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Ma Yue: Conceptualization, Methodology, Software, Investigation, Writing - Original Draft.

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1 Techno-economic-environmental assessment and performance comparison of a
2 building distributed multi-energy system under various operation strategies

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21

22 **Abstract**

23 The distributed energy system (DES) is a promising technology that could enable
24 decarbonization in the building sector. Comprehensive DES system assessment from a
25 holistic perspective is crucial for system design, operation strategy selection, and
26 performance optimization. This paper proposes a techno-economic-environmental
27 integrated assessment model for comprehensive system evaluation. The DES
28 configuration mainly includes a photovoltaic panel, ground source heat pump, gas
29 turbine, absorption heat pump, and thermal storage tank. The system is simulated under
30 three operation strategies with MATLAB/Simulink, which are following thermal load
31 (FTL), following electric load (FEL), and following electric load with thermal storage
32 (FELTS). Entropy-TOPSIS method is used to evaluate the DES's techno-economic-
33 environmental performance under various operation strategies. The results indicate that
34 the DES' primary energy efficiency ratio under the three operation strategies of FTL,
35 FEL and FELTS are 51.49%, 86.78%, and 125.69%, respectively. The dynamic annual
36 values are 1.05×10^6 CNY, 7.23×10^5 CNY, and 5.94×10^5 CNY, respectively.
37 The total greenhouse gas emissions are $36.2 \text{ kg CO}_2\text{eq}/(\text{m}^2 \cdot \text{a})$, $22.8 \text{ kg CO}_2\text{eq}/(\text{m}^2 \cdot$
38 $\text{a})$, and $16.4 \text{ kg CO}_2\text{eq}/(\text{m}^2 \cdot \text{a})$, respectively. The entropy-TOPSIS analysis results
39 showed that under FELTS operation strategy, DES performs the best; it has the best
40 indicators for technical and environmental evaluation.

41 **Keywords:** Distributed energy system; Energy storage; Life cycle assessment;
42 Operation strategy; Entropy weight method; Technique for order preference by

43 similarity to an ideal solution

Journal Pre-proof

44 **1. Introduction**

45 Buildings accounted for 36 percent of global energy demand and 37 percent of energy-
46 related carbon dioxide emissions in 2020 [1]. To develop a sustainable and low-carbon
47 society, energy conservation and emission reduction in the building sector play an
48 increasingly significant role [2]. In China, building electricity, heating, and cooling
49 demands are mainly satisfied by fossil-fueled thermal power plants and air conditioners
50 with relatively low energy efficiency [3-5], and it causes a severe pollution problem. To
51 mitigate environmental impact while meeting the increasing building energy demand,
52 many scholars worldwide focus efforts on the research of DES [6].

53 The DES combines renewable energy utilization devices and the combined cooling,
54 heating, and power (CCHP) system to supply buildings with electricity, heating, and
55 cooling energy [7-9]. Renewable energy is rich in resources and pollution-free, and the
56 CCHP system realizes cascade utilization of energy [10-13]. This system integration
57 improves total system efficiency and reduces emissions [14]. The integrated DES can
58 provide varying thermal and electric energy to meet the actual building energy demand
59 [15, 16]. The building demands vary throughout the year, and the inappropriate control
60 strategy causes energy shortages or energy waste problems. Research on system
61 modeling, operation strategy, and comprehensive performance evaluation is critical for
62 developing and applying the DES.

63 Some scholars focus on optimizing DES equipment configuration and capacity. Chen
64 et al. analyzed the application of gas-fired CCHP and Ground source heat pump (GSHP)

65 coupling systems in a commercial park. The results showed that the coupling system
66 consumes less energy and demonstrates less environmental impact than the
67 conventional system [17]. Boyaghchi et al. established a new model of a micro CCHP
68 system powered by solar and geothermal energy. The non-dominated sorting genetic
69 algorithm II was used to perform the multi-objective system optimization [18].
70 Lombardo et al. developed a solar-driven CCHP system and tested its performance. The
71 system operates on average about 2400 hours per year, while the system efficiency in
72 different regions can reach 32% to 42% [19]. Wang et al. derived a thermodynamic
73 analysis for a CCHP system bases on solar thermal biomass gasification [20].
74 Mehrpooya et al. investigated the performance of CCHP system coupling with solid
75 oxide fuel cells and performed a case study in Tehran [21].

76 The studies mentioned above compared different types of energy systems' performance
77 or optimized system performance under one specific operation strategy. A comparison
78 of the DES system performance under different operation strategies is needed. Zhang
79 et al. compared the energy, economic and environmental performance of CCHP systems
80 under various operation strategies: following hybrid electric-thermal load, following
81 thermal load, and following electric load [22]. Results showed that the system
82 performed the best under FEL. Ren et al. found that the DES performance under FTL
83 and FEL is better than the traditional centralized energy supply system [23]. Zhu et al.
84 studied the optimal combination, capacity, and operation strategy of the CCHP system
85 with renewable energy. The net present value, internal rate of return, and dynamic

86 payback period are used as economic indicators, and the carbon dioxide emission
87 reduction rate is used as environmental protection indicator [24]. Ren et al. optimized
88 and compared the performance of a DES under different operation strategies, and the
89 results showed that the FEL has the best performance [25]. Das et al. established a
90 hybrid energy system integrating two prime movers (internal combustion engine and
91 micro gas turbine) and photovoltaic (PV) modules under various operation strategies.
92 Results showed that the system demonstrates higher overall efficiency and better
93 environmental performance under the FEL operation strategy [26]. Brandoni et al.
94 conducted a study to optimize DES performance. The integrated DES includes micro
95 CCHP and the high-concentration photovoltaic power generation system [27]. The
96 multi-objective optimization of DES system performance under different operation
97 strategies, such as FEL, FTL, etc., has been studied [28].

98 Some research work utilized the life cycle assessment (LCA) method for evaluating the
99 system's environmental impact, but most of the researches didn't consider the
100 environmental impact in the multi-objective optimization process presented above.

101 Yang et al. used LCA and analyzed the optimal capacity of a CCHP system with
102 biomass gasification. Results showed that the system's techno-Economic-Environment
103 performance indicators increased first and then decreased with system capacity increase,
104 and the optimal capacity is 5 MW [29]. Jing et al. studied the building cogeneration
105 system's primary energy consumption and pollutant emission under the FEL and FTL
106 through LCA [30]. Peppas et al. developed a zero-carbon emission renewable energy-

107 hydrogen hybrid energy system with LCA. Compared with the municipal grid hybrid
108 power system, the system's global warming potential, acidification potential, and
109 photochemical oxidant generation are reduced by 40%, 42%, and 35%, respectively
110 [31].

111 Researchers investigated the DES's configuration and capacity optimization, operation
112 strategy optimization, LCA, and system comprehensive evaluation. Although existing
113 research emphasizes the importance of optimization and evaluations for distributed
114 energy systems for their development and applications, most studies focus on
115 evaluations from techno-economic aspects or for a relatively short operational time. Li
116 et al. used the Technique for Order Preference by Similarity to an Ideal Solution
117 (TOPSIS) to optimize the biomass gasification-based CCHP system for a hypothetical
118 hotel building in six different climate zones in China [32]. Wang et al. developed a
119 quantitative multi-criteria methodology for building retrofitting programs by
120 integrating the benefits of variable clustering and TOPSIS [33].

121 There is a lack of research on the DES performance under different operation strategies
122 over a long operational time and, most importantly, evaluation and comparison of the
123 system performance under different operation strategies from a holistic perspective.

124 Thus, research on the evaluation of DES systems' performance from a holistic
125 perspective should be performed; this includes proposing a normalized evaluation
126 process and comparing the performance of DES under various operation strategies
127 using the proposed evaluation process.

128 This paper presented a techno-economic-environmental integrated assessment model
129 for the DES system. The DES utilizes the PV, GSHP, gas turbine (GT), absorption heat
130 pump (AHP), and thermal storage tank (TST) to meet building electricity, heating, and
131 cooling demands. The system simulation method uses MATLAB software to simulate
132 the system operation status and techno-economic evaluation, while the LCA method is
133 used for environmental evaluation. The Entropy-TOPSIS method is proposed for the
134 techno-economic-environmental integrated systematic performance evaluation. We
135 proposed a novel operation strategy FELTS for the DES. The holistic system analysis
136 results of the DES system under the FELTS are compared to system results under the
137 two conventional operation strategies, which include FTL and FEL, and the results are
138 discussed.

139 **2. Methodology and Case Analysis**

140 **2.1. Distributed Energy System**

141 The DES consists of PV, GT, GHSP, AHP, TST, and auxiliary equipment. The system
142 is powered by solar energy, natural gas, geothermal energy, and electric energy to meet
143 the corresponding cooling, heating, and power energy demand for the building.

144 **2.1.1. Photovoltaics model**

145 The power generation and efficiency mainly depend on the PV panels' temperature and
146 solar radiation intensity [34-36]. The surface temperature is affected by ambient
147 temperature:

$$148 \quad T_c = T_{\text{ref}} + K \cdot G_{AC} \quad (1)$$

149 Where T_c is the realistic temperature of PV panel (K), T_{ref} is the ambient
 150 temperature (K), K is the temperature coefficient of PV panel and the value is 0.03, G_{AC}
 151 is the solar radiation intensity (W/m^2). The output power of PV module is determined
 152 by panel temperature and solar radiation intensity:

$$153 \quad P_{PV} = P_{STC} \cdot [1 - 0.0047(T_c - T_r)] \cdot \frac{G_{AC}}{G_{STC}} \quad (2)$$

154 Where P_{PV} is the output power of the PV module (kW), P_{STC} is the maximum output
 155 power under standard test conditions (kW), T_r is the reference temperature of the PV
 156 module, and the value is $25^\circ C$, G_{STC} is the solar radiation intensity under standard test
 157 conditions, and the value is $1000 W/m^2$.

158 2.1.2. Ground source heat pump model

159 The GSHP is a heating/cooling system for the building [37, 38], and the Gordon model
 160 is used for calculating heat pump output power [39]:

$$161 \quad W = \frac{Q_e + q_c}{T_{ch,out}} \cdot T_{cl,in} - q_c + (f_{HX} - 1) \cdot Q_e \quad (3)$$

162 Where W is the heat pump output power (W), $T_{cl,in}/T_{ch,out}$ is the inlet/outlet cooling
 163 water temperature of condenser (K), q_c/q_e is the heat loss in condenser/evaporator (W),
 164 Q_e is the cooling load (W), f_{HX} is the coefficient reflecting various working
 165 conditions. Equation (4) is determined by the least-square fitting of Equation (3) using
 166 the manufacture-provided data:

$$167 \quad \frac{W}{Q_R} = (L + 0.667) \cdot \frac{T_{cl,in}}{T_{ch,out}} - 1.001 \cdot L - 0.651 \quad (4)$$

168 Where Q_R is the heat pump rated power (kW), L is the heat pump partial load rate. In
 169 heating mode, the COP of the heat pump can be expressed as:

$$170 \quad COP = \frac{Cap_{heating}}{P_{heating}} \quad (5)$$

171 Where $P_{heating}$ is the consumed heat pump power in heating mode (kW), $Cap_{heating}$
 172 is the heat transferred by the heat pump (kW). The heating energy absorbed by the heat
 173 pump from the soil is:

$$174 \quad Q_{absorbed} = Cap_{heating} - P_{heating} \quad (6)$$

175 The outlet water temperature at the source side and load side of the heat pump are shown
 176 as follows:

$$177 \quad T_{source,out} = T_{source,in} - \frac{Q_{absorbed}}{m_{source} \cdot Cp_{source}} \quad (7)$$

$$178 \quad T_{load,out} = T_{load,in} + \frac{Cap_{heating}}{m_{load} \cdot Cp_{load}} \quad (8)$$

179 Where $T_{source,in} / T_{load,in}$ is the inlet water temperature of heat pump on the
 180 source/load side (K), Cp_{source} / Cp_{load} is the specific heat of circulating fluid on the
 181 source/load side (kJ/(kg · K)), m_{source} / m_{load} is the water flow on the source/load
 182 side (kg/s).

183 2.1.3. Gas turbine model

184 The natural gas turbine is a common choice for the prime mover of the CCHP system.
 185 The operating performance of the GT is mainly affected by system partial load rates
 186 and ambient temperature [40, 41]. Below presented the equations for the gas turbine
 187 model.

$$\begin{cases}
P_{GT} = p_1(t)E_{GT} + q_1(t)\delta_{GT} \\
p_1(t) = a_1t + b_1 \\
q_1(t) = c_1t + d_1 \\
Q_{GT} = p_2(t)E_{GT} + q_2(t)\delta_{GT} \\
p_2(t) = a_2t + b_2 \\
q_2(t) = c_2t + d_2 \\
E_{GT_max} = E_{GT0_max} \left[1 - k_1(t-t_0)^3 - k_2(t-t_0)^2 - k_3(t-t_0) - k_4 \right] \\
E_{GT_min}\delta_{GT} \leq E_{GT} \leq E_{GT_max}\delta_{GT}
\end{cases} \quad (9)$$

189 Where E_{GT} is the fuel consumption of GT (kW), δ_{GT} is the binary state parameter of
190 GT, Q_{GT} is the residual heating energy in the exhaust gas of GT (kW), t is the ambient
191 temperature ($^{\circ}\text{C}$), t_0 is the ambient temperature under the design condition, 15°C ,
192 $E_{GT,min}/E_{GT,max}$ is the fuel consumption of GT under the minimum/maximum load
193 state (kW), p_1, p_2, q_1, q_2 are the parameters related to the ambient temperature. The
194 remaining variables, a_i , b_i and c_i are coefficients to be determined. The
195 performance curve of GT is fitted with manufacturer data. The output power of GT
196 under different ambient temperatures is as follows:

$$P_m = \begin{cases} 107.5(t < 283.15K) \\ 0.005275t^2 - 1.437t + 121.1(t \geq 283.15K) \end{cases} \quad (10)$$

$$P_{GT} = plr \cdot P_m \quad (11)$$

199 Where P_m is the maximum output power of GT (kW). The power generation efficiency
200 of GT at full load rate can be expressed as:

$$eff_m = \begin{cases} 0.3075(t < 283.15K) \\ -0.001063t^2 - 0.1134t + 31.98(t \geq 283.15K) \end{cases} \quad (12)$$

202 The power generation efficiency of GT should be re-calculated due to the influence of
203 partial load rate:

$$eff = k_{eff} \cdot eff_m \quad (13)$$

$$k_{eff} = a_0 + \sum_{n=1}^2 a_n \cdot \cos(nwP_{GT}) + b_n \cdot \sin(nwP_{GT}) \quad (14)$$

Where eff is the generation efficiency of GT, k_{eff} is the correction coefficient of GT efficiency, and the remaining parameters are constants. The fuel consumption of GT and heating energy of exhaust gas can be calculated as:

$$E_{GT} = \frac{P_{GT}}{eff} \quad (15)$$

$$Q_{GT} = E_{GT} \cdot (1 - eff) \quad (16)$$

2.1.4. Absorption heat pump model

The waste heating energy of the GT can power AHP. The afterburner (AB) ensures that the AHP has an adequate driving heat source. The performance curve of AHP is shown as follows:

$$COP_c = \frac{COP_{rc} \cdot plr_{ac}}{0.75plr_{ac}^2 + 0.0195plr_{ac} + 0.213} \quad (17)$$

$$COP_h = \frac{COP_{rh} \cdot plr_{ac}}{0.22plr_{ac}^2 + 0.6698plr_{ac} + 0.112} \quad (18)$$

$$\begin{cases} Q_{AC} = (Q_{GT} + Q_{AB}) \cdot COP_c \\ Q_{ACmin} \cdot \delta_{AC} \leq Q_{AC} \leq Q_{ACmax} \cdot \delta_{AC} \end{cases} \quad (19)$$

$$\begin{cases} Q_{AH} = (Q_{GT} + Q_{AB}) \cdot COP_h \\ Q_{AHmin} \cdot \delta_{AH} \leq Q_{AH} \leq Q_{AHmax} \cdot \delta_{AH} \end{cases} \quad (20)$$

Where COP_c and COP_h are the realistic cooling and heating efficiency, COP_{rc} and COP_{rh} are the nominal cooling and heating efficiency, plr_{ac} is the partial load rate, Q_{AC} and Q_{AH} are the cooling and heating capacity from flue gas (kW), δ_{AC} and δ_{AH} are cooling and heating binary state parameters, Q_{ACmin}/Q_{AHmin} is the minimum cooling/heating capacity (kW), Q_{ACmax}/Q_{AHmax} is the maximum cooling/heating capacity (kW), Q_{AB} is the heating energy provided by the AB (kW).

2.1.5. Thermal storage tank model

226 When the system cooling or heating energy supply exceeds the building energy demand,
 227 the TST is in the energy storage state. On the contrary, the TST is in the energy release
 228 state. The energy stored in the TST at the time i is shown as follows:

$$229 \quad Q_{tst}^i = \eta_{tst} Q_{tst}^{i-1} + \alpha_{tst,in}^{i-1} Q_{tst,in}^{i-1} - \alpha_{tst,out}^{i-1} Q_{tst,out}^{i-1} \quad (21)$$

230 Where Q_{tst}^i is the energy stored in TST at time i (kW), Q_{tst}^{i-1} is the energy stored in
 231 TST at time $i-1$ (kW), $Q_{tst,out}^{i-1}$ is the output energy from TST at time $i-1$ (kW), η_{tst}
 232 is the heat storage efficiency of TST, $\alpha_{tst,in}^{i-1}$ and $\alpha_{tst,out}^{i-1}$ is the binary state parameter.

233 2.2. Operation Strategies

234 2.2.1. Following the thermal load

235 The system control logic of FTL is as follows: Building cooling and heating load
 236 determine the residual heat of gas required by the AHP, and the GT's power generation
 237 is then determined. The GSHP is turned on when the AHP cannot satisfy the building's
 238 cooling or heating load. The GT, PV, and grid supply power to meet the electric demand.
 239 Under the FTL strategy, the energy balance of the system can be expressed as follows:

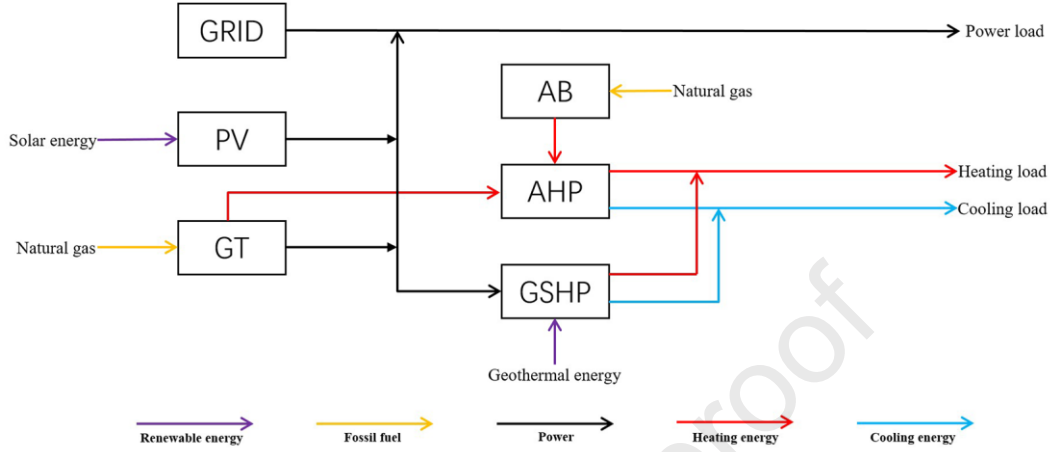
$$240 \quad E_{grid} + P_{PV} + P_{GT} \geq E_{dem} + W_{GSHP} \quad (22)$$

$$241 \quad Q_{h,AHP} + Q_{h,GSHP} = Q_{h,dem} \quad (23)$$

$$242 \quad Q_{c,AHP} + Q_{c,GSHP} = Q_{c,dem} \quad (24)$$

243 Where E_{grid} , P_{PV} and P_{GT} are the power from the grid, PV and GT, respectively
 244 (kW), E_{dem} and W_{GSHP} are the power demand of the building and GSHP (kW),
 245 $Q_{h,AHP}$ and $Q_{c,AHP}$ are the heating and cooling energy supply of the AHP
 246 (kW), $Q_{h,GSHP}$ and $Q_{c,GSHP}$ are the heating and cooling energy supply of the GSHP

247 (kW), $Q_{h,dem}$ and $Q_{c,dem}$ are the building heating and cooling loads (kW). The
 248 system's energy conversion and equipment configuration is shown in Fig. 1.



249

250

Fig. 1: The configuration of the DES under FTL.

251 2.2.2. Following the electric load

252 The system configuration under the FEL is the same as the system under the FTL. The
 253 system control logic of FEL is as follows: The electric load and the power generation
 254 of PV modules determine the GT power generation, and the cooling and heating
 255 capacity from the AHP under this power generation is then calculated. If the AHP
 256 provided cooling and heating can not meet the building demands, GSHP is used as
 257 supplementary energy to fill the gap. Under the FEL strategy, the energy balance of the
 258 system can be expressed as:

259

$$E_{grid} + P_{PV} + P_{GT} \geq E_{dem} + W_{GSHP} \quad (25)$$

260

$$Q_{h,AHP} + Q_{h,GSHP} \geq Q_{h,dem} \quad (26)$$

261

$$Q_{c,AHP} + Q_{c,GSHP} \geq Q_{c,dem} \quad (27)$$

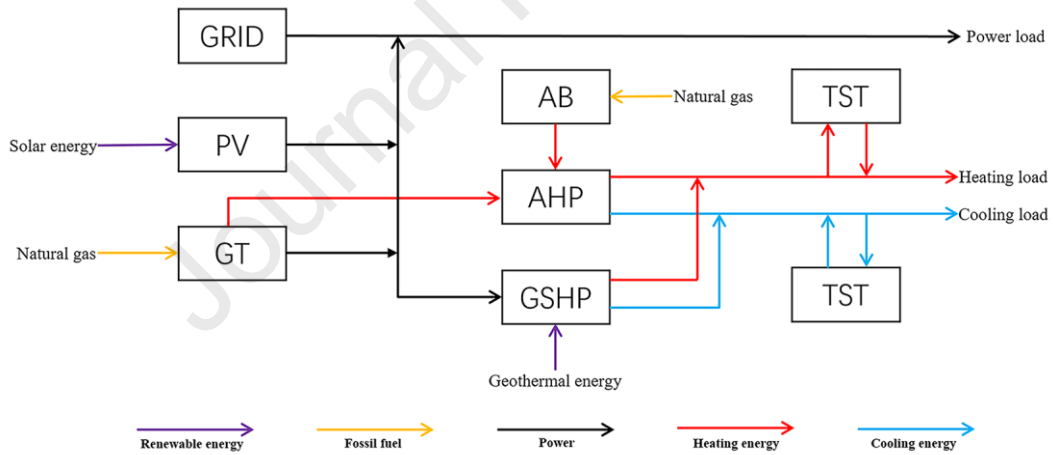
262 2.2.3. Following the electric load with thermal storage

263 Under FTL or FEL operation strategies, the system produces an excessive energy supply.
 264 Thus, integrating energy storage devices into the system is necessary for eliminating
 265 energy waste, and the operation strategy of FEL integrated with TST (FELTS) is
 266 proposed. The system control logic under FELTS is almost the same as the FEL. For
 267 the FELTS, when there is a difference between energy demand and supply, the stored
 268 energy is used as a primary supplement. Under the FELTS strategy, the energy balance
 269 of the system can be expressed as follows:

$$270 \quad E_{grid} + P_{PV} + P_{GT} \geq E_{dem} + W_{GSHP} \quad (28)$$

$$271 \quad Q_{h,AHP} + Q_{h,GSHP} + Q_{h,TST} \geq Q_{h,dem} \quad (29)$$

$$272 \quad Q_{c,AHP} + Q_{c,GSHP} + Q_{c,TST} \geq Q_{c,dem} \quad (30)$$



273

274

Fig. 2: The configuration of the DES under FELTS.

275 2.3. Techno-Economic-Environment Assessment

276 2.3.1. Energy evaluation index - PER

277 The non-renewable primary energy efficiency ratio (PER) is used as the energy
 278 evaluation index [42]. In this paper, the non-renewable primary energy consumption

279 (PEC) refers to the sum of the total natural gas consumed by the DES and the non-
 280 renewable primary energy consumed by the electricity purchased from the municipal
 281 power network:

$$282 \quad Q_{DES} = Q_{GT} + Q_{AC} + \frac{E_{grid}}{\eta_p \eta_l} \quad (31)$$

283 Where Q_{DES} is the PEC of DES (kWh), Q_{GT} is the natural gas consumption of GT
 284 (kWh), Q_{AC} is the natural gas consumption of AHP (kWh), E_{grid} is the electricity
 285 purchased from the grid (kWh), η_p is the electricity generation efficiency of the grid
 286 and the value is 0.35, η_l is the transmission efficiency and the value is 0.93. PER is
 287 the ratio of the total building energy demand satisfied by the system to the total PEC
 288 and it reflects the effective energy utilization of the system, and the expression is:

$$289 \quad PER = \frac{Q_e + Q_{cooling} + Q_{heating}}{Q_{DES}} \quad (32)$$

290 Where Q_e is the power load of the building (kWh), $Q_{cooling}$ is the cooling load of
 291 the building (kWh), $Q_{heating}$ is the building heating load (kWh).

292 2.3.2. Economic evaluation index - DAV

293 The dynamic annual-cost value (DAV) is selected as the economic evaluation index [43,
 294 44]. DAV considers the influence of initial investment, annual operation cost, and
 295 maintenance cost:

$$296 \quad DAV = C_c + C_{op} + C_m \quad (33)$$

$$297 \quad C_c = \frac{i(1+i)^n}{(1+i)^n - 1} C_0 \quad (34)$$

298 Where C_c is the annual discounted cost of initial investment (CNY), C_0 is the initial
 299 investment of the system (CNY), C_{op} is the annual operation cost of the system (CNY),

300 C_m is the annual maintenance cost of the system (CNY), i is the interest and the value
 301 is 6%, n is the devices operational lifetime, 20 years. The initial investment of the
 302 system is composed of the purchase and installation costs. The purchase cost can be
 303 calculated as:

$$304 \quad C_{p0} = \sum_{k=1}^l N_k C_k \quad (35)$$

305 Where C_{p0} is the purchase cost (CNY), N_k is the capacity of the k-th equipment (kW),
 306 C_k is the price of unit capacity (CNY/kW). The installation cost is calculated as a
 307 percentage of the equipment cost. The initial investment of the system can be calculated
 308 as:

$$309 \quad C_0 = C_{p0} + C_{i0} \quad (36)$$

310 Where C_{i0} is the system installation cost (CNY). The system annual operation cost is
 311 the sum of the energy consumption costs in a year, which includes the natural gas cost
 312 and the cost of purchasing power from the grid:

$$313 \quad C_{op} = B C_{gas} + E_{grid} C_e \quad (37)$$

314 Where B is the natural gas consumption of the system in the whole year (m^3), C_{gas} is
 315 the price of natural gas (CNY/ m^3), C_e is the power price of the grid (CNY/kWh). The
 316 system annual maintenance cost is calculated by the proportional coefficient method:

$$317 \quad C_m = \varepsilon C_c \quad (38)$$

318 Where ε is the maintenance cost coefficient, and the value is 0.03.

319 **2.3.3. Environment evaluation index - LCA**

320 LCA is a common method for the quantitative evaluations of system environmental

321 impact [45-47]. According to the ISO international standard, LCA has four main phases:

322 goal and scope definition, inventory analysis, impact assessment, and interpretation.

323 **Goal and scope definition.** This paper evaluates the DES system's full-lifecycle

324 environment impact to identify the optimal operation strategies and improve the system

325 efficiency. Through LCA evaluations, it assesses and compares the DES system's

326 environmental impact under three operation strategies.

327 **System boundary and function unit.** The reference service life of various subsystems

328 is set to 20 years. This paper implements the “cradle-to-grave” research process. The

329 life cycle is analyzed from four phases: raw material acquisition and manufacturing

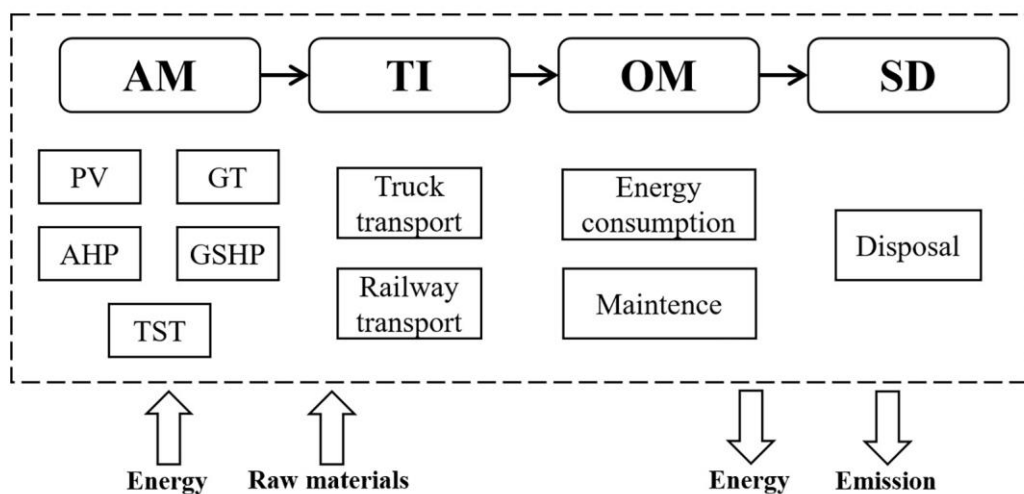
330 stage (AM), transportation and installation stage (TI), operation and maintenance stage

331 (OM), scrapping and disposal stage (SD). The system boundary of DES is displayed in

332 Fig. 3. To represent and compare the environmental impact of DES under various

333 operation strategies, the function unit is used in the LCA method. The defined function

334 unit is one square meter of flooring during one year.



335

336

Fig. 3: The system boundary of DES.

337 **Inventory analysis.** The data of this part comes from relevant papers, software
338 simulation, investigation, and the database of GaBi. Since a vast amount of data are
339 involved for the assessment of the DES system, it is necessary to simplify the process.
340 When the data's impact on the system results is less than 1% the threshold value, it is
341 considered insignificant to collect these data for the inventory analysis process, thus
342 these data will not be considered. The impact of the data on the system is assessed
343 according to its function unit.

344 **Impact assessment and interpretation.** The LCA evaluates the potential
345 environmental impact of the assessed system based on the inventory analysis results.
346 The iterative process of inventory analysis and impact assessment was performed to
347 ensure obtaining a reasonable LCA results. The interpretation is the stage of
348 comprehensive consideration of inventory analysis and impact assessment. The
349 conclusion in the interpretation stage should be consistent with the goal and scope of
350 the study. LCA evaluation results include greenhouse gas emission, terrestrial
351 acidification, marine eutrophication, human carcinogenic toxicity, etc. This paper
352 selects greenhouse gas emissions as the representative evaluation index of
353 environmental impact.

354 **2.4. Entropy-TOPSIS multi-criteria evaluation method**

355 A techno-economic-environment Entropy-TOPSIS multi-criteria evaluation method is
356 proposed for the systematic performance assessment. Entropy is an ideal scale in multi-
357 objective system evaluation and decision-making [48, 49]. The entropy-weighted

358 method determines the weight according to the implicit information contained in the
 359 evaluation index results. The lower the information quantity is, the greater the
 360 uncertainty will be and the lower the entropy will be. Therefore, in accordance with the
 361 characteristics of the entropy value, the importance of indicators can be judged by
 362 calculating the size of the entropy value[50]. The procedure for calculating the weights
 363 of indicators with the entropy method is shown as follows:

$$364 \quad \begin{cases} x'_{ij} = \frac{x_{ij} - \min(x_j)}{\max(x_j) - \min(x_j)}, \text{ for } x_{ij} \text{ is positive index} \\ x'_{ij} = \frac{\max(x_j) - x_{ij}}{\max(x_j) - \min(x_j)}, \text{ for } x_{ij} \text{ is negative index} \end{cases} \quad (39)$$

365 Where x_{ij} represents the j -th evaluation index of the i -th strategy. The proportion of
 366 the i -th strategy and j -th evaluation index is calculated:

$$367 \quad P_{ij} = \frac{x'_{ij}}{\sum_{i=1}^m x'_{ij}} \quad (40)$$

368 where m is the number of the operation strategy. The information entropy is calculated
 369 according to the index proportion:

$$370 \quad e_j = -\frac{1}{\ln m} \sum_{i=1}^m P_{ij} \ln P_{ij} \quad (41)$$

371 Finally, the index weight can be calculated:

$$372 \quad w_j = \frac{1 - e_j}{\sum_j 1 - e_j} \quad (42)$$

373 The TOPSIS effectively solves multi-criteria evaluation problems [49, 51]. The basic
 374 principle is to rank solutions by calculating the distance of the evaluation objects with
 375 the best solution and the distance with the poorest solution. TOPSIS makes the most
 376 use of the information of the original data, and its results can accurately reflect the gap
 377 between samples[50]. the evaluation index should be normalized:

$$378 \quad x_{ij,normal} = \frac{x_{ij}}{\sqrt{\sum_{i=1}^m x_{ij}^2}} \quad (43)$$

379 Then the positive and negative ideal solutions can be expressed as:

$$\begin{aligned} X^+ &= (\max\{x_{i1}\}, \max\{x_{i2}\}, \dots, \max\{x_{in}\}) \\ X^- &= (\min\{x_{i1}\}, \min\{x_{i2}\}, \dots, \min\{x_{in}\}) \end{aligned} \quad (44)$$

380 Where X^+ is the positive ideal solution while X^- is the negative ideal solution, and
 381 n is the number of the evaluation index which were described in Section 2.3. The
 382 Euclidean distance between each operation strategy and the optimal and worst can be
 383 calculated as follow:

$$\begin{aligned} D_i^+ &= \sqrt{\sum_{j=1}^m w_j (X_j^+ - x_{ij})^2} \\ D_i^- &= \sqrt{\sum_{j=1}^m w_j (X_j^- - x_{ij})^2} \end{aligned} \quad (45)$$

384 The final evaluation results of each operation strategy can be expressed as:

$$385 \quad CI_i = \frac{D_i^-}{D_i^+ + D_i^-} \quad (46)$$

386 Where CI_i is the evaluation results of the i -th strategy, and the closer it is to 1, the
 387 better the strategy's comprehensive performance is.

388 2.5. Case Study in Wuhan, China

389 An office building in Wuhan is selected for the case study. The building contains five
 390 floors, each floor area is approximately 1000 m^2 . The building type, number, and room
 391 areas are shown in Table 1.

392 Table 1: The building room information.

Room type	Numbers	Room area (m^2)
Office	55	39.6
Large conference room	4	79.2
Small conference room	8	39.6
Lounge	4	79.2
Toilet	20	24.8
Corridor (the first floor)	1	237.6
Corridor (the second to fifth floor)	4	198.0
Storehouse	5	39.6

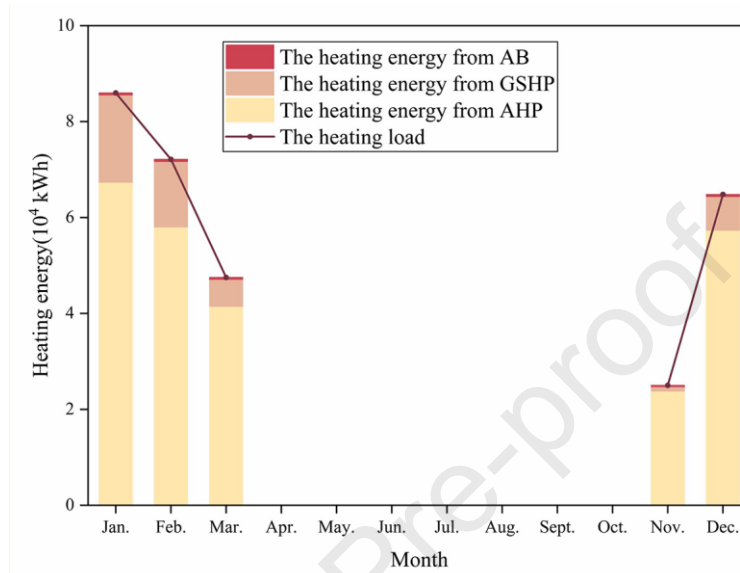
393 The meteorological data at Wuhan is obtained from the EnergyPlus website. TPL305M-
394 72 PV module is selected and is installed on the building roof with an inclination of 25°.
395 360 photovoltaic panels are arranged on the building roof; the total capacity of the
396 photovoltaic array is 109.80 kW. The reference efficiency is 15.78%, and the size is
397 1950*992 mm for each photovoltaic panel. The selected device of the DES under the
398 FTL and FEL is presented in the annex. The device model selection of FELTS is the
399 same as FEL, except that the devices of FELTS have an additional TST with a capacity
400 of 560 kW.

401 **3. Results and discussion**

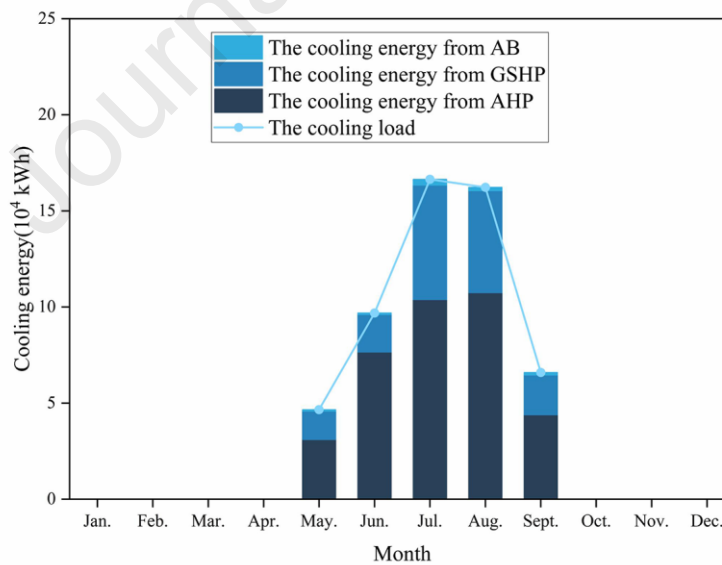
402 **3.1. Energy demand and supply**

403 The energy supply of DES under the FTL for each month in the whole year is presented
404 in Fig 4. For the building's monthly cooling and heating energy supply, AHP is the
405 largest, followed by GSHP and AB. In each month, the amounts of power surplus varies.
406 In April and October, the transitional seasons, the power load is satisfied by the PV
407 system and the grid while the GT is turned off to reduce energy waste. The total power
408 surplus is 4.27×10^5 kWh throughout the year. January has the most significant

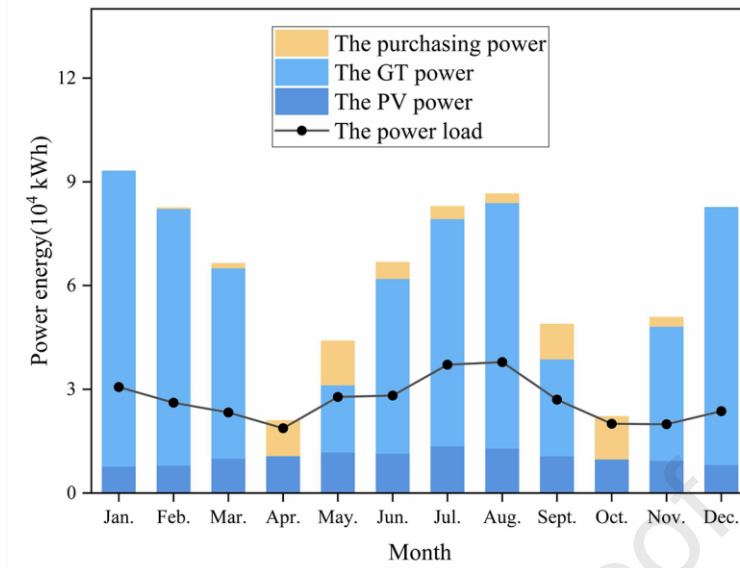
409 power surplus, 6.24×10^4 kWh; while October is the month with the least power
 410 surplus, 2.06×10^3 kWh. The building energy supply and demand for typical days
 411 are shown in the annex.



(a)



(b)

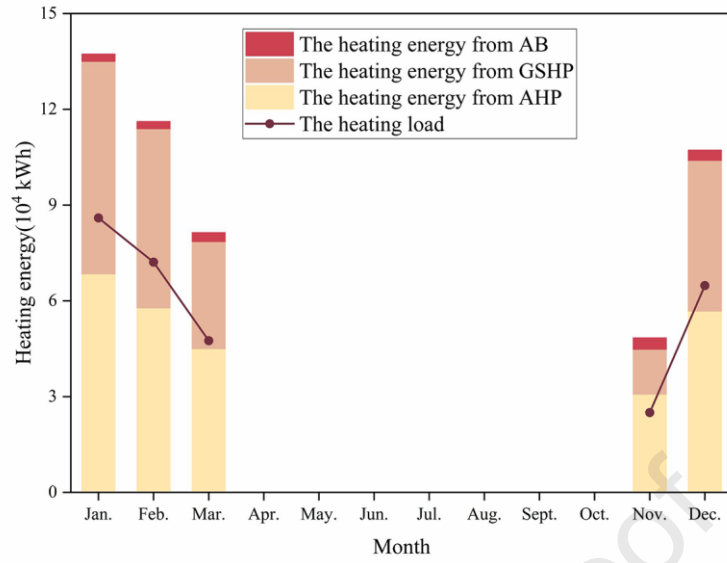


(c)

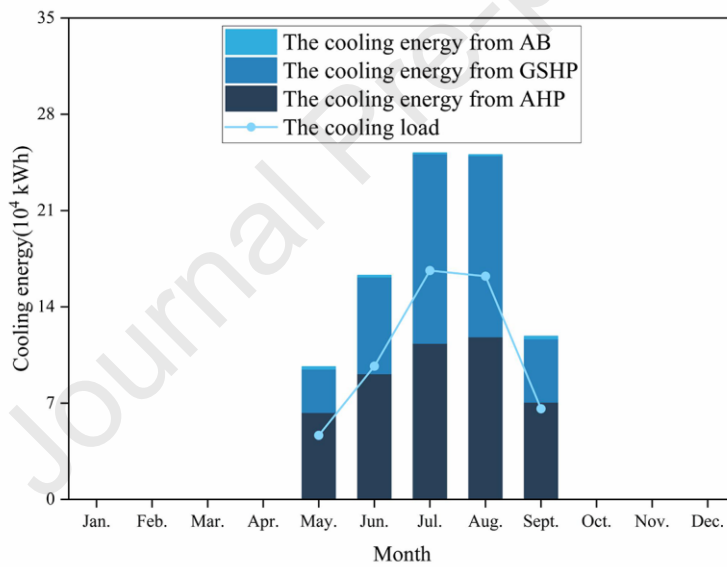
Fig. 4: Load and energy supply of DES under the FTL (a) Heating (b) Cooling (c)

Power.

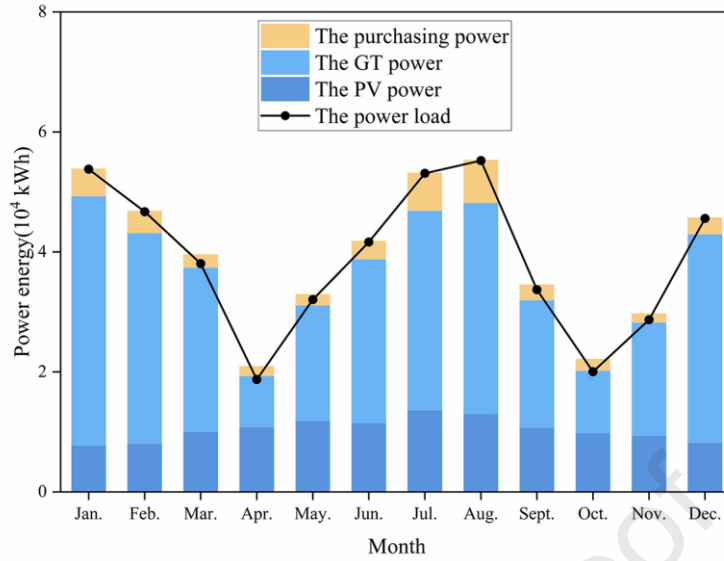
412 The energy load and supply under the FEL operation strategy are shown in Fig. 5. And
 413 there are various cooling and heating surpluses in each month of the year. During the
 414 cooling season, August is the month with the largest wasted cooling energy,
 415 8.84×10^4 kWh, while May is the minimum waste cooling energy, 4.99×10^4 kWh.
 416 During the heating season, January is the month with the largest wasted heating energy,
 417 5.13×10^4 kWh, while November is the minimum waste heating energy, 2.34×10^4
 418 kWh. The building energy supply and demand for typical days are shown in the annex.



(a)



(b)

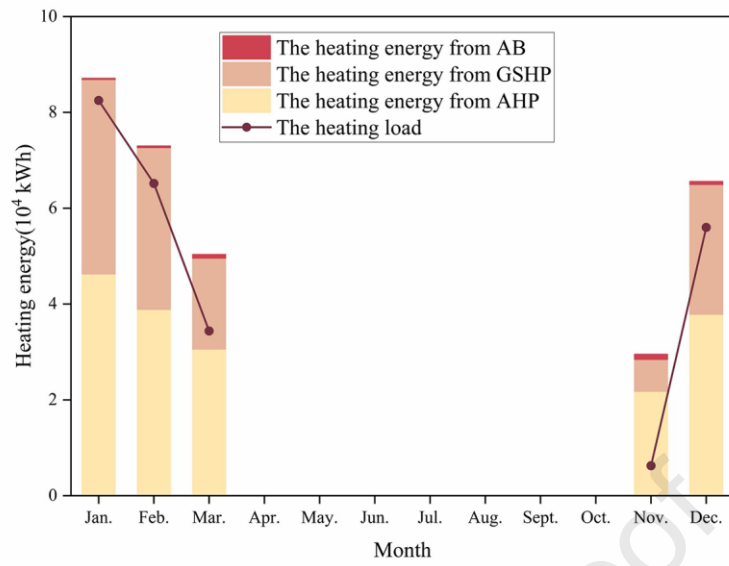


(c)

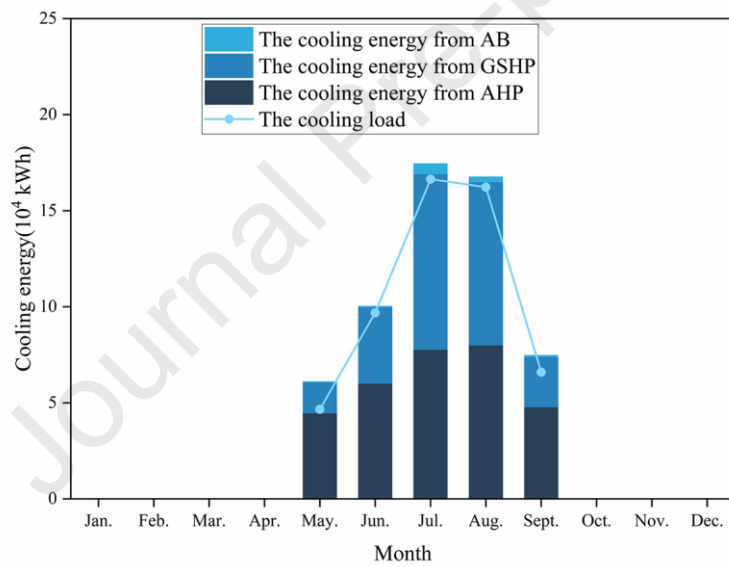
Fig. 5: Load and energy supply of DES under the FEL (a) Heating (b) Cooling (c)

Power.

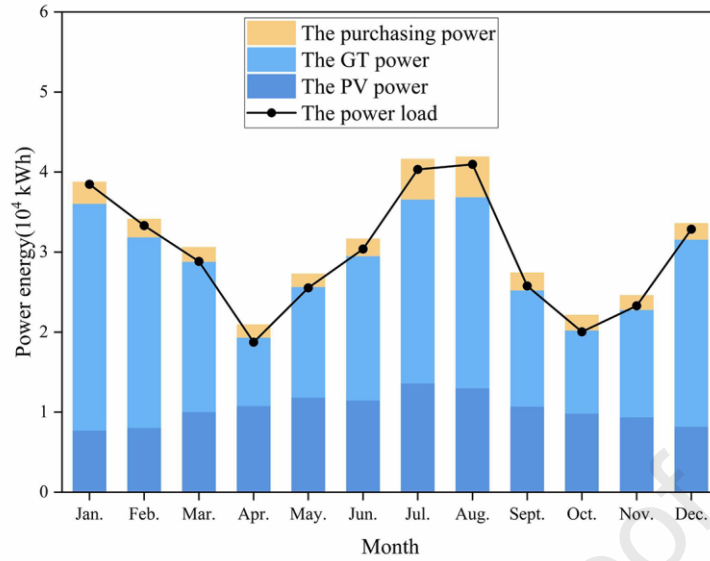
419 The energy load and supply under the FELTS are shown in Fig. 6. Compared with the
 420 FEL, it dramatically reduces the system's cooling and heating energy surplus each
 421 month of the year. During the cooling season, May is the month with a largest wasted
 422 cooling energy, 1.43×10^4 kWh, with a proportional reduction of 71.26%. The total
 423 yearly wasted cooling and heating energy is 4.89×10^4 kWh, which reduces 90.73%
 424 compared with the FEL. Compared with the FEL, the total power consumption stays
 425 constant in April and October, while the total power consumption decreased in other
 426 months. The yearly power generation of GT and power purchase for FELTS are
 427 2.20×10^5 kWh and 2.95×10^4 kWh respectively and reduced by 29.7% and 22.8%
 428 compared with FEL. The building energy supply and demand for typical days are shown
 429 in the annex.



(a)



(b)



(c)

Fig. 6: Load and energy supply of DES under FELTS (a) Heating (b) Cooling (c)

Power.

430 3.2. Device and Energy Cost

431 The unit capacity cost for components in the system is shown in Table 2. The price of
 432 natural gas is 3.54 CNY/Nm³, and the time-varying electricity price is shown in Table
 433 3.

434 Table 2: The unit capacity cost.

Device	Unit	Unit cost
AHP	CNY/kW	1000
GSHP	CNY/kW	1250
PV	CNY/kW	1000
Buried pipe	CNY/m	90
TST	CNY/kW	300
Water pump	CNY/kW	400

435 Table 3: The sharing price in Wuhan.

Period	Time interval	Price (CNY/kWh)
Peak load	8 p.m. to 10 p.m.	1.2071

High load	9 a.m. to 3 p.m.	1.0070
Ordinary load	7 a.m. to 9 a.m.,	0.6907
	3 p.m. to 8 p.m.,	
Low load	10 p.m. to 11 p.m.	0.3550
	11 p.m. to 7 a.m.	

436 The cost function of GT is shown as:

$$437 \quad C_{GT} = \begin{cases} -0.824P_{GT,rated} + 6713.60(P_{GT} < 4000) \\ -0.168P_{GT,rated} + 4113.92(P_{GT} \geq 4000) \end{cases} \quad (47)$$

438 Where C_{GT} is the unit cost of GT, $P_{GT,rated}$ is the nominal capacity of GT.

439 3.3. DES Performance Analysis

440 The service life of PV modules, GT, GSHP, and other devices is assumed to be 20 years.

441 Table 4 presents the energy consumption of DES in one life cycle under the three

442 operation strategies.

443 Table 4: Energy consumption of DES under the three operation strategies.

Item	Unit	FTL	FEL	FELST
Natural gas consumption	Nm^3	3.85×10^6	2.26×10^6	1.54×10^6
Power purchase	kWh	1.21×10^6	7.77×10^5	6.03×10^5
Power generation by GT	kWh	1.12×10^7	6.23×10^6	4.40×10^6
Power generation by PV	kWh	2.50×10^6	2.50×10^6	2.50×10^6
Cooling output by GSHP	kWh	3.35×10^6	8.35×10^6	5.13×10^6
Heating output by GSHP	kWh	9.08×10^5	4.35×10^6	2.56×10^6
Cooling output by AHP (exhaust gas)	kWh	7.25×10^6	9.40×10^6	6.33×10^6
Heating output by AHP (exhaust gas)	kWh	4.96×10^6	6.13×10^6	4.58×10^6
Cooling output by AHP (AB)	kWh	1.57×10^5	1.29×10^5	1.75×10^5
Heating output by AHP (exhaust gas)	kWh	4.02×10^4	2.81×10^5	6.23×10^4
Cooling output by TST	kWh	/	/	3.51×10^6
Heating output by TST	kWh	/	/	2.11×10^6
PEC	kWh	4.13×10^7	2.45×10^7	1.69×10^7
PER	%	51.49	86.78	125.69

444 The DES is powered by fossil fuel and renewable energy; thus, system efficiency can
445 be improved compared to the traditional centralized energy supply system[53, 54], and
446 various operation strategies lead to different system operating performances. Under the
447 FTL operation strategy, the power generation of GT is 1.12×10^7 kWh, and the
448 cooling and heating output recovering from the exhaust gas are 7.25×10^6 kWh and
449 4.96×10^6 kWh. The power generation of GT is 6.23×10^6 kWh for FEL, while its
450 cooling and heating output from the exhaust gas are 9.40×10^6 kWh and
451 6.13×10^6 kWh. It is obvious that the FEL makes more efficient use of waste heat than
452 the FHL; that is, it consumes less non-renewable primary energy with a higher energy
453 utilization efficiency for meeting the building cooling, heating, and power load. In Table
454 4, the cooling and heating output of GSHP and AHP (exhaust gas) under the FEL are
455 higher than that under the FTL. More generally, the situation is greatly improved with
456 the integration of TST. Compared with the FEL, the system's primary energy input, GT
457 power generation, GSHP output, and AHP (exhaust gas) under the FELTS have a certain
458 amount of reduction. The energy supply of DES is more consistent with the building
459 load under the FELTS, and waste energy is reduced.

460 The DES system's PEC under the FTL operation strategy is the largest, followed by the
461 FEL and FELTS. The FELTS reduced by 54.8% and 26.7%, respectively, compared
462 with the FTL and FEL. The FELTS has the highest PER among the three operation
463 strategies, 144.1% and 44.8% higher than the FTL and FEL. Notably, the PER of FELTS
464 is higher than 100.0% as the PER of GSHP in the system is more than 100.0%, and the

465 photovoltaic subsystem has an energy output without inputting non-renewable primary
466 energy.

467 The DES optimization is crucial to system lifecycle cost reduction [7, 24]. According
468 to the model and data, it can be acquired that the economic assessment results of DES
469 under the three operation strategies. The FELTS has the best economic performance,
470 and the economic performance of the DES under the three operation strategies is shown
471 in Table 5. The initial investment of the system for FTL is 3.51×10^6 CNY, and it is
472 slightly larger than that of the other two operation strategies as it has a larger equipment
473 capacity. In terms of the composition of annual operation cost, the natural gas cost
474 accounts for a large proportion while the power purchase cost accounts for a small
475 proportion, which shows that the power demand is mainly satisfied by the DES. In
476 comparison, the annual operation cost of the system under the FTL is the largest, and
477 that of the three strategies are 7.33×10^5 CNY, 4.35×10^5 CNY, and 2.99×10^5
478 CNY respectively. From the perspective of system DAV, the FTL has the largest DAV,
479 and the DAV of the three operation strategies is 1.05×10^6 CNY, 7.23×10^5 CNY,
480 and 5.94×10^5 CNY.

481 Table 5: Economic assessment result of DES under three operation strategies (CNY).

Cost categories	FTL	FEL	FELTS
Initial investment	3.51×10^6	3.21×10^6	3.28×10^6
Yearly cost of natural gas	6.81×10^5	4.02×10^5	2.73×10^5
Yearly cost of power	5.20×10^4	3.32×10^4	2.63×10^4
Yearly operation cost	7.33×10^5	4.35×10^5	2.99×10^5
Yearly maintenance cost	9.18×10^3	8.40×10^3	8.57×10^3
DAV	1.05×10^6	7.23×10^5	5.94×10^5

482 Based on the inventory data of the DES and the calculation results of GaBi software,

483 the corresponding greenhouse gas emissions of the functional unit can be obtained, and
 484 the greenhouse gas emissions of the DES at each stage under the three operation
 485 strategies are shown in Table 6. The proportions of greenhouse gas emissions of
 486 different subsystems under the three operation strategies are shown in Fig. 7. The data
 487 of the inventory analysis is shown in the annex.

488 Table 6: The greenhouse gas emissions of the DES under three operation strategies.

		FTL	FEL	FELTS
PV (kg CO ₂ eq)	AM	3.18×10^{-1}	3.18×10^{-1}	3.18×10^{-1}
	TI	1.96×10^{-3}	1.96×10^{-3}	1.96×10^{-3}
	OM	0	0	0
	SD	1.32×10^{-1}	1.32×10^{-1}	1.32×10^{-1}
	Subtotal	4.52×10^{-1}	4.52×10^{-1}	4.52×10^{-1}
GSHP (kg CO ₂ eq)	AM	6.28×10^{-1}	7.23×10^{-1}	6.99×10^{-1}
	TI	5.80×10^{-2}	1.02×10^{-1}	8.78×10^{-2}
	OM	5.63	6.16	3.19
	SD	1.73×10^{-3}	1.66×10^{-3}	1.61×10^{-3}
	Subtotal	6.32	6.99	3.98
GT (kg CO ₂ eq)	AM	5.65×10^{-2}	2.84×10^{-2}	2.84×10^{-2}
	TI	8.66×10^{-4}	4.33×10^{-4}	4.33×10^{-4}
	OM	22.70	13.30	9.33
	SD	1.03×10^{-4}	5.20×10^{-5}	5.20×10^{-5}
	Subtotal	2.28×10^1	1.33×10^1	9.36×10^0
AHP (kg CO ₂ eq)	AM	2.38×10^{-1}	1.86×10^{-1}	1.86×10^{-1}
	TI	1.91×10^{-3}	1.53×10^{-3}	1.53×10^{-3}
	OM	1.03×10^0	7.00×10^{-1}	1.75×10^{-1}
	SD	4.25×10^{-4}	3.47×10^{-4}	3.47×10^{-4}
	Subtotal	1.27×10^0	8.88×10^{-1}	3.63×10^{-1}
TST (kg CO ₂ eq)	AM	0	0	3.72×10^{-2}
	TI	0	0	7.10×10^{-5}
	OM	0	0	0
	SD	0	0	4.40×10^{-5}
	Subtotal	0	0	3.74×10^{-2}
electric equipment (kg CO ₂ eq)		5.34	1.14	2.23
Total (kg CO ₂ eq)		36.18	22.77	16.40

489

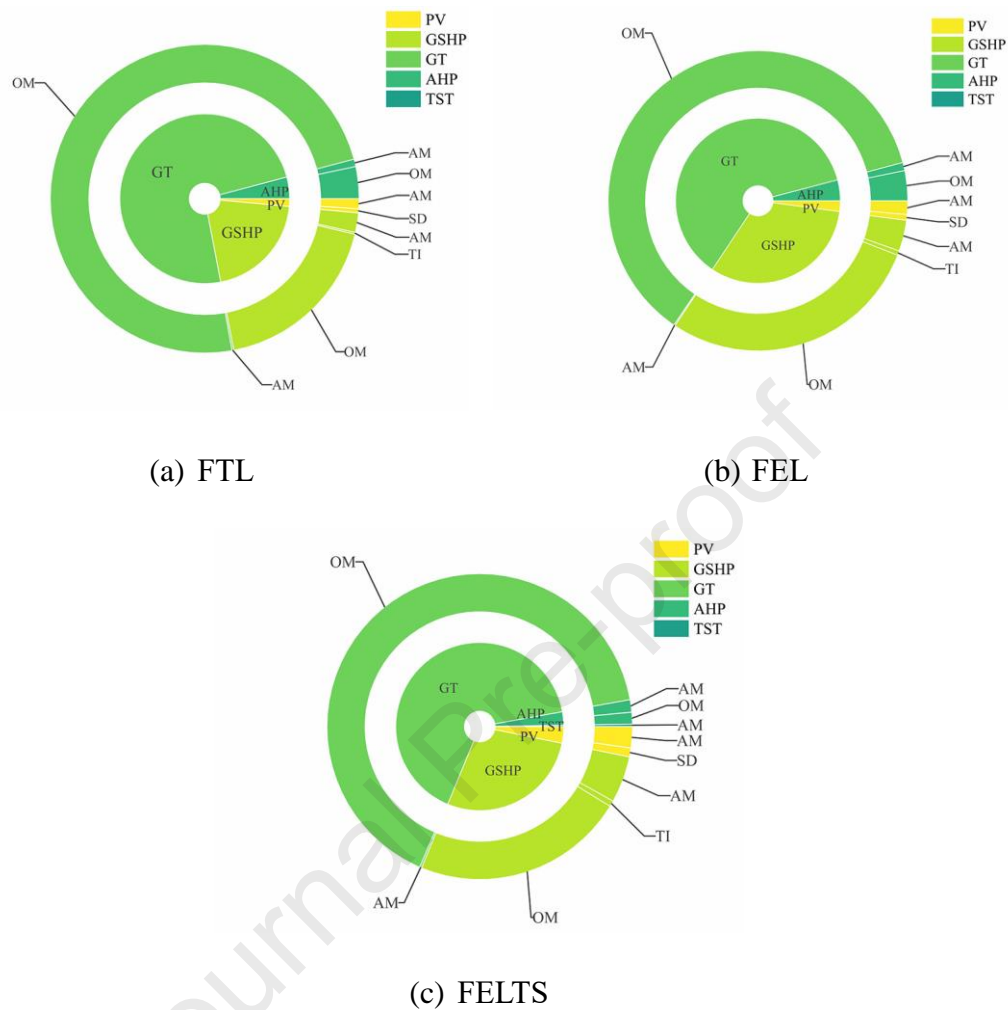


Fig. 7: The proportions of greenhouse gas emissions of different subsystems for the three operation strategies.

490 The LCA provides a comprehensive environmental assessment of energy system
 491 equipment and further performance improvements based on the results [55, 56]. From
 492 the phased greenhouse gas emissions of each subsystem under the three operation
 493 strategies, the greenhouse gas emissions of the PV subsystem are the same, as the
 494 capacity of the PV module is the same. Under the FTL and FEL, the greenhouse gas
 495 emission at the OM stage accounts for the most significant proportion of the GSHP, GT,

496 and AHP subsystems. In contrast, the greenhouse gas emission in the OM stage of the
497 AHP subsystem is less than that in the AM stage under the FELTS. In terms of
498 greenhouse gas emissions of each subsystem, the GT has the most significant
499 greenhouse gas emissions. Under the three operation strategies, the proportion of GT
500 greenhouse gas emissions in total emissions are 63.0%, 58.3%, and 57.1%, respectively.
501 The greenhouse gas emission of GSHP takes second place, accounting for 17.5%,
502 30.7%, and 24.3% of the total emissions, respectively. The greenhouse gas emissions
503 of PV are relatively small, highlighting clean energy's advantages.

504 The GSHP undertakes a significant cooling and heating load under the FEL. At the same
505 time, the environmental performance of the GSHP under FELTS is significantly
506 improved compared with the other two operation strategies. For the GT and AHP
507 subsystems, the FTL has the most significant greenhouse gas emission, which the FEL
508 and FELT follow. The total greenhouse gas emissions for the three operation strategies
509 are $36.2 \text{ kg CO}_2\text{eq}$, $22.8 \text{ kg CO}_2\text{eq}$, and $16.4 \text{ kg CO}_2\text{eq}$ respectively.

510 Based on the techno-economic-environment assessment, the improvement of DES's
511 environmental performance is from two aspects: first is to maximize building demand-
512 supply fit by selecting the appropriate equipment model and configuration, and second
513 is to use clean and renewable energy such as solar energy.

514 The comprehensive system evaluation is based on the technology, economy, and
515 environment, and the entropy-TOPSIS method is employed as previously described.

516 This paper selects two indicators to evaluate the system's performance in technology,

517 PER, and the degree of dependence on foreign energy (DFE). The DFE consists of the
 518 power purchase cost and gas purchase cost. The economic evaluation includes DAV
 519 and initial investment (II), while the greenhouse gas emission (GGE) is the
 520 environmental evaluation index. The five evaluation indexes of DES under three
 521 operation strategies are shown in Table 7.

522 Table 7: The five evaluation indexes of DES under three operation strategies.

Index	FTL	FEL	FELTS
PER	51.49%	86.78%	125.69%
DFE	7.33×10^5 CNY	4.35×10^5 CNY	2.99×10^5 CNY
DAV	1.05×10^6 CNY	7.23×10^5 CNY	5.94×10^5 CNY
II	3.51×10^6 CNY	3.21×10^6 CNY	3.28×10^6 CNY
GGE	36.18 <i>kg CO₂eq</i>	22.77 <i>kg CO₂eq</i>	16.42 <i>kg CO₂eq</i>

523 The above five original evaluation index data determine each index's weight by the
 524 entropy weight method, as shown in Table 8. The indexes under different operation
 525 strategies are normalized firstly, and the results are shown in Fig. 8. Fig. 8 indicates that
 526 the FELTS has the best performance in PER, DFE, DAV, and GGE. The FEL has the
 527 best performance in II as the system of the FELTS is more complex. Meanwhile, it also
 528 shows that the FELTS is consistent with the optimal result except for the performance
 529 of II.

530 Table 8: The weight of evaluation indicators.

Index	PER	DFE	DAV	II	GGE
Weight (%)	21.86	19.67	19.49	19.27	19.92

531 The energy efficiency in the building sector can be improved by energy management,
 532 and the system design and operation strategies are optimized to achieve energy savings
 533 and emission reductions [57]. The comprehensive evaluation method of the building

534 energy supply system is also a research highlight [33]. The Entropy-TOPSIS method
 535 integrates the technical, economic, and environmental assessment for building-
 536 distributed energy systems and allows for further improvements to be made to the
 537 optimal solution. The comprehensive performance of DES under three operation
 538 strategies is evaluated by the Entropy-TOPSIS method, and the evaluation results of the
 539 three operation strategies are 0, 0.49, and 0.99, respectively. Compared with the other
 540 two operation strategies, the system comprehensive performance under the FELTS is
 541 optimal from technical and environmental evaluation aspects. The final evaluation
 542 result of Entropy-TOPSIS method indicates that the FELTS has the best performance
 543 as its evaluation result is close to 1.

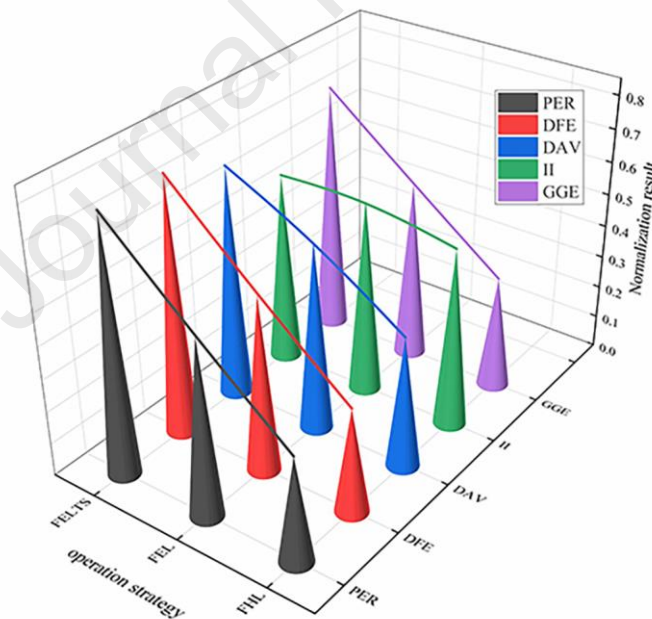


Fig. 8: Normalized results of the evaluation index for the three operation strategies.

544 4. Conclusion

545 This study presents the modeling and techno-economic-environment assessment of a
 546 building-distributed energy system consisting of the PV, GSHP, GT, AHP, and TST. The

547 system design and operation performance are established and evaluated under three
548 control strategies - the FTL, FEL, and FELTS. An office building in Wuhan, China, was
549 selected for the case study. Some conclusions are summarized as follows.

550 The result showed that the technological evaluation index PER of DES under FTL, FEL,
551 and FELTS are 51.49%, 86.78%, and 125.69%, respectively. The building demand-
552 supply fit is significantly improved under the FELTS strategy. Under the FTL and FEL
553 operation strategy, there was much-wasted power and thermal energy. The economic
554 evaluation index DAV of the system under FTL, FEL, and FELTS is 1.05×10^6 CNY,
555 7.23×10^5 CNY, and 5.94×10^5 CNY, respectively. Operating under the FELTS is
556 the most economical. Environment evaluation results showed that the GT subsystem
557 has the largest greenhouse gas emissions in the DES under the three operation strategies,
558 GSHP subsystem contributes to the second-largest emissions. The total greenhouse gas
559 emissions of the three operation strategies are $36.2 \text{ kg CO}_2\text{eq}$, $22.8 \text{ kg CO}_2\text{eq}$, and
560 $16.4 \text{ kg CO}_2\text{eq}$, respectively. The Entropy-TOPSIS results showed that the
561 comprehensive performance of the DES under the FELTS is the best, followed by the
562 FEL and FTL.

563 This research work proposes simulation and comprehensive evaluation methods for
564 DES, and it provides the theoretical basis for multi-objective optimization and energy
565 management of DES. This preliminary research work will promote the development
566 and application of decentralized energy production and supply for decarbonization in
567 the building section while meeting increasing energy demand. Future work will

568 investigate the grid's and DES's interactive correlation to achieve optimized techno-
569 economic-environmental performance; energy or carbon trading scenarios could be
570 considered. The resilience of the distributed energy system under interrupted conditions
571 (extreme weather, cyber attack, etc.) can be also investigated.

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Journal Pre-proof

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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