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**A New Cooling Rate Dependent Machine Learning Feature
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A New Cooling Rate Dependent Machine Learning Feature for the Design of Thermally Sprayed High Entropy Alloys

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Abstract

Highly accurate machine learning (ML) approaches rely heavily on the quality of data and the design features that are used as inputs to the model. The applicability of these methods for phase formation predictions is questionable when it comes to the design of thermally sprayed high entropy alloy (HEA) coatings using gas or water atomized powders as feedstock material. Phase formation from liquid state depends on the cooling rate during atomization which is several orders of magnitude higher when compared to arc melted as-cast HEAs. In addition, during plasma spray the powder melts in the flame and re-solidifies under different cooling rates during deposition. To our knowledge, almost all ML algorithms are based on available datasets constructed from relatively low cooling rate processes such as arc melting and suction casting. A new approach is needed to broaden the applicability of ML algorithms to rapid solidification manufacturing processes similar to gas and water atomization by making use of existing data and theoretical models. In this study the authors introduce a cooling rate dependent design feature that can lead to accurate predictions of the HEA powder phase formation and the subsequent phases found in the spray coated materials. The model is validated experimentally and also by comparing the predictions with existing coating related data in the literature.

Keywords: High Entropy Alloys, Machine Learning, Cooling Rate, Thermal Spray

1. Introduction

Traditional alloying strategies are based on the use of a principal element to satisfy the need for primary properties and the inclusion of secondary elements in small amounts to enhance other properties. However, back in 2004, two different groups working in parallel published works on the development of a different approach creating single phase materials by using multiple different elements leading to the creation of high-entropy alloys (HEAs) (Ref 1,2) and multicomponent alloys (Ref 3) . HEAs are defined as systems composed of 5 or more elements with concentrations for each element between 5-35 at.% and exhibit four core effects: high entropy, lattice distortion, sluggish diffusion and the cocktail effects (Ref 4,5).

HEAs have received significant attention for their superior mechanical properties such as hardness, ductility, and corrosion resistance over those of conventional alloys (Ref 6-11) and recently, the concept of HEAs has extended into functional materials, such as catalytic materials for hydrogen production, thermoelectric materials, and coating materials (Ref 12-24). In the field of surface engineering and advanced coatings, HEAs provide a transformative opportunity to design surfaces that are custom tailored to the distinct needs of a given application. HEAs deliver new options to manufacturers to produce alternatives to materials that are rare, hazardous, expensive, subject to international restrictions and are designed around the limitations of current materials that form part of a 30-year-old legacy.

Multicomponent and HEA materials offer a pluralism in terms of physical, chemical, and mechanical properties, making them promising for a variety of applications. However, the large composition space introduces new challenges for designing novel HEAs with tailored properties or functionalities. The typical trial-and-error approach cannot be applied due to the considerable time and cost for the investigation of the vast amount of possible compositions.

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3 Throughout the years, parametric and computational approaches have been used
4 extensively for the design of HEAs. The parametric approach is based on empirical rules
5 established from the analysis of theoretical models and design parameters known so far, e.g.,
6 atomic size difference δ , mixing entropy ΔS_{mix} , mixing enthalpy ΔH_{mix} , valence electron
7 concentration (VEC), electronegativity difference $\Delta\chi$ and itinerant electron concentration (e/a)
8 (Ref 25-30). Maps based on these parameters were built to single out regions in the parameter
9 space corresponding to alloys which were known experimentally to be single phased. The maps
10 proved useful in rationalizing the findings on HEA systems known at the time and have become
11 a tool for predicting the phases occurring in new systems. There are obvious exceptions in the
12 maps, as shown in the next section, which suggests further analyses and research that could
13 potentially lead to better predictive models, especially when powder atomisation is used
14 implying rather fast solidification which may lead to non-equilibrium microstructural
15 outcomes.

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33 In this direction, computational approaches, e.g., Calculation of Phase Diagrams
34 (CALPHAD), molecular dynamics simulation, and first-principles density functional theory
35 calculations, have been utilized to estimate under what conditions a specific phase is formed
36 and to predict structural and electronic properties of HEAs based on previous experimental
37 data (Ref 31). Those conventional approaches, however, have limitations due to excessive
38 computational costs and high uncertainties (Ref 32). In the CALPHAD technique for example,
39 Gibbs energy models with parameters describing each phase in a multicomponent system are
40 obtained based on experimental and first principles information. On the contrary, HEAs are
41 positioned in the central regions of multidimensional phase diagrams, well outside the limits
42 usually used to construct energy model parameters through databases. As a result, calculations
43 in large composition spaces require large extrapolations from the classical thermodynamic
44 models which may lead to inaccurate evaluations of the Gibbs energies and phase equilibria.

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3 Reliability and accuracy of the predictions can thus become questionable when obtained from
4 incomplete thermodynamic descriptions(Ref 33).
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8 To address the aforementioned limitations, over the recent years, machine learning
9 (ML) has been applied in the field of HEAs as a data-driven approach. Machine learning
10 methods are known for their feature learning ability from data representation using complex
11 neural network architectures towards a global approximation of nonlinearity. With the recent
12 HEA data accumulation, several works have shown promising results for estimating phases
13 and mechanical properties of such complex systems (Ref 34-38). Current limitations of ML
14 methods include pure regularisation and overfitting, the generalisation ability of most models
15 is questionable due to the lack of powerful feature descriptors (i.e., meaningful thermodynamic
16 inputs), and the poor interpretation of neural network target outcomes. Another critical
17 limitation that renders ML approaches less effective for thermal spray feedstock HEA material
18 design is the lack of information in most datasets regarding the manufacturing process and the
19 critical cooling rates. It is also important to note that phase formation from liquid state depends
20 on the cooling rate during solidification and approaches involving phase transformation of
21 alloys that depend on the cooling rate are rare in the literature (Ref 39). In the field of thermal
22 spray, it is important to develop a model which can predict whether a given elemental
23 combination in equiatomic or near equiatomic proportion will form a particular solid solution
24 (SS) phase depending on the cooling rate during solidification of liquid in gas or water
25 atomisers and the subsequent re-melting and solidification during the spray coating deposition.
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49 In this work the authors present a Random forest ML approach (Ref 40). Random
50 forests are composed of an ensemble of decision trees. Decision trees are simple models that
51 recursively partition the input space and define a piece-wise function, typically constant, on
52 the partitions. Single decision trees often have poor predictive power for non-linear relations
53 or noisy data sets. In random forests, these weaknesses are overcome by using an ensemble of
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3 decision trees, each one fit to a different random draw, with replacement, of the training data
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5 set. For a given test point, the predictions of all the trees in the forest are aggregated, usually
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7 by taking the mean. The Random Forest model has been selected because of its robustness
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9 when dealing with high dimensional data and its ability to handle effectively unbalanced data.
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11 Random forest tries to minimize the overall error rate, so in the presence of an unbalance data
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13 set, the larger class will get a low error rate while the smaller class will have a larger error rate.
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15 Finally, each decision tree has a high variance, but low bias. The random forest classifier was
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17 implemented with Scikit-learn (Ref 41). Its superior performance in other materials science
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19 studies, where data is often limited, has been demonstrated in previous studies (Ref 42,43).
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21 This work builds on previous ML approaches while introducing a critical new feature
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23 descriptor that enables the prediction of phase formation in atomised powder feedstock
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25 materials and coatings. The cooling rates during powder manufacturing can be as high as 10^{10}
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27 K/s (Ref 44) and the rapid particle re-solidification upon impact on the substrate during spray
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29 can reach cooling rates up to 10^8 K/s (Ref 45).
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37 2. Dataset, Features and Model Description

38 2.1 Dataset Analysis

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44 The datasets were constructed from several previous works (Ref 5,35,43) and used to
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46 develop the present Random Forest based phase prediction model. After minor data cleaning
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48 for the elimination of redundant samples, the dataset was finalised with a total of 133 samples
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50 classified into phases including FCC, BCC and HCP as found in the dataset that was
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52 constructed from the information provided in (Ref 39). The total number of equiatomic
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54 compositions is 45 with each one associated to experimentally observed phase formation under
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56 three different cooling rates.
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3 Besides the design parameters based on empirical rules, i.e., δ , ΔS_{mix} , ΔH_{mix} , VEC, and
4 $\Delta\chi$ (Ref 25,36,39), the authors capitalize on the work by Chattopadhyay et.al. (Ref 39), where
5 phase formation predictions are obtained from temperature-time-transformation (TTT)
6 diagrams for different alloy cooling rates.
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12 To explore and assess the feature distribution of the dataset, visualization of the feature
13 representation of all samples in two dimensions is achieved using the t-stochastic neighbour
14 embedding (t-SNE) method (Ref 46). A total of 13 design parameters indicate 13 dimensions
15 of the feature space that are fed into the model. In Figure 1, the high-dimensional data are
16 embedded into the low-dimensional space. The data maintain their original characteristics of
17 distance similarities among data points with the two-axis having no physical meaning. The
18 embedded distributions of a multiphase system, FCC+BCC for example, appears to be
19 significantly entangled, as shown in Figure 1a, when the cooling rate information are
20 incorporated without further feature engineering. This implies that similar feature
21 representations co-exist in the original feature space making it difficult to distinguish between
22 them for the cooling rate dependent phase predictions as opposed to datasets constructed
23 without significant cooling rate variations (Figure 1b).
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40 As it is further demonstrated in Figure 2, there are samples in the dataset that share very
41 similar individual or combinatorial principles that stem from thermodynamic empirical rules
42 but exhibit different phase formations. This is an expected behaviour since the empirical rule
43 descriptors are de-coupled from the solidification mechanisms and their effect on phase
44 formation. Figure 2, shows a scatter plot between the mixing-rule calculated Ionisation Energy
45 and the mixing-rule calculated Latent Heat for the alloys in the dataset for the existing
46 FCC+BCC and Other cases. The pair-plot visualisation of all features against all samples
47 suggest that the feature representation is ambiguous when cooling rates are employed leading
48 to significant number of misclassification cases particularly in multi-phase systems.
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Accordingly, our goal is to succeed in nonlinear mapping that differentiates those feature distributions of all phases via a new cooling rate related design feature.

2.2 Feature Construction

It has been demonstrated by several works (Ref 47-50) that the phases formed in HEAs are in several cases the outcome of phase separation, leading to an inherited from lower order alloy phase formation. It is also important to note that phase formation from liquid state depends on the cooling rate during solidification. Therefore, it is of immense importance to develop a new design feature that would be fed into Neural Networks, Decision Trees and Random Forest models. The new design feature should consider thermodynamic and crystal structure information coupled with solidification kinetics of a given composition.

The temperature dependence of the viscosity n of the HEA melt ZrTiCuNiBe has been provided in (Ref 39) and fits the experimental $\ln n \sim \frac{1}{T}$ relationship in the form:

$$\ln n = A + B \left(\frac{T_m}{T} \right)^a \quad (1)$$

where A , B and a are constants, with a being a material composition dependent parameter and T_m the alloy melting point. It has been obtained experimentally by (Ref 51) that the cooling rate (q_c) relationship $\frac{1}{q_c} \sim \frac{T_m}{T}$, in a wide range of cooling rates (K/s), is fitted by the equation:

$$\ln \left(\frac{1}{q_c} \right) = A' + B \left(\frac{T_m}{T} \right)^a \quad (2)$$

where B and a are also shown in Eq. 1 and A' is a fitting adjusting parameter. Subtracting Eq. 1 from Eq. 2 we get:

$$\ln q_c = \ln S_k - \ln n \quad (3)$$

$$q_c = \frac{S_k}{n} \quad (4)$$

The S_k (MPa K) is the new design feature introduced in this study which is a shift factor making the equivalent Eq.1 and Eq.2 to overlap. It is expected all phases to have different temperature dependent viscosity behaviour due to their different packing fractions. Feeding the S_k values into the ML algorithm, new similarity pattern exploration is possible by creating dissimilar feature representations for phase formation under different solidification rates.

The viscosity of an alloy can be estimated using classic Grunberg-Nissan (Ref 52) mixing rule for liquid mixture (Eq. 5):

$$\ln n = \sum_{i=1}^N x_i \ln n_i \quad (5)$$

or more complex expressions (Eq. 6) such as the Budai et al. (Ref 53)

$$n = \frac{h \cdot N_A}{\sum x_i \cdot V_m^i + \Delta V_m^E} \cdot \exp \left[\frac{(\sum x_i \cdot \Delta G_i^*) - 0.155 \cdot \Delta H}{R \cdot T} \right] \quad (6)$$

where h is the Planck constant, N_A is the Avogadro number, V_m the molar volume of the element i , ΔV_m^E is the excess molar volume upon alloy formation and is set to zero in the absence of data, ΔH is the mixing enthalpy calculated by using Miedema's model (Ref 54) and ΔG_i^* is the Gibbs energy of activation of the viscous flow in pure component i and can be expressed as:

$$\Delta G_i^* = R \cdot T \cdot \ln \left(\frac{n_i \cdot M_i}{h \cdot N_A \cdot \rho_i} \right) \quad (7)$$

where, ρ_i is the density of the element i , M_i is the atomic weight of the element i and n_i is the individual element viscosity. Substituting Eq.7 into Eq.6 we get the final viscosity expression that contains crystal structure information in the density term that theoretically is expressed as:

$\rho = \frac{N_c M}{V_c N_A}$, where N_c and V_c are the effective number of atoms per unit cell and volume of unit cell of the material. The elemental viscosities can be calculated from (Ref 55-57).

The new feature finally takes the following form using Eq. 4:

$$S_k = q_{cV_{alloy}} \frac{hN_A}{RT_m} \exp\left[\frac{\Delta G_{alloy} - 0.155 \cdot \Delta H_{mix}}{RT_m}\right] \quad (8)$$

The model is based on the assumption that similar temperature dependent viscosity and cooling rate behaviours govern the majority of equiatomic HEA systems, regardless of their composition and number of individual elements.

3. New Feature Evaluation

For the random forest classifier implemented with Scikit-learn, model hyper-parameters are selected via an exhaustive 3-fold cross-validated grid search using the following parameters: number of tree predictors in range 11 to 111 in steps of 10, the number of features considered at each split, and scoring based on gini (a measure of impurity) as model performance criterion. To avoid the effects of collinearity (highly correlated features) and dimensionality, 3-fold cross validated recursive feature elimination (RFECV) is performed before the grid search through the hyperparameters. The best performing hyper parameters were selected to fit a model using the entire training set, with bootstrap aggregation applying each decision tree a random subset containing approximately 2/3 of the data, to maximize the amount of information available for making future predictions.

The feature importance (Table 1) was extracted for the model using the Citrination platform (available at <http://www.citrination.com> last access: 07 March 2022). The feature

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3 importance was ranked by observing the effect on model accuracy by randomly permuting the
4 values of each feature. This is done to measure the impact of swapping the value of a selected
5 feature from one composition with the value from a different composition. It is evidently shown
6 that the newly constructed S_k feature (Eq.8) is ranked first among several standard ML HEA
7 predictors found in the literature.
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15 The model accuracy presented in Table 2 is estimated by tallying and reporting the
16 percentage of predictor trees that “vote” for each of the output phases (none/neither, face-
17 centered cubic, or body-centered cubic) for each input composition. The model achieves an
18 accuracy of 81%. The same accuracy is observed from the Receiver Operator Characteristic
19 (ROC) curve in Figure 3. The ROC curve is a graphical plot used to show the diagnostic ability
20 of binary classifiers. It shows the trade-off between sensitivity (or TPR) and specificity (1 –
21 FPR). Classifiers that give curves closer to the top-left corner indicate a better performance.
22 As a baseline, a random classifier is expected to give points lying along the diagonal (FPR =
23 TPR). The closer the curve comes to the 45-degree diagonal of the ROC space, the less accurate
24 the test.
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39 Figure 4 graphically defines the input space (VEC and S_k), decision regions (1 = FCC,
40 0 = BCC), and decision boundaries for a two-dimensional binary classification problem.
41 Interpreting the decision map, one can conclude that FCC phase formation is expected for S_k
42 values between 0 and 1 and for VEC values larger than 7. Increased probability of a BCC phase
43 formation for $S_k > 1$ in the $8 > VEC > 7$ range is observed while for $VEC > 8$ the FCC phase
44 suppression due to rapid solidification is less likely to occur.
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53 The new feature from this work was implemented alongside 13 thermodynamic parameters
54 as model inputs in a larger dataset including 511 compositions where the cooling rates were
55 approximated based on the production method. The model achieves the same accuracy of 81%
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3 and the confusion matrix (Figure 5) shows very good diagonal distribution of correctly
4 classified phases. The confusion or error matrix is a specific table layout that allows
5 visualization of the performance of an algorithm. Each row of the matrix represents the
6 instances in an actual class while each column represents the instances in a predicted class. In
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8 Table 3, the model predictions are compared to as-cast materials, atomized powders and the
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10 resulting coatings. The final phase formation depends on several other parameters and the new
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12 S_k feature should be used alongside other empirical rules as listed in Table 1. This is because
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14 the final phase formation predictions depend on the trained model input weights.
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23 4. Experimental Validation & Discussions

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27 This work suggests that kinetics is an important consideration that may affect the
28 microstructure and the properties of HEAs in line with previous observations in the literature.
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30 Lobel et al. (Ref 58) reported that AlCoCrFeNiTi0.5 fabricated by gas atomisation consisted
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32 of two BCC phases. The fast-cooling rate suppressed the segregation of the melt and led to the
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34 suppression of the FCC phase. Subsequent Spark plasma sintering of the gas atomised powder
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36 led to the formation of additional phases, sigma and FCC. Chen et al. (Ref 59) studied the effect
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38 of the cooling rate on the microstructure and mechanical properties of a vacuum arc melted
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40 CrFeCoNiAl0.6 that was subsequently remelted in copper molds of various diameters.
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42 According to the results, the cooling rate can control the fraction of BCC phase and the
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44 refinement of FCC allowing to tune the mechanical properties. He et al. (Ref 60) investigated
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46 the kinetic effect on the phase transformation and selection of a CoCrFeNiTi0.4 HEA. Under
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48 low cooling rate the microstructure consists of FCC, sigma, R and γ' . However, extremely
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50 rapid solidification led to the suppression of the formation of sigma, R and γ' . It was deduced
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52 that solidification rate and solid-state transformation kinetics could be used to control the phase
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54 selection of HEAs for improved performance.
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3 Taking into account the S_k and VEC pair rules shown in Fig. 4 the modified
4 CoCrFeMnNi_{0.8}V Cantor alloy (CantorV) with VEC = 7.4 was selected for validation purposes
5 and compared alongside the original Cantor CoCrFeMnNi system (VEC=8). Both the Cantor
6 and CantorV bulk samples were fabricated with the use of pieces of elements of high purity
7 (99.9 wt.%) with the employment of an Edmund Buhler MAM-1 compact arc-melter. Proper
8 quantities of the raw materials were measured with the use of a Fisherbrand analytical balance
9 and then ultrasonically cleaned. Afterwards, they were inserted in the chamber and melted
10 under Ar to acquire the master alloy. The master alloy was suction casted into a water-cooled
11 Cu mold with diameter of 8 mm and length of 30 mm. The cylindrical samples were cut in
12 smaller pieces and were subject to standard metallographic procedures in order to study the
13 microstructure. The mixing rule viscosities for the two alloys were calculated using Eq.5. The
14 equivalent S_k values for the bulk arc-melted alloys based on Eq. 4 are $S_k = 0.000045$ for the
15 CantorV and $S_k = 0.000047$ for the original Cantor alloy for a cooling rate equal to 1000K/s.
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34 To test the response of the two alloys under very rapid solidification atomized powders
35 were produced and analyzed. The calculated S_k value is 1.13 for the CantorV alloy (atomization
36 cooling rate $\approx 2.5 \times 10^8$) and $S_k = 0.93 \times 10^8$ for the original Cantor alloy (atomization cooling
37 rate $\approx 2 \times 10^8$). The cooling rates were estimated based on the atomization process parameters
38 and established break-up and solidification models (Ref 39,61,62). Based on the VEC and S_k
39 values, this study suggests and confirms that the CantorV alloy will not retain the FCC structure
40 during atomization since the S_k value is higher than 1 and the material VEC is below 8. On the
41 contrary, the original Cantor alloy is expected to sustain the FCC structure ($S_k < 1$, VEC=8))
42 after atomization.
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54 The produced powders were then used as feedstock material for typical atmospheric
55 plasma spray (APS) and High Velocity Oxy-Fuel (HVOF) thermal spray. The HVOF spray
56 system is designed by Castolin Eutectic-Monitor Coatings Ltd. (North Shields, UK), utilizing
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3 both air and oxygen alongside the fuel for combustion. The plasma coatings were applied using
4 the 3MB torch by Oerlikon Metco in a dedicated NADCAP certified aerospace booth at
5 Monitor Coatings Ltd in the UK. The torch delivers up to 50KW of power and plasma
6 temperatures up to 16,000 °C, gas velocities up to 3,000m/s and particle acceleration up to
7 600m/s. The torch was mounted on a robotic arm and the samples were sprayed using a raster
8 scan program.
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12 The coatings were deposited on S275 steel plates (nominal composition: C< 0.25 wt.%,
13 Mn< 1.6 wt.%, S< 0.05 wt. %, P< 0.04 wt.%, Si< 0.05 wt.%) of 50x50 mm and 6 mm thickness
14 traversing the gun linearly (raster scan pattern) using a robotic arm. The spray angle was fixed
15 at 90 degrees and the gas flow rates were controlled using a digital console. The suction casted
16 samples, the gas atomized powder and the coatings were studied with the employment of a
17 Rigaku Smartlab SE X-ray diffractometer (CuK α radiation, standard split) and a Tescan Mira
18 3 scanning electron microscope equipped with an Oxford Instruments EDS analyser. X-ray
19 diffractograms were acquired with a step size of 0.01 °/s.
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37 Figure 6 presents the X-ray diffractograms of the suction cast bulk CoCrFeMnNi and
38 CoCrFeMnNi_{0.8}V and corresponding elemental maps. According to the results, the
39 CoCrFeMnNi_{0.8}V fabricated by suction casting consists of two phases as opposed to the
40 CoCrFeMnNi that shows a single FCC structure (Fig.6a). The sigma phase (Light grey phase)
41 and an FCC solid solution (Dark grey phase) are shown in Figure 6b. Sigma is enriched in Cr,
42 V and depleted in Mn, Ni. On the other hand, the FCC solid solution is enriched in Mn, Ni and
43 depleted from Cr, V. The chemical composition and phase formation of the bulk as-cast,
44 powder and coating materials are summarized in Table 4.
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55 The gas atomized CoCrFeMnNi_{0.8}V particles consist of a single BCC solid solution
56 (Fig. 7a), as opposed to the as-cast FCC + sigma phases, with an average size of 20-50 μ m
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3 while the CoCrFeMnNi particles retain the FCC structure as observed in the as-cast material
4 (Fig. 6a). The particles have a spherical and dense structured with no porosity or other defects
5 such as satellite droplets, agglomeration and hollow structures (Fig.7b). The chemical
6 composition of the powders is close to the nominal composition (Table 4) of the as-cast
7 systems. The powder exhibits good distribution of the elements as shown in Fig.7c.
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15 The CoCrFeMnNi and CoCrFeMnNi_{0.8}V coatings were sprayed using the produced
16 HEA powders on carbon steel substrates with the employment of two different techniques.
17 During HVOF application the temperature for the 45 μ m average particle is approximately 1127
18 C and 1429 C respectively (Ref 12), lower than the melting point of the material (1584 C). On
19 the other hand, the temperature for the average particle during atmospheric plasma spraying is
20 well above the melting point of the material resulting in fully molten particle impingement and
21 re-solidification as demonstrated in Figure 8a,b. The HVOF sprayed original Cantor alloy
22 exhibits the same crystal structure in all production stages from bulk as-cast to powder and
23 coating formation in line with the model predictions. The inconsistency of the microstructure
24 between the bulk CoCrFeMnNi_{0.8}V, the gas atomized equivalent and the resulting Plasma
25 coating as predicted by the model can be explained by analyzing the solidification and cooling
26 rate sequences in conjunction with the S_k values and the VEC number of the alloy.
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44 During atomization the S_k value is higher than 1 at VEC=7.4 and suppression of the
45 FCC and sigma phases is expected. The BCC CantorV powder when sprayed under HVOF
46 process temperatures does not undergo significant in-flight melting and thus no significant
47 deviations from the feedstock composition is expected (Figure 9 a, b and Figures 6, 7). On the
48 contrary, during plasma spray, the particles impact on the substrate at fully molten state and
49 solidify rapidly to form the lamella structure shown in Figure 8 a,b. The re-solidification occurs
50 at different speeds depending on the particle size. For this reason, several particles solidify
51 faster than others resulting in different S_k values ranging from 0.1 to 1.2 MPa K. According to
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3 this study and as shown in Figure 8a both FCC and BCC phases are predicted and
4 experimentally verified in the coating. Larger particles are cooled down at lower rate delivering
5 the same crystal structure as the feedstock material. The original Cantor alloy with a higher
6 VEC number appears to be less prone to metastable phase formation and thus the FCC is
7 retained regardless of the S_k value as suggested by the decision plot in Figure 4 and shown in
8 Figure 8b.
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19 5. Conclusions

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21 In the field of thermal spray, it is important to develop a model which can predict
22 whether a given multicomponent elemental combination will form a particular solid solution
23 phase depending on the cooling rate during solidification of liquid metal in gas or water
24 atomisers and the subsequent re-melting and solidification of the powder during the spray
25 coating deposition. For this purpose, in this study a new design feature has been constructed
26 and used as input to a random forest ML model to predict the phase formation of High Entropy
27 Alloys under rapid solidification conditions. This approach is based on the viscosity of alloys
28 as a function of temperature, utilising the viscosities of its constituting elements, and suitably
29 incorporating the cooling rate information. The most important part of the present work is that
30 it offers an additional design tool for thermal spray processes and the route that should be used
31 to form an intended phase in a particular alloy via the critical S_k value. The phase formation in
32 most of the coated alloys and their atomised feedstock material has been predicted correctly by
33 the model. Higher cooling rates as denoted by $S_k > 1$ values in the composition range of $8 >$
34 $VEC > 7$ may lead to the suppression of the FCC phase and the formation of a more open space
35 BCC structure instead. Similarly, as shown in Table 3 and validated in Section 4 secondary
36 phases are suppressed when specific VEC and S_k conditions are satisfied after gas atomisation
37 leading to the formation of single-phase powders as opposed to multi-phase as-cast materials.
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Figure Captions

Figure 1. a) t-SNE projection of cooling dataset without new feature construction, b) Original dataset without cooling rate entries.

Figure 2. Pair-plot of two model features, the Ionisation Energy and the Latent Heat. The population is a univariate distribution plot drawn to show the marginal distribution of the data.

Figure 3. ROC Curve of the model including the new Sk feature.

Figure 4. Random Forest model decision plot showing the Sk and VEC pair rules for phase formation under different cooling rates expressed through the new Sk feature.

Figure 5. Confusion matrix of the Random Forest multiple phase formation classification

Figure 6. As Cast High Entropy alloys (a) XRDs of the Cantor Alloy showing single phase FCC structure and modified Cantor with the addition of Vanadium showing FCC plus secondary phases, (b) EDS showing uniform distribution of elements in the Vanadium containing alloy, (c) EDS showing the distribution of elements in the original Cantor Alloy.

Figure 7. Atomised CoCrFeMnNi0.8V alloy powder. (a) XRDs showing a single BCC phase as opposed to FCC+Sec in the as cast material. (b) Powder morphology, (c) EDS.

Figure 8. Thermal Spray via Atmospheric Plasma Spray (APS). (a) XRDs showing FCC and BCC for the APS sprayed CantorV (CoCrFeMnNi0.8V) alloy and lamella coating microstructure with oxide formation, (b) XRDs showing primary FCC formation with oxides for the APS sprayed original Cantor alloy (CoCrFeMnNi) and lamella coating microstructure with oxide formation.

Figure 9. Thermal Spray via High Velocity Oxy-Fuel (HVOF). (a) XRDs showing single BCC phase for the HVOF sprayed CantorV and coating microstructure. (b) XRDs showing single FCC phase for the HVOF sprayed original Cantor Alloy and coating microstructure.

Table 1. A list of first ten features used to train the model ranked by importance scores. Importance scores are representative of a given feature's contribution to the model's performance.

Feature Importance	
S_k (New Feature from this work)	9%
Mean of Number of d valence electrons for formula (Citrination Generated)	4%
Mean of Conduction ionization energy for formula (Citrination Generated)	4%
Mean of Radius of d orbitals for formula (Citrination Generated)	4%
Mean of Elemental work function for formula (Citrination Generated)	3%
Mean of Elemental atomic volume for formula (Citrination Generated)	3%
Mean of Packing density for formula (Citrination Generated)	3%
Mean of Total number of unfilled valence electrons for formula (Citrination Generated)	3%
Mean of Elemental density for formula (Citrination Generated)	2%
Mean of Valence electron density for formula (Citrination Generated)	2%

Table 2. Model Accuracy (81%) for the binary FCC/BCC phase classification for a given HEA composition under different cooling rates.

	Precision	Recall	F1-Score
Accuracy	-	-	0.81
Macro Avg.	0.84	0.84	0.81
Weighted Avg.	0.87	0.81	0.81

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Table 3. Phase formation model predictions during gas atomization and coating deposition and comparisons with experimental findings.

Oxide formation is excluded from Model Predictions, Arc-Melting Cooling Rate = 100-1000K/s, APS Deposition Re-Solidification Cooling Rate Up to 10 ⁸ K/s, Atomisation Cooling Rate Up to 10 ⁷ K/s						
Material	Predicted as Cast	Exp. Feedstock	Predicted Feedstock	Predicted Coating Phases	Exp. Coating Phases	Ref.
AlSiTiCrFeCoNiMo0.5	BCC+Sec (Arc-Melting)	BCC+FCC (Arc-Melting+Ball Milling)	BCC+Sec (Arc-Melting)	BCC (APS)	BCC (APS)	[58]
AlSiTiCrFeNiMo0.5	BCC+Sec (Arc-Melting)	BCC+FCC (Arc-Melting+Ball Milling)	BCC (Arc-Melting)	BCC (APS)	BCC (APS)	[58]
AlSiTi0.2Cr1.5Fe0.2Co0.6Ni	BCC+Sec (Arc-Melting)	BCC+Sec (Arc-Melting+Ball Milling)	BCC+Sec (Arc-Melting)	BCC+B2 (APS)	BCC+Sec (APS)	[59]
CoCrFeMnNi	FCC (Arc-Melting)	FCC (Atomisation)	FCC (Atomisation)	FCC (APS)	FCC (APS)	This work
CoCrFeMnNi	FCC (Arc-Melting)	FCC+minorBCC (Mechanical Alloying)	FCC (Arc-Melting)	FCC (APS)	FCC(APS)	[17]
AlTiCrFeCoNi	BCC (Arc-Melting)	BCC (Mechanical Alloying)	BCC (Arc-Melting)	BCC (APS)	BCC+minor FCC (APS)	[60]
AlTiCrFeCoNi	BCC (Arc-Melting)	BCC (Atomisation)	BCC (Atomisation)	BCC (APS)	BCC+BCC (APS)	[61]
CrFeCoNiMo0.2	FCC (Arc-Melting)	FCC (Atomisation)	FCC (Atomisation)	FCC (APS)	FCC (APS)	[62]
AlSiTi0.2Cr1.5Fe0.2Co0.6Ni	BCC (Arc-Melting)	BCC+FCC (Atomisation)	BCC (Atomisation)	BCC+B2 (APS)	BCC+FCC+Other	[63]
AlSiCr1.3Fe0.2Co0.6Ni	BCC+B2 (Arc-Melting)	BCC+FCC (Atomisation)	BCC (Atomisation)	BCC (APS)	BCC+FCC+Other	[63]
AlCrFeCoNi	BCC+B2 (Arc-Melting)	BCC+B2 (Atomisation)	BCC+B2 (Atomisation)	BCC+B2 (APS)	BCC+B2+FCC	[14]
CoCrFeMnNi0.8V	FCC+Sec (Arc-Melting)	BCC (Atomisation)	BCC (Atomisation)	FCC+BCC (APS), BCC (HVOF)	FCC+BCC (APS), BCC (HVOF)	This work

Table 4. The chemical composition and phase formation of the bulk as-cast, powder and coating materials.

Sample	Co at%	Cr at%	Fe at%	Mn at%	Ni at%	V at%	Structure
Cantor Nominal	20	20	20	20	20	0	-
As-Cast Cantor Measured	21.4 ± 1.2	17.2 ± 0.6	20.4 ± 1.1	20.6 ± 0.7	20.4 ± 1.2	0	FCC
CantorV Nominal	17.2	17.2	17.2	17.2	13.8	17.2	-
As-Cast CantorV Measured	16.6 ± 0.8	19.1 ± 3.5	18.2 ± 1.8	15.7 ± 1.3	17.4 ± 2.1	13.1 ± 1.2	FCC+σ
Powder Cantor Measured	18.9 ± 0.7	21.3 ± 0.4	20 ± 0.4	21.9 ± 1.1	17.9 ± 0.5	0	FCC
Powder CantorV Measured	17.3 ± 0.4	18.1 ± 0.3	17.1 ± 0.1	17.9 ± 0.5	13.8 ± 0.2	15.9 ± 0.3	BCC
HVOF Coating Cantor Measured	20.9 ± 0.5	18.6 ± 0.6	20 ± 0.9	19.5 ± 0.8	21 ± 1.1	0	FCC
Plasma Coating Cantor Measured	20.2 ± 0.6	21.5 ± 0.5	21.8 ± 1.5	13.8 ± 0.7	20.8 ± 0.2	0	FCC
HVOF Coating CantorV Measured	17.4 ± 0.1	18.3 ± 0.2	17.1 ± 0.3	17.6 ± 0.1	13.8 ± 0.1	15.9 ± 0.3	BCC
Plasma Coating CantorV Measured	18.8 ± 1.1	19.5 ± 1.2	18.4 ± 0.1	10.9 ± 0.4	14.4 ± 0.3	18.1 ± 0.4	FCC+BCC

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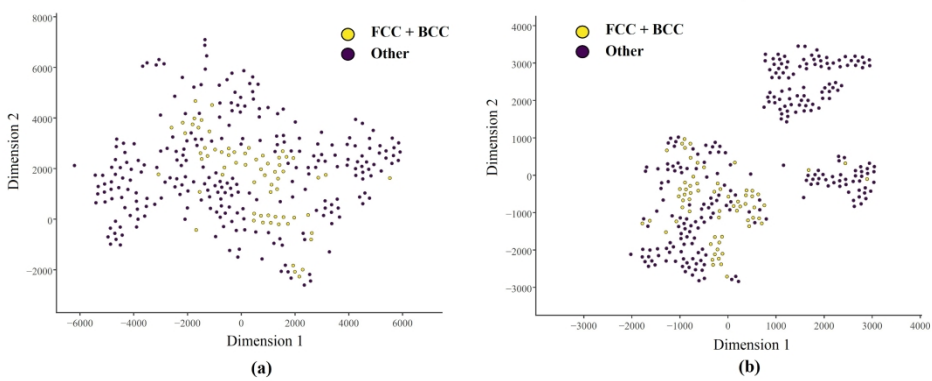


Figure 1. a) t-SNE projection of cooling dataset without new feature construction, b) Original dataset without cooling rate entries.

580x241mm (300 x 300 DPI)

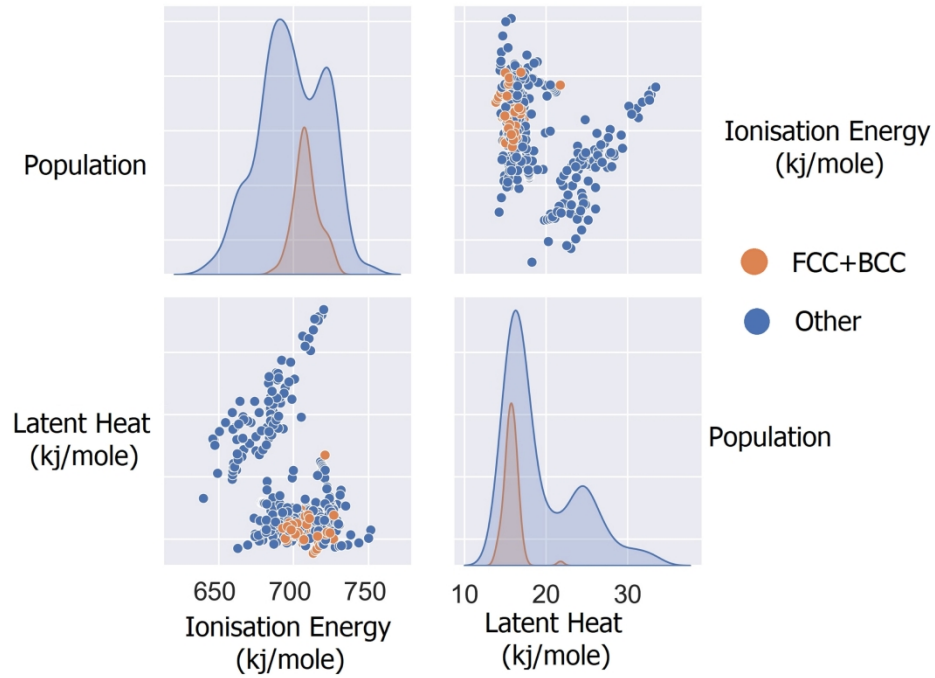


Figure 2. Pair-plot of two model features, the Ionisation Energy and the Latent Heat. The population is a univariate distribution plot drawn to show the marginal distribution of the data.

304x241mm (300 x 300 DPI)

Area under the ROC curve (1 for perfect model) : 0.815

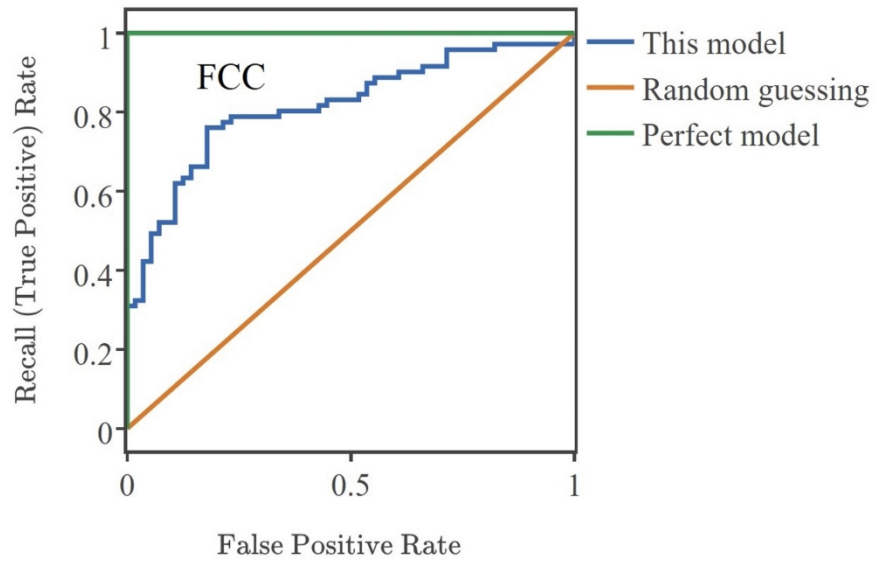


Figure 3. ROC Curve of the model including the new Sk feature.

304x220mm (300 x 300 DPI)

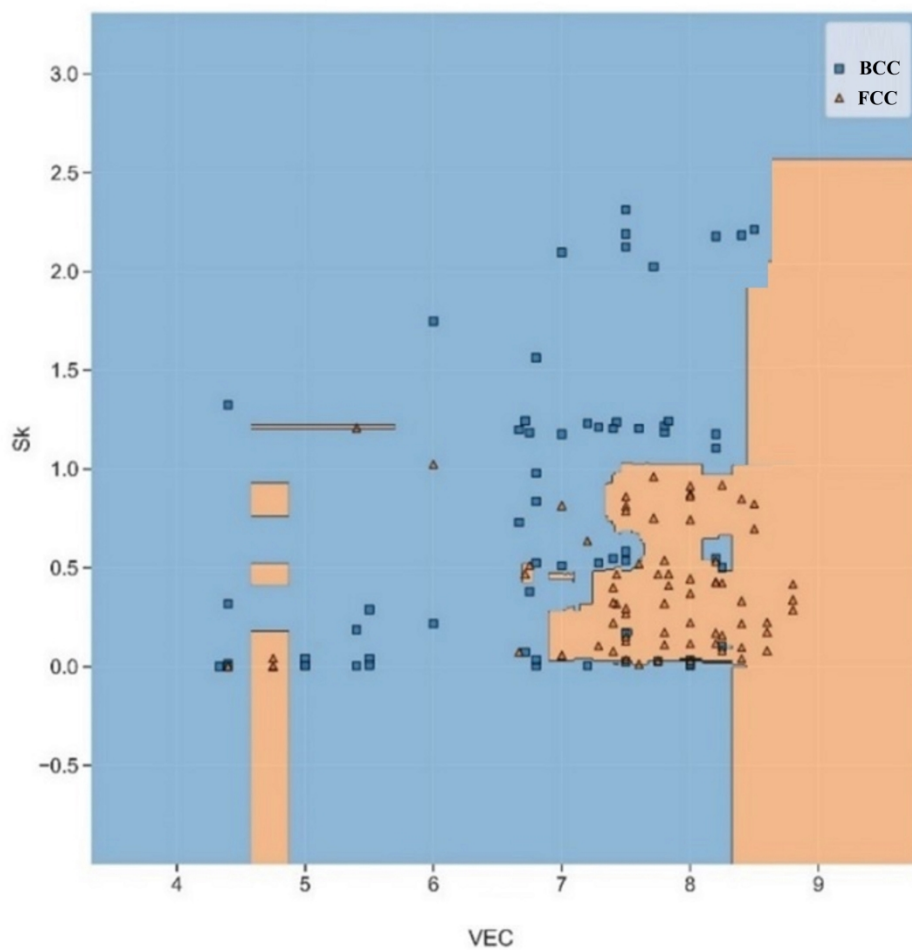


Figure 4. Random Forest model decision plot showing the Sk and VEC pair rules for phase formation under different cooling rates expressed through the new Sk feature.

444x436mm (300 x 300 DPI)

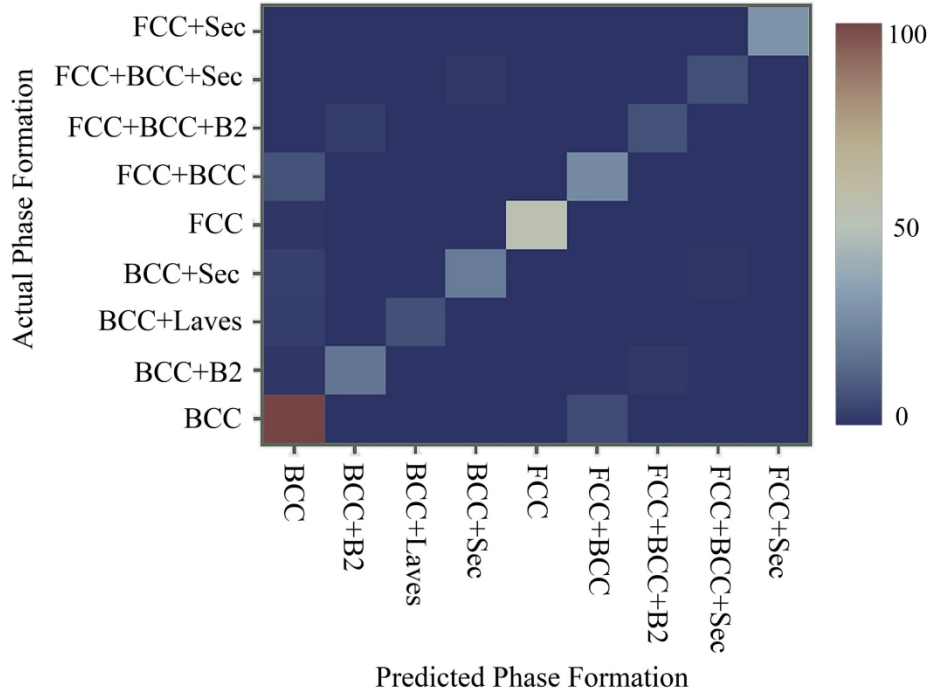
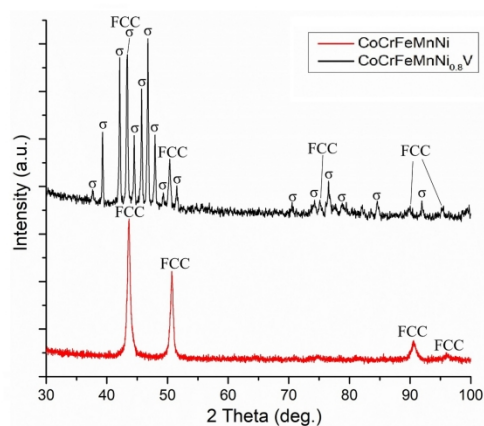
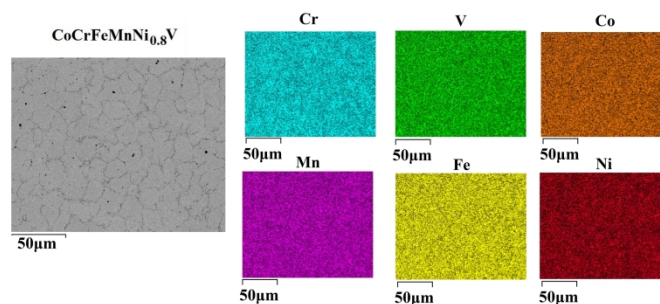


Figure 5. Confusion matrix of the Random Forest multiple phase formation classification

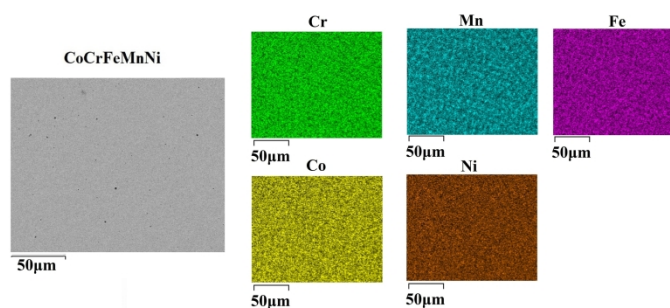
152x124mm (600 x 600 DPI)



(a)



(b)



(c)

Figure 6. As Cast High Entropy alloys (a) XRDs of the Cantor Alloy showing single phase FCC structure and modified Cantor with the addition of Vanadium showing FCC plus secondary phases, (b) EDS showing uniform distribution of elements in the Vanadium containing alloy, (c) EDS showing the distribution of elements in the original Cantor Alloy.

239x355mm (300 x 300 DPI)

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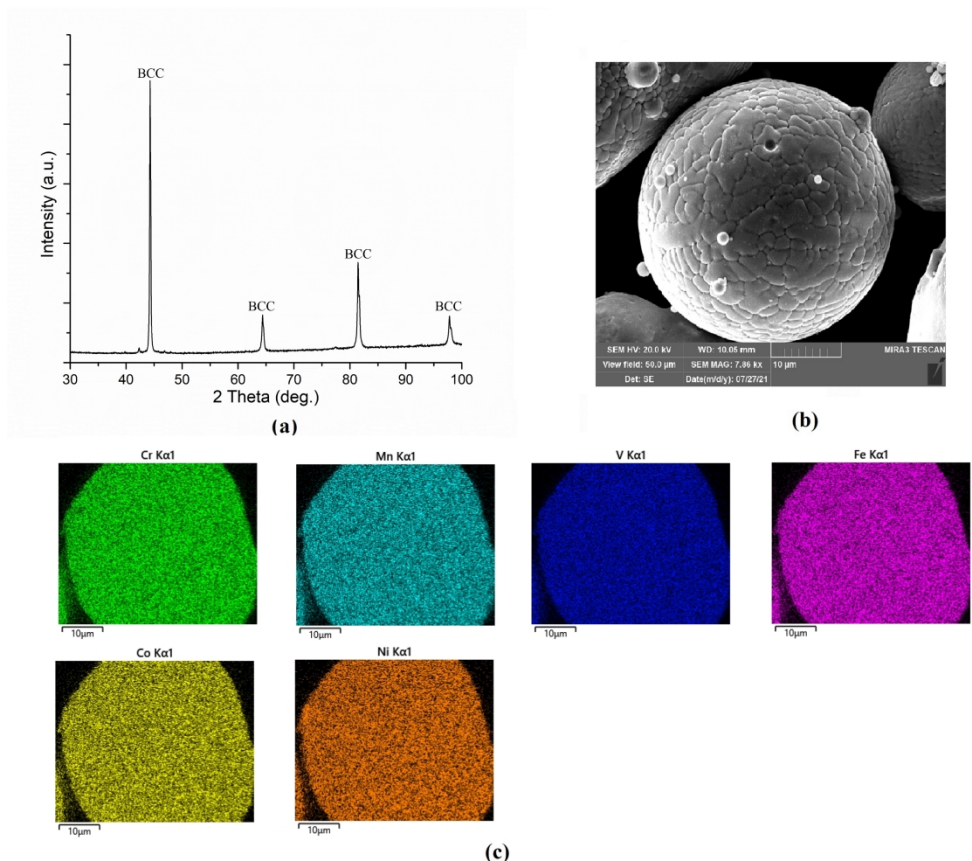
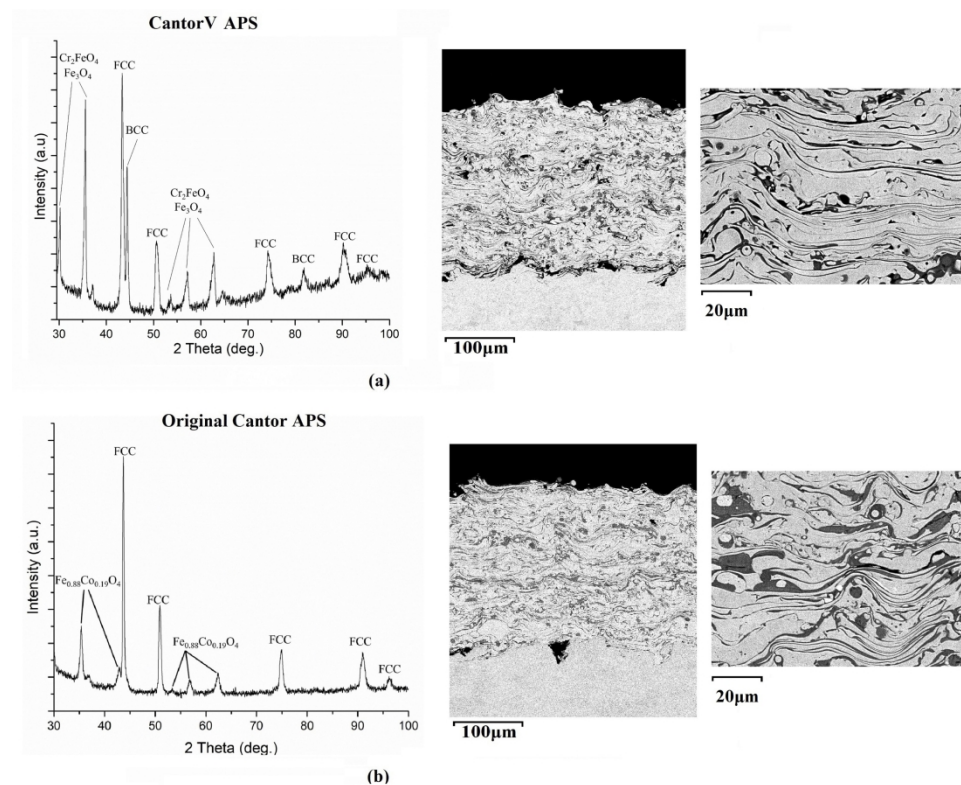


Figure 7. Atomised CoCrFeMnNi0.8V alloy powder. (a) XRDs showing a single BCC phase as opposed to FCC+Sec in the as cast material. (b) Powder morphology, (c) EDS.

327x286mm (300 x 300 DPI)



33 Figure 8. Thermal Spray via Atmospheric Plasma Spray (APS). (a) XRDs showing FCC and BCC for the APS
34 sprayed CantorV (CoCrFeMnNi0.8V) alloy and lamella coating microstructure with oxide formation, (b) XRDs
35 showing primary FCC formation with oxides for the APS sprayed original Cantor alloy (CoCrFeMnNi) and
36 lamella coating microstructure with oxide formation.

37 146x119mm (600 x 600 DPI)

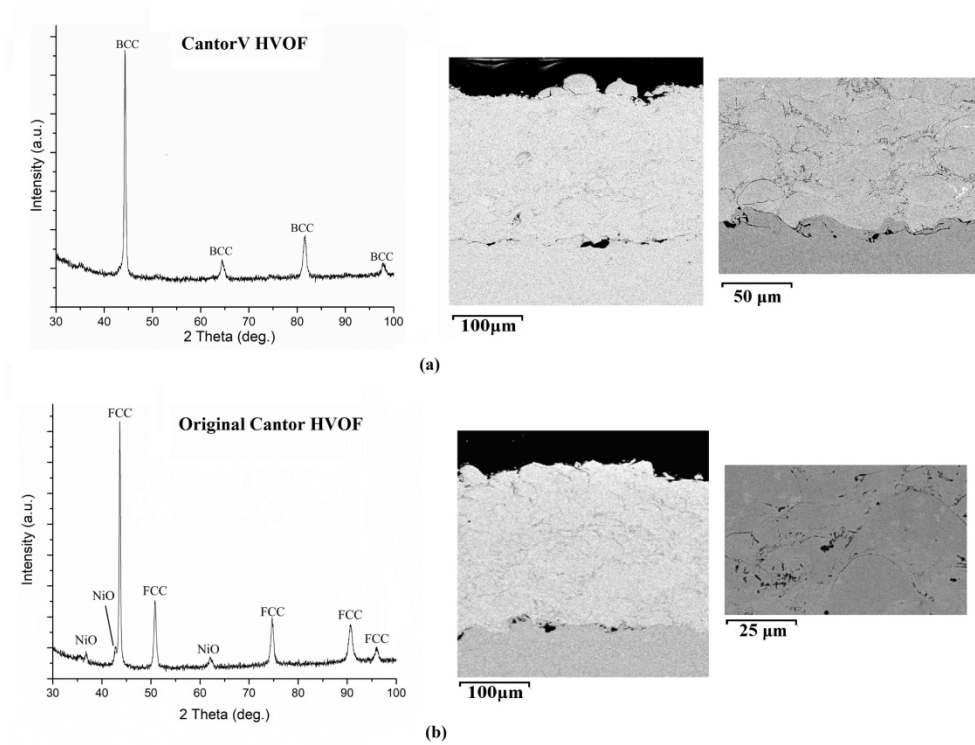


Figure 9. Thermal Spray via High Velocity Oxy-Fuel (HVOF). (a) XRDs showing single BCC phase for the HVOF sprayed CantorV and coating microstructure. (b) XRDs showing single FCC phase for the HVOF sprayed original Cantor Alloy and coating microstructure.

143x109mm (600 x 600 DPI)