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Patients awaiting surgical repair for large abdominal aortic aneurysms are able to exercise at moderate to hard intensities with a low risk of adverse events

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Provisional

1 **Patients awaiting surgical repair for large abdominal aortic aneurysms are able to exercise at**
2 **moderate to hard intensities with a low risk of adverse events**

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23 Abstract

24 **Purpose:** Intervention fidelity refers to the extent an experimental manipulation has been
25 implemented as intended. Our aim was to evaluate the fidelity high-intensity interval training (HIT)
26 in patients awaiting repair of large abdominal aortic aneurysms. **Methods:** Following a baseline
27 cardiopulmonary exercise test, 27 participants performed a hospital-based, supervised HIT
28 intervention in the four weeks preceding surgery. The intervention was performed thrice weekly on a
29 cycle ergometer and involved either 8×2 -min intervals, each interspersed by 2-min recovery
30 periods, or 4×4 -min intervals interspersed with 4-min recovery periods. When surgery was delayed,
31 participants undertook one maintenance HIT session per week until surgery. Session one power
32 output was set to baseline anaerobic threshold power output and then increased on subsequent
33 sessions until ratings of perceived exertion (RPE; Borg CR-10) for the legs (RPE-L) and sense of
34 breathlessness/ chest (RPE-C) were hard (5) to very hard (7) at the end of each interval. For safety,
35 power output was maintained or reduced if systolic blood pressure exceeded 180 mm Hg or heart rate
36 exceeded 95% of maximum. **Results:** Overall session attendance across the 4-week HIT intervention
37 was 74%. Seventeen participants met our compliance criteria of $\geq 75\%$ of intervention sessions and
38 all maintenance sessions. When compared to non-compliance, compliant participants had higher
39 fitness, performed more HIT sessions and were able to exercise at higher exercise intensities with a
40 lower proportion of exercise safety breaches. In the 17 compliant participants, the proportion of
41 repetitions meeting the HIT criterion was 30% (RPE-L) and 16% (RPE-C). Mean repetition intensity
42 was 4.1 ± 2.0 Arbitrary Units [AU] (RPE-L) and 3.5 ± 1.9 AU (RPE-C) with a within-subject
43 variability of ± 1.4 AU and ± 1.6 AU, respectively. We observed higher RPE scores (~ 0.5 AU)
44 following 2-min intervals when compared to 4-min intervals and exercise power output increased
45 23% across the 4-week HIT intervention. One participant experienced an adverse event but were still
46 able to complete their remaining exercise sessions. **Conclusions:** Despite an inconsistent and lower
47 than prescribed intensity, it is possible to exercise this high-risk patient population at moderate to
48 hard intensities with a low risk of adverse events.

49 **Key Words:** Pre-habilitation; HIT; Intervention fidelity; Training monitoring; Safety

50 Introduction

51 Cardiorespiratory fitness is associated with post-operative outcome. Less fit patients have a higher
52 incidence of morbidity and mortality (Snowden et al., 2013) whereas patients with adequate
53 cardiorespiratory fitness are able to meet the increased physiological demands that accompany major
54 surgery (Tew et al., 2014). Specifically, major surgery is associated with a variety of
55 cardiopulmonary, neuroendocrine and metabolic changes that result in a stress response generally due
56 to an increase in tissue oxygen demands – a patients' ability to withstand this stress depends
57 primarily on their cardiorespiratory fitness (Barakat et al., 2016). Further, prolonged periods of
58 physical inactivity in the post-operative phase induce a loss of muscle mass, cardiopulmonary
59 deconditioning, pulmonary complications, and psychological distress (Pouwels et al., 2016), all of
60 which can be offset by enhanced fitness. Pre-surgical exercise training therefore represents an
61 encouraging means of improving surgical outcome (Weston et al., 2016) and is potentially beneficial
62 for patients with abdominal aortic aneurysm disease (Pouwels et al., 2015).

63 Abdominal aortic aneurysm (AAA) is a frequently lethal disease (Lederle et al., 200). It is generally
64 an asymptomatic condition until aneurysm rupture occurs, precipitating sudden collapse or death
65 (Waton et al., 2013). Due to the high mortality associated with emergency surgery, elective repair is
66 the preferred option when AAA size breaches 5.5 cm and surgical outcome can be influenced by a
67 patient's pre-operative cardiorespiratory fitness (Grant et al., 2015; Prentis et al., 2012). Fortunately,
68 fitness is a modifiable factor during the pre-operative phase - if there is a cause and effect
69 relationship with the post-operative course, patients undergoing major abdominal and thoracic
70 surgery will benefit from pre-operative interventions to improve their fitness (Hoogeboom, Dronkers
71 and Hulzebos, 2014).

72 Patients with large AAA disease (>5.5 cm) should undergo elective surgical intervention within eight
73 weeks of referral (The Vascular Society, 2011); yet following initial consultation, often only four to
74 five weeks remain before surgery. As such, exercise programmes incorporated into the pre-operative
75 pathway need to be effective and time-efficient (Tew et al., 2014; Weston et al., 2016).
76 Consequently, high-intensity interval training (HIT) represents an attractive strategy for the
77 improvement of pre-surgical fitness in AAA patients (Jack, West and Grocott, 2011) given that rapid
78 fitness gains are possible in a short period of time (Weston et al., 2014). As yet though, exercise
79 interventions undertaken by AAA patients have been confined to moderate exercise intensities
80 (Kothmann et al., 2009; Tew et al., 2012; Myers et al., 2013) and the feasibility of HIT in AAA
81 patients remains unknown.

82 The HIT-AAA project (Tew et al., 2014) - a multi-centre feasibility study on the efficacy of a pre-
83 operative HIT intervention on post-operative outcomes in patients undergoing elective AAA repair –
84 therefore represents the first attempt to exercise AAA patients to high intensities. Central to the
85 internal validity of all intervention trials is intervention fidelity, which refers to the extent an
86 experimental manipulation has been implemented as intended, in a comparable manner to all
87 participants (Taylor et al., 2015). In the context of exercise trials, an assessment of fidelity permits an
88 understanding of whether the exercise was performed at the prescribed intensities, at all study sites
89 and throughout all phases of the study. As such, our aim here was to present a detailed appraisal of
90 exercise data collected during the HIT-AAA trial. While we have reported a full description of the
91 HIT protocol and exercise session responses, our evaluation is confined to an examination of the
92 exercise undertaken in the HIT-AAA intervention and not the intervention effects. Data on other
93 aspects of feasibility (e.g., rates of recruitment and retention) and intervention effects will be reported
94 elsewhere.

95 **Methods**

96 **Experimental design**

97 The HIT-AAA project was a three-site, two-arm, parallel-group, randomised controlled feasibility
98 study (trial registration ISRCTN09433624) approved by the North East-Tyne & Wear South
99 Research Ethics Committee (13/NE/0116). Study recruitment was undertaken from August 2013 to
100 December 2015 and all participants provided written informed consent. The HIT intervention was
101 performed in the four weeks preceding surgery and the exercise protocol was based on prior HIT
102 programmes shown to be safe and effective for improving cardiopulmonary fitness in patients with
103 heart failure (Wisloff et al., 2007) and coronary heart disease (Rognmo et al., 2012). While the
104 study's protocol, inclusion and exclusion criteria have been published elsewhere (Tew et al., 2014),
105 for the purpose of this paper the methods pertaining to the HIT intervention are described below.

106 **Participants**

107 A total of 27 (two female) participants (mean \pm SD age: 74.3 ± 5.7 years, height: 172.3 ± 9.0 cm,
108 body mass: 79.1 ± 15.9 kg, aneurysm size: 6.0 ± 0.4 cm) were randomised to the HIT intervention.
109 Following study enrolment, all participants underwent a baseline cardiopulmonary exercise test
110 (CPET) on a cycle ergometer. The mean baseline anaerobic threshold (AT) and peak oxygen
111 consumption (VO_{2peak}) were 11.0 ± 2.1 mL/kg/min and 16.5 ± 3.7 mL/kg/min, respectively. Mean
112 recorded baseline power output on the CPET was 54 ± 19 watts at AT and 98 ± 29 watts at VO_{2peak} .

113 **Exercise intensity: prescription and measurement**

114 During the first HIT session, all participants exercised to the power output observed at the AT
115 determined on the baseline CPET. In subsequent sessions, power output was increased until the
116 patient reported ratings of perceived exertion (RPE) of five ("hard") to seven ("very hard") on Borg's
117 CR-10 scale (Borg, 1982) at the end of each interval. The precision of RPE data during exercise can,
118 however, be enhanced by differentiating perceptual reports according to their specific mediators with
119 local and central being regarded as the most important signals (Borg et al., 2010). As such, we
120 collected separate (differential) RPE scores for the perceived exertion in the legs (RPE-L) and the
121 perceived sense of breathlessness in the chest (Chest; RPE-C). Each patient was familiarised the scale
122 and the recommended researcher instructions for scale administration were used (Borg, 1982). The
123 research nurse and physiotherapist supervising each HIT session recorded power output (watts),
124 blood pressure (manually via sphygmomanometer) and RPE at the end of each interval. Heart rate
125 data were recorded continuously at 5-s intervals throughout the entire exercise session (Polar RS400,
126 Kempele, Finland) with data download procedures as per Taylor et al. (2015).

127 **HIT Protocol**

128 Participants completed three HIT sessions per week throughout the 4-week training period with 48
129 hours recovery in between sessions (e.g., Monday, Wednesday, Friday). All exercise sessions were
130 hospital based, supervised by a physiotherapist and research nurse and performed on a cycle
131 ergometer (Optibike Med, Ergoline, Germany), with sessions performed >3 h after waking given the
132 higher frequency of cardiovascular events during the morning hours (Thompson et al., 2007). Each
133 HIT exercise session commenced and finished with a 10-min warm up and 5-min cool down of
134 unloaded cycling. For HIT sessions one to three, all participants performed 8×2 min repetitions,
135 with each interval interspersed with a 2-min period of active (unloaded cycling) or passive (rest)
136 recovery. Following this, for HIT sessions four to twelve participants had the choice of either the 2-
137 min protocol or a 4-min protocol which consisted of 4×4 min repetitions with each interval

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138 interspersed with a 4-min period of active (unloaded cycling) or passive (rest) recovery. Where
139 surgery was delayed beyond week five, participants entered a maintenance phase of training
140 consisting of one HIT session per week. Here, the participants had a choice of which HIT protocol to
141 undertake, either 8×2 min or 4×4 min.

142 **Exercise safety**

143 Power output in any exercise session was maintained or reduced (termed workload reduction) if
144 systolic blood pressure (SBP) exceeded 180 mm Hg (Isselbacher, 2005) or if heart rate exceeded
145 95% of the maximum observed on baseline CPET.

146 **Study compliance**

147 In our protocol, a participant was deemed compliant if they completed $\geq 75\%$ of the scheduled
148 sessions, that is, at least 9/12 sessions for the 4-week intervention, plus all once-weekly maintenance
149 sessions if surgery was delayed (Tew et al., 2014). Across the 4-week intervention period, 20 out of
150 27 participants attended a minimum of 75% of the scheduled intervention sessions. Fifteen of the 27
151 participants entered the maintenance phase of the study, with 36 out of a total of 40 sessions (90%)
152 prescribed sessions attended. The mean number of maintenance sessions offered per participant was
153 1 (range 0 to 9).

154 Overall, a total of 17/27 participants (63%) met our compliance criteria. Of this cohort, the mean
155 (range) number of HIT sessions attended (per participant) during the 4-week intervention and
156 subsequent maintenance phases was 11 (range 9 to 12) and 1 (range 0 to 4), respectively. By
157 comparison, the 10 non-compliant participants attended a mean (range) of 6 (0 to 12) HIT sessions
158 during the 4-week intervention and 2 (0 to 9) during the maintenance phase per participant. Our
159 CONSORT diagram (Figure 1) summarises participant flow, reasons for all missed HIT sessions and
160 number of participants included in the fidelity analysis for compliant and non-compliant groups.
161 Given the relatively low number of HIT sessions performed by the non-compliant participants, our
162 in-depth analysis of the exercise data is confined to the 17 compliant participants.

163 **Statistical analysis**

164 Differences in baseline fitness variables between compliant and non-compliant participants were
165 examined using an unpaired t-test, with magnitude based inferences subsequently applied. Here,
166 inferences were based on standardised thresholds for small, moderate and large differences of 0.2, 0.6
167 and 1.2 of the pooled between-subject standard deviations (Hopkins et al., 2009). The chance of the
168 difference being substantial or trivial was interpreted using the following scale: 25–75%, possibly;
169 75–95%, likely; 95–99.5%, very likely; >99.5%, most likely (Batterham and Hopkins, 2006).
170 Descriptive statistics were used to calculate the session attendance data. The difference in the
171 proportion of workload reductions between compliant and non-complaint participants was calculated,
172 with uncertainty in the estimates expressed as 90% Confidence Limits (CL). We determined the
173 proportion of HIT repetitions that met our prespecified RPE criteria for high-intensity, along with the
174 median and interquartile range (IQR) for these proportions. Linear mixed modeling (SPSS v.23,
175 Armonk, NY: IBM Corp) was used to calculate the effect of compliance (compliant, non-compliant),
176 interval duration (2 min, 4 min) and training phase (intervention, maintenance) on our measures of
177 exercise intensity (power, RPE-C, RPE-L, heart rate) and also to examine the difference between
178 differential RPE scores (RPE-C, RPE-L), with magnitude-based inferences subsequently applied. For
179 all variables, we classified the magnitude of effects mechanistically, whereby if the 90% confidence
180 limits overlapped the thresholds for the smallest worthwhile positive and negative effects the effect

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181 was deemed unclear (Hopkins et al., 2009). The within-subject variability (expressed as a standard
182 deviation [SD]) in RPE-L and RPE-C was determined via a linear mixed model with random
183 intercept, with the SD doubled to interpret its magnitude (Smith and Hopkins, 2012). For the
184 assessment of exercise progression in power, RPE-L, RPE-C, and heart rate across the 4-week HIT
185 intervention we applied a linear mixed model. ‘Session’ (1-12) was entered as fixed effect, with a
186 random slope and intercept for session (unstructured covariance matrix). We used the slope of the
187 relationship between session and outcome to derive the percentage change (power output) or raw
188 change (RPE, heart rate) over the 4-week intervention.

189 **Results**

190 **Participants**

191 Demographic and baseline fitness levels for all 27 patients randomised to the HIT-AAA exercise
192 intervention are displayed in Table 1. When compared to non-compliers, study compliers had a
193 higher baseline AT, baseline VO₂peak and power output recorded at AT and VO₂peak with the
194 magnitude of all effects being likely small (possibly moderate). The between-group differences in
195 age, height, body mass and aneurysm size were unclear.

196 **HIT attendance and safety**

197 Attendance and safety data for the exercise sessions are presented in Table 2. Overall session
198 attendance was 74% for the 4-week intervention and 95% for the maintenance phase of the study (see
199 Figure 1). Attendance was higher in the compliant participants and there were clear patient
200 preferences for 2-min intervals. Thirteen (of 17) compliant and seven (of 10) non-compliant patients
201 experienced workload reductions in-line with our safety criteria. The difference in the proportion of
202 workload reductions between compliant and non-compliant participants was 7.5% ($\pm 90\%$ Confidence
203 Limits 2.5%). One participant (a complier) experienced an adverse event (angina episode) requiring
204 the use of Glyceryl trinitrate spray 29 min into exercise session eight (session completed). This
205 participant missed session nine due to cardiology review, but returned to complete the remaining
206 three exercise sessions plus one maintenance session.

207 **Exercise intensity**

208 Mean exercise intensity data are presented in Table 3. When compared to non-compliers, power
209 output (magnitude of effect – likely small/ possibly moderate), RPE-C (likely small) and RPE-L
210 (possibly small) were higher for the compliers. The differences in heart rate and systolic blood
211 pressure were unclear. For the study compliers, the percentage of HIT repetitions meeting the
212 compliance criteria for high-intensity training was 30% (IQR 16%, 68%) for RPE-L and 16% (10%,
213 42%) for RPE-C. Analysis of the RPE scores revealed a most likely small difference (0.6 Arbitrary
214 Units [AU]; 90% confidence limits ± 0.1 AU) between RPE-L and RPE-C. The magnitude of the
215 within-subject variability was large for both RPE-L and RPE-C.

216 **Interval duration and study phase**

217 The effect of interval duration (Table 4) on the exercise intensity of the compliant participants was
218 higher RPE-L (likely small) and RPE-C (possibly small) for the 2-min intervals and higher SBP
219 (very likely small difference) and heart rates (likely small) on the 4-min intervals. The effect on
220 power output was trivial. Also presented in Table 4 is the effect of study phase on exercise intensity.
221 Here, RPE-L (very likely small), RPE-C and heart rate (both likely small) were higher during the 4-

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222 week intervention phase of the study, with power output higher (likely small) during the study's
223 maintenance phase. The effect on SBP was trivial.

224 **Exercise progression**

225 Presented in Figure 2 are the mean exercise intensity scores per HIT session along with the individual
226 data points (compliers only) to illustrate the variability around the mean score. The regression slope
227 revealed an increment of 23% (90% Confidence Limits $\pm 12.5\%$) in power output across the 12 HIT
228 sessions with a between-subject variability of $\pm 23\%$ ($\pm 10\%$). The rate of change in RPE-L and RPE-
229 C across the 12 HIT sessions was -0.7 AU (± 0.5 AU) and -0.8 (± 0.7 AU), respectively with a
230 between-subject variability of 1.0 AU (± 0.4 AU) and 1.3 AU (± 0.5 AU). There was an increment in
231 heart rate of 3.1 percentage points (± 2.9 percentage points) with a between-subject variability of 5.4
232 percentage points (± 2.6 percentage points).

233 **Discussion**

234 Pre-operative exercise training represents a plausible means of improving surgical outcome. While
235 high-intensity interval training has promise for the effective and time-efficient enhancement of pre-
236 surgery fitness (Weston et al., 2016), it is not yet known whether patients with large abdominal aortic
237 aneurysms can exercise at a high intensity while remaining within safe blood pressure and heart rate
238 limits. Using the HIT-AAA trial data, our aim here was to undertake a detailed evaluation of the
239 exercise sessions. When adhering to pre-determined safety criteria, our results show primarily the
240 low fidelity of the HIT programme – a consequence of attendance and also non-compliance due to an
241 inconsistent and lower than prescribed exercise intensity. Our data do, however, show that not only is
242 it possible to exercise this high-risk patient population at moderate to hard intensities, the progression
243 of cycling power output over the duration of a short-term training programme is also achievable.

244 **HIT attendance, compliance and safety**

245 Our overall session attendance for the 27 participants across the 4-week HIT intervention compares
246 favorably with an attendance range of 58% to 77% for older adults undertaking exercise programmes
247 (Picorelli et al., 2014). The figure is, however, considerably below the 94% reported by Tew et al.
248 (2012) for an endurance exercise intervention performed by patients with small AAA disease in a
249 surveillance programme. Given that greater cardiorespiratory endurance (Rhodes et al., 2009) and
250 better physical function (Picorelli et al., 2014) are associated with better adherence, such discrepant
251 findings may well be explained by our participants being older, having larger aneurysms and lower
252 cardiorespiratory fitness. As expected, there were clear differences in the session attendance rates
253 between compliant and non-compliant participants, which again could likely be a consequence of
254 fitness given that our compliant participants had substantially higher cardiorespiratory fitness levels.
255 Further, the higher level of cardiorespiratory fitness and ability to cycle to higher power outputs
256 explains why our compliant participants were able to exercise at higher absolute and relative exercise
257 intensities throughout the study and possibly why they recorded fewer safety workload reductions. It
258 has been previously reported from meta-analyses that HIT favours the less fit (Weston et al., 2014;
259 Milanovic et al., 2015) which poses an interesting juxtaposition as lower fitness in the present study
260 was associated with non-compliance; although participant fitness and health in the present study were
261 substantially lower than that reported in the afore-mentioned meta-analyses.

262 Evaluations of fidelity in exercise training interventions should ideally address session attendance
263 *and* compliance (meeting the prescribed exercise intensity), as this interaction constitutes the dose of
264 the intervention and influences the physiological response to exercise training (Taylor et al., 2014).

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265 Furthermore, while it is difficult to classify intervention fidelity, Taylor and colleagues (2014) felt
266 that high-intensity criterion attainment in a little over half of the HIT repetitions per participant,
267 represented moderate intervention fidelity. With this in mind, the overall fidelity of our exercise
268 intervention is probably best described as low, given the poor compliance to our predetermined RPE
269 criteria for high-intensity (~23%) and the overall intensity of the HIT repetitions being lower than
270 prescribed. Indeed, our mean RPE scores fell in the range of moderate to somewhat hard on the
271 CR10 scale, when the lower limit of our target was hard. Although patient medication (e.g., beta
272 blockers) blunt the exercise heart rate response, our training heart rates support the moderate to hard
273 intensity of the exercise intervention as a mean peak heart rate of 82.2% is slightly below that
274 required for HIT (e.g., 85 – 95% of peak heart rate) (Weston, Wisløff and Coombes, 2014).

275 While mean exercise session data provide valuable information, the values do not quantify the degree
276 of consistency in exercise intensity across the intervention (Taylor et al., 2015). In the present study,
277 the observed magnitude of the within-subject variability in RPE was large. Such variability illustrates
278 an inconsistent exercise dose across the intervention as it encompasses a vista of intensities from easy
279 through to hard (Borg, 1982). For example, when the within-subject variability for RPE-C (1.6 AU)
280 is added and subtracted to the mean of 3.5 AU, the estimate for RPE-C typically varies from easy
281 (1.9 AU) to hard (5.1 AU). Such variability further supports low fidelity as the exercise dose was not
282 applied consistently across the intervention.

283 The success of our prescribed exercise intensity contrasts with previous exercise training studies
284 undertaken on AAA patients. Tew et al. (2012) reported on a thrice weekly, 12-week exercise
285 programme targeted to fall within the range of 12 to 14 on the Borg 6 to 20 scale. The authors
286 concluded that moderate-intensity endurance exercise training (mean RPE, 11.8 ± 0.8 AU, mean
287 heart rate, $72\% \pm 8\%$ of age-predicted maximum heart rate) is feasible in patients with small
288 abdominal aortic aneurysms (mean aneurysm size 4.1 ± 0.1 cm). In another study examining the
289 effects of exercise training in AAA patients (aneurysm size 3.0 to 5.0 cm), Myers et al. (2013)
290 reported a mean training intensity of $98 \pm 7\%$ of target heart rate, which was initially 60% of heart
291 rate reserve, increasing to 80%, with perceived exertion was targeted to fall within the range of 12 to
292 14 on the Borg 6 to 20 scale. Kothmann and colleagues (2009) also used a moderate-intensity
293 exercise dose (12 to 14 on the Borg scale) in their pilot study examining the effect of short-term
294 exercise training on aerobic fitness in AAA patients (aneurysm size 3.0 to 5.1 cm); however, the
295 authors did not report any exercise training data. While a full comparison of between-study exercise
296 intensity is not possible given substantial inconsistency in the detail of exercise data presented, clear
297 differences in prescribed exercise intensity, namely moderate versus high-intensity, are apparent, yet
298 the reported intensities appear similar (e.g., a moderate to somewhat hard intensity).

299 Of interest in the Kothmann et al. (2009) study was that the authors prescribed moderate continuous
300 exercise rather than high-intensity interval training for “safety reasons”. The safety concerns
301 surrounding AAA patients when exercising are combined excessive rises in SBP and heart rate
302 causing aneurysm rupture, which is a physiologically catastrophic insult carrying a mortality of about
303 75% (The Vascular Society, 2011). Precise exercise training safety criteria are, however, lacking
304 from previous AAA patient exercise training studies (Kothmann et al., 2009; Tew et al., 2012; Myers
305 et al., 2013). Furthermore, aneurysm size in these studies was small (~40 mm), whereas we recruited
306 patients with large abdominal aortic aneurysms (55–70 mm diameter), which reinforced the need for
307 our exercise training safety guidelines as SBP should not rise above 180 mm Hg for AAA patients
308 wishing to engaging in vigorous aerobic exercise (e.g., running or cycling) (Isselbacher, 2005;
309 Myers, Dalman and Hill, 2012).

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310 There were no serious adverse events. There was one adverse event in one of the 17 compliant
311 participants in a total of 55 person-hours of exercise – an event rate of 1.8 per 100 person-hours. The
312 95% simple Bayesian confidence interval (Ludbrook and Lew, 2009) for this rate is 0.4 to 9.6 events.
313 The upper limit of the confidence interval may be viewed as an estimate of the maximum risk
314 consistent with the data (Batterham, Buchser and Henderson, 2014); the chance of an adverse event
315 could be as high as around one in every ten person-hours. However, proportions or rates derived from
316 small integer counts in small samples are unstable, as a small change in numerator can affect the
317 estimates substantially. For example, if we had observed no adverse events in our study the upper
318 confidence limit would be 6.4 events/ 100 person-hours, versus 14.9/ 100 person-hours with three
319 adverse events. Clearly, much more data is required to evaluate the safety of the intervention properly
320 in this patient group.

321 **Interval duration**

322 We found substantially higher RPE scores (~0.5 AU) following 2-min intervals when compared to 4-
323 min intervals despite trivial or small differences in power output during the study intervention and
324 maintenance phases, respectively. Shorter intervals have recently been perceived as requiring less
325 effort, with frequent breaks from severe intensities possibly contributing to the lower perceived effort
326 (Kilpatrick et al., 2015). In contrast, other authors have reported either no difference in RPE between
327 1-min and 4-min intervals despite power output being significantly higher during the shorter intervals
328 (Tucker, Sawyer and Jarrett, 2015) or that the RPE of interval training programmes is more strongly
329 related to work intensity than accumulated duration (Green et al., 2009). Heterogeneous study
330 populations with a variety of exercise experience (e.g., patients, obese/overweight, active) may
331 contribute to these disparate findings. Further research on the interplay of interval duration, power
332 output (or treadmill speed) and RPE in patient populations is therefore recommended. This
333 recommendation is further emphasised by the juxtaposition of recent HIT research whereby shorter
334 intervals are more palatable than longer intervals for novice exercisers (Kilpatrick et al., 2015), yet
335 there is an increased adaptive response on VO_{2max} following longer intervals (Milanović, Sporis and
336 Weston, 2015).

337 **Exercise progression**

338 Our primary focus has centered on exercise intensity, yet a detailed collection and analysis of
339 exercise training data permits the objective appraisal of success in other aspects of a training study,
340 namely exercise progression. To facilitate a positive adaptation to training, the prescription of
341 exercise needs to advance over time. Very often training intensities are re-defined and re-prescribed
342 following a mid-programme assessment of exercise capacity (West et al., 2015); however, this is at
343 greater fiscal cost and the assessments can disrupt the training programme (Weston et al., 2016). In
344 the present study we used relative measures of exercise intensity, as RPE scores provide a practical
345 and valid means of ensuring training progression is inherent within programmes (Weston et al.,
346 2016). Despite our exercise data showing a lower than prescribed exercise intensity, a substantial
347 increase in power output across the 12 HIT sessions demonstrates clear exercise progression. We do,
348 however, acknowledge that there was substantial between-participant variability in this estimate.
349 While it is difficult to reconcile the magnitude of this progression with other clinical exercise trials -
350 previous work largely focuses on training outcome as opposed to process – our data are higher than
351 that reported for young sedentary subjects (18%) (Foster et al., 2015) and elite athletes (4.6%)
352 (Purkhús, Krstrup and Mohr, 2016). We also found a reduction in RPE across the duration of the
353 intervention, a finding consistent with recent successful HIT programmes in healthy middle-aged
354 men (Saaniijoki et al., 2015), sedentary (Astorino et al., 2016) and overweight women (Smith-Ryan et

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355 al., 2016). Of these studies, however, only Saanijoki et al. (2015) quantified exercise progression
356 over the same time period during which RPE decreased.

357 As heart rate can be an unreliable measure of exercise intensity in patients on medication, we used
358 differential RPE scores to enhance the sensitivity of the exercise data we collected. Consistent with
359 previous studies, we found peripheral ratings of exertion to be higher than central ratings (Pandolf et
360 al., 1975; Green et al., 2009; Borg et al., 2010; McLaren et al., 2016). The magnitude of the
361 difference in the two perceptual responses was small, which is consistent with recent reports in
362 athletic populations (McLaren et al., 2016). This finding helps to explain the lower compliance for
363 RPE-C as our high-intensity criterion was the same for both measures of RPE. Previously, Pandolf
364 and colleagues (1975) reported substantially lower RPE-L following a 6-week period of cycling
365 training, yet no reduction in RPE-C. The authors concluded that local factors dominate the exertional
366 perception when cycling and that focus on reporting these sensations could interfere with the
367 perception of central factors. In the present study, however, the magnitude of reduction in RPE-L and
368 RPE-C over the duration of the study was consistent (e.g., -0.5 AU), suggesting the absence of any
369 such reporting inference and showing that differential RPE do indeed offer a sensitive evaluation of
370 exercise intensity.

371 **Limitations and clinical applications**

372 A major limitation of the present study was that we were unable to exercise our participants to the
373 prescribed exercise intensity, namely high intensity. We cannot, however, rule out the possibility of
374 underreported RPE scores due to the influence of observer sex. Males report lower RPE values when
375 a female observer, as opposed to male, is in the room (Winchester et al., 2012) and all but one of our
376 participants were male and at each site RPE data were collected by female nurses and
377 physiotherapists. As such, Halperin, Pyne and Martin (2015) recently recommended that the sex and
378 number of observers should be standardised to limit the effects of this potentially confounding
379 variable. We also acknowledge that less stringent safety criteria, especially for SBP, could have
380 enabled patients to exercise at higher intensities. Nonetheless, as this was the first study attempting to
381 exercise this high-risk patient population to high intensities, it was important that our safety criteria,
382 and also the extent to which the patients were pushed during exercise, reflected a conservative
383 approach. Given that pre-operative exercise therapy exerts beneficial effects on physical fitness and
384 post-operative outcome measures (Pouwels et al., 2016), our data have clear clinical application by
385 showing it is possible to progressively exercise this high-risk population at moderate to hard
386 intensities. Finally, an in-depth assessment of fidelity in multi-centre exercise interventions should
387 examine the consistency of the exercise dose across the different sites, yet given the relatively low
388 sample size for each of our three study sites we elected not to include between-site comparisons.

389 **Conclusion**

390 This is the first study to provide a detailed quantification of the exercise sessions performed across a
391 HIT intervention in patients awaiting AAA repair. With an adherence to stringent exercise safety
392 criteria for blood pressure and heart rate, our results showed an inconsistent and lower than
393 prescribed exercise intensity. Although the attainment of a high-intensity training stimulus in this
394 patient population may be difficult, our data do show it is possible to exercise this high risk patient
395 population at moderate to hard exercise intensities.

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538 **Conflict of Interest**

539 The authors declare that the research was conducted in the absence of any commercial or financial
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549 The authors have no competing interests to declare.

550 **Author contributions**

551 All authors contributed equally to the study design and were involved in the data collection,
552 conceptualization and drafting of the article. MW and AMB completed the statistical analysis. All
553 authors contributed to the writing of the manuscript and approved the final version of the manuscript.

554

555 **Figure Legends**

556 **Figure 1** CONSORT flow chart

557 **Figure 2** Mean (large open squares) and individual (small closed circles) power output, leg ratings of
558 perceived exertion (RPE-L), breathless/ chest ratings of perceived exertion (RPE-C) (B), and heart
559 rates exercise across the HIT intervention (sessions 1 to 12)

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Table 1. Demographic and descriptive variables at baseline for HIT-AAA compliers (n=17) and non-compliers (n=10)

	Compliers	Non-compliers	SWC	Difference; $\pm 90\%$ CL
Age (years)	74.8 \pm 5.5	73.3 \pm 6.0	1.1	1.5; ± 4.5
Height (cm)	171.7 \pm 8.7	173.2 \pm 8.9	1.8	-1.5; ± 7.0
Body mass (kg)	79.1 \pm 11.4	79.2 \pm 21.7	3.5	0.1; ± 13
Sex (male/female)	16/1	9/1	-	-
Aneurysm size (cm)	6.0 \pm 0.5	6.1 \pm 0.3	0.1	-0.1; ± 0.3
AT (mL/kg/min)	11.4 \pm 2.1	10.1 \pm 1.8	0.4	1.3; ± 1.6
Power output at AT (watts)	58 \pm 18	46 \pm 18	3.6	12; ± 15
VO ₂ peak (mL/kg/min)	17.5 \pm 3.6	14.6 \pm 3.0	0.7	2.9; ± 2.8
Power output at VO ₂ peak (watts)	105 \pm 29	83 \pm 21	5.1	22; ± 22

SWC, threshold value for the smallest worthwhile change (0.2**pooled between-subject standard deviation*); CL, confidence limits; AT, anaerobic threshold; VO₂peak, peak oxygen consumption

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Table 2 Exercise attendance and safety data for HIT-AAA compliers (n=17) and non-compliers (n=10)

	Compliers	Non-compliers
Mean intervention session attendance (%)	91 \pm 9%	46 \pm 42%
(range)	(75-100%)	(0-100%)
Mean maintenance session attendance (%)	100%	81% \pm 14%
(range)	(0%)	(67-100%)
Total number of HIT repetitions performed	1410	396
Number of 2-min intervals performed	1172 (83%)	240 (61%)
Number of 4-min intervals performed	238 (17%)	156 (39%)
Total number of workload reductions	36 (2.6%)	40 (10.1%)
Number of workload reductions for 2-min intervals	19 (1.6%)	31 (12.9%)
Number of workload reductions for 4-min intervals	17 (7.1%)	9 (5.8%)
Number of adverse events	1	0

HIT, high-intensity interval training

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Table 3 Exercise intensity data, within-participant variability and inferential statistics for the difference between compliers (n=17) and non-compliers (n=6)

	Compliers (n=17) Mean ± SD; within-subject variability	Non-compliers (n=6) Mean ± SD; within-subject variability	SWC	Difference; ±90% CL
Power output (watts)	69.1 ± 16.4; 8.9	56.2 ± 16.5; 7.4	3.3	13; ±13
RPE-L (au)	4.1 ± 2.0; 1.4	3.4 ± 1.4; 1.0	0.3	0.7; ±1.1
RPE-C (au)	3.5 ± 1.9; 1.6	2.8 ± 1.1; 1.0	0.2	0.7; ±0.9
Heart rate (% of maximal)	81.7 ± 8.5; 5.7	83.3 ± 9.0; 3.6	1.7	-1.6; ±7.3
SBP (mm Hg)	159 ± 17; 12.2	154 ± 26; 13.0	3.3	4.6; ±13.4

SD, standard deviation; SWC, threshold value for the smallest worthwhile change (0.2*pooled between-subject standard deviation); CL, confidence limits; RPE-L, leg ratings of perceived exertion; RPE-C, chest ratings of perceived exertion; SBP, systolic blood pressure

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Table 4. Exercise intensity data for interval duration (2-, 4-minute intervals) and study phase (intervention, maintenance) in the HIT-AAA compliers (n=17)

	Interval duration		SWC	Difference; ±90% CL
	2-min intervals	4-min intervals		
Power output (watts)	68.5 ± 17.6	71.3 ± 12.2	3.0	2.8; ±1.5
RPE-L (au)	4.2 ± 1.5	3.6 ± 1.1	0.3	-0.6; ±0.2
RPE-C (au)	3.6 ± 1.1	3.1 ± 0.8	0.2	-0.5; ±0.3
Heart rate (% of maximal)	81.1 ± 6.7	83.5 ± 4.8	1.2	2.5; ±1.0
SBP (mm Hg)	158 ± 12	163 ± 8	2.1	5.6; ±2.1
	Study phase		SWC	Difference; ±90% CL
	Intervention	Maintenance		
Power output (watts)	68.6 ± 17.5	74.1 ± 14.3	3.2	5.5; ±1.4
RPE-L (au)	4.1 ± 1.5	3.4 ± 1.2	0.3	-0.7; ±0.2
RPE-C (au)	3.6 ± 1.1	3.0 ± 1.0	0.2	-0.6; ±0.3
Heart rate (% of maximal)	81.9 ± 6.5	79.8 ± 5.5	1.2	-2.2; ±0.9
SBP (mm Hg)	159 ± 13	156 ± 11	2.4	-2.6; ±2.0

SD, standard deviation; SWC, threshold value for the smallest worthwhile change (0.2*pooled between-subject standard deviation); CL, confidence limits; RPE-L, leg ratings of perceived exertion; RPE-C, chest ratings of perceived exertion; SBP, systolic blood pressure

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CONSORT 2010 Flow Diagram

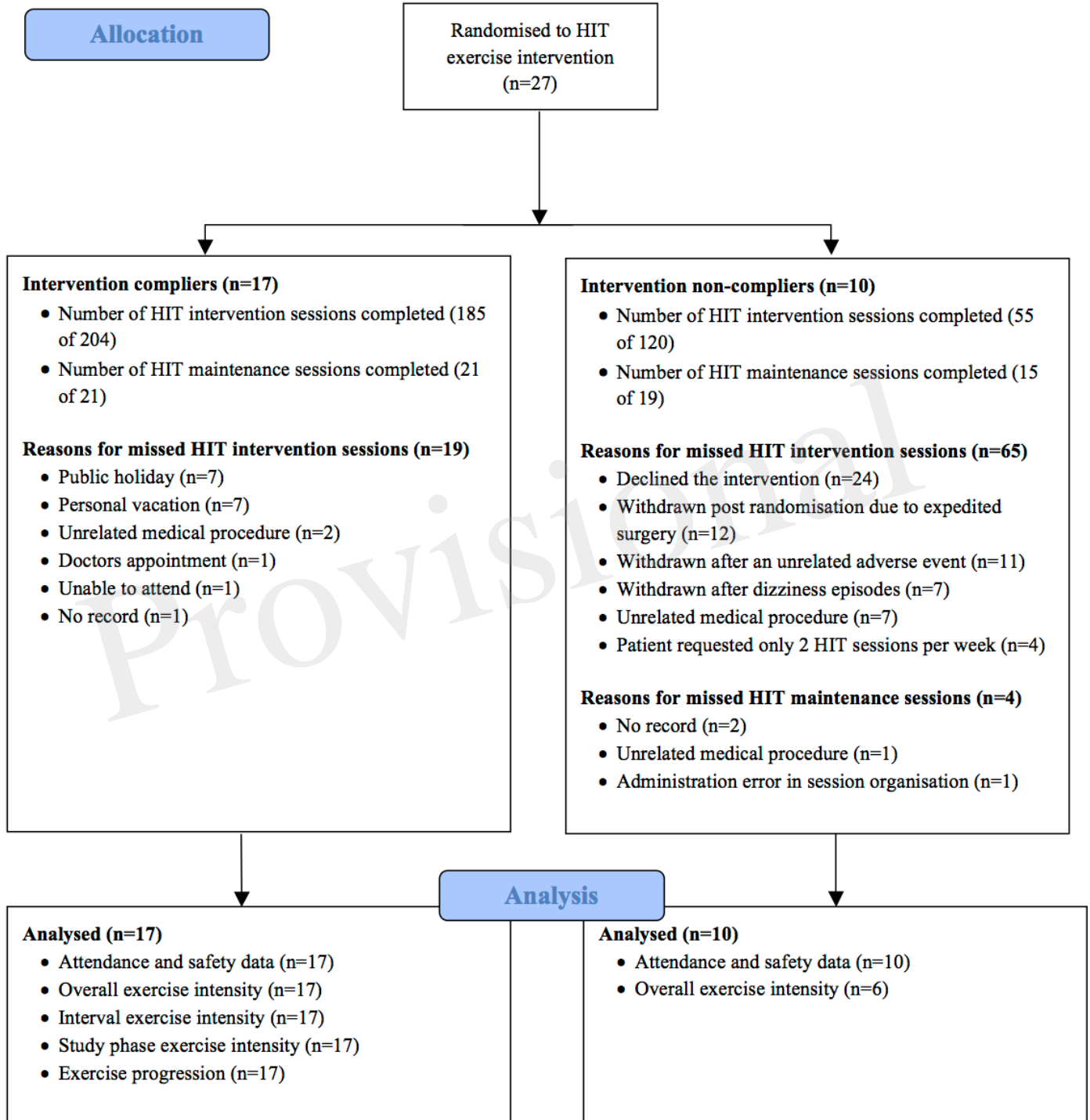


Figure 02.TIFF

