents (out of 100) indicated previously  
276 analyzing data in a sex-disaggregated fashion. However, 88.1% (out of 101) agreed that sex  
277 could be a variable in their research, showing the large scope for sex-disaggregated analyses.  
278 Of note, sex was identified as just one of many characteristics contributing to individual  
279 differences in research results, alongside age chronotype, mental health status, genetics, body  
280 mass index and prior light exposure. When asked for the most pressing research questions  
281 involving individual differences, the answers ranged from developmental and lifespan factors  
282 to more fundamental research questions with no obvious individual-difference angle. The  
283 video recording for Workshop 1 is available [here](#), and the materials related to the  
284 participatory part are available [here](#).

### 285 ***Workshop 2: Understanding impact.***

286 The second workshop focused on understanding the real-world impact of the participants’  
287 research. In the interactive polling segment following this workshop, participants indicated  
288 that their research could mostly influence precision and personalized medicine, occupational  
289 timing and shift-rota planning, and guidelines for indoor a ‘circadian’ lighting.

290 When asked to identify the biggest barriers to addressing sex bias in research, research  
291 money or funding and time were the most mentioned factors, followed by guidelines and  
292 policies. This indicates a scope for funding agencies to specifically address researchers’ need  
293 for funding, as well as an opportunity for institutions, funders, professional bodies, learned  
294 societies and journals to develop clear guidance (see **Box 1** for an example of a journal  
295 implementing a specific policy; and **Figure 2**). The video recording for Workshop 2 is  
296 available [here](#), and the materials related to the participatory part are [here](#).

### 297 ***Workshop 3: Understanding change.***

298 The third workshop explored factors that would facilitate change in research. In the  
299 interactive polling segment, when asked to rank sources for guidance on sex-difference  
300 analysis, the participants first mentioned research institutes and universities, then societies  
301 and professional bodies and finally funders and publishers.

302 In further exploring the role of funders, the top three priorities for participants were: (1)  
303 provision of training and guidance to incorporate sex and gender analysis; (2) allocation of  
304 funding within regular grant mechanisms ring-fenced for sex and gender analysis; and (3)

305 simply more allocation of funds in regular research grants. Additionally, collaboratively  
306 developed guides, research toolkits, training programmes from societies and professional  
307 bodies were also indicated as facilitators of change.

308 When asked what researchers could personally do, three actionable items emerged: (1)  
309 inclusion of sex and gender analysis as a central step in research; (2) learning from peers and  
310 with examples; and (3) upskilling in the requisite statistical techniques. The video recording  
311 for Workshop 3 is available [here](#), and the materials related to the participatory part are  
312 available [here](#).

### 313 **Recommendations**

314 *Guiding principles to close the sex data gap.* Based on the workshop content and  
315 discussions, we propose the following guiding principles to address the sex data gap in  
316 biomedical research, and to build an evidence base which is better inclusive of sex and  
317 gender. The central tenet includes sex and gender analysis as an essential component of  
318 research design. The specific recommendations are:

319 1. **Design research to be inclusive and accessible.** In many cases, research is designed  
320 exclusively by researchers who may not necessarily have sufficient expertise on how to  
321 make their study inclusive and accessible. An important step is reaching clarity in  
322 recording and reporting participant sex and gender. As an example, one research team  
323 reporting the sex of participants may use participant-derived responses on a questionnaire  
324 or intake form, and another group may use the sex assigned at birth, based, for instance,  
325 on an ID card. While these could give congruent answers, they represent different types  
326 of information. Wider engagement with definitions of sex and gender and questions  
327 surrounding this topic within a research group or researcher community could lay the  
328 groundwork for making research more inclusive and accessible. As a formalised way to  
329 ensure inclusivity, we also suggest that research participants be integrated in the research  
330 planning process through Patient and Public Involvement (see **Box 2**) or similar  
331 mechanisms.

332 2. **Implement recruitment strategies that lead to a sex-balanced sample.** This includes  
333 wide advertisement of research studies, and tailoring recruitment strategies by engaging  
334 with patients, participants and the general public, for example through Patient and Public  
335 Involvement mechanisms (see **Box 2**). Given fixed resources, recruiting a sex-balanced  
336 sample does not simply mean doubling the sampling size, but merely recruiting a sample

337 with 50% female and 50% male participants. A balanced design is recommended to  
338 ensure the resulting statistical analysis is robust and that the variance can be decomposed  
339 to the factors of interest without confounding these [62]. While exceptions to this  
340 principle may arise from sex-specific research questions, as a general guiding principle  
341 there is little to argue against. Furthermore, this will allow sex to be included as a factor  
342 in the analysis without compromising sensitivity to a generalizable main effect.

343 3. **Use data visualization to grasp the effect of sex.** An informal visualization in the early  
344 stages of analyses can be used to ascertain sex-difference trends, which can then be  
345 followed up with more rigorous statistical testing.

346 4. **Implement statistical analyses that include sex as a factor and/or perform group**  
347 **analyses by sex, where possible.** Sex can be included as a factor or a covariate in  
348 analyses, or an alternative strategy can be to perform a group analysis by sex. Both  
349 require a good understanding of effect sizes and statistical power. Researchers should  
350 seek to upskill in statistics to develop advanced analytic strategies.

351 5. **Make participant-level data open and available to facilitate future meta-analytic**  
352 **efforts.** This step requires data to be available, which many journals now mandate. The  
353 large, combined sample size afforded by the wide availability of data can enable a sex-  
354 related effect to be more readily detectable. We also suggest that researchers should  
355 include tables reporting the primary data and participant meta-data as supplementary  
356 information in articles. A recent analysis of open science practices in circadian rhythms  
357 and sleep research journals [63] has indicated an opportunity to mandate data sharing in  
358 journal policies. Journal policies requiring participant-level data sharing could facilitate  
359 future analyses incorporating sex.

360 While none of these actions will suffice on their own, each will contribute to closing the sex  
361 data gap. Of course, the research ecosystem not only includes individual researchers but also  
362 institutions of varying sizes. We present multiple actions that can be adopted by institutions,  
363 funders, as well as professional bodies, learned societies, journals in **Figure 2**. These actions  
364 were developed from an interactive segment of Workshop 3.

365

366 ***Box 1: Example journal policy to addressing sex bias***

367 *Amrita Ahluwalia, Editor-in-Chief of British Journal of Pharmacology (BJP)*

368 In 2018, the *British Journal of Pharmacology* identified the issue of sex bias in  
369 pharmacological research as a critical area for attention with respect to the work published in  
370 the journal. This came following an internal survey of our published work coupled with  
371 recognition of the activities and actions of the National Institutes of Health, in the US, raising  
372 the profile of this important issue [64]. We discovered that in addition to a prevailing  
373 reluctance to use female individuals in experimental research, both in vivo and in vitro, there  
374 was the unsurprising omission of detail regarding the sex of the source for experimental work  
375 involving primary cell culture [6].

376 To address these issues, we introduced a number of initiatives, including: (1) publishing a  
377 themed issue in BJP containing a number of reviews and original articles focused on sex  
378 differences in pharmacology; (2) bringing together a collection of articles from all of the  
379 journals owned by the BPS in a virtual issue focused on sex; and, most importantly, (3) the  
380 elaboration and publication of guidelines for original research published in BJP. The aim of  
381 this guidance is to ensure that sex as an experimental variable is no longer ignored in articles  
382 published in BJP, but also to provide researchers with the tools to adapt their experimental  
383 design to accommodate for sex.

384 A key aspiration, of course, is that both male and female subjects are used as a default design  
385 in the experimental work detailed in all manuscripts submitted to the journal, but we do not  
386 mandate this at present. Our hope is that by insisting on consideration of these issues within  
387 any submitted work, we raise the profile of the issue, and that this organically leads to  
388 change. Of course, it is the responsibility of those who work with the journal to ensure that  
389 change does indeed occur. Indeed, there are many examples where such an advisory approach  
390 with other important issues related to transparency and reproducibility appear to have failed  
391 [65, 66]. Yet our experience in such approaches at BJP – for instance, with our guidelines on  
392 design and analysis [67] – gives us strong hope that change will take place. We plan to  
393 conduct surveys of published material annually to assess this, and we will publish the  
394 outcome of these audits.

395 ***Box 2: Patient and Public Involvement as a vehicle to make research more***  
396 ***inclusive***

397 Patient and Public Involvement (PPI) [68-70] is defined as research carried out ‘with’ or ‘by’  
398 patients, those who have experience of a condition, and the broader public in general. PPI is a  
399 term that is largely used in the UK research landscape, but similar initiatives may exist in  
400 different countries. PPI differs markedly from engagement and participation; this refers to  
401 various types of interactions with people with a condition (such as providing information and  
402 knowledge in research) as well as surveying what people understand about a particular  
403 condition regardless of whether they experience it, or exploring what should be prioritized in  
404 basic or clinical research on that condition. Involvement, on the other hand, implies a more  
405 active collaboration between researchers, and the target group – and in some cases the  
406 general public – that helps shape the design of a research project. At different levels, all these  
407 interactions provide opportunities for dialogue and bring research to those directly impacted  
408 by conditions, and the public. This, in turn, helps increase diversity – including, but not  
409 limited to, making research more inclusive with respect to sex and gender.

410 Engaging with the general public and with patients is now often asked by charities and  
411 research funding organizations but should be considered beyond being a box-ticking exercise.  
412 PPI will very likely impact the design of research projects by identifying what is vital to  
413 patients and society, and why. In turn, this will help to identify gaps in our understanding of  
414 the disease or condition in question thereby increasing research quality. This can help  
415 prioritize research areas and lead to research that is better aligned with the patient’s and  
416 public’s interests. For example, the James Lind Alliance Priority Setting Partnerships is a  
417 non-profit initiative bringing patients, carers and clinicians together to identify and prioritise  
418 unresolved questions or evidence uncertainties they consider important. In this way, research  
419 funders become aware of what matters most to the people who use their research in their  
420 everyday lives. PPI will also help the target group to better understand research, and give an  
421 often unique opportunity for researchers – especially discovery scientists – to understand  
422 patients’ reality and perspective.

423

## 424 **Methods**

425

426 To implement a breadth-first search for identifying relevant papers, we employed a pragmatic  
427 hybrid strategy, identifying relevant articles through three main sources, as listed in Table 1.  
428 We conducted a citation search of three key, recent reviews [71-73] on the acute effects of  
429 light, producing a total of 88 papers of which 83 were included in the present analysis. We  
430 carried out a search for papers specifically discussing the melatonin-suppressive effects of  
431 light in SCOPUS (search carried out on 22 October 2019) through the search term "TITLE-  
432 ABS-KEY ( ( light AND melatonin AND suppress\* ) ) AND ( LIMIT-TO ( DOCTYPE ,  
433 "ar" ) ) AND ( LIMIT-TO ( LANGUAGE , "English" ) )" (search carried out on 22  
434 October 2019). Limiting the analysis to papers with a minimum of 30 citations, we identified  
435 359 further papers (94 of which were included). Finally, relevant systematic reviews were  
436 identified in the Cochrane Library through the search terms "(light AND (circadian OR sleep  
437 OR alertness))", generating 24 results with 6 relevant for the present analysis. A citation  
438 search was again conducted, generating a further 98 papers (of which 3 were included).  
439 Overall, a total of 545 papers were identified and analyzed, as shown in Table 1.

440 *Inclusion and exclusion criteria.* Papers were excluded where the following exclusion criteria  
441 applied, leaving a total of 180 papers for the present analysis:

- 442 1. Studies that do not assess the acute effect of light: including those looking at  
443 longitudinal exposures or habits rather than controlled light exposure within a  
444 specified time frame, e.g. cohort and case-control studies were excluded;
- 445 2. Studies in which the primary outcome measure did not relate to circadian physiology  
446 (e.g. the role of light exposure in treating affective disorders);
- 447 3. Studies assessing the effects of interventions other than light exposure, e.g. sleep  
448 deprivation or magnetic field exposure. In papers involving multiple studies, only  
449 those assessing the acute effects of light were included, with other studies excluded;
- 450 4. Studies for which the PDF of the paper could not be obtained, or could not be  
451 obtained in English;
- 452 5. Studies primarily focusing on non-human animals;
- 453 6. Review papers, opinion pieces or commentaries not including any primary data;



- 454 7. Studies not based on measurements taken from human participants, e.g. in vitro  
 455 studies or mathematical models. Measurements of human materials such as blood or  
 456 retinal cells were considered to be from human participants if the intervention (light  
 457 exposure) was carried out before the material was isolated from participants, but they  
 458 were excluded if measurements were taken after the materials were obtained;
- 459 8. Research involving participants under the age of 18;
- 460 9. Studies in which variables were not manipulated (i.e., naturalistic or observational  
 461 studies);
- 462 10. Field studies, in which variables were manipulated outside of a laboratory setting.

463 Papers were not excluded based on participant disease status or outcome measure. No upper  
 464 limit was set for participant age. In coding the articles, we did not make a distinction between  
 465 sex and gender, as these are conflated in the literature.

466

Database	Search strategy	Source paper	Articles considered	Articles included
–	–	Brown (2020) [71]	19	18
–	–	Lok et al. (2018) [73]	20	20
–	–	Souman et al. (2018) [72]	49	45
SCOPUS	Citation count	-	359	94
Cochrane	(light AND Pachito et al (2018) 5 (circadian OR [74] sleep OR alertness)”	Forbes et al. (2014) 13 [75]		0

Montgomery & Dennis (2002) [76]	0	0
Tuunainen, Kripke & Endo (2014) [77]	49	3
Slanger et al. (2016) [78]	21	0
Dennis & Donswell (2013) [79]	10	0
	545	180

467

468 **Table 1: Articles included in the meta-analysis.**

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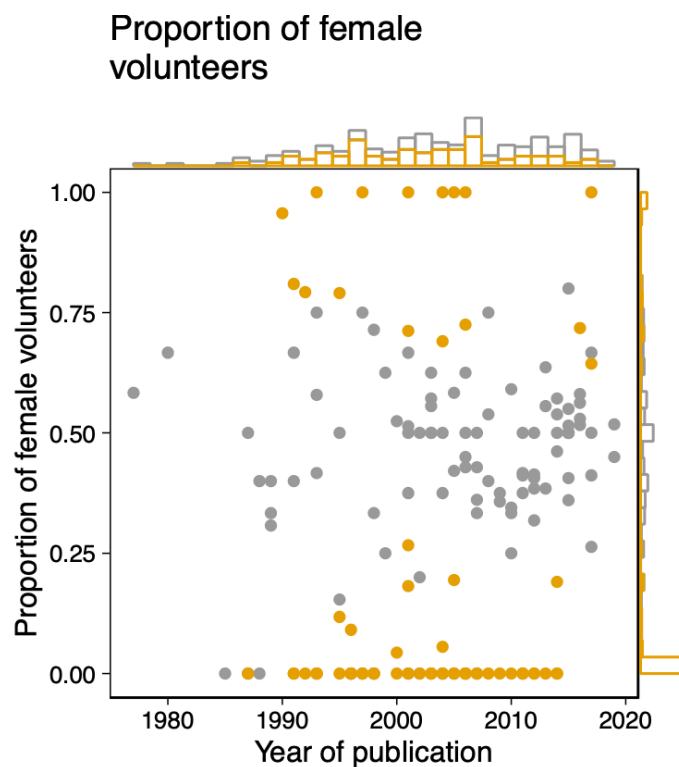
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664 **Figures**

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667

668 **Figure 1. A review of the literature on the non-visual effects of light reveals a sex bias.**

669

670 We analyzed a sample of the existing literature on the non-visual effects of light as a starting  
671 point for understanding the sex bias in the field. The sample included a total of 180 articles,  
672 and the breakdown of participant sex was then obtained in 166 articles. Binomial tests were  
673 conducted to evaluate the possibility that deviations from an even 50:50 sex distribution were  
674 attributable to chance alone. We implemented the Benjamini-Hochberg correction for  
675 multiple comparisons to control false-discovery rate (FDR). The proportion of female  
676 volunteers in each paper (represented by a dot) was plotted against the year of publication.  
677 Samples for which the proportion of female patients deviated significantly from 0.5 ( $p \leq$   
678  $0.05$ ) were determined to be biased and colour-coded as orange. The marginal histograms  
679 show the numbers of papers irrespective of publication year (histogram on the right y axis),  
680 or irrespective of proportion (histogram on top x axis). Methods for paper selection are  
681 included in *Methods*.

682

683 **Figure 1-Source Data File.** Excel spreadsheet containing the data underlying Figure 1.

684 **Figure 1-Source Code File.** R code to produce Figure 1.

685

686

### Researchers and clinician-scientists



Own research: Include sex and gender analysis as a step within research protocols as the norm, disaggregate data by sex; update standard questions for patients and participants to reflect inclusive practice

Peers and colleagues: Develop peer learning networks/share examples

Career development: Upskill in statistics and data curation to support sex and gender analysis

Engagement: Influence policy, funders, and publishers through bottom-up campaigning

### Research institutes and universities



Publish and share guidance on sex and gender analysis in research

Develop training programmes and degree courses

### Funders



Provide training and guidance on sex and gender analysis in research

Marked funding within regular grants that is ringfenced exclusively to enable sex and gender analysis

Mandating sex and gender analysis in grant applications (where relevant)

### Societies and professional bodies



Training and awareness programmes for sex and gender analysis

### Publishers



Editors: Training and awareness programmes for sex and gender analysis; commission review articles on or make an inventory on of sex and gender representation in journal

Policy: Require sex and gender analysis as standard for publication

Reviewers: Training and awareness programmes for sex and gender analysis

687

688

689 **Figure 2. Suggested actions to close the sex data gap in sleep and circadian research for**

690 **actors across the ecosystem.** These actions were derived from an interactive session with

691 attendees (n=38) during Workshop 3.

692