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1 **Abstract**

2 *Aim:* Understanding COVID-19 risk perceptions and their impact on behaviour can improve the effectiveness of public
3 health strategies. Prior evidence suggests that, when people perceive uncontrollable risks to their health, they are less
4 likely to engage in healthful behaviour. This article aims to understand the extent to which COVID-19 is perceived as an
5 uncontrollable risk, and to assess whether this perceived risk is associated with health behaviour.

6 *Subject and Methods:* We surveyed a nationally representative sample of 496 participants during the first UK lockdown.
7 We assessed perceptions of COVID-19-related risk, self-reported adherence to infection control measures recommended
8 by the UK Government, and general health behaviours. We predicted that increased perceived extrinsic mortality risk (the
9 portion of mortality risk perceived to be uncontrollable) would disincentivise healthy behaviour.

10 *Results:* Perceived threat to life was the most consistent predictor of reported adherence to infection control measures.
11 Perceived extrinsic mortality risk was found to have increased due to the pandemic, and was associated with lower
12 reported adherence to Government advice on diet, physical activity and smoking.

13 *Conclusions:* Our findings suggest that health messages that highlight threat to life may be effective in increasing
14 adherence to infection control, but may also lead to a reduction in health-promoting behaviours. We suggest that messages
15 that highlight threat to life should be accompanied by statements of efficacy. Further, messages evoking feelings of
16 concern for others may be effective in promoting compliance with anti-infection measures, without the potential for the
17 unwelcome side effect of discouraging healthy behaviour.

18 **Key words:** COVID-19; Risk perceptions; Health behaviours; Compliance; Mortality risk.

19 **Introduction**

20 The COVID-19 pandemic continues to have a devastating impact on countless lives across the globe. At the time of
21 writing (February 2021), the World Health Organisation (2021) reports that over 102.1 million cases of COVID-19 have
22 been registered, resulting in over 2.2 million COVID-19 related deaths. Studying perceptions of risk during the pandemic
23 can develop our understanding of the psychological response to the threat of COVID-19 and help to provide effective
24 public health strategies for the future (Dryhurst et al. 2020).

25 A perceived lack of control over risk can have consequences for behaviour. The Uncontrollable Mortality Risk
26 Hypothesis predicts that people who believe they are more likely to die due to factors beyond their control should be less
27 motivated to engage in healthy behaviours. Those who are exposed to uncontrollable risks remain relatively less likely to
28 live to enjoy the rewards of healthy living, despite their health efforts. Therefore, resources (time, energy and money)
29 invested in future health, which could be directed elsewhere, are more likely to go to waste, which disincentivises healthful
30 behaviour. This hypothesis has been supported by studies that show both observational and experimental effects of
31 perceived uncontrollable (extrinsic) mortality risk on health behaviour (Pepper & Nettle 2014a, 2014b, 2014c, 2017). For
32 example, Pepper and Nettle (2014a) found that experimentally priming the perceived controllability of mortality risk
33 influences health-related decision making. They found that when participants were primed to perceive mortality as an
34 uncontrollable (extrinsic) risk, they were more likely to choose an unhealthy food reward in preference to a healthy
35 alternative. Thus, it is important to understand the extent to which the risks of the pandemic are perceived as extrinsic,
36 and to test for associations between perceived extrinsic risks and health behaviour.

37 In addition to affecting general health behaviours, perceptions of risk may also influence the extent to which people
38 engage with infection prevention behaviours. Compliance with preventative measures designed to prevent the spread of
39 disease has been suggested to be associated with the public's perception of risk (Brug, Aro & Richardus 2009). Individual
40 perceptions of personal vulnerability to a specific risk may also play a key role in the behavioural response to risk
41 (Millstein & Halpern-Felsher 2002). For example, the first global examination of public risk perception with respect to
42 COVID-19 found that perceptions of COVID-19 related risk were significantly correlated with the reported adoption of
43 preventative measures (including washing hands, wearing masks and physical distancing) in all ten countries included in
44 the sample (total sample n = 6,991; Dryhurst et al. 2020).

45 There have been urgent calls for research into the psychological factors involved in the public response to COVID-
46 19 (Asmundson & Taylor 2020). The spread of disease is affected by individual behaviour, which in turn is influenced
47 by perceptions of risk (Ibuka, Chapman, Meyers, Li & Galvani 2010). The pervasiveness of media coverage has also been
48 shown to exacerbate the severity of perceived risk (Young, King, Harper & Humphreys 2013). Furthermore, new risks
49 are more likely to be perceived as uncontrollable (de Zwart, Veldhuijzen, Richardus & Brug 2010). We predict that, due
50 to the novelty of COVID-19 and the extensive media coverage, many people may perceive it as being a mortality risk
51 beyond their control, which may have downstream behavioural consequences. More information is needed to understand
52 the relationships between perceptions of risk and health behaviours during the outbreak of COVID-19 (Betsch, Wieler &
53 Habersaat 2020). To address this, we have examined how risk perceptions were associated with self-reported behaviour
54 during the strictest period of the first lockdown in the UK. Based on our findings, we make suggestions towards improving
55 the effectiveness of public health strategies in the future.

56 **Method**

57 This study was approved by the Department of Psychology Ethics Committee (23857) at Northumbria University. Our
58 measures, predictions and analytical plan are registered with the Open Science Framework [<https://osf.io/8jqsn/>].

59 For our study, 514 adults were anonymously surveyed using a Qualtrics questionnaire delivered by the platform
60 Prolific [www.prolific.co], a company that offers a high-quality participant pool of research-participant volunteers, and
61 provided a nationally-representative sample of UK participants. To provide a nationally representative sample, Prolific
62 screens participants based on age, gender and ethnicity in proportion to data derived from the UK's latest national census
63 (Office for National Statistics 2013; "Representative Samples on Prolific" 2019). Although no sample can be fully
64 representative of a population across all measures (Zhang, Kuchinke, Woud, Velten & Margraf 2017), Prolific's screening
65 method has been validated as an effective stratified sampling tool for providing nationally representative samples during
66 the COVID-19 pandemic (Kooistra et al. 2020). The target sample size of 500 was based on suggested guidelines for
67 conducting surveys in exploratory research (Daniel 2012).

68 The survey was launched on 6 May 2020, and closed on 7 May 2020. For context, the largest number of registered
69 deaths in England and Wales occurred during the week ending 17 April 2020 (Office for National Statistics 2020).
70 However, the UK became the first country in Europe to surpass 30,000 COVID-19 related deaths on 6 May 2020, the day
71 our survey was launched, meaning that the death rate would have been salient in the media at the time ("Coronavirus
72 (COVID-19) in the UK" 2020). Thus, our findings report the perceptions and behaviours of participants after the initial
73 peak of the pandemic, but still within the strictest period of the first UK lockdown (Cabinet Office 2020a).

74 We excluded 16 participants from our analysis due to inconsistent survey responses for age and gender on our survey,
75 when compared to the responses on their Prolific profile. Two further participants were excluded as extreme outliers,
76 having reported knowing 200 or more people who had contracted COVID-19. Participants were asked their age, gender,
77 ethnicity, and National Statistics Socio-economic Classification (NS-SEC). Our final sample comprised 496 participants:
78 254 females and 242 males, aged 19-85 (mean age = 45.95, SD = 15.41). The questionnaire is available as part of our
79 pre-registration on the Open Science Framework [<https://osf.io/8jqsn/>]. In the same survey, data were also collected on
80 information seeking behaviours and experiences of COVID-19. These findings are reported in "COVID-19 risk
81 perceptions and their associations with related media consumption and personal experiences" (Brown, Coventry & Pepper
82 2020).

83

84 *Perceptions of risk*

85 Participants provided a measure of perceived extrinsic mortality risk by stating a score for their believed likelihood of
86 living to 81 (the current average UK life expectancy), provided they make the maximum effort to look after their health
87 (on a scale from 0, no chance, to 100, certain). The score was then subtracted from 100: Perceived extrinsic mortality risk
88 is the difference between 100% certainty of living to 81 and the perceived likelihood of living to 81 with maximum health
89 effort (Pepper & Nettle 2014b). This reflects the 'extrinsic' portion of mortality risk, or the portion of risk which the
90 participant believes is beyond their control. Two perceived extrinsic mortality risk scores were recorded. Firstly, a score
91 that takes the effects of the current pandemic into consideration. Secondly, an estimated score for how participants felt
92 they would have responded without the effects of the current pandemic. The difference between these scores was used to
93 determine the influence of the pandemic on perceived extrinsic mortality risk.

94 Participants also provided a measure of perceived risk of infection by stating a score for their believed likelihood of
95 contracting COVID-19, provided they made the maximum effort to follow what were Government-recommended
96 preventative measures at the time (see below, section 'Adherence to preventative measures'). This was reported, again on
97 a scale from 0 (no chance) to 100 (certain) of being infected. A score for perceived threat to life from COVID-19 was
98 also recorded, again with a scale ranging from 0 (not at all a threat to life), to 100 (absolutely a threat to life). Finally,

99 participants rated both their concern about and perceived degree of control over preventing the spread of COVID-19 to
100 others, in the event that they become infected. All scores for perceptions of risk were on a scale from 0 to 100. For our
101 analysis of perceptions of risk, we excluded 19 participants who reported having been infected with COVID-19. This was
102 because having personally had COVID-19 would likely tilt their responses regarding perceived risk of infection towards
103 certainty, and their responses regarding perceived threat to life towards zero.

104

105 *Adherence to preventative measures*

106 Participants were asked the degree to which they were adhering to measures designed to prevent the spread of infection
107 during the outbreak of COVID-19. They indicated their adherence by selecting answers on a seven point Likert scale for
108 how often they were following specific measures, ranging from ‘never’ to ‘always’. The questions asked were about
109 adherence to the following six preventative measures, which were recommended by the UK Government and the NHS at
110 the time of conducting the survey:

- 111 1. *"Only go outside of your home for food, health reasons or work (but only if you cannot work from*
112 *home)."*
- 113 2. *"If you do go outside of your home, stay 2 metres (6ft) away from other people at all times."*
- 114 3. *"Do not go outside of your home to meet others, even friends or family."*
- 115 4. *"Wash your hands with soap and water often, making sure to do this for at least 20 seconds."*
- 116 5. *"Cover your mouth and nose with a tissue or your sleeve (not your hands) when you cough or sneeze."*
- 117 6. *"Do not touch your eyes, nose or mouth if your hands are not clean."*

118

119 *General health behaviours*

120 Participants were asked to indicate the degree to which they were adhering to general public health advice recommended
121 by the NHS at the time of the survey. Participants indicated their adherence by selecting answers on a seven-point Likert
122 scale for how often they were following specific recommendations, ranging from ‘never’ to ‘always’. The questions asked
123 were about adherence to the following health advice:

- 124 1. *"Eat at least 5 portions of a variety of fruit and vegetables every day."*
- 125 2. *"Avoid regularly drinking more than 14 units of alcohol per week."*
126 *(14 units is equivalent to a bottle and a half of wine or five pints of export-type lager (5% abv) over*
127 *the course of a week – this applies to both men and women)*
- 128 3. *"Do at least 150 minutes of moderate intensity activity a week or 75 minutes of vigorous intensity*
129 *activity a week."*
130 *(One way to tell if you're working at a moderate intensity level is if you can still talk, but not*
131 *sing. Vigorous intensity activity makes you breathe hard and fast. If you're working at this level, you*
132 *will not be able to say more than a few words without pausing for breath)*

133 Participants also answered the question “do you smoke” by selecting an answer on a seven-point Likert scale, ranging
134 from ‘never’ to ‘always’. This measure was reverse scored so that a higher score reflects the degree to which participants
135 were adhering to general public health advice not to smoke.

136

137 *Analysis*

138 All statistical analyses were performed using R (R Core Team 2019). The R script used for data processing and analysis
139 is available alongside our preregistration. The following packages were used for data processing, analysis, and data
140 visualisation: tidyverse (Wickham 2017), tidyr (Wickham & Henry 2019), psych (Revelle 2018), MASS (Venables &
141 Ripley 2002), and apaTables (Stanley 2018).

142 Our main variables are categorised under 4 key themes: 1) Demographics, 2) Risk perceptions, 3) General health
 143 behaviours, and 4) COVID-19 prevention behaviours. For each regression analysis presented, we first ran analyses to
 144 look for any demographic differences in perceptions and behaviours. Our demographic predictors included age, gender
 145 and NS-SEC. Any significant demographic predictors were then included as control variables in subsequent models. Since
 146 compliance with health advice was measured on a 7-point Likert scale, we ran a series of ordinal logistic regression
 147 models to assess whether each of the reported behaviours was predicted by perceptions of risk. Continuous predictors in
 148 the ordinal models were standardised to aid the comparison of odds ratios. Paired-samples t-tests were used to assess the
 149 difference in perceived extrinsic mortality risk with and without taking the risks of the pandemic into account, and the
 150 difference between our measures of perceived control over catching COVID-19 and perceived control over spreading it.
 151

152 Results

153 Descriptive statistics

154 Table 1 presents the descriptive statistics for our sample, whose ages ranged from 19-85 (M = 45.95, SD = 15.41).

Table 1. Sample characteristics for age, gender, ethnicity, and occupational class

	Category	Number (N = 496)	Percentage of sample
Age	18-34	137	27.62
	35-49	140	28.23
	50-64	160	32.26
	65+	59	11.90
Gender	Female	254	51.21
	Male	242	48.79
Ethnicity	White	400	80.65
	Asian	42	8.47
	Black	24	4.84
	Mixed	16	3.23
	Other	14	2.82
Occupational class (NS-SEC) (N = 393)	1.1 Large employers and higher managerial and administrative occupations	11	2.80
	1.2 Higher professional occupations	58	14.76
	2. Lower managerial, administrative and professional occupations	74	18.83
	3. Intermediate occupations	75	19.08
	4. Small employers and own account workers	13	3.31
	5. Lower supervisory and technical occupations	8	2.04
	6. Semi-routine occupations	32	8.14
	7. Routine occupations	25	6.36
8. Never worked and long-term unemployed	97	25.68	

155

156 Perceptions of risk

157 A paired *t*-test showed a significant difference of 4.68% on average between perceived extrinsic mortality risk scores that
 158 took the effects of the pandemic into consideration (M = 32.73) and those that estimated the level of perceived risk that
 159 would have been experienced without the effects of the pandemic (M = 28.06), $t(495) = 8.60, p < .001$ (see supplement,
 160 tables S1-2, for descriptive and correlational statistics for all measures of perceived COVID-19 related risk). Overall,
 161 54% of our sample reported a difference in perceived extrinsic mortality risk when taking the effects of the pandemic into
 162 account. For one third of our sample, there was no difference in perceived risk when taking the effects of the pandemic
 163 into consideration compared with not doing so. Just over a third reported an increase of between 1-10%, one fifth reported
 164 an increase of over 20% and the remainder of the sample reported a reduction in perceived risk when taking the effects
 165 of the pandemic into consideration (see supplement, table S3).

166 Participants felt more able to control whether they would contract COVID-19 themselves ($M = 74.12\%$) than whether
167 they would spread the infection to others in the event that they became infected ($M = 63.44\%$), $t(495) = 7.05, p < .001$.

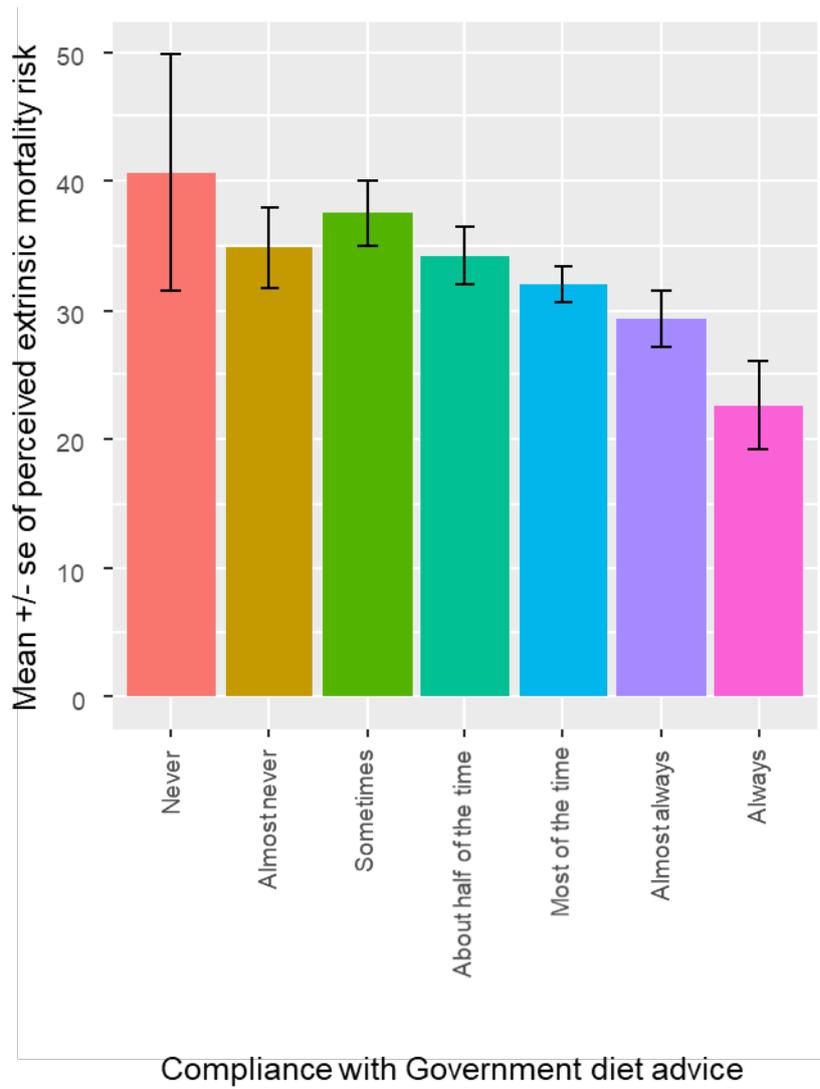
168 We predicted that perceived extrinsic mortality risk, accounting for the pandemic, would be affected by a combination
169 of perceived risk of infection and perceived threat to life. Perceived threat to life was predictive of the difference between
170 perceived extrinsic mortality risk scores that took the outbreak of COVID-19 into consideration and scores that did not,
171 $b = .07$, (95% CI = .02, .13), $p < .01$. However, perceived risk of infection was not predictive of this difference (see
172 supplement, table S4).

173 With respect to our demographic predictors of COVID-19 related risk perceptions (see supplement, table S5-11), age
174 was found to predict higher levels of perceived threat to life (table S6). Being male predicted lower levels of perceived
175 threat to life (table S6), as well as higher levels of perceived extrinsic mortality (when considered separate to the effects
176 of the pandemic (table S8)). Being male also predicted being less concerned about spreading the virus to others in the
177 event of personal infection (table S10). Simplified NS-SEC was not associated with any of our measures of risk perception
178 (table S5-11). Significant demographic predictors were included as control variables in all subsequent regression models
179 pertaining to perceptions of risk.

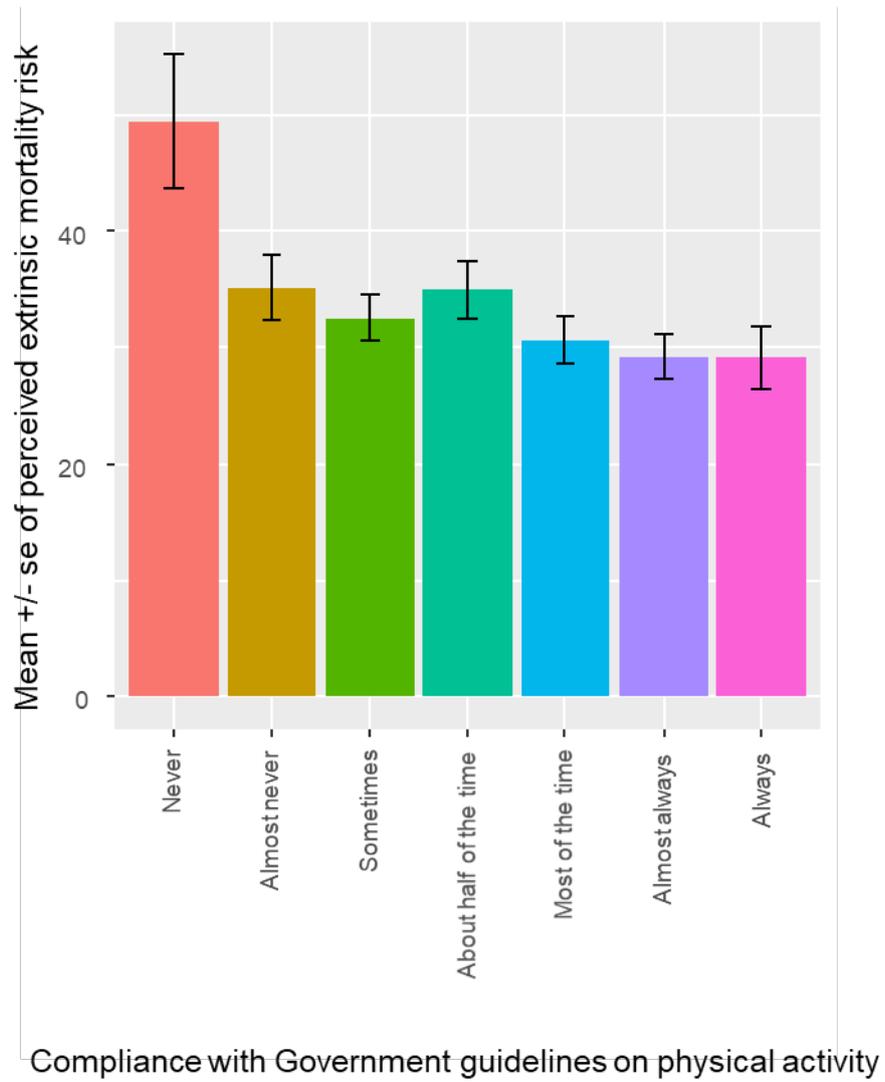
180

181 *General health behaviour during the pandemic*

182 Greater perceived extrinsic mortality risk when taking the pandemic into account was associated with lower adherence to
183 dietary advice ($\beta = -.29$, s.e. = .08, OR = 0.75, 95% CIs = 0.63, 0.88; see figure 1). Controlling for the known effect of
184 gender ($\beta = 0.40$, s.e. = 0.16, OR = 1.49, 95% CIs = 1.09, 2.05), perceived extrinsic mortality risk was also associated
185 with lower reported adherence to physical activity guidelines ($\beta = -.32$, s.e. = .09, OR = 0.72, 95% CIs = 0.61, 0.86; see
186 figure 2), and with greater reported frequency of smoking ($\beta = -0.30$, s.e. = 0.11, OR = 0.74, 95% CIs = 0.59, 0.93; see
187 figure 3), even when controlling for the effect of socioeconomic status (NS-SEC, $\beta = -0.26$, s.e. = 0.12, OR = 0.77, 95%
188 CIs = 0.60, 0.98).

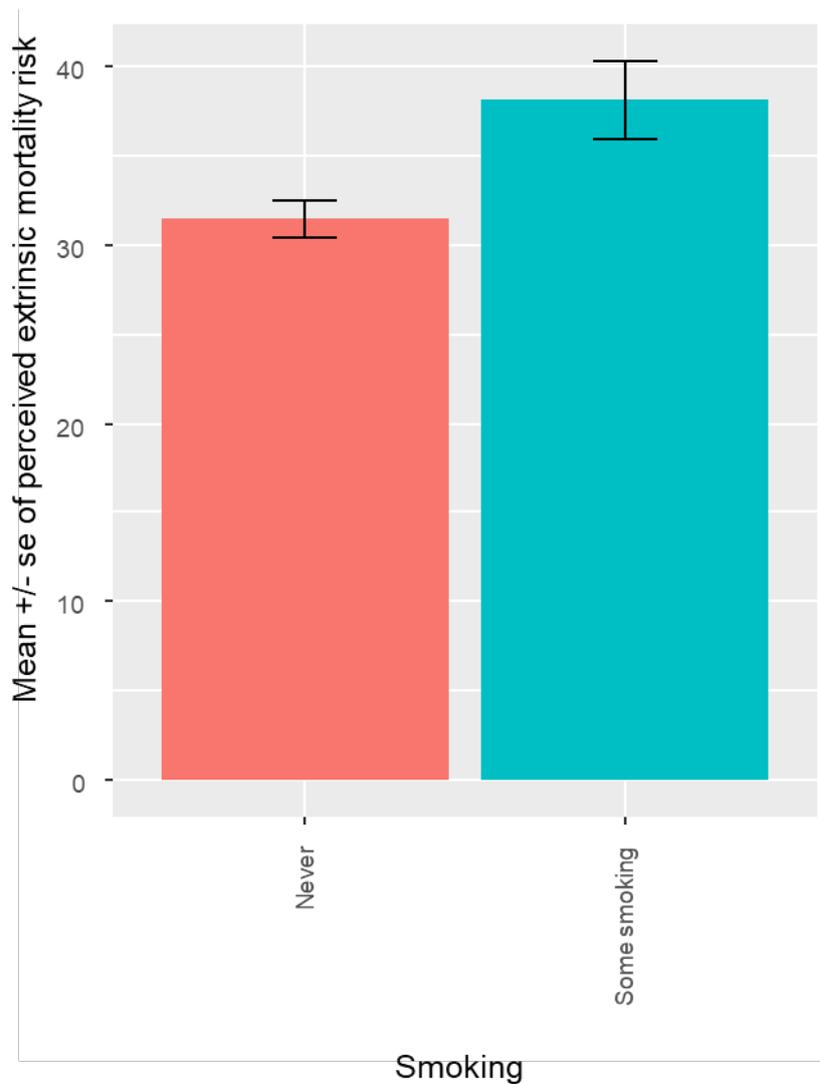


190
191 **Figure 1.** Association between perceived extrinsic mortality risk, taking the pandemic into account, and reported
192 adherence to dietary recommendations (total sample minus those personally infected with COVID-19, n = 477).



194

195 **Figure 2.** Association between perceived extrinsic mortality risk, taking the pandemic into account, and adherence to
 196 physical activity guidelines (total sample minus those personally infected with COVID-19, n = 477).



198

199 **Figure 3.** Association between perceived extrinsic mortality risk, taking the pandemic into account, and frequency of
 200 smoking (total sample minus those personally infected with COVID-19, n = 477).

201

202 Perceived threat to life was also associated with lower adherence to physical activity guidelines ($\beta = -.18$, s.e. = .09,
 203 OR = 0.83, 95% CIs = 0.70, 1.00).

204

205 For an overview of the frequencies for the different reported levels of compliance with the UK Government's
 206 recommendations regarding diet, alcohol consumption, physical activity and smoking during the outbreak of COVID-
 19, see the supplement (figure S1 and table S12).

207

208 *Adherence to preventative measures*

209 The median reported adherence to government measures designed to prevent the spread of COVID-19 infection was
 210 "almost always", with the exception of avoiding touching one's eyes, nose or mouth with unclean hands, which, on
 211 average, participants only reported adhering to "most of the time" (see supplement, figure S2). 74.4% of our sample
 212 reported always adhering to advice not to meet others outside of the home. Similarly, 65.12% reported always adhering
 213 to advice to stay at home. However, only 23.59% reported always adhering to advice to not touch one's face with unclean
 214 hands (see supplement, table S13).

215

216 Our demographic predictors did not predict adherence to COVID-19 advice to stay at home, stay 2m from others
 when out of the home, or avoid meeting others. However being male was predictive of lower levels of adherence to

217 preventative hygiene measures: handwashing ($\beta = -.69$, s.e. = .19, OR = 0.50, 95% CIs = 0.34, 0.73), covering one's
 218 mouth when coughing ($\beta = -.60$, s.e. = .20, OR = 0.55, 95% CIs = 0.37, 0.81) and not touching one's face with unclean
 219 hands ($\beta = -.89$, s.e. = .19, OR = 0.41, 95% CIs = 0.28, 0.59).

220 Perceived threat to life was positively associated with adherence to 5 out of 6 preventative measures (the exception
 221 being not meeting others outside of the home) and concern about spreading infection to others was associated with 4 out
 222 of 6 preventative measures (the exceptions being keeping a 2m distance from others and not touching one's face; see
 223 table 2).

224 **Table 2.** Results from ordinal logistic regression analyses showing predictors of adherence to infection prevention
 225 measures

Outcome	Stay home		Keep 2m distance		Do not meet others		Wash hands 20+ seconds		Cover mouth when coughing		Do not touch eyes/nose/mouth	
	B (s.e.)	OR (CIs)	B (s.e.)	OR (CIs)	B (s.e.)	OR (CIs)	B (s.e.)	OR (CIs)	B (s.e.)	OR (CIs)	B (s.e.)	OR (CIs)
Predictor												
Perceived threat to life	0.39* (0.11)	1.48* (1.20, 1.83)	0.40* (0.10)	1.49* (1.23, 1.80)	0.15 (0.11)	1.16 (0.93, 1.46)	0.45* (0.09)	1.57* (1.31, 1.90)	0.25* (0.10)	1.29* (1.06, 1.56)	0.47* (0.09)	1.61* (1.34, 1.92)
Concern about spreading infection	0.25* (0.09)	1.29* (1.08, 1.54)	0.18 (0.09)	1.20 (1.00, 1.43)	0.21* (0.10)	1.23* (1.01, 1.49)	0.21* (0.09)	1.24* (1.04, 1.47)	0.19* (0.09)	1.21* (1.02, 1.44)	-0.01 (0.08)	0.99 (0.84, 1.16)
Perceived risk of infection	-0.27* (0.09)	0.77* (0.64, 0.92)	-0.18* (0.09)	0.83* (0.70, 0.99)	-0.19 (0.10)	0.83 (0.68, 1.01)	-0.04 (0.09)	0.96 (0.81, 1.14)	0.01 (0.09)	1.01 (0.85, 1.21)	0.02 (0.08)	1.02 (0.87, 1.21)
Perceived control over spreading infection	0.16 (0.10)	1.29 (1.08, 1.54)	0.05 (0.09)	1.05 (0.88, 1.25)	0.07 (0.11)	1.08 (0.87, 1.32)	-0.00 (0.09)	1.00 (0.84, 1.18)	0.17 (0.09)	1.19 (1.00, 1.42)	0.27* (0.08)	1.31* (1.11, 1.54)
Perceived extrinsic mortality risk (with pandemic)	0.04 (0.10)	1.04 (0.86, 1.27)	-0.06 (0.09)	0.94 (0.77, 1.12)	0.02 (0.11)	1.02 (0.83, 1.27)	-0.17 (0.09)	0.85 (0.71, 1.00)	-0.07 (0.09)	0.94 (0.78, 1.11)	-0.12 (0.08)	0.86 (0.75, 1.04)
Sex (male)	NA		NA		NA		-0.69* (0.19)	0.50* (0.34, 0.73)	-0.60* (0.20)	0.55* (0.37, 0.81)	-0.89* (0.19)	0.41* (0.28, 0.59)

226 Each outcome was modelled separately. OR = Odds ratio, CI = 95% Confidence interval, *statistically significant ($t > 2$), NA = not applicable because sex was only included as a
 227 control variable where it was a significant predictor of risk perception in prior demographic models.

228 **Discussion**

229 This study measured perceptions of COVID-19 related risk, and explored the impact of these on both general health
230 behaviours and adherence to measures designed to prevent the spread of infection. Our findings reflect the experience of
231 participants after the initial peak of the pandemic, but still within the strictest period of the first UK lockdown (Cabinet
232 Office 2020a). As predicted, we found that perceptions of risk were associated with both general health behaviours and
233 levels of adherence to COVID-19 prevention measures.

234

235 *Perceptions of risk*

236 Perceived extrinsic mortality risk scores that took the effects of the pandemic into consideration were, on average, 5%
237 higher than those that did not. The extent to which COVID-19 is perceived as an extrinsic mortality risk varied across our
238 sample, however the average response was a small increase in perceived risk when taking the pandemic into consideration.
239 The Uncontrollable Mortality Risk Hypothesis predicts that people with increased perceived extrinsic mortality risk are
240 likely to be less motivated to engage in positive health behaviours (Pepper & Nettle 2014a), and this prediction was
241 supported by our data. Though we lack longitudinal data to allow us to assess the true extent to which the pandemic has
242 affected health behaviour, our results suggest that the small increase in perceived extrinsic mortality risk which was
243 generated by the pandemic may have disincentivised health behaviours. We found that perceived threat to life, but not
244 perceived risk of infection, was predictive of this pandemic-related increase in perceived extrinsic mortality risk.

245

246 *General health behaviour*

247 On average, our sample reported “almost always” adhering to health advice concerning alcohol consumption during the
248 pandemic, following dietary advice “most of the time” and meeting recommended levels of physical activity “about half
249 of the time”. Furthermore, 81% of our sample reported that they never smoked.

250 Greater perceived extrinsic mortality risk was associated with lower levels of adherence to dietary advice and to
251 recommended levels of physical activity. Higher perceived extrinsic mortality risk was also associated with lower
252 incidence of not smoking. This provides additional support for the Uncontrollable Mortality Risk Hypothesis which
253 predicts that people who believe they are more likely to die due to factors beyond their control should be less motivated
254 to engage in positive health behaviours (Pepper & Nettle 2014a, 2014b). Although we don’t have the longitudinal data
255 needed to demonstrate changes in behaviour as a result of the pandemic, this result suggests that, those who are
256 experiencing higher levels of perceived extrinsic mortality risk during the pandemic may be less likely to engage in
257 positive health behaviours, such as a good diet and physical activity. This is worrying, given that an unhealthy diet may
258 lead to worse health outcomes regarding the susceptibility to, recovery from and long term effects of COVID-19 (Butler
259 & Barrientos 2020). Lower levels of physical activity during the pandemic may also decrease the ability to resist viral
260 infection and contribute towards the risk of long term negative health outcomes (Woods et al. 2020). This suggests that
261 those who are experiencing greater perceived extrinsic mortality risk during the pandemic may be more likely to respond
262 in a way which puts them at greater risk in the event that they become infected with COVID-19. The UK Government
263 has recognised the possibility that COVID-19 will continue to circulate in society long-term (Cabinet Office 2020b).
264 Therefore, it is possible that the effects on perceived extrinsic mortality risk, and associated health behaviours, may not
265 be limited to the current pandemic but could endure over time to reflect the ongoing threat of COVID-19.

266 Perceived threat to life was also predictive of lower adherence to recommended levels of physical activity. We
267 speculate that this may be because those who consider COVID-19 to pose a greater threat to life are less likely to leave
268 their home to exercise, due to potential exposure to others and increased risk of infection. It is noted that Government
269 recommendations in response to COVID-19 were focused on social distancing measures (Cabinet Office 2020a) and did
270 not provide specific health guidance regarding diet, exercise, smoking and alcohol consumption. Our study measured

271 self-reported adherence to general health advice available from the UK Government and NHS at the time the study. Given
272 the discussed associations between general health behaviours and COVID-19 health outcomes, it is possible that the
273 absence of advice about maintaining general health and fitness during lockdown may have impacted on the susceptibility
274 to negative health outcomes of those infected with COVID-19 (Butler & Barrientos 2020; Woods et al. 2020).

275

276 *Adherence to preventative measures*

277 On average, participants reported “almost always” adhering to government measures designed to prevent the spread of
278 COVID-19 infection, with the exception of avoiding touching one’s eyes, nose or mouth, which, on average, participants
279 reported adhering to “most of the time”. This suggests a reasonably high level of overall compliance with the
280 Government’s earlier recommendations in response to the pandemic. However, there were notable differences in degrees
281 of reported compliance, most apparent when comparing genders. Being male was predictive of lower levels of adherence
282 to hygiene measures recommended by the NHS. This finding is consistent with research into gender differences in
283 compliance with measures designed to prevent the spread of infection, in which male healthcare workers are less
284 compliant than their female counterparts (Ward 2004). A variety of biological, social and occupational explanations have
285 been suggested for explaining gender differences in infection control (Ward 2004), however a potential mechanism is
286 provided by the construct of disgust. Disgust is thought to have evolved as a disease-avoidance mechanism for protecting
287 us against contracting infectious disease (Oaten et al. 2009). In response to the threat of infection, disgust is associated
288 with promoting hygiene behaviour (Curtis et al. 2011) and men have consistently been found to have lower levels of
289 disgust than women (Skolnick 2013). Al-Shawaf, Lewis and Buss (2017) put forward various hypotheses for why women
290 may have evolved higher levels of disgust towards pathogens than men, including to avoid transmitting infections to their
291 offspring. They also suggest that lower levels of disgust in males may serve an evolutionary benefit in signalling a strong
292 immune system to facilitate mating, as well as potential benefits for both hunting and warfare. Men may therefore report
293 lower levels of adherence to hygiene measures designed to prevent the spread of infection because they typically
294 experience lower levels of disgust than women.

295 A range of risk perception variables were predictive of levels of compliance to preventative measures. This provides
296 support for the notion that compliance with disease prevention measures is associated with the public’s perception of risk
297 (Brug et al. 2009). Research during the current pandemic has also found that risk perception is positively correlated with
298 adherence to a variety of preventative measures related to social distancing and hygiene (Dryhurst et al. 2020). The most
299 notable predictor of adherence from our sample was perceived threat to life from COVID-19, which was positively
300 associated with higher levels of compliance with 5 of the 6 preventative measures. This provides some support for the
301 findings of early research into the response to the pandemic in the UK which found that the sole predictor of public health
302 compliance was fear of COVID-19 (Harper et al. 2020). Harper et al. (2020) argued that fear may induce a functional
303 response to the pandemic through increased compliance with health measures. However, given that fear appeals may also
304 increase perceived extrinsic mortality risk, potentially thereby decreasing other health promoting behaviours, we would
305 recommend focusing on approaches that make the threat appear more controllable. Indeed, others have suggested that
306 fear communications are more effective when people believe that they have the capacity to respond to the threat (Peters
307 et al. 2018). A recent meta-analysis of the utility of fear appeals found that their effectiveness increases when accompanied
308 by statements of efficacy (Tannenbaum et al. 2015). Statements of efficacy provide information regarding an individual’s
309 ability to effectively respond to a threat, as well as promoting the utility of the proposed response (Mongeau 2020). In the
310 context of the current pandemic, statements of efficacy may emphasise the utility of proposed COVID-19 prevention
311 measures, as well as highlighting an individual’s ability to protect themselves from infection by complying with these
312 measures. Current research into compliance with COVID-19 prevention measures in response to the pandemic has found
313 that feelings of efficacy are effective in motivating compliance (Jørgensen, Bor & Petersen 2020). Given the importance

314 of including statements of efficacy in health communications, future research should look to evaluate the effectiveness of
315 specific health messages during the pandemic to better understand how they can be utilised in future public health
316 strategies.

317 The second most consistent predictor of adherence to preventative measures from our risk perception variables was
318 concern over spreading the infection to others. This measure was associated with 4 out of 6 of the infection control
319 measures suggesting that, in addition to threat to life, individuals are also motivated to comply with public health strategies
320 by their concern for others. This motivation may be especially pertinent to compliance with additional preventative
321 behaviours that are more relevant to preventing the spread of infection than personally avoiding infection, such as mask
322 wearing. Compliance with such measures may rely on a shift in focus from self-protection to more altruistic behaviour
323 (Cheng, Lam & Leung 2020).

324

325 *Limitations*

326 The results of this study are not without limitation. Firstly, we emphasise that all of the behavioural measures are self-
327 reported. It is possible that these self-reported measures have been affected by participant response biases to reflect social
328 norms regarding compliance with public health measures during the pandemic. Further studies may seek to incorporate
329 objective measures of adherence to recommended behaviours.

330 Additionally, we recognise that during the outbreak of a new viral threat, the public's perceptions of risk and
331 associated behaviours are likely to evolve in response to constantly changing information and policies throughout the
332 course of the outbreak. The data from our sample were captured at a single point in time during the initial lockdown,
333 therefore our findings will not reflect any ongoing changes in perception and behaviour as the pandemic progresses.
334 Further research may collect data at several time points to reflect how perceptions and behaviours vary over time.

335 Finally, since we don't have longitudinal data, we can't be certain that the perceived extrinsic mortality risk generated
336 by the pandemic has affected health behaviours. We can only establish that 1) perceived extrinsic mortality risk was
337 associated with poorer self-reported compliance with recommended general health behaviours and, 2) that, on average,
338 participants reported greater perceived extrinsic mortality risk when considering the risk of COVID-19 than when they
339 were asked to discount the risks resulting from the pandemic.

340

341 **Conclusion**

342 Our most consistent predictor of compliance with COVID-19 prevention measures, was perceived threat to life. Elevated
343 levels of perceived threat may therefore increase compliance with measures designed to prevent the spread of infection.
344 However, we also found that perceived threat to life was associated with a reduction in physical activity, and was a
345 predictor of increased perceived extrinsic mortality risk, which was broadly associated with lower engagement with
346 health-promoting behaviours. From a public health perspective, this suggests that, promoting a message that highlights
347 threat to life may be effective in raising levels of adherence to measures of infection control but may ultimately lead to a
348 reduction in positive health behaviours, potentially jeopardising the ability of some individuals to effectively respond to
349 viral infection. This conclusion supports previous research into appealing to fear in public health messaging which found
350 that fear and perceived threats to life can produce a complex set of reactions which include both adaptive and maladaptive
351 health behaviours (Arndt, Routledge & Goldenberg 2006). We suggest that fear communications should be accompanied
352 by statements of efficacy so that the recipients feel more able to control the threat. Concern over spreading infection to
353 others was our second most consistent predictor of compliance. Due to the complex range of behavioural outcomes that
354 feelings of threat to life may induce, public health strategies that seek to evoke feelings of concern for others may be
355 better for promoting compliance with anti-infection measures whilst avoiding unintended consequences.

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359

360 **Declarations**

361 *Funding:* The authors did not receive support from any organisation for the submitted work.

362 *Conflicts of interest:* The authors jointly declare that there are no conflicts of interest nor competing interests with
363 respect to this submission.

364 *Ethics approval:* This study was approved by the Department of Psychology Ethics Committee (23857) at Northumbria
365 University.

366 *Consent to participate:* All participants provided electronic informed consent prior to participation and were thoroughly
367 debriefed following the completion of the survey.

368 *Consent for publication:* We have received all required consent for the publication of the full contents of this
369 submission.

370 *Availability of data and code:* In the spirit of full transparency, we agree to make the analysis scripts and data used for
371 the analysis contained within this submission available via the Center for Open Science (osf.io; or via an alternative
372 means upon request by the publisher).

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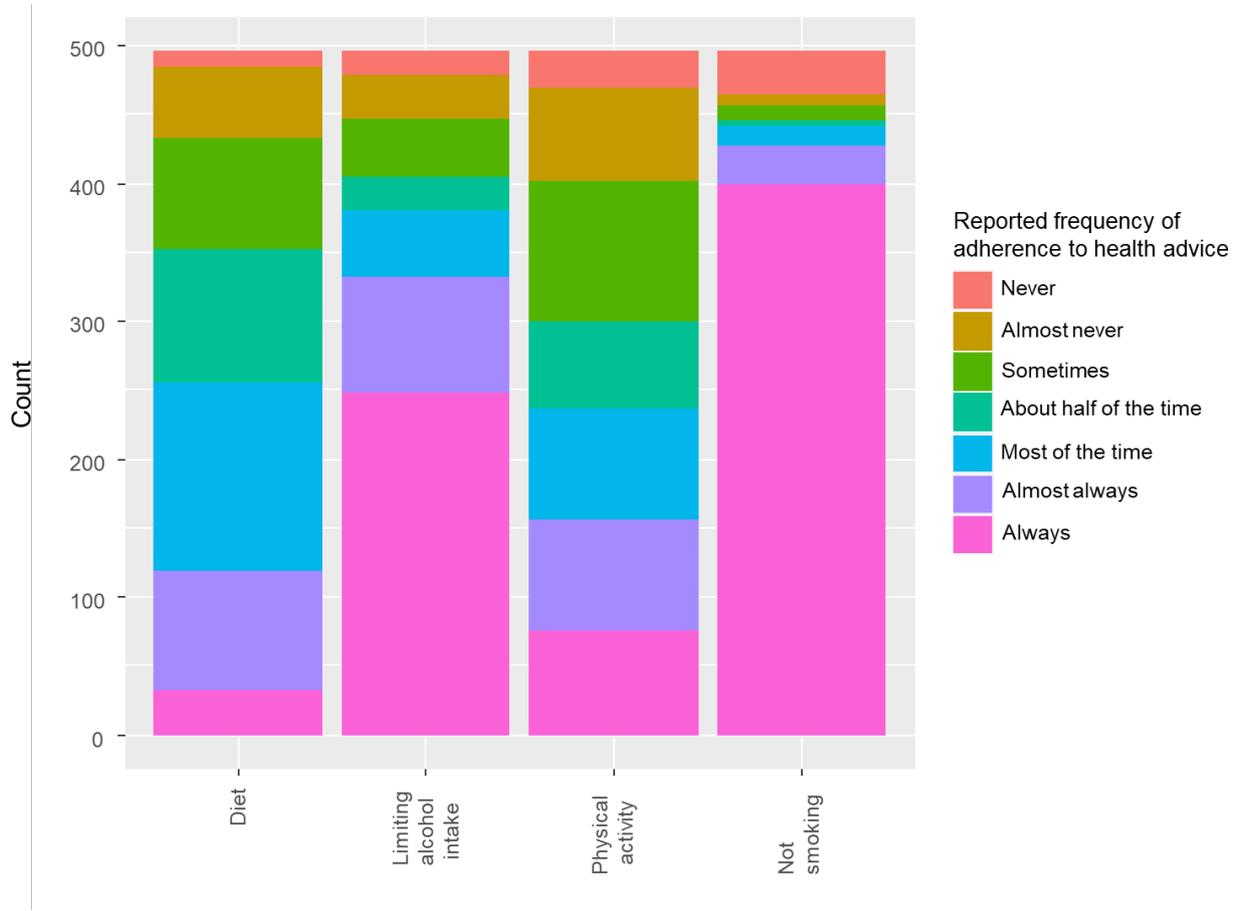
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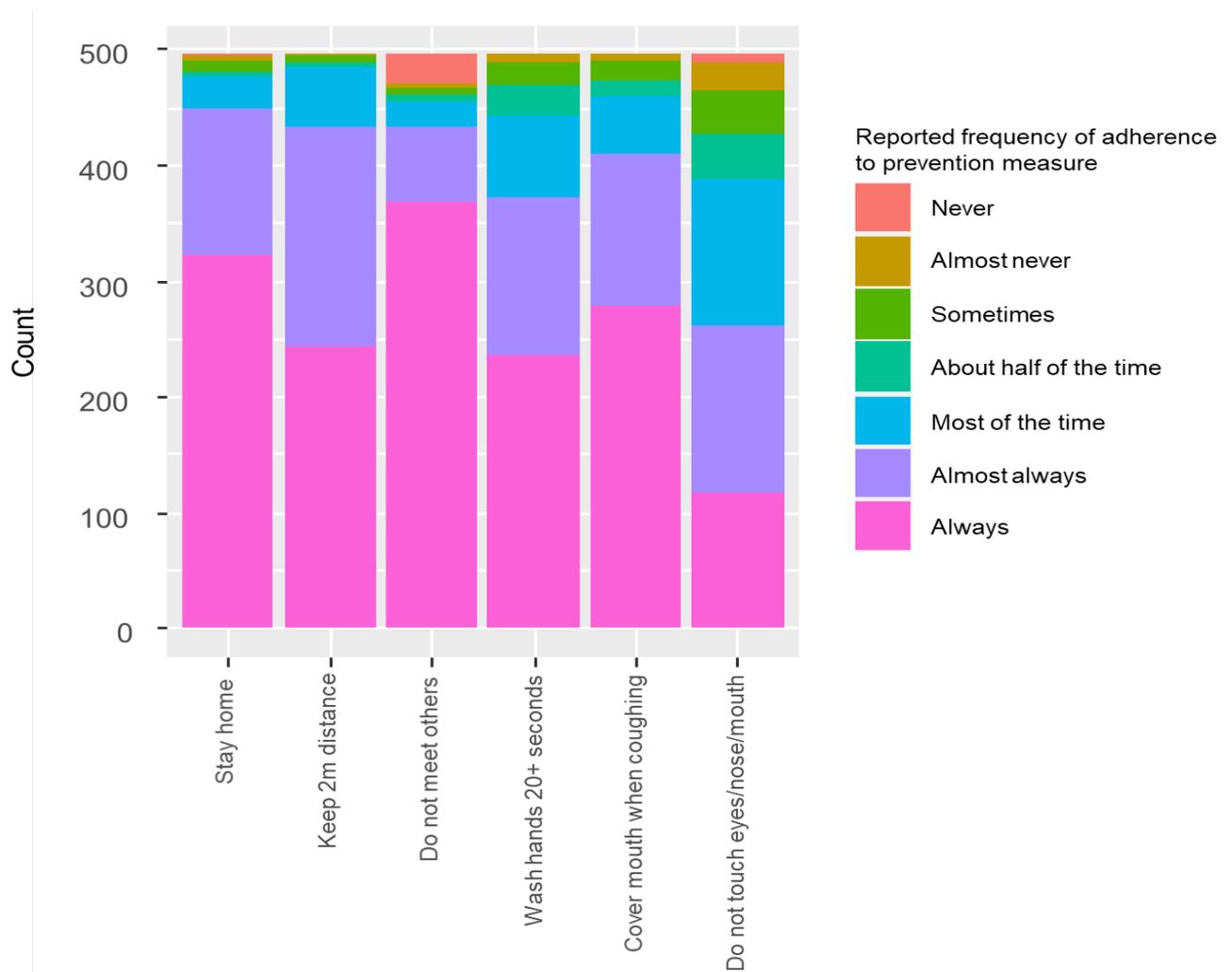
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484

485 **Figure S1.** Frequency of reported compliance with UK Government health recommendations (total sample, n = 496).



486

487 **Figure S2.** Frequency of adherence to UK Government preventative measures for COVID-19 (total sample, n = 496).

Table S1. Descriptive statistics for perceptions of COVID-19 related risk

	Mean	Median	SD	Min	Max
Perceived risk of infection	25.89	20	21.55	0	100
Perceived threat to life	46.39	49	31.80	0	100
Perceived extrinsic mortality risk with the pandemic	32.73	29	21.07	0	100
Perceived extrinsic mortality risk without the pandemic	28.06	21	20.93	0	100
Perceived extrinsic mortality risk difference	4.68	1	12.12	-80	69
Concern over spreading the infection to others	83.51	92	23.17	0	100
Perceived control over spreading infection to others	63.44	70	26.17	0	100

Number = 477 for all variables. SD = Standard deviation, Min = Minimum, Max = Maximum.

488

489 **Table S2.** Means, standard deviations, and correlations for risk perception variables

Variable	<i>M</i>	<i>SD</i>	1	2	3	4	5	6
1. Perceived risk of COVID-19 infection	25.89	21.55						
2. Perceived threat to life	46.39	31.80	.18**					
3. Perceived extrinsic mortality risk with the pandemic	32.73	21.07	.02	.18**				
4. Perceived extrinsic mortality risk without the pandemic	28.06	20.93	.04	.09*	.83**			
5. Perceived extrinsic mortality risk difference	4.68	12.12	-.03	.16**	.30**	-.28**		
6. Concern over spreading the infection to others	83.51	23.17	.04	.29**	-.03	-.06	.04	
7. Perceived control over spreading infection to others	63.44	26.17	-.01	-.12**	-.15**	-.14**	-.01	.04

490 *Note.* *M* and *SD* are used to represent mean and standard deviation, respectively. * indicates $p < .05$. ** indicates $p < .01$. $n = 477$ for all variables.

491

492 **Table S3.** Frequency and percentage of the difference between scores of perceived extrinsic mortality risk that took the
 493 effects of the pandemic into consideration compared to those that did not.

Difference between scores	Frequency	Percentage of sample
Decrease in score	63	12.7
No change	165	33.3
1-10% increase	168	33.9
11-20% increase	61	12.3
21-30% increase	27	5.4
31% + increase	12	2.4

494 $n = 477$

495 **Table S4.** Regression results examining how perceived risk of contracting COVID-19 despite following Government
 496 recommendations, and perceived threat to life from COVID-19 predict the portion of perceived extrinsic mortality risk
 497 that is due to the pandemic

Predictor	<i>b</i>	<i>b</i> 95% CI [LL, UL]	<i>sr</i> ²	<i>sr</i> ² 95% CI [LL, UL]	Fit
(Intercept)	2.28	[-0.51, 5.07]			
Perceived risk of COVID-19 infection	-0.02	[-0.12, 0.07]	.00	[-.00, .00]	
Perceived threat to life	0.07**	[0.02, 0.13]	.02	[-.01, .04]	
Perceived risk of COVID-19 infection: Perceived threat to life	-0.00	[-0.00, 0.00]	.00	[-.00, .01]	
					$R^2 = .030^{**}$ 95% CI[.00,.06]

498 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 499 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.
 500 * indicates $p < .05$. ** indicates $p < .01$.

502 **Table S5.** Regression results assessing how age, gender, and simplified NS-SEC predict people's perceived risk of
 503 contracting COVID-19 despite following Government recommendations

Predictor	<i>b</i>	<i>b</i> 95% CI [LL, UL]	<i>sr</i> ²	<i>sr</i> ² 95% CI [LL, UL]	Fit
(Intercept)	28.67**	[19.58, 37.76]			
Age	-0.01	[-0.18, 0.16]	.00	[-.00, .00]	
Gender (Male)	-1.20	[-5.69, 3.29]	.00	[-.00, .01]	
Simplified NS-SEC	-0.26	[-1.11, 0.59]	.00	[-.01, .01]	
					$R^2 = .002$ 95% CI[.00,.01]

504 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 505 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.
 506 * indicates $p < .05$. ** indicates $p < .01$.

508 **Table S6.** Regression results assessing how age, gender, and simplified NS-SEC predict people's perceived threat to
 509 life from COVID-19

Predictor	<i>b</i>	<i>b</i> 95% CI [LL, UL]	<i>sr</i> ²	<i>sr</i> ² 95% CI [LL, UL]	Fit
(Intercept)	34.01**	[21.30, 46.72]			
Age	0.24*	[0.01, 0.48]	.01	[-.01, .03]	
Gender (Male)	-10.60**	[-16.87, -4.32]	.03	[-.00, .06]	
Simplified NS-SEC	1.03	[-0.16, 2.22]	.01	[-.01, .02]	
					$R^2 = .048^{**}$ 95% CI[.01,.09]

510 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 511 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.
 512 * indicates $p < .05$. ** indicates $p < .01$.

513

514 **Table S7.** Regression results assessing how age, gender, and simplified NS-SEC predict people's perceived extrinsic
 515 mortality risk with the pandemic

Predictor	<i>b</i>	<i>b</i> 95% CI [LL, UL]	<i>sr</i> ²	<i>sr</i> ² 95% CI [LL, UL]	Fit
(Intercept)	25.31**	[16.29, 34.32]			
Age	0.07	[-0.10, 0.24]	.00	[-.01, .01]	
Gender (Male)	3.28	[-1.17, 7.73]	.01	[-.01, .02]	
Simplified NS-SEC	0.71	[-0.13, 1.55]	.01	[-.01, .02]	
					<i>R</i> ² = .012 95% CI[.00,.04]

516 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 517 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.

518 * indicates *p* < .05. ** indicates *p* < .01.

520 **Table S8.** Regression results assessing how age, gender, and simplified NS-SEC predict people's perceived extrinsic
 521 mortality risk when asked to think about what it would be without the pandemic

Predictor	<i>b</i>	<i>b</i> 95% CI [LL, UL]	<i>sr</i> ²	<i>sr</i> ² 95% CI [LL, UL]	Fit
(Intercept)	18.36**	[9.42, 27.31]			
Age	0.12	[-0.05, 0.28]	.00	[-.01, .02]	
Gender (Male)	5.62*	[1.20, 10.03]	.02	[-.01, .04]	
Simplified NS-SEC	0.66	[-0.18, 1.49]	.01	[-.01, .02]	
					<i>R</i> ² = .024* 95% CI[.00,.06]

522 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 523 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.

524 * indicates *p* < .05. ** indicates *p* < .01.

526 **Table S9.** Regression results assessing how age, gender, and simplified NS-SEC predict people's difference in
 527 perceived extrinsic mortality risk scores due to the pandemic

Predictor	<i>b</i>	<i>b</i> 95% CI [LL, UL]	<i>sr</i> ²	<i>sr</i> ² 95% CI [LL, UL]	Fit
(Intercept)	6.94**	[1.91, 11.97]			
Age	-0.05	[-0.14, 0.05]	.00	[-.01, .01]	
Gender (Male)	-2.34	[-4.82, 0.14]	.01	[-.01, .03]	
Simplified NS-SEC	0.05	[-0.42, 0.52]	.00	[-.00, .00]	
					<i>R</i> ² = .012 95% CI[.00,.04]

528 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 529 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.

530 * indicates *p* < .05. ** indicates *p* < .01.

531

532 **Table S10.** Regression results assessing how age, gender, and simplified NS-SEC predict people's degree of concern
 533 about spreading the virus in the event that they become infected

Predictor	<i>b</i>	<i>b</i>		<i>sr</i> ²	<i>sr</i> ²		Fit
		95% CI [LL, UL]			95% CI [LL, UL]		
(Intercept)	86.94**	[76.84, 97.04]					
Age	-0.06	[-0.25, 0.13]		.00	[-.01, .01]		
Gender (Male)	-5.46*	[-10.44, -0.47]		.01	[-.01, .03]		
Simplified NS-SEC	0.13	[-0.81, 1.08]		.00	[-.00, .00]		
							<i>R</i> ² = .014
							95% CI [.00, .04]

534 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 535 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.

536 * indicates *p* < .05. ** indicates *p* < .01.

537
 538 **Table S11.** Regression results assessing how age, gender, and simplified NS-SEC predict people's perceptions of
 539 control of spreading the virus in the event that they become infected

Predictor	<i>b</i>	<i>b</i>		<i>sr</i> ²	<i>sr</i> ²		Fit
		95% CI [LL, UL]			95% CI [LL, UL]		
(Intercept)	56.03**	[45.36, 66.71]					
Age	0.12	[-0.08, 0.32]		.00	[-.01, .02]		
Gender (Male)	0.77	[-4.50, 6.04]		.00	[-.00, .00]		
Simplified NS-SEC	0.22	[-0.78, 1.22]		.00	[-.00, .00]		
							<i>R</i> ² = .004
							95% CI [.00, .02]

540 *Note.* A significant *b*-weight indicates the semi-partial correlation is also significant. *b* represents unstandardized regression weights. *sr*² represents the semi-partial correlation
 541 squared. LL and UL indicate the lower and upper limits of a confidence interval, respectively.

542 * indicates *p* < .05. ** indicates *p* < .01.

543
 544 **Table S12.** Frequency of adherence to UK Government health recommendations

Health Recommendation	Never	Almost never	Sometimes	About half of the time	Most of the time	Almost always	Always
Diet	11 (2.22%)	52 (10.48%)	81 (16.33%)	97 (19.56%)	136 (27.42%)	86 (17.34%)	33 (6.65%)
Limiting alcohol intake	18 (3.63%)	31 (6.25%)	42 (8.47%)	25 (5.04%)	48 (9.68%)	84 (16.94%)	248 (50.00%)
Physical activity	27 (5.44%)	67 (13.51%)	102 (20.56%)	63 (12.70%)	81 (16.33%)	80 (16.13%)	76 (15.32%)
Not smoking	31 (6.25%)	8 (1.61%)	11 (2.22%)	5 (1.01%)	13 (2.62%)	28 (5.65%)	400 (80.65%)

545 *Number = 496 for all variables.*

546

547 **Table S13.** Frequency of adherence to preventative measures

Preventative measure	Never	Almost never	Sometimes	About half of the time	Most of the time	Almost always	Always
Stay home	1 (0.20%)	4 (0.81%)	10 (2.02%)	5 (1.01%)	27 (5.44%)	126 (25.40%)	323 (65.12%)
Keep 2m distance	0 (0%)	2 (0.40%)	6 (1.21%)	3 (0.60%)	52 (10.48%)	189 (38.10%)	244 (49.19%)
Do not meet others	24 (4.84%)	5 (1.01%)	6 (1.21%)	5 (1.01%)	23 (4.64%)	64 (12.90%)	369 (74.40%)
Wash hands 20+ seconds	0 (0%)	7 (1.41%)	20 (4.03%)	25 (5.04%)	71 (14.31%)	137 (27.62%)	236 (47.58%)
Cover mouth when coughing	0 (0%)	6 (1.21%)	17 (3.43%)	13 (2.62%)	50 (10.08%)	131 (26.41%)	279 (56.25%)
Do not touch eyes/nose/mouth	8 (1.61%)	22 (4.44 %)	39 (7.86%)	38 (7.66%)	127 (25.60%)	145 (29.23%)	117 (23.59%)

548 *Number = 496 for all variables.*