

Northumbria Research Link

Citation: Jensen, Emily, Santhi, Nayantara and Elder, Greg (2022) Habitual subjective sleep continuity is not associated with fluid intelligence: an exploratory study. *Sleep Medicine Research*, 13 (3). pp. 171-175. ISSN 2093-9175

Published by: Korean Society of Sleep Medicine Research

URL: <https://doi.org/10.17241/smr.2022.01522>
<<https://doi.org/10.17241/smr.2022.01522>>

This version was downloaded from Northumbria Research Link:
<https://nrl.northumbria.ac.uk/id/eprint/50962/>

Northumbria University has developed Northumbria Research Link (NRL) to enable users to access the University's research output. Copyright © and moral rights for items on NRL are retained by the individual author(s) and/or other copyright owners. Single copies of full items can be reproduced, displayed or performed, and given to third parties in any format or medium for personal research or study, educational, or not-for-profit purposes without prior permission or charge, provided the authors, title and full bibliographic details are given, as well as a hyperlink and/or URL to the original metadata page. The content must not be changed in any way. Full items must not be sold commercially in any format or medium without formal permission of the copyright holder. The full policy is available online: <http://nrl.northumbria.ac.uk/policies.html>

This document may differ from the final, published version of the research and has been made available online in accordance with publisher policies. To read and/or cite from the published version of the research, please visit the publisher's website (a subscription may be required.)



**Northumbria
University**
NEWCASTLE



UniversityLibrary

Habitual subjective sleep continuity is not associated with fluid intelligence: an exploratory study

Emily L. Jensen, B.Sc.¹, Nayantara Santhi, Ph.D.¹, Greg J. Elder, Ph.D.¹

- 1) Northumbria Sleep Research, Department of Psychology, Faculty of Health and Life Sciences, Northumbria University, Newcastle upon Tyne, NE1 8ST

Corresponding author:

Dr. Greg J. Elder

Northumbria Sleep Research

Northumbria University

Newcastle upon Tyne

NE1 8ST

Email: g.elder@northumbria.ac.uk

Habitual subjective sleep continuity is not associated with fluid intelligence: an exploratory study

Running title: sleep continuity and fluid intelligence

Number of words: 1996

*Number of tables:*3

*Numbers of figures:*0

Acknowledgements: We would like to thank all study participants.

Sources of funding: This study was supported by Northumbria University.

Conflict of interests: All authors report no conflicts of interest.

Abstract

The link between sleep and cognition is well-established, but the link between subjective sleep and fluid intelligence is poorly understood. The aim of this exploratory study was to examine the relationship between habitual subjective sleep continuity and fluid intelligence. In this study, a total of 56 healthy sleepers ($M_{\text{age}} = 30.91$ years; $SD_{\text{age}} = 12.93$ years) completed two fluid intelligence (abstract reasoning and two-dimensional mental rotation) tasks after completing seven consecutive days of sleep diaries. Relationships between subjective sleep continuity (total sleep time (TST); sleep efficiency (SE%); wake after sleep onset (WASO) and sleep onset latency (SOL), and task accuracy and speed were assessed using Pearson correlations. Overall, there were no associations between subjective sleep continuity (TST, SE%, WASO, SOL) and either task accuracy or speed (adjusted p -values $> .0125$). Overall, habitual subjective sleep continuity and fluid intelligence may not be associated. These results should be replicated in larger samples.

Keywords: Cognitive Function, Neurobehavioral Performance, Neuropsychology, Sleep/Wake Cognition

Introduction

A sufficient quantity of high-quality sleep is necessary to maintain good physical, psychological and brain health. Sleep and cognition are very closely linked, as sleep plays an important role in memory and cognitive processing [1], and an insufficient sleep duration and quality negatively affects multiple cognitive domains including sustained attention, memory and decision-making [2, 3]. Although there is a well-established association between sleep and cognition, the link between sleep and intelligence, which refers to the construct of general cognitive ability, is less clear [4, 5].

In particular, relatively little is known regarding the link between subjective sleep and fluid intelligence. Fluid intelligence refers to the important cognitive ability to reason, learn from experience, identify complex patterns and solve novel problems; this is in contrast to crystallised intelligence, which instead reflects previously-acquired knowledge [6, 7]. Fluid intelligence is relatively stable across the lifespan, but typically declines rapidly as a function of ageing, and alterations to fluid intelligence are potentially a feature of neurodegenerative dementia [8]. Therefore, if subjective sleep continuity and fluid intelligence are associated, modifications to sleep continuity might maintain fluid intelligence later in life. There is potentially a common underlying link between sleep and fluid intelligence, as fluid intelligence appears to be underpinned by activity in the locus coeruleus, which is the major noradrenergic system and a neural region which has a key role in sleep and wake regulation [7, 9].

There is an apparent link between subjective sleep and fluid intelligence: it has been observed that subjectively-measured sleep quality was positively associated with fluid intelligence, where higher sleep quality was associated with greater objectively-measured reasoning performance [10]. However, to date, no studies have examined the relationship between specific aspects of subjective sleep continuity, which can be easily, cheaply and reliably measured using subjective sleep diaries [11], and fluid intelligence. Therefore, the primary aim of this study was to assess the relationship between specific aspects of subjective sleep continuity which represent subjective sleep duration and quality (total sleep time (TST) and sleep efficiency (SE%)), and two closely-related aspects of fluid intelligence: abstract reasoning and mental rotation [12]. It was hypothesised that subjective TST and SE would be positively associated with 1) abstract reasoning accuracy, 2) mental rotation accuracy and 3) the mental rotation response speed to correct answers.

Methods

Participants

A total of 73 healthy sleeper participants ($M_{\text{age}} = 30.64$ years, $SD_{\text{age}} = 12.45$ years) were recruited from the staff and student population of Northumbria University. This sample size was based on an *a priori* power analysis, conducted using G*Power 3.1 [13], which indicated that a minimum of 29 participants were required on the basis of an expected medium effect size ($r = 0.5$ at 80% power).

Individuals were eligible to participate if they were: 1) aged ≥ 18 years and 2) self-reported healthy good sleepers. Participants were not eligible if they had a current self-reported sleep disorder (e.g. insomnia or sleep apnea) or subjective sleep problems, or if they had a history of sleep disorders/sleep problems. Participants provided informed consent and the study was approved by Northumbria University Faculty of Health and Life Sciences Ethics Committee. Participants were not remunerated.

Measures

In order to assess habitual subjective sleep quality, participants completed the Pittsburgh Sleep Quality Index (PSQI [14]). Consensus Sleep Diaries (CSD-M [15]) were used to assess measures of habitual subjective sleep continuity, including total sleep time (TST); time in bed (TIB), sleep efficiency (SE%); calculated as $(TST/TIB \times 100)$; sleep onset latency (SOL); the number of awakenings (NWAK) and wake after sleep onset (WASO).

Procedure

The study was delivered online using Qualtrics (Provo, UT) and the PsyToolkit platform (www.psychtoolkit.org [16]). After providing informed consent at baseline (Day 0), participants completed the PSQI, PHQ-9 and GAD-7. On each subsequent morning (Day 1 to Day 7), participants completed the CSD-M. On Day 7, participants completed two fluid intelligence tasks and **were subsequently debriefed.**

Fluid intelligence tasks

Participants completed two fluid intelligence tasks: the 12-item short form version of the Raven's Standard Progressive Matrices (RSPM-SF) [17] task, which is a problem-solving task **that** becomes progressively more difficult over time, and a mental rotation task (MRT) [18], which requires participants to match two-dimensional

line drawings of three-dimensional block figures to a target stimulus; in order to complete the task, participants must mentally rotate the target stimulus. The RSPM-SF has similar levels of validity and reliability to the full 60-item RSPM [17].

Statistical analyses

To assess RSPM-SF task accuracy, the percentage of correct answers were derived from this task. To assess MRT performance, the percentage of correct answers, and the reaction time (RT) to correct answers (expressed in milliseconds (ms)), were derived as markers of accuracy and speed, respectively. Additionally, the coefficient of variation (COV%) of mean RTs to correct answers was derived; the COV% is a marker of intra-individual variability in response time and was calculated as $(SD_{RT} / M_{RT}) \times 100$.

To assess if sleep continuity was associated with abstract reasoning accuracy, and mental rotation accuracy and speed, separate Pearson correlations were conducted between 1) TST, SE%, WASO, SOL and RSPM-SF percentage of correct answers; 2) TST, SE, WASO, SOL, and MRT percentage of correct answers, and: 3) TST, SE%, WASO, SOL and the MRT RT to correct answers. Participants with a minimum of five completed sleep diary days ($n = 61$) were included in subsequent analyses [19]. All p -values were adjusted for multiple comparisons (adjusted p -value = .0125).

Two additional analyses examined if sleep continuity was associated with the intra-individual variation in MRT responses to correct answers (COV%; adjusted p -value = .0125), if PSQI scores were associated with RSPM-SF or MRT percentage of correct answers (adjusted p -value = .025). Finally, we examined if good ($n = 40$) or poor ($n = 21$) sleepers, defined on the basis of the PSQI, differed in task performance using between-groups t -tests adjusted for multiple comparisons (adjusted p -value = .025).

Results

Complete RSPM-SF data were obtained from 56 participants ($M_{age} = 30.91$ years; $SD_{age} = 12.93$ years) and complete MRT data were obtained from 31 participants ($M_{age} = 25.49$ years; $SD_{age} = 9.02$ years). The main reason for MRT non-completion was participant attrition. Demographic and relevant questionnaire results are shown in Table 1.

[Table 1]

Summary sleep diary information, and task performance, is summarised in Table 2. There were no significant relationships between TST, SE%, WASO, SOL and RSPM-SF accuracy (all p -values > .0125; Table 3).

Similarly, there was no significant association between TST, SE%, WASO and SOL and MRT accuracy, or the response to MRT correct answers (p -values > .0125). There was no association between subjective sleep continuity or MRT COV% values (p -values > .0125), and PSQI scores were not associated with RSPM-SF or MRT accuracy ($r = .05$ & $r = -.06$ respectively; p -values > .025). Good and poor sleepers did not differ with respect to task performance (all p -values > .025).

[Table 2]

[Table 3]

Discussion

The aim of the present study was to examine the relationship between specific aspects of subjective sleep continuity (TST and SE%) and fluid intelligence, assessed using abstract reasoning and mental rotation tasks. Unexpectedly, there was no relationship between subjective sleep continuity and abstract reasoning accuracy, or between subjective sleep continuity and mental rotation accuracy or response speed. These results indicate that habitual subjective sleep continuity is not associated with two closely-related aspects of fluid intelligence: abstract reasoning or mental rotation. Whilst these results are surprising given the very close link between sleep, memory, and cognition [1-3], to our knowledge, this is the first study to specifically examine the relationship between habitual subjective sleep continuity, as derived from sleep diaries, and fluid intelligence.

One possible reason for the unexpected findings observed in the present study is that there may only be a relationship between objective sleep macrostructure and fluid intelligence, and not between subjective sleep continuity and fluid intelligence. Specifically, the association between objective sleep macrostructure and fluid intelligence is likely to be predominantly underpinned by sleep spindles, which are distinctive patterns of waxing

and waning neural oscillations occurring during non-rapid eye movement (NREM) objective sleep [6]. This is demonstrated by the fact that a range of previous studies have observed that fluid intelligence is associated with objective sleep spindle density and amplitude, in adolescents and adults, and that this relationship is also observed during daytime nap opportunities [6, 20-22]. Sleep spindles originate from thalamocortical regions [23]; these neural regions have also been shown to be involved in fluid intelligence [24]. A further explanation for the present findings is that the relationship between habitual subjective sleep continuity and fluid intelligence may be affected by the relative instability of night-to-night subjective sleep, and differences in intra-individual subjective sleep. **It is known that subjective sleep continuity can be affected by a wide range of factors, including genetics, social behaviours, sleep attitudes, environment and timing, both within and between individuals [26]; therefore, future studies should specifically examine the impact of nightly variations in subjective sleep continuity upon fluid intelligence.**

This study could be extended by focussing on habitual objective sleep. As it is very likely that only objective sleep macrostructure is associated with fluid intelligence, ambulatory polysomnography (PSG) could be utilised to measure habitual objective sleep, rather than subjective sleep continuity, over an extended period of time in a home environment. Ambulatory PSG is reliable, cost-effective, and recent work has demonstrated that participants can also accurately self-collect PSG information [27]. Future studies could also administer intelligence tasks on a daily basis in order to examine whether short-term (i.e. nightly) changes to sleep continuity may influence fluid intelligence performance, measured on the subsequent morning.

The main strength of the present study is in the good level of ecological validity. One particular limitation is in the small sample size. Whilst although the associations between intelligence and objective sleep macrostructure, and particularly fast sleep spindle amplitude, which is potentially the most reliable marker of intelligence, are consistent, they are generally small with only modest effect sizes [4]. Despite the potential involvement of the locus coeruleus in fluid intelligence [7, 9], this may also explain why we did not observe a relationship between habitual sleep quality, measured using the PSQI, and fluid intelligence. One previous study, which found that higher PSQI-assessed subjective sleep quality was associated with fluid intelligence, did so with a sample size of approximately 12,000 participants [10]; therefore, associations between subjective sleep *continuity* and fluid intelligence may only be apparent in extremely large sample sizes.

Further limitations of the present study include the high levels of participant attrition, and the use of self-report sleep assessments, as these may be affected by response bias [11]. Although healthy self-reported sleepers were

recruited, we could not verify that this was the case and future studies may wish to use clinical interviews in screening; however, global PSQI scores were within the expected range for good sleepers (<5) [14]. In relation to this point, future studies with larger sample sizes may wish to specifically examine differences between good and poor sleepers; this may provide an insight into the potential casual role of subjective sleep in fluid intelligence. Similarly, this study could be replicated in poor sleepers only. Additionally, in the present study, we were unable to examine if males and females showed different patterns of association between subjective sleep and fluid intelligence. This is relevant as, for example, one previous study observed that in females, intelligence and fast sleep spindle amplitude were positively associated, but that in males, intelligence and fast spindle density was negatively related [28]. However, it was beyond the scope of the present study to examine if this was the case; therefore, future work could examine if biological sex differences underpin this relationship. Similarly, given the potential influence of age upon fluid intelligence [8], specific age groups (e.g. young adults) could be investigated in future.

Overall, the results of the present study indicate that there appears to be no relationship between habitual subjective sleep continuity and fluid intelligence. These results should be replicated using larger sample sizes.

References

1. Deak MC, Stickgold R. Sleep and cognition. *Wiley Interdiscip Rev Cogn Sci* 2010;1:491-500.
2. Goel N, Rao H, Durmer JS, Dinges DF. Neurocognitive consequences of sleep deprivation. *Semin Neurol* 2009;29:320-39.
3. Lim J, Dinges DF. A meta-analysis of the impact of short-term sleep deprivation on cognitive variables. *Psychol Bull* 2010;136:375-89.
4. Ujma PP, Bódizs R, Dresler M. Sleep and intelligence: critical review and future directions. *Current Opinion in Behavioral Sciences* 2020;33:109-17.
5. Fang Z, Smith DM, Houldin E, Ray L, Owen AM, Fogel S. The relationship between cognitive ability and BOLD activation across sleep-wake states. *Brain Imaging Behav* 2022;16:305-15.
6. Fang Z, Sergeeva V, Ray LB, Viczko J, Owen AM, Fogel SM. Sleep Spindles and Intellectual Ability: Epiphenomenon or Directly Related? *J Cogn Neurosci* 2017;29:167-82.
7. Tsukahara JS, Engle RW. Fluid intelligence and the locus coeruleus-norepinephrine system. *Proc Natl Acad Sci U S A* 2021;118.
8. Bajpai S, Upadhyay AD, Banerjee J, Chakrawarthy A, Chatterjee P, Lee J, et al. Discrepancy in Fluid and Crystallized Intelligence: An Early Cognitive Marker of Dementia from the LASI-DAD Cohort. *Dement Geriatr Cogn Dis Extra* 2022;12:51-9.
9. Samuels ER, Szabadi E. Functional neuroanatomy of the noradrenergic locus coeruleus: its roles in the regulation of arousal and autonomic function part I: principles of functional organisation. *Curr Neuropharmacol* 2008;6:235-53.
10. Smith D, Wild C, Owen A, Fogel S. Self-reported sleep quality correlates with fluid intelligence, but not crystallized intelligence or short-term memory in humans. *Sleep Medicine* 2019;64:S357.
11. Ibanez V, Silva J, Cauli O. A survey on sleep assessment methods. *PeerJ* 2018;6:e4849.
12. Varriale V, van der Molen MW, De Pascalis V. Mental rotation and fluid intelligence: A brain potential analysis. *Intelligence* 2018;69:146-57.

13. Faul F, Erdfelder E, Buchner A, Lang AG. Statistical power analyses using G*Power 3.1: tests for correlation and regression analyses. *Behav Res Methods* 2009;41:1149-60.
14. Buysse DJ, Reynolds III CF, Monk TH, Berman SR, Kupfer DJ. The Pittsburgh Sleep Quality Index: A new instrument for psychiatric practice and research. *Psychiatry Res* 1989;28:193-213.
15. Carney CE, Buysse DJ, Ancoli-Israel S, Edinger JD, Krystal AD, Lichstein KL, et al. The consensus sleep diary: standardizing prospective sleep self-monitoring. *Sleep* 2012;35:287-302.
16. Stoet G. PsyToolkit: A Novel Web-Based Method for Running Online Questionnaires and Reaction-Time Experiments. *Teaching of Psychology* 2016;44:24-31.
17. Arthur W, Day DV. Development of a Short form for the Raven Advanced Progressive Matrices Test. *Educational and Psychological Measurement* 1994;54:394-403.
18. Collins DW, Kimura D. A large sex difference on a two-dimensional mental rotation task. *Behav Neurosci* 1997;111:845-9.
19. Robson AR, Ellis JG, Elder GJ. Poor false sleep feedback does not affect pre-sleep cognitive arousal or subjective sleep continuity in healthy sleepers: a pilot study. *Sleep and Biological Rhythms* 2022.
20. Bodizs R, Gombos F, Ujma PP, Kovacs I. Sleep spindling and fluid intelligence across adolescent development: sex matters. *Front Hum Neurosci* 2014;8:952.
21. Bodizs R, Kis T, Lazar AS, Havran L, Rigo P, Clemens Z, et al. Prediction of general mental ability based on neural oscillation measures of sleep. *J Sleep Res* 2005;14:285-92.
22. Ujma PP, Bodizs R, Gombos F, Stintzing J, Konrad BN, Genzel L, et al. Nap sleep spindle correlates of intelligence. *Sci Rep* 2015;5:17159.
23. Luthi A. Sleep Spindles: Where They Come From, What They Do. *Neuroscientist* 2014;20:243-56.
24. Duncan J, Assem M, Shashidhara S. Integrated Intelligence from Distributed Brain Activity. *Trends Cogn Sci* 2020;24:838-52.

25. Landolt HP. Genetic determination of sleep EEG profiles in healthy humans. *Prog Brain Res* 2011;193:51-61.
26. Grandner MA. Sleep, Health, and Society. *Sleep Medicine Clinics* 2020;15:319-40.
27. Punjabi NM, Brown T, Aurora RN, Patel SR, Stosor V, Cho JH-J, et al. Methods for home-based self-applied polysomnography: the Multicenter AIDS Cohort Study. *SLEEP Advances* 2022;3.
28. Ujma PP, Konrad BN, Genzel L, Bleifuss A, Simor P, Potari A, et al. Sleep spindles and intelligence: evidence for a sexual dimorphism. *J Neurosci* 2014;34:16358-68.